



January 5, 2012

TO: Members of the Board of Regents
Ex officio Representatives to the Board of Regents
FROM: Joan Goldblatt, Secretary of the Board of Regents
RE: Schedule of Meetings

WEDNESDAY, JANUARY 11, 2012

3:30 p.m. **139 Gerberding** **HUB HARD HAT TOUR**

5:30 p.m. **President's Residence** **DINNER FOR REGENTS,
AND OTHER GUESTS**

THURSDAY, JANUARY 12, 2012

8:30 to 9:35 a.m. **142 Gerberding Hall** **ACADEMIC AND STUDENT AFFAIRS
COMMITTEE:** Regents Harrell (Chair), Barer,
Cole, Gates, Knowles

9:45 to 10:35 a.m. **142 Gerberding Hall** **ACADEMIC AND STUDENT AFFAIRS
COMMITTEE:** Regents Harrell (Chair), Barer,
Cole, Gates, Knowles

in Joint Session with

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Smith (Chair), Brotman,
Jewell, Simon

10:45 a.m. to 12:40 p.m. **142 Gerberding Hall** **FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Smith (Chair), Brotman,
Jewell, Simon

1:00 p.m. **Petersen Room** **REGULAR MEETING OF BOARD OF
Allen Library** **REGENTS**

2:15 to 4:00 p.m. **Foegen Building** **TOUR DEPARTMENT OF BIOENGINEERING**

To request disability accommodation, contact the Disability Services Office at: 206.543.6450 (voice), 206.543.6452 (TTY), 206.685.7264 (fax), or email at dso@uw.edu. The University of Washington makes every effort to honor disability accommodation requests. Requests can be responded to most effectively if received as far in advance of the event as possible, preferably at least 10 days.

1.1/201-12

1/12/12



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

Academic and Student Affairs Committee
Regents Harrell (Chair), Barer, Cole, Gates, Knowles

January 12, 2012
8:30 to 9:35 a.m.
142 Gerberding Hall

1. **Academic and Administrative Appointments** ACTION A-1
Ana Mari Cauce, Provost and Executive Vice President
2. **Establishment of the Comparative Effectiveness Research Graduate Certificate Program in the Department of Health Services and the Department of Pharmacy** ACTION A-2
James Antony, Associate Vice Provost, Associate Dean for Academic Affairs, The Graduate School
Beth Devine, Associate Professor, Department of Pharmacy
Lou Garrison, Professor, School of Pharmacy
Anirban Basu, Associate Professor, Department of Health Services
3. **Revisions to the UW Medicine Board Bylaws** ACTION A-3
Paul G. Ramsey, CEO, UW Medicine and Dean of the School of Medicine
Shan Mullin, Chair, UW Medicine Board
4. **UW Medicine Board Appointments** ACTION A-4
Paul G. Ramsey
5. **Services and Activities Fee – University of Washington Bothell: Distribution of Student Long Term Funds** ACTION A-5
Marilyn Cox, Vice Chancellor for Administration and Planning, UW Bothell
6. **Overview of the Autumn 2011 Entering Class** INFORMATION A-6
Eric Godfrey, Vice President and Vice Provost, Office of Student Life
Philip A. Ballinger, Assistant Vice President for Enrollment, Admissions
7. **Other Business**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Harrell (Chair), Barer, Cole, Gates, Knowles

In Joint Session with

Finance, Audit and Facilities Committee
Regents Smith (Chair), Brotman, Jewell, Simon

**January 12, 2012
9:45 to 10:35 a.m.
142 Gerberding Hall**

1. **State Budget Update** **INFORMATION** F-14
Paul Jenny, Vice Provost, Planning & Budgeting
2. **UW in Seattle Neighborhoods** **INFORMATION** A-7
Randy Hodgins, Vice President, Office of External Affairs
Theresa Doherty, Assistant Vice President for Regional
Affairs, Office of Regional Affairs
3. **Executive Session**
(to review the performance of a public employee.)
4. **Other Business**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Finance, Audit and Facilities Committee
Regents Smith (Chair), Brotman, Jewell, Simon

**January 12, 2012
10:45 a.m. to 12:40 p.m.
142 Gerberding Hall**

1. **Report of Contributions – October and November, 2011** **INFORMATION** F-1
Walter G. Dryfoos, Associate Vice President, Advancement Services
Connie Kravas, Vice President, University Advancement
2. **Grant and Contract Awards Summary – October, 2011** **ACTION** F-2
Ana Mari Cauce, Provost and Executive Vice President
3. **Actions Taken Under Delegated Authority** **INFORMATION** F-3
Richard Chapman, Associate Vice President, Capital Projects Office
4. **Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2011** **INFORMATION** F-4
Todd Timberlake, Chief Real Estate Officer
Tom Schappacher, Assistant Director–Finance & Administration, Real Estate Office
5. **University of Washington Bothell – Restructure Student Housing Rates and Set New Rates for 2012-13** **INFORMATION** F-5
Marilyn Cox, Vice Chancellor for Administration and Planning, UW Bothell
6. **Wellington Hills – Approve Sale of Property and Delegation of Authority** **ACTION** F-6
Todd Timberlake
Jeanette Henderson, Director of Real Estate, Real Estate Office
7. **Metropolitan Tract – 2012 Unico Capital Expenditure Budget** **ACTION** F-7
Todd Timberlake
Tom Schappacher
8. **Naming the new Ethnic Cultural Center the “Samuel E. Kelly Ethnic Cultural Center”** **ACTION** F-8
Sheila Edwards Lange, Vice President Minority Affairs and Vice Provost for Diversity, Office of Minority Affairs
9. **Renaming Replacement Residence Halls as Terry Hall, Lander Hall, and Mercer Court** **ACTION** F-9
Eric Godfrey, Vice President and Vice Provost, Student Life
Pamela Schreiber, Director, Housing & Food Services

10. **Odegaard Undergraduate Learning Center Renovation – Review Schematic Design** **INFORMATION** F-11
Rebecca Barnes, University Architect, Office of Planning and Budgeting
Eric C. Smith, Director, Major Capital Projects, Capital Projects Office
Lizabeth (Betsy) A. Wilson, Dean of University Libraries, Libraries Administration
11. **University of Washington Investment Committee (UWINCO) Update** **INFORMATION** F-12
Keith Ferguson, Chief Investment Officer, Treasury Office
12. **2011 Independent Auditor’s Report on the UW Financial Statements – KPMG** **INFORMATION** F-13
Richard Cordova, Executive Director, Internal Audit
Steve Huebner, Lead Engagement Partner, KPMG
Ann Nelson, Client Service Partner, KPMG
Karissa Lackey, Audit Senior Manager, KPMG
13. **Executive Session**
(to review the performance of a public employee.)
14. **Executive Session**
(to consider the selection of a site or the acquisition of real estate by lease or purchase when public knowledge regarding such consideration would cause a likelihood of increased price.)
(to discuss with legal counsel representing the University, litigation or potential litigation to which the University is, or is likely to become, a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the University.)
(to consider the minimum price at which real estate will be offered for sale or lease when public knowledge regarding such consideration would cause a likelihood of decreased price.)
15. **Executive Session**
(to consider the minimum price at which real estate will be offered for sale or lease when public knowledge regarding such consideration would cause a likelihood of decreased price.)
16. **Other Business**



AGENDA

BOARD OF REGENTS University of Washington

January 12, 2012

1:00 p.m.

Petersen Room, Allen Library

(Item No.)

- I. **CALL TO ORDER**
- II. **ROLL CALL: Assistant Secretary Shelley Tennant**
- III. **CONFIRM AGENDA**
- IV. **REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Blake**
Appointment of Special Committee on Legislative Affairs (Action) BP-1
- V. **REPORT OF THE UNIVERSITY PRESIDENT: President Young**
- VI. **CONSENT AGENDA**
Approval of Minutes of Meeting of November 17, 2011
Approval of Minutes of Special Meeting of November 26, 2011
Approval of Minutes of Special Meeting of December 15, 2011
Establishment of the Comparative Effectiveness Research Graduate Certificate Program in the Department of Health Services and the Department of Pharmacy A-2
Revisions to the UW Medicine Board Bylaws A-3
UW Medicine Board Appointments A-4
Services and Activities Fee – University of Washington Bothell: Distribution of Student Long Term Funds A-5
Grant and Contract Awards Summary – October, 2011 F-2
Wellington Hills – Approve Sale of Property and Delegation of Authority F-6
Metropolitan Tract – 2012 Unico Capital Expenditure Budget F-7
Naming the new Ethnic Cultural Center the “Samuel E. Kelly Ethnic Cultural Center” F-8
Renaming Replacement Residence Halls as Terry Hall, Lander Hall, and Mercer Court F-9

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Harrell – Chair**

Academic and Administrative Appointments (Action) A-1

Overview of the Autumn 2011 Entering Class (Information only) A-6

Joint Session**A. Academic and Student Affairs Committee: Regent Harrell – Chair****B. Finance, Audit and Facilities Committee: Regent Smith – Chair**

State Budget Update (Information only) F-14

UW in Seattle Neighborhoods (Information only) A-7

B. Finance, Audit and Facilities Committee: Regent Smith – Chair

Report of Contributions – October and November, 2011 (Information only) F-1

Actions Taken Under Delegated Authority (Information only) F-3

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2011 (Information only) F-4

University of Washington Bothell – Restructure Student Housing Rates and Set New Rates for 2012-13 (Information only) F-5

Odegard Undergraduate Learning Center Renovation – Review Schematic Design (Information only) F-11

University of Washington Investment Committee (UWINCO) Update (Information only) F-12

2011 Independent Auditor's Report on the UW Financial Statements – KPMG (Information only) F-13

VIII. OTHER BUSINESS**Reports to the Board:****Student Leaders:****ASUW President – Mr. Conor McLean****GPSS President – Mr. Charles Plummer****ASUW Tacoma President – Mr. Jonathan Bowers****ASUW Bothell President – Mr. Frederic Sawyer Hensen****Faculty Senate Chair – Professor Susan Astley****Alumni Association President – Ms. Susan Wilson Williams****IX. DATE FOR NEXT REGULAR MEETING: Thursday, February 9, 2012****X. ADJOURNMENT**

1.1.1/201-12

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OFFICIAL MINUTES

MINUTES

**BOARD OF REGENTS
University of Washington**

January 12, 2012

The Board of Regents held its regular meeting on Thursday, January 12, 2012, beginning at 1:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the media.

CALL TO ORDER

Regent Blake called the meeting to order at 1:00 p.m.

ROLL CALL

Assistant Secretary Tennant called the roll: Present were Regents Blake (presiding), Barer, Brotman, Gates, Harrell, Jewell, Knowles, Smith; President Young, Provost Cauce, Ms. Warren, Ms. Goldblatt; ex officio representatives Mr. McLean, Mr. Plummer, Ms. Williams.

Absent: Regents Cole and Simon, Professor Astley

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Blake

Regent Blake introduced Mindy Kornberg, Vice President for Human Resources, who read a Public Employment Relations Commission Notice to Employees. The Notice is attached.

Regent Blake asked the Board to approve the appointment of a special committee on legislative affairs, noting Regents received a copy of the item, BP-1, in their packets. She also noted this special committee will be in place until the completion of the 2012 legislative session.

Appointment of Special Committee on Legislative Affairs (Agenda no. BP-1)
(Action)

January 12, 2012

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Harrell, seconded by Regent Jewell, the Board voted to approve the appointment of a Special Committee on Legislative Affairs.

See Attachment BP-1

Following approval of the formation of the committee, Regent Blake appointed Regents Craig Cole, Joanne Harrell, and Herb Simon to serve on the committee. Regent Simon will serve as committee chair. She thanked all three Regents for joining her on the committee and accepting this important responsibility.

Regent Blake announced the Board plans to hold two special meetings to hear public comment and input from students, and other members of the University community, on tuition and the UW Budget. The meetings will be on Wednesday, February 15, and Thursday, April 5, in the afternoon, on campus. Regents and members of the public and media will receive special meeting notices.

V. REPORT OF THE UNIVERSITY PRESIDENT: President Young

The President announced he was delighted to welcome the University's new Provost and Executive Vice President, Ana Mari Cauce, saying he was sure all are familiar with Dr. Cauce from her long-time service to the University as Dean of the College of Arts & Sciences, Executive Vice Provost, Chair of the Psychology Department, Director of the Honors Program, and her more than twenty-five years on the faculty. The President praised Provost Cauce's solid reputation as a brilliant and inspirational teacher and leader and a skilled and widely respected administrator, and said, with her exceptional understanding of the operations of the University, he looks forward to working with her to make this great University even greater.

The President reported on meetings and events he attended since the last Board meeting.

- Meetings with media, including the New York Times, KUOW, Crosscut, The Daily, and an interview with Jean Enersen on KING 5;
- Meetings with higher education leaders – Council of Presidents; community college and private college presidents; and former UW presidents;
- Attended a diplomatic reception with members of the Consular Association and local business leaders and CEOs involved in international business;
- Meetings with business and foundation leaders;
- Attended the Alamo Bowl in San Antonio;
- Traveled to the San Francisco Bay area and Stanford for ACRE meetings and meetings with donors;
- Attended a UW Professional Staff Organization meeting;
- Continued to hold faculty lunches, and expanded these to include staff;
- Attended College and school-level meetings;
- Continued to meet with Deans, ASUW Senate leaders, Faculty Senate, and UW Medicine Board.

January 12, 2012

The President announced UW was ranked thirteenth in the world for its scientific impact and involvement in scientific collaboration in a ranking conducted by the Centre for Science and Technology Studies of Leiden University. The UW was ranked fourteenth out of one hundred in Kiplinger's ranking of the "best value in public colleges," and Forbes rated UW in the top ten "best buys."

The President described recent honors and awards received by UW faculty and students:

- Two UW students, Byron Gray and Cameron Turtle, were named Rhodes Scholars, one of the most competitive post-graduate scholarships in the world. UW is the only public institution in the country to have multiple Rhodes Scholars this year. More than eight hundred students from three hundred American colleges and universities were nominated, and thirty-two students were selected.
- Nine UW research faculty members were named Fellows of the American Association for the Advancement of Science:
 - Four from Chemistry: Daniel Chiu, Daniel Gamelin, Karen Goldberg, Bruce Robinson
 - Two from Pharmaceutics: Danny Shen, Jashvant Unadkat
 - One from Oceanography: Virginia Armbrust
 - One from Pharmacology: Neil Nathanson
 - One from Physics: Michael Schick
- In Forbes Magazine recent "30 Under 30" issue, three of the young scientists and two of the technology leaders had links to the UW.

President Young said it was his great pleasure to introduce the UW's two most recent Rhodes Scholars, Byron Gray and Cameron Turtle. The President reported he met them both at a reception earlier this week, and found them to be truly impressive, not just as students, but as individuals and global citizens. An article about them is attached. Mr. Gray and Mr. Turtle introduced themselves and answered questions from the Regents.

President Young invited Ann Anderson, Associate Vice President and Controller, and V'Ella Warren, Senior Vice President, Finance & Facilities, and Treasurer of the Board of Regents, to provide a presentation on the UW's Global Support program. Their presentation is attached.

Following the President's remarks, Regent Blake welcomed Provost Cauce on behalf of the Board.

CONSENT AGENDA

Regent Blake noted there were twelve items for approval on the consent agenda, and called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Smith, the Board voted to approve the twelve items on the consent agenda as shown below:

Minutes for the meeting of November 17, 2011

Minutes for the special meeting of November 26, 2011

Minutes for the special meeting of December 15, 2011

Establishment of the Comparative Effectiveness Research Graduate Certificate Program in the Department of Health Services and the Department of Pharmacy
(Agenda no. A-2)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Steering Committee/Leadership Team of graduate faculty, in the Department of Health Services in the School of Public Health and the Department of Pharmacy in the School of Pharmacy at the University of Washington, to offer the Comparative Effectiveness Research (CER) Graduate Certificate Program, effective immediately. The graduate certificate program will have provisional status with a review to be conducted by the Graduate School in the 2016-2017 academic year.

See Attachment A-2.

Revisions to the UW Medicine Board Bylaws (Agenda no. A-3)

It was the recommendation of the President that the Board of Regents adopt the proposed amendments to the Bylaws of the UW Medicine Board.

See Attachment A-3.

UW Medicine Board Appointments (Agenda no. A-4)

It was the recommendation of the University President and the Academic and Student Affairs Committee that the Board of Regents appoint the following two Valley Medical Center Board members as members of the UW Medicine Board:

Gary Kohlwes	January 1, 2012 to December 31, 2014
Geneice (Sue) Bowman	January 1, 2012 to December 31, 2014

See Attachment A-4.

Services and Activities Fee – University of Washington Bothell: Distribution of Student Long Term Funds (Agenda no. A-5)

It was the recommendation of the administration and Academic and Student Affairs Committee that the Board of Regents approve distribution of Student Long Term Funds in the amount of \$300,000 for the continued planning, confirmation of program, initial schematic design, and cost estimating for the proposed Student Activity Center at the University of Washington Bothell.

See Attachment A-5.

Grant and Contract Awards Summary – October, 2011 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee the Board of Regents accept Grant and Contract Awards for the month of October, 2011, in the total amount of \$103,058,000.

See Attachment F-2.

Wellington Hills – Approve Sale of Property and Delegation of Authority (Agenda no. F-6)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve the sale of the Wellington Hills property for \$9,687,500 to Snohomish County, and delegate to the President the authority to execute all documents related to this transaction.

See Attachment F-6.

Metropolitan Tract – 2012 Unico Capital Expenditure Budget (Agenda no. F-7)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$4 million in capital expenditures by Unico Properties, LLC, for capital and tenant improvements requested in its 2012 Capital Expenditure Plan for the buildings on the Metropolitan Tract. The \$4 million is comprised of up to \$2.2 million for capital improvements and up to \$1.8 million for tenant improvements.

See Attachment F-7.

Naming the new Ethnic Cultural Center the “Samuel E. Kelly Ethnic Cultural Center” (Agenda no. F-8)

It was the recommendation of the administration and the Finance, Audit, and Facilities Committee that the Board of Regents approve naming the new Ethnic Cultural Center building the “Samuel E. Kelly Ethnic Cultural Center.” The late Dr. Samuel E. Kelly was the first Vice President of the UW Office of Minority Affairs and rendered distinguished service to the University and the local community.

See Attachment F-8.

Renaming Replacement Residence Halls as Terry Hall, Lander Hall, and Mercer Court (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve renaming three replacement residence halls as Terry Hall, Lander Hall, and Mercer Court.

See Attachment F-9.

STANDING COMMITTEES

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Harrell Chair

Regent Harrell welcomed Provost Cauce.

Academic and Administrative Appointments (Agenda no. A-1)

MOTION: Upon the recommendation of the administration and the motion made by Regent Smith, seconded by Regent Jewell, the Board voted to approve the personnel appointments. Regent Knowles abstained from the vote.

See Attachment A-1.

Overview of the Autumn 2011 Entering Class (Agenda no. A-6) (Information only)

Regent Harrell offered a highlight of a few of the statistics presented by Eric Godfrey and Phil Ballinger. Applications for the autumn 2011 class increased by 7.4%. An impressive 24,000 applications were received. The class was made up of 54% females and 46% males, which is an increase in females from the past. UW experienced an increase in the number of international applicants to 17.9%, with almost 3,300 applications from students from China. Business Administration was the top major requested. Over 1,600 applicants had a grade point average of 4.0 or better. 29% of freshmen in the 2011 entering class are the first in their families to attend college, while 20% are children of alumni. Regent Harrell reported that the Committee had a robust discussion about admissions and admissions criteria and asked Provost Cauce to bring more information to the Board at a future meeting.

See Attachment A-6.

Joint Session

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Harrell Chair
FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Smith, Chair

UW in Seattle Neighborhoods (Agenda no. A-7) (Information only)

Regent Harrell reported on a presentation by Randy Hodgins and Theresa Doherty. Regents viewed the "UW in Seattle Neighborhoods" website, asked questions, and made recommendations on ways to organize the information. Regents felt the work was very well done and believe it will facilitate the University's ability to tell its story in Seattle even more effectively.

See Attachment A-7.

State Budget Update (Agenda no. F-14) (Information only)

See Attachment F-14.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Smith, Chair

Regent Smith began his report by mentioning some of the items Regents approved on the consent agenda: the sale of Wellington Hills, a large piece of property acquired many years ago to use to establish a campus north of the Seattle campus, but made redundant by the establishment of the UW Bothell campus; the Metropolitan Tract capital expenditure budget; and renaming three residence halls – Terry Hall, Lander Hall, and Mercer Court.

Regents received a report from outside auditors who gave the university a “clean” opinion. This means, said Regent Smith, that the UW’s financial statements fairly report the results of operations for fiscal year 2011, as well as assets and liabilities. The auditor did not find any significant internal control issues which might affect the integrity of the financial reports. As with all audits, there are areas discovered where the university can improve. Regents learned almost all of those areas have had action taken and have been improved, while other areas, still outstanding, are currently receiving attention from the appropriate personnel.

Regents approved naming the new Ethnic Cultural Center in honor of the late Dr. Samuel E. Kelly. Regent Smith introduced Dr. Kelly’s children, who attended the meeting. Brenda Kelly and Sam Kelly stood and received applause from the Board.

Report of Contributions – October and November, 2011 (Agenda no. F-1)
(Information only)

The total of gifts received in October, 2011, was \$21,676,010 and in November, 2011, was \$39,542,701. The total for the year-to-date was \$139,524,635.

See Attachment F-1.

Actions Taken Under Delegated Authority (Agenda no. F-3) (Information only)

See Attachment F-3.

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2011
(Agenda no. F-4) (Information only)

See Attachment F-4.

University of Washington Bothell – Restructure Student Housing Rates and Set New Rates for 2012-13 (Agenda no. F-5) (Information only)

See Attachment F-5.

Odegaard Undergraduate Learning Center Renovation – Review Schematic Design
(Agenda no. F-11) (Information only)

See Attachment F-11.

University of Washington Investment Committee (UWINCO) Update (Agenda no. F-12) (Information only)

See Attachment F-12.

2011 Independent Auditor’s Report on the UW Financial Statements – KPMG
(Agenda no. F-13) (Information only)

See Attachment F-13.

REPORTS TO THE BOARD OF REGENTS

ASUW President: Mr. Conor McLean

Mr. McLean thanked the Regents for the planned meetings to receive public comment about tuition and the budget.

He gave an update on the legislative session from a student perspective. He reminded the Regents that at a prior meeting he described a student-supported three point revenue proposal. One of the points was a B&O tax exemption for research and development companies, with the revenue directed to fund higher education. Students were in Olympia recently for lobbying efforts.

Mr. McLean reported on ASUW Student leader efforts to encourage the University to have a diversity requirement. This initiative has been passed on to the Faculty Senate for consideration and would require undergraduates to take two courses, or an alternative learning experience, to fulfill a diversity requirement for graduation. As a next step in the process, the Faculty Senate would approve it and forward it to the full faculty for a vote. He said peer institutions have a similar requirement and this requirement has received wide student support.

GPSS President: Mr. Charles Plummer

Regent Blake welcomed newly-elected GPSS President, Charles Plummer, to his first full meeting of the Board of Regents.

Mr. Plummer introduced himself as an “army brat” born in Fort Campbell, Kentucky. He received a Bachelor of Science in Geology from the College of William and Mary, a Masters degree in Geoscience from the University of Washington, and is currently pursuing a PhD in large scale volcanism.

On behalf of GPSS, Mr. Plummer welcomed Provost Cauce to her new position.

GPSS is embarking on a major strategic planning and restructuring initiative with the goal of an efficient Senate better able to serve the needs of the student body. At a meeting in early December, GPSS passed its state and federal legislative agendas. These initiatives match those of ASUW and the University administration. GPSS is concerned about restoration, or reinstatement, of financial aid programs which benefit graduate students including Washington state work study program, child care matching grant, future teachers conditional scholarship and loan repayment program, health profession scholarship, and the graduate fellowship trust account.

GPSS leaders support ways to reduce administrative costs and plan to support a state revenue package.

The student Lobby Day in Olympia is scheduled for Friday, February 3. Students hope to convey the message to legislators that the University is an engine of economic growth for the state.

Reporting on University issues, Mr. Plummer said graduate students are satisfied with the universal U-PASS program, although there have been a few complaints and concerns. There is growing interest in the University's budgeting processes, and he hopes to involve more students in the process. He stressed the importance of the UW's world class faculty, saying graduate students are concerned about faculty retention.

Commenting on the item in committee about the UW's admissions policy, Mr. Plummer said he believes in the unique relationship between faculty and students. Regarding the trend of increasing numbers of international students, he believes international diversity is critical, and international students need services to support their unique needs.

ASUW Tacoma President: Mr. Jonathan Bowers

UW Tacoma student leaders have been holding quarterly student summits to increase communications between different student groups on campus.

Mr. Bowers updated the Regents about a postcard campaign conducted at UW Tacoma. ASUWT provided a generic postcard and collected names, demographic information, and short stories. They plan to send the over three hundred completed cards to members of the state legislature.

Mr. Bowers reported on ASUW Tacoma's work with campus administration, the City of Tacoma, and local businesses to install "sidewalk flashers" to improve dangerous intersections on campus.

The UW Tacoma Student Activities Fee Committee plans to conduct a study on the feasibility of building a student union on campus. They are looking at various means to achieve this, including potential public-private partnerships.

Mr. Bowers said he is serving on a strategic budget committee to provide input into the vision for a sustainable campus budget that balances growth with limited resources.

This will assist Chancellor Friedman in her decision-making as she develops the final budget. He commended the Chancellor for her willingness to involve the campus, including staff, faculty, and students, in this process.

Mr. Bowers shared a few stories from the postcards UW Tacoma students gathered. These will be directed to members of the state legislature. He plans to provide Regent Knowles with copies of the cards and demographic information.

ASUW Bothell President: Mr. Frederic Sawyer Hensen

Mr. Hensen reported the UW Bothell students are in the middle of an “occupy discrimination” campaign in response to recent incidents of hate speech. They are bringing resources to campus to explore these issues. They plan to celebrate “no name calling week” during the week of the January 23.

Mr. Hensen thanked the Regents for approving UW Bothell’s use of the Student Activities Fee long-term funds for the student union, and added it was meaningful to the students that the Architectural Commission selected LMN architects for the project. Students believe LMN will design a functionally eloquent and pragmatic building that will represent the innovative and creative campus culture.

Mr. Hensen described the “Husky Packs” project, designed to serve low income students who might not otherwise be able to afford basic essentials such as food and personal hygiene items. They are collecting these items through a donation campaign and will distribute them anonymously to students who need them. Mr. Hensen said he believes more students are in need due to increases in tuition. He also described a project to assist undocumented students by providing them with short-term informational resources through a website.

Student leaders are working with a vendor to offer a large-scale discount program to extend hundreds of discounts to students.

ASUW Bothell students will travel to Olympia twice in January and to participate in Lobby Day on February 3. He looks forward to being there and telling legislators stories from UW Bothell.

Faculty Senate Chair: Professor Susan Astley

Professor Astley was not in attendance to provide a report. Regent Blake welcomed Faculty Senate Vice Chair Jim Gregory.

Alumni Association President: Ms. Susan Wilson Williams

Ms. Wilson Williams said the new year for the Alumni Association is off to a strong start. Fifteen-hundred people attended the pregame event at the Alamo Bowl, while hundreds of alumni across the nation attended game-watching events, including a gathering of three-hundred and fifty people in downtown Seattle. UWAA is developing a strategy to use social media; UW is recognized as a trendsetter in this area.

Ms. Wilson Williams reported good news in the area of membership and business development. With increased dues, UWAA renewals and upgrades to life membership are up thirteen percent. Membership satisfaction measures 4.25 to 4.5 out of 5. The donation campaign is four times what it was the same time last year. Member revenue, including donations and dues, is up 20.8% at end of the second quarter.

UWAA is hosting many events such as a member night at the King Tut exhibit, wine dinners, and opening night for movies in Seattle, Bellingham, Vancouver, and Spokane. They continue to offer a popular lecture series. She said UWAA will announce a “big member event” in April.

UWAA plans to launch a career software benefit and possibly a mobile application. Two calling campaigns will be held in the near future – the spring membership drive and the grad pack membership drive.

In the Legislative area, UWAA is developing messages to alumni and friends for use during the session. The messages urge legislators to “stop the cuts” and ask that the UW be given flexibility in investing and purchasing. UWImpact has invited other institutions to a mini-summit in February to discuss collective higher education advocacy efforts. UWImpact is working with ASUW and GPSS to define areas of collaboration during the legislative session.

DATE FOR NEXT MEETING

The next regular meeting of the Board of Regents will be held on Thursday, February 9, 2012, on campus.

ADJOURNMENT

The regular meeting was adjourned at 2:20 p.m.



Joan Goldblatt
Secretary of the Board of Regents

Approved at the meeting of the Board on February 9, 2012.



PUBLIC EMPLOYMENT RELATIONS COMMISSION

NOTICE TO EMPLOYEES

STATE LAW GIVES YOU THE RIGHT TO:

- Form, join, or assist an employee organization (union)
- Bargain collectively with your employer through a union chosen by a majority of employees
- Refrain from any or all of these activities except you may be required to make payments to a union or charity under a lawful union security provision

THE WASHINGTON PUBLIC EMPLOYMENT RELATIONS COMMISSION CONDUCTED A LEGAL PROCEEDING AND RULED THAT THE UNIVERSITY OF WASHINGTON COMMITTED AN UNFAIR LABOR PRACTICE AND ORDERED US TO POST THIS NOTICE TO EMPLOYEES:

WE UNLAWFULLY suspended the employee market adjustment increase without first providing Service Employees International Union Healthcare 1199NW notice and an opportunity to bargain any change to the negotiated market adjustment increase.

TO REMEDY OUR UNFAIR LABOR PRACTICES:

WE WILL restore the status quo ante by paying affected employees interest at the legal rate on the monies that were unlawfully withheld from January 1, 2009, until the date it paid employees the amount owed under the market adjustment increase.

WE WILL give notice to and, upon request, negotiate in good faith with Service Employees International Union Healthcare 1199NW before changing negotiated employee market adjustment increases.

WE WILL NOT, in any other manner, interfere with, restrain, or coerce our employees in the exercise of their collective bargaining rights under the laws of the State of Washington.

UNIVERSITY OF WASHINGTON

BY: _____
Name and Title of Authorized Representative

DATE: _____

This notice must remain posted for 60 consecutive days, and must not be altered or covered by any other material. The full decision in this case is published at www.perc.wa.gov

THIS IS AN OFFICIAL NOTICE AND MUST NOT BE DEFACED BY ANYONE.

PERC is an independent State agency that enforces public sector collective bargaining laws in the State of Washington. Our mission is to prevent or minimize disruptions to public services by the uniform, impartial, efficient and expert resolution of labor-management disputes. PERC conducts secret ballot elections to determine whether employees want union representation, provides mediation services and conducts unfair labor practice and arbitration hearings. Questions about this notice or compliance with the Commission's order may be directed

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS

Appointment of Special Committee on Legislative Affairs

RECOMMENDED ACTION

It is the recommendation of the Chair that the Board of Regents approve the appointment of a special committee on Legislative Affairs.

BACKGROUND

Under the bylaws of the Board of Regents, the Board Chair may appoint special committees with the consent of the Board.

The Board Chair, Regent Kristianne Blake, proposes the creation of a Special Committee on Legislative Affairs. The Committee would consist of the Chair of the Board and three Regents to be selected by the Chair.

COMMITTEE MISSION

The Legislative Affairs Committee will provide input to the Board of Regents, the President and/or the President's designees on legislative matters. The committee would begin upon approval and continue until the end of the 2012 session of the Washington state legislature.

UW seniors Byron Gray and Cameron Turtle named Rhodes Scholars

By Sandra Hines, News and Information, Nov. 21, 2011



Byron Gray



Cameron Turtle

Byron Gray and Cameron Turtle, University of Washington seniors, are among 32 Rhodes Scholars just named for 2012.

The Rhodes Scholarships are the oldest international fellowship awards in the world, according to the website for Rhodes Trust, a British charity established in honor of Cecil Rhodes that provides full financial support for scholars to study at the University of Oxford in the United Kingdom.

Gray and Turtle were selected from a pool of 830 candidates nominated by their colleges and universities. The UW is the only public university in the nation with more than one new scholar. Gray is majoring in political science; law, societies and justice; and Asian studies. His senior thesis is on family law, human rights and religious sectarianism in India, according to the announcement this weekend from the Rhodes Trust.

He won U.S. State Department scholarships for the study of Urdu and Hindi, and worked for a non-governmental organization in rural northern India. He has also studied the status of immigrants in Italy and worked on human rights and religious violence in South Asia. Gray is from Post Falls, Idaho.

Turtle is majoring in bioengineering. A Mary Gates scholar and a Goldwater scholar, he has done extensive work in cardiac therapeutics. He co-founded Bioengineers Without Borders at the UW, providing opportunities for service in global health, the Rhodes press release says. Turtle also is a social entrepreneur, founding and now serving as CEO of Point of Care Technologies, a company that develops molecular medical diagnostic devices that interface with Android-based mobile equipment. He is from Pullman, Wash.

Gray and Turtle have both been participants in the UW's College Honors program where students have opportunities to take selected core honors classes or conduct advanced work in their majors.

Gray and Turtle commence their studies at Oxford in October 2012. Gray plans to pursue advance degrees on contemporary India and in socio-legal studies, while Turtle plans to study cardiovascular medicine.



UW Global Support Project: A Transformational Approach to Administrative Support for International Operations

Briefing for Board of Regents
January 12, 2012

V'Ella Warren, Sr. Vice President, Finance and Facilities, and Treasurer, Board of Regents
Ann Anderson, Associate Vice President and Controller

Why the UW Global Support Project was Created

Global administrative problems at the UW circa 2006
(*Partial list*)

- State law prohibited deposit of more than \$50K out of WA state at any one time
- No path/process to register operations in some countries where key researchers were funded with large grants
- Paying honorarium to foreign nationals was a tangled mess
- No comprehensive plan to manage global emergencies

Global Support Approach

- ❑ Phased implementation:
 - ❑ Solve problems for *faculty* researchers first
 - ❑ Solve problems for *students* studying abroad next
 - ❑ Standardize process and continuously improve
- ❑ Guiding principles
 - ❑ Lead with service and compliance will be a logical derivative
 - ❑ Develop “virtual” team of internal experts, leveraging existing resources
 - ❑ Use collaborative approach to solve problems
 - ❑ Develop solutions that can be leveraged to address other administrative problems

Transformative Improvements!

Research

- Statutory change to banking law
- Streamlined honorarium process
- Established “UWorld”
- Streamlined cash management, hiring, leasing, subcontracting
- Strengthened connectivity and other IT tools

Study Abroad

- Streamlined cash advance process
- Statutory change to permit student health insurance
- Established required training for faculty program directors

Overall

- Created Global Operations Support Website
- Established Global Emergency Management Program, including creating Travel Security Management and Information position

What's Next?

- Review and consultation of research abroad at proposal stage
- Development of formal research abroad guidelines
- Establish global database of all activities
- Develop broader UW global strategy (partner with Provost)

Uniquely UW!

UWorld

- ❑ Nonprofit corporation established to facilitate foreign registration of UW activities. Current registrations in 9 countries with one in process. Three additional registrations that are not via UWorld (Italy, Rome and India)

Global Emergency Management Program

- ❑ Comprehensive
- ❑ 24x7 emergency hotline
- ❑ Travel Security Manager
- ❑ Required training for students and program directors

Lessons Learned

- ❑ Issues are complex
- ❑ Risks can be high, but can be mitigated
- ❑ Compliance and creativity *CAN BE* balanced, with enabling faculty and students as the drivers
- ❑ Best practices may be difficult to identify, particularly in support of research abroad, so must create them
- ❑ Situations “on the ground” can change very quickly, thus flexibility is critical
- ❑ Challenges are not unique to the University of Washington; our approach to solving them is
- ❑ Administrative challenges pale in comparison to programmatic challenges on the ground
- ❑ *Improvement is a journey, not an event...and...you're never done!*

We're not in Kansas anymore...



UW Global Support – A Best Practice

- ❑ Awarded NACUBO Innovation Award, 2010
- ❑ Publications (by request):
 - Research Global: “University of Washington Global Support Project - A Model for Supporting Global Engagement”, February 2008
 - Chronicle of Higher Education: “One University’s Strategy for Keeping International Projects Running Smoothly”, April 2009
 - Educational Advisory Board: “Making the Global Vision Real: Supporting Faculty-led Internationalization Efforts”, Fall 2009
 - NACUBO Business Officer: “Celebrating Innovation”, May 2011
 - NACUBO Business Officer: “Protocol for Ports of Call”, August 2011
- ❑ Site Visits to UW
 - Duke University Provost’s Office, December 2008
 - University of Maryland Global Health Program, June 2009
 - Georgia Institute of Technology, December 2010
- ❑ Invitational Site Visits by UW
 - UC San Francisco, April 2008
 - University of North Carolina, Chapel Hill, September 2009
 - University of Pittsburgh, October 2010
- ❑ Presentations to visiting dignitaries
 - Foege Fellows from Emory University, May 2009
 - University of Auckland, September 2009
 - CEO World University Network, September 2009
 - University of Tokushima, January 2010
 - University of Western Australia, December 2010
 - University of Nairobi (Chancellor), November 2011

UW Global Support – A Best Practice

☐ Invited Presentations

- Treasury Institute for Higher Education, Savannah, GA, February 2008
- International Network of Research Management Societies, Liverpool, England, June 2008
- IvyPlus International Research Consortium, Baltimore, MD, September 2008; Chicago, February 2009
- National Science Foundation, Washington D.C., November 2009 and February 2011
- National Council of University Research Administrators
 - Park City, UT, April 2007
 - Washington D.C., November 2009
- University Risk Management and Insurance Association, Pittsburgh, PA, October 2010
- National Association of College and University Attorneys, Chicago, IL, April 2011

☐ Phone Consultations

- University of North Texas, May 2009
- Educational Advisory Board, May 2009
- Michigan State University, September 2009
- Boston University, December 2009
- Medical University of South Carolina, Spring 2011
- Harvard University, multiple consults in 2011

- ☐ Consortium of Universities for Global Health, est. 2008 to promote research, education and training; advocacy; enabling systems; Ann Anderson has chaired Enabling Systems Subcommittee since inception.
Note: King Holmes/Judy Wasserheit founding Board Members.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment

Academic and Administrative Appointments

ADMINISTRATIVE APPOINTMENTS

Administration

Office of the President

Cauce, Ana Mari

Executive Vice President, effective 1/1/2012

Provost, effective 1/1/2012

Continuing Appointments:

- Professor, Psychology
- Professor, American Ethnic Studies
- Adjunct Professor, Education
- Adjunct Professor, Gender, Women, and Sexuality Studies

Degrees:

- PhD, 1984, Yale University
- MS, 1979, Yale University
- BA, 1977, University of Miami (Florida)

College of Arts and Sciences

College of Arts and Sciences

Stacey, Robert Charles

Interim Dean, Arts and Sciences, effective 1/1/2012

Continuing Appointments:

- Professor, History

Degrees:

- PhD, 1983, Yale University
- MPHIL, 1979, Yale University
- MA, 1978, Yale University
- BA, 1975, Williams College

School of Medicine

Department of Biochemistry

Davis, Trisha Nell

Acting Chair, Biochemistry, effective 12/16/2011

Continuing Appointment:

- Professor, Biochemistry

Degrees:

- PhD, 1983, Yale University
- BA, 1976, University of California (Santa Cruz)

School of Medicine

Goldstein, Erika Ann

Associate Dean, School of Medicine, effective 11/1/2011

Continuing Appointment:

- Professor without Tenure, Medicine

Degrees:

- MD, 1981, University of Rochester
- BA, 1976, Middlebury College

University of Washington, Bothell

University of Washington, Bothell

Kochhar-Lindgren, Gray Meredith

Associate Vice Chancellor, Bothell , effective 12/16/2011

Continuing Appointment:

- Professor, Interdisciplinary Arts and Sciences, Bothell

Degrees:

- PhD, 1990, Emory University
- MA, 1987, University of North Carolina
- MA, 1982, Yale University
- BA, 1977, University of Colorado (Boulder)

ENDOWED APPOINTMENTS

College of Built Environments

Department of Landscape Architecture

Rottle, Nancy Dawn

Scan Design Foundation Endowed Chair in Built Environments, effective 9/16/2011

Continuing Appointments:

- Associate Professor, Landscape Architecture
- Adjunct Associate Professor, Architecture
- Adjunct Associate Professor, Urban Design and Planning

Degrees:

- MLA, 1987, University of Oregon
- BLA, 1985, University of Oregon
- BA, 1973, Western Washington University

College of Arts and Sciences

Department of Chemistry

Maibaum, Lutz

Natt-Lingafelter Endowed Professorship in Chemistry, effective 9/16/2011

Continuing Appointment:

- Assistant Professor, Chemistry

Degrees:

- PhD, 2005, University of California (Berkeley)
- Diploma, 2001, University of Dusseldorf (Germany)

Department of Scandinavian Studies

Stecher Hansen, Marianne Tranberg

Term Professorship in Danish Studies, effective 7/1/2011

Continuing Appointments:

- Associate Professor, Scandinavian Studies
- Adjunct Associate Professor, Gender, Women, and Sexuality Studies

Degrees:

- PhD, 1990, University of California (Berkeley)
- MA, 1981, University of Washington
- BA, 1978, University of California (Berkeley)

School of Medicine

Department of Medicine

MacLellan, William Robb

**Robert A Bruce Endowed Chair In Cardiovascular Research,
effective 11/1/2011**

Continuing Appointment:

- Professor, Medicine

Degrees:

- MD, 1988, University of Toronto (Canada)
- BSC, 1984, University of Guelph (Canada)

NEW APPOINTMENTS

Reserve Officers Training Corps

Department of Aerospace Studies

Allardice, Angela B.

**Assistant Professor without Tenure, Aerospace Studies,
effective 4/1/2012**

Prior Non-UW Appointment:

Officer in Charge of 94 Aircraft Maintenance Unit,
Langley AFB, Virginia

Degrees:

- MBA, 2011, Liberty University
- BA, 2006, University of Washington

Mastin, Kevin P.

**Professor without Tenure, Aerospace Studies, effective
6/1/2012**

Prior Non-UW Appointment:

Vice Commander, 1st Fighter Wing, Langley AFB,
Virginia

Degrees:

- MSS, 2008, Air University
- Master Of Applied Science, 1994, Embry Riddle
Aeronautical University
- BA, 1988, Washington State University

Department of Military Science

Kovalsky, Michael P.

**Assistant Professor without Tenure, Military Science,
effective 1/3/2012**

Degree:

- BA, 2005, Seton Hall University

College of Arts and Sciences

Department of Physics

Choi, Moo Young

Visiting Professor, Physics, effective 12/15/2011

Degrees:

- PhD, 1985, Stanford University
- MS, 1981, Seoul National University (Korea)
- BS, 1979, Seoul National University (Korea)

Ha, Mee-soon

Visiting Assistant Professor, Physics, effective 12/28/2011

Degrees:

- PhD, 2003, University of Washington
- MS, 1995, Korea University (Korea)
- BS, 1993, Inha University (Korea)

Department of Romance Languages and Literature

Gomez-Bravo, Ana Maria

**Associate Professor, Romance Languages and Literature,
effective 9/16/2012**

Prior Non-UW Appointment:

- Associate Professor, Foreign Languages and Literature,
Purdue University

Degrees:

- PhD, 1991, University of California (Berkeley)
- BA, 1986, Universidad Autonoma de Madrid
- MA, 1986, Universidad Autonoma de Madrid

College of Engineering

Department of Civil and Environmental Engineering

Ledezma, Christian

**Visiting Assistant Professor, Civil and Environmental
Engineering, effective 12/21/2011**

Prior Non-UW Appointment:

Assistant Professor, Structural and Geotechnical
Engineering, Pontificia Universidad Catolica de Chile

Degrees:

- PhD, 2007, University of California (Berkeley)
- MS, 2004, University of California (Berkeley)
- BS, 1999, Pontifical Catholic University of Rio Grande
- MS, 1999, Pontifical Catholic University of Rio Grande

Department of Computer Science and Engineering

Krishnamurthy, Arvind

**Associate Professor, Computer Science and Engineering,
effective 12/16/2011**

Prior UW Appointment:

Research Associate Professor, Computer Science and
Engineering

Degrees:

- PhD, 1999, University of California (Berkeley)
- MS, 1994, University of California (Berkeley)
- BTech, 1991, India Institute of Technology

Department of Industrial and Systems Engineering

Kohn, Wolf

**Research Professor, Industrial and Systems Engineering,
effective 2/1/2012**

Prior UW Appointment:

Affiliate Professor, Industrial and Systems Engineering

Degrees:

- PhD, 1978, Massachusetts Institute of Technology
- MSEE, 1974, Massachusetts Institute of Technology
- Bachelor Of Electrical Engeneering, 1971, Universidad
Nacional de Columbia

College of the Environment

Department of Atmospheric Sciences

Marchand, Roger

Research Associate Professor, Atmospheric Sciences, effective 1/1/2012

Prior UW Appointment:

- Atmospheric Scientist, Joint Institute for the Study of the Atmosphere and Ocean

Degrees:

- PhD, 1997, Virginia Polytechnic Institute and State University
- BA, 1996, Virginia Polytechnic Institute and State University
- MS, 1993, Virginia Polytechnic Institute and State University
- BS, 1990, Virginia Polytechnic Institute and State University

School of Medicine

Department of Anesthesiology and Pain Medicine

Ben-Ari, Alon Yoav

Assistant Professor without Tenure, Anesthesiology and Pain Medicine, effective 11/6/2011

Prior Non-UW Appointment:

Instructor, University of Pittsburgh School of Medicine

Degrees:

- MD, 2000, Technion Israel Institute of Technology
- BSC, 1997, Technion Israel Institute of Technology

Gemma, Carmelina

Research Associate Professor, Anesthesiology and Pain Medicine, effective 1/1/2012

Prior Non-UW Appointment:

Assistant Professor, Department of Neurosurgery, University of South Florida

Degrees:

- PhD, 1999, University of Milan (Italy)
- PhD, 1994, Mario Negri Institute
- BS, 1989, University of Bologna (Italy)

Raftery, Michael Daniel

Professor, Anesthesiology and Pain Medicine, effective 1/1/2012

Prior Non-UW Appointment:

Professor of Chemistry, Purdue University

Degrees:

- MBA, 2004, University of Chicago
- PhD, 1991, University of California (Berkeley)
- AB, 1984, Harvard University

Department of Family Medicine

Sanford, Christopher Allen

Associate Professor without Tenure, Family Medicine, effective 12/1/2011

Prior UW Appointment:

Acting Assistant Professor, temporary, Family Medicine

Degrees:

- MPH, 2005, Harvard University
- MD, 1985, University of California (San Diego)
- BA, 1980, University of California (Santa Barbara)

Department of Immunology

Savan, Ram

Assistant Professor, Immunology, effective 12/5/2011

Degrees:

- PhD, 2004, Kagoshima University
- MSC, 2001, University of Agricultural Sciences (India)
- BSC, 1997, University of Agricultural Sciences (India)

Department of Laboratory Medicine

Abbott, April Nicole

Assistant Professor without Tenure, Laboratory Medicine, effective 1/2/2012

Prior UW Appointment:

Senior Fellow, Laboratory Medicine

Degrees:

- PhD, 2009, University of Texas (Houston)
- BS, 2004, Indiana University

Department of Medicine

Cheng, Guang-Shing

Assistant Professor without Tenure, Medicine, effective 1/2/2012

Prior UW Appointment:

Clinical Instructor, Medicine

Degrees:

- MD, 2001, University of California (San Francisco)
- BA, 1996, Harvard University

Papapetrou, Eirini P.

Assistant Professor without Tenure, Medicine, effective 1/2/2012

Degrees:

- PhD, 2006, University of Patras (Greece)
- MSC, 2003, University of Patras (Greece)
- MD, 2000, University of Patras (Greece)

Pergam, Steven Aaron

Assistant Professor without Tenure, Medicine, effective 12/1/2011

Prior UW Appointment:

Acting Instructor, Medicine

Degrees:

- MPH, 2008, University of Washington
- MD, 1998, University of Nebraska (campus unspecified)
- BA, 1993, Dartmouth College

Vuletic, Simona

Assistant Professor without Tenure, Medicine, effective 1/2/2012

Prior UW Appointment:

Acting Assistant Professor, temporary, Medicine

Degree:

- MD, 1991, University of Zagreb (Yugoslavia)

Department of Microbiology

Merrickh, Houra

Assistant Professor, Microbiology, effective 1/2/2012

Prior UW Appointment:

Acting Assistant Professor, temporary, Microbiology

Degrees:

- PhD, 2009, Brandeis University
- MS, 2006, Brandeis University
- BS, 2002, University of Houston

Department of Physiology and Biophysics

Whitehead, Nicholas Paul

Research Assistant Professor, Physiology and Biophysics, effective 1/2/2012

Prior UW Appointment:

Senior Fellow, Physiology and Biophysics

Degrees:

- PhD, 2002, Monash University (Australia)
- BSC, 1997, Monash University (Australia)

Department of Radiation Oncology

Ford, Eric Cassel

Associate Professor without Tenure, Radiation Oncology, effective 12/5/2011

Prior Non-UW Appointment:

Assistant Professor, Radiation Oncology, Johns Hopkins University

Degrees:

- PhD, 1997, Columbia University
- MA, 1994, Columbia University
- BS, 1992, Massachusetts Institute of Technology

Department of Radiology

Lalwani, Neeraj

Assistant Professor without Tenure, Radiology, effective 1/2/2012

Degrees:

- MD, 2004, U Seychelles, American Institute of Medicine (USAIM)
- Bachelor of Medicine, Bachelor of Surgery (MB BS), 1999, University of Rajasthan

School of Public Health

Department of Environmental and Occupational Health Sciences

Spector, June Thalia

Assistant Professor without Tenure, Environmental and Occupational Health Sciences, effective 1/2/2012

Prior UW Appointment:

Acting Instructor, Medicine

Degrees:

- MPH, 2009, Johns Hopkins University
- MD, 2005, Yale University
- AB, 2001, Harvard University

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Comparative Effectiveness Research Graduate Certificate Program in the Department of Health Services and the Department of Pharmacy

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents grant authority to the Steering Committee/Leadership Team of graduate faculty, in the Department of Health Services in the School of Public Health and the Department of Pharmacy in the School of Pharmacy at the University of Washington, to offer the Comparative Effectiveness Research (CER) Graduate Certificate Program, effective immediately. The graduate certificate program will have provisional status with a review to be conducted by the Graduate School in the 2016-2017 academic year.

BACKGROUND

On November 28, 2011, the Graduate School received a proposal from graduate faculty in the Department of Health Services and Department of Pharmacy to offer the Comparative Effectiveness Research Graduate Certificate Program. A Steering Committee/Leadership Team comprised of faculty from both departments will provide administrative program oversight.

CER is the conduct and synthesis of research comparing alternative interventions designed to diagnose, treat and monitor health conditions in real-world settings. The aim is to improve health outcomes by developing and disseminating evidence-based information to patients, clinicians, and other decision-makers about the most effective interventions for patients under specific circumstances. CER responds to priority areas identified by stakeholders with targeted and timely research intended to inform decision-making.

Projected initial enrollment in the program is five students. Students in the Department of Health Services and the Department of Pharmacy doctoral programs are the initial targeted population. Physicians or pharmacists who desire advanced training in CER to complement their professional degree will be given serious consideration for admission to the program. The Pharmaceutical Research and Manufacturers of American Foundation awarded funding to the faculty to launch the graduate certificate program and for student support in the form of Pre-Doctoral Fellowships and Dissertation Fellowships for the first three years. Other students may be accepted into the program under other funding mechanisms available from their home departments.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Establishment of the Comparative Effectiveness Research Graduate Certificate Program in the Department of Health Services and the Department of Pharmacy (continued p. 2)

Student learning outcomes include building knowledge and methods skills, identifying and summarizing evidence gaps in clinical care in concert with stakeholders, designing and conducting high quality studies to address evidence gaps, and implementing findings of studies into clinical practice policy and care management, and to evaluate the implementation.

On December 1, 2011, the Graduate School Council considered the Comparative Effectiveness Graduate Certificate Program proposal and recommended that it be forwarded to the Board of Regents for final approval.

The Vice Provost and Dean of the Graduate School, the Dean of the School of Public Health and the Dean of the School of Pharmacy, and the Provost have reviewed and approved this recommendation. Review and approval by the Higher Education Coordinating Board (HECB) is not required. The HECB will be informed of the Board of Regents approval of the CER Graduate Certificate Program.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Revisions to the UW Medicine Board Bylaws

RECOMMENDED ACTION

It is the recommendation of the President that the Board of Regents adopt the following amendments to the Bylaws of the UW Medicine Board.

BACKGROUND

The strategic alliance agreement between the University of Washington (UW) and Public Hospital District No.1 dba Valley Medical Center became effective July 1, 2011. The proposed bylaws changes add Valley as a component of UW Medicine and add two Valley Board members as full members of the UW Medicine Board. These changes bring the bylaws into conformance with the provisions of the strategic alliance agreement.

The bylaws changes also include amendments not related to the UW/Valley strategic alliance that are summarized below.

UW Medicine Board Bylaws – Summary of Substantive Amendments¹

Section	Section Name	Proposed Amendments	Page
PREAMBLE		Amended to include UW Medicine’s relationship with Valley Medical Center and updated current names of other UW Medicine component entities	1
ARTICLE I – UW MEDICINE BOARD			
Section 1.1	UW Medicine Board Membership	Amended to include Valley Medical Center; aligned with the current practices for nomination of board members and the provision of materials to the Deans of Health Science Schools	2
Section 1.2	Powers and Duties	Replaced “academic” with “education and research”	3

¹ In addition to the substantive amendments described, there are a number of non-substantive amendments to improve clarity and readability, including moving language from one section of the bylaws to another.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Revisions to the UW Medicine Board Bylaws (continued p. 2)

Section 1.2.2	Board Governance Powers and Duties for UWMC	Amended to align the Board governance powers and duties for UWMC with The Joint Commission requirements and accurately reflect the current operations for review of management positions	5
Section 1.3	Accountability	Clarified that the Board is accountable only to the Board of Regents (advisory to President and CEO/EVPMA/Dean)	6
Section 1.4.1	Annual Patient Safety and Quality Report	Creation of new annual report in lieu of general report	6
Section 1.9	Removal	Amended the methodology to count the number of unexcused absences during a calendar year leading to automatic removal to simply four (4) absences	7
Section 1.12	Conflict of Interest	Amended to include reference to any conflict of interest policy adopted by the UW Medicine Board	8
ARTICLE II – OFFICERS			
Section 2.1	Officers	Amended to include an exception to the number of successive terms an officer may serve when extenuating circumstances exist and as approved by the Chairperson of the Board of Regents	8
ARTICLE III – ADMINISTRATION			
Section 3.1.1	Secretary of the Board	Amended to reflect the existing practice for provision of minutes to the Secretary of the Board of Regents	9
Section 3.1.2	Executive Director	Amended to clarify the employment status and reporting structure of the Executive Director	9
ARTICLE IV – UW MEDICINE STANDING COMMITTEES			
Article IV	UW Medicine Standing Committees	Amended to permit the Chairperson of the UW Medicine Board to appoint the voting members of committees without additional consultation with the President and Board of Regents	10
Section 4.5	Patient Safety and Quality Committee	New Section to memorialize new standing committee	11

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Revisions to the UW Medicine Board Bylaws (continued p. 3)

ARTICLE V – UWMC COMMITTEES			
Article V	UWMC Committees	Amended the appointment of committee member processes to permit the Chairperson of the UW Medicine Board to appoint members to committees without additional consultation with the President and Board of Regents	12
Section 5.2	UWMC Joint Conference Committee	Clarified voting v. non-voting members and attendees; removed the limitation on the number of community members appointed to the committee	12
Section 5.3	UWMC Finance Committee	Clarified voting v. non voting members and attendees	13
Section 5.4	UWMC Facilities Committee	Clarified voting v. non-voting members and attendees; meetings amended to no less than semi-annually instead of no less than quarterly	14

Attachments

UW Medicine Board Bylaws, January, 2012

Revisions to the UW Medicine Board Bylaws, Redlined Version, January, 2012

UW MEDICINE
1959 NE Pacific Street
Seattle, Washington 98195

BOARD BYLAWS
REVISED JANUARY, 2012

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**UW MEDICINE BOARD
BYLAWS**

PREAMBLE

Whereas, the Board of Regents (the “Board of Regents”) of the University of Washington (the “University”) is empowered by the legislature under RCW 28B.20.060 and RCW 28B.20.300 to establish, operate and maintain a school of medicine; and

Whereas, the Board of Regents is empowered by the legislature under RCW 28B.20.440 to operate, maintain, control and supervise a hospital to be used as a patient care and teaching resource for the University health sciences professional schools; and

Whereas, the University has operated a licensed hospital on its campus since 1959 that has been formally designated the University of Washington Medical Center (“UWMC”); and

Whereas, the University has, pursuant to RCW 36.62.290, contracted with the Trustees of Harborview Medical Center (“HMC”), acting on behalf of King County, to provide for hospital, hospital management, and medical services, in conjunction with teaching and research activities at HMC since January, 1970; and

Whereas, the University has entered into an Affiliation with Health Resources Northwest and Northwest Hospital & Medical Center, effective January 1, 2010, that establishes the University as the sole corporate member of UW Medicine/Northwest d/b/a Northwest Hospital & Medical Center (“NWH”); and

Whereas, the University has entered into a Strategic Alliance with Public Hospital District No. 1 of King County d/b/a Valley Medical Center (“VMC”), effective July 1, 2011, to establish VMC as a component of UW Medicine as permitted by the Interlocal Cooperation Act; and

Whereas, UW Medicine is a term that refers collectively to its component entities HMC, NWH, VMC, UWMC, UW Physicians Network d/b/a UW Neighborhood Clinics (“UWNC”), The Association of University Physicians d/b/a University of Washington Physicians (“UWP”), the University of Washington School of Medicine (“UW SoM”), Airlift Northwest (“ALNW”), and the University’s membership in Children’s University Medical Group (“CUMG”) and the Seattle Cancer Care Alliance (“SCCA”); and

Whereas, the clinical programs of UW Medicine for the purpose of these Bylaws include inpatient, outpatient, short stay, and emergency hospital services at HMC, NWH, VMC, UWMC and UWNC; services shared with other hospitals and healthcare providers in its licensed on-campus hospital facility and off-campus units where extensions of its services are provided; arrangements with auxiliary and affiliate entities to promote high-quality patient care and advances in medical research and medical services; and strategic directions of the UW SoM’s teaching and research programs; and

Whereas, the President of the University (“the President”) and the Board of Regents have delegated to the Chief Executive Officer, UW Medicine, Executive Vice President for Medical Affairs and Dean of UW SoM (the “CEO/EVPMA/Dean”) chief executive responsibility for overseeing, planning, and coordinating the resources of the entities referred to as UW Medicine; and negotiating, executing and maintaining extramural affiliation, and operating agreements involving the entities referred to as UW Medicine; and

Whereas, the Board of Regents has determined that a board should advise the Board of Regents, the President, and the CEO/EVPMA/Dean in carrying out their responsibilities and authority with respect to UW Medicine as described above; now therefore:

The Board of Regents hereby charges the UW Medicine Board (“the Board”), subject to the authority of the Board of Regents, and established University agreements and policies, with advising the Board of Regents, the President and the CEO/EVPMA/Dean regarding the operation and governance of UW Medicine. The Board shall also be charged with governance of the patient care aspects of the UWMC.

Except as specifically otherwise provided in this document, the President of the University, the CEO/EVPMA/Dean and the Executive Director of the UWMC shall retain their delegated authority and responsibility for the administration and operation of the UWMC and other aspects of UW Medicine. Further, the Board of Trustees of HMC shall retain all authority provided in its governing documents and by the Management and Operations Contract with the University. Additionally, the governing documents of NWH, VMC, UWNC, UWP, ALNW, CUMG and the SCCA each describes the authority of its respective board. The role of the Board shall not create a partnership or other separate legal entity of the entities referred to as UW Medicine. The entities of UW Medicine that are separate legal entities shall retain that status. Contracts among the entities are not superseded or amended by these Bylaws and these Bylaws do not create joint and several liability among them.

ARTICLE I UW MEDICINE BOARD

Section 1.1. UW Medicine Board Membership

The Board shall consist of seventeen (17) members, including the President of the University and the CEO/EVPMA/Dean, who shall serve as *ex officio* members of the Board with full voice and vote. The Board members shall be appointed by the Board of Regents. The Chairperson of the Board of Regents shall appoint the Chairperson of the Board. At least one Board member shall be a member of the Board of Regents. One Board member shall be a member of the faculty of the University nominated by the President of the University. Pursuant to the Affiliation between the University, Health Resources Northwest, and NWH effective January 1, 2010, two NWH Trustees designated by the NWH Board (the “NWH Designated Board Members”) shall serve as members of the Board. Pursuant to the Strategic Alliance agreement with Public Hospital District No. 1 of King County, two (2) non-UW Medicine Designated Trustees from the VMC Board of Trustees shall serve as members of the Board. The remaining ten (10) Board members shall be nominated by the President of the University, following consultation with the

CEO/EVPMA/Dean and the Board, from among people who have broad public perspectives and do not represent any special interest group.

The term of office of each appointed Board member shall be three (3) years. No appointed Board member may serve more than three (3) successive three-year (3) terms unless the person is serving as Chairperson or Vice Chairperson of the Board. If a person is serving as Chairperson or Vice Chairperson, the term may be extended to complete his/her role as an officer of the Board. The terms of Board members shall be staggered so that the final terms of no more than one-third (1/3) of the members will expire simultaneously on the last day of June in any one year. Whenever more than one-third (1/3) of the members' final terms expire simultaneously, lots will be drawn to determine which expiring term shall be extended for one more year to eliminate this condition. The Chairperson shall not have a vote at meetings of the Board except to break a tie among those present and voting.

The Board may grant a leave of absence to a Board member for a period not to exceed one year. If the leave of absence is less than six (6) months, the term of the Board member will not change. If the leave of absence exceeds six (6) months, the term of the Board member will be extended by one year.

In addition to the Board members, the Deans of the Schools of Dentistry, Nursing, Pharmacy, Public Health and Social Work shall be invited to attend Board meetings. All agendas shall be provided by the Secretary to all of the described positions and additional materials shall be provided upon request.

Section 1.2. Powers and Duties

The Board shall have responsibility for:

- a. advising the Board of Regents, the President and the CEO/EVPMA/Dean regarding the operation and governance of those aspects of UW Medicine relating to the development and strategic allocation of resources;
- b. strategic aspects of research and education programs, including the development of off-campus research facilities;
- c. planning and delivery of medical services, including oversight of the physician services provided through the UWP;
- d. implementation and effectiveness of programs that assure compliance with federal and state laws, rules and regulations, University rules and regulations, and contract terms that govern UW Medicine's clinical care, research and training activities ("compliance programs"); and,
- e. management of current and future extramural affiliation and operating agreements, including those executed by the University with regard to HMC, NWH, VMC, UWNC and the SCCA.

This Board shall also be charged with governance of the UWMC, including ensuring the provision of safe, effective and high-quality patient care by the medical and dental hospital staffs in a manner that is responsive to the University's educational and research needs, responsibility for appointments to the medical and dental staffs, delineation of medical and dental staff clinical privileges, and approval, adoption and amendment of the medical and dental staff bylaws.

Subject to the Board of Regents' ultimate authority, and consistent with the Board's duty to advise the Board of Regents, the President and the CEO/EVPMA/Dean with respect to the exercise of their authority, the following specific powers and duties have been delegated to the Board by the Board of Regents:

1.2.1. Advisory Duties

- a. Provide general advice and policy guidance for UW Medicine strategic planning and program development and define the role of present and future UW Medicine programs and facilities, collaborative relationships, and auxiliary organizations within state or regional structures for the delivery of health services.
- b. Represent and advocate for UW Medicine in relations with communities, other healthcare providers, and outside agencies.
- c. Advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the development and strategic allocation of UW Medicine resources.
- d. Advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding strategic aspects of the UW SoM's education and research programs.
- e. Advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the provision of medical services, including without limitation, physician services pursuant to the Agreement between the University and UWP dated December 8, 1983.
- f. Advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding management of extramural affiliations and operating agreements now existing or which may be developed, including without limitation, the operating agreements between the University and HMC, NWH, VMC, UWNC, UWP and the SCCA, respectively.
- g. Advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the implementation and effectiveness of compliance programs, including but not limited to advising on key compliance policies; compliance program infrastructure and reporting relationships; scope of authority of key positions; ongoing assessment of compliance risks; and level of resources dedicated to the compliance programs.

1.2.2. Board Governance Powers and Duties for UWMC

- a. Accountable to ensure that high quality and safe patient care is provided at the UWMC and approve new clinical services.
- b. Determine objectives and policies pertinent to the delivery of patient care services at the UWMC, including the adoption and maintenance of a statement on patient care goals and objectives.
- c. Approve and adopt policies pertaining to admission of patients to the inpatient, outpatient, short stay and emergency services of the UWMC.
- d. Establish an organized medical staff and dental staff by determining which categories of practitioners are eligible candidates for appointment to the Medical Staff.
- e. Approve bylaws, rules and regulations of the UWMC medical and dental staffs.
- f. Appoint and suspend or remove any member of the medical and dental staffs after considering the recommendations of the existing members of the medical and dental staff and under the applicable provisions of the medical and dental staff bylaws.
- g. Create and maintain mechanisms for continuing assessment and improvement of the quality of patient care, including but not limited to special studies, regular reports and the creation of standing and special committees. Such mechanisms shall include consultation with the medical staff on patient care matters.
- h. Review and approve qualifications and periodic evaluation criteria for the key position of UWMC Executive Director. Appointment to this position is subject to approval by the Board.
- i. The Board must concur with the appointment of the chiefs of the clinical services and may delegate to the chiefs of the clinical services: (i) responsibility for maintaining the quality of medical care in their services as set forth in the approved Medical Staff Bylaws, rules and regulations; and (ii) responsibility for recommending an applicant's appointment or reappointment to the UWMC medical staff and privileges for such an applicant.
- j. Maintain general supervision over the use of UWMC resources:
 1. Review and approve all operating and capital budgets and make recommendations to the University administration and the Board of Regents.
 2. Authorize revisions in charges for UWMC services based on the operating and capital budgets as approved.

3. Review and accept monthly reports on UWMC operating income and expenditures, utilization of services, and patient statistics.
 4. Assist in the development of additional sources of income necessary to maintain the UWMC as a leading professional institution.
- k. Review recommendations for development plans for physical facilities for the UWMC and make recommendations to the University administration and the Board of Regents.

In carrying out its responsibilities as identified in Section 1.2, the Board shall seek continuing guidance from health professionals, management specialists and others with professional expertise.

Section 1.3. Accountability

The Board shall be accountable to the Board of Regents.

The President of the University holds the CEO/EVPMA/Dean accountable to him/her directly for all aspects of health services provided by all University faculty and staff (i) at HMC, NWH, VMC, UWMC and UWNC and (ii) through affiliation, operating, patient care or other agreements with UW Medicine.

Among the delegated duties of the CEO/EVPMA/Dean is to serve as the chief medical officer of the UWMC, who is responsible for medical affairs. In carrying out their individual and joint duties related to patient services as the senior officers of the UWMC, the Executive Director of the UWMC and the CEO/EVPMA/Dean are accountable to the Board, which in turn is accountable to the Board of Regents.

Section 1.4. Reports to Board of Regents

The Board shall report to the Board of Regents three (3) times a year, and as needed or requested, providing:

1.4.1. Annual Patient Safety and Quality Report. Each spring, the Chairperson of the Board, Chairperson of the Patient Safety and Quality Committee and such Board members as may be of assistance, shall appear before the Board of Regents and the President to report on patient safety and quality.

1.4.2. Annual Financial Report. Each fall, the Chairperson of the Board, Chairperson of the Finance and Audit Committee and such Board members as may be of assistance, shall appear before the Board of Regents and the President to report on the financial condition of UW Medicine;

1.4.3. Annual Compliance Report. Each winter the Chairperson of the Board, Chairperson of the Compliance Committee and such Board members as may be of assistance, shall appear before the Board of Regents and the President to report on the effectiveness of UW Medicine

compliance programs, including but not limited to advising on: key compliance policies; the status of compliance program infrastructure and reporting relationships; the scope of authority of key positions; the current assessment of compliance risks; and the level of resources dedicated to the compliance programs.

Section 1.5. Board Year

The Board year, including Board member appointments and Board officer terms, shall be from July 1 to June 30.

Section 1.6. Meetings and Notice

1.6.1. Regular Meetings. Regular meetings of the Board shall be held at least quarterly, the dates and places of which shall be determined by the Chairperson at least one month in advance, and notice of which shall be given in accordance with Chapter 42.30 RCW.

1.6.2. Special Meetings. Special meetings may be called by the Chairperson at any time, or by a majority of the members of the Board, provided that written notice to all Board members and to others, as required by Chapter 42.30 RCW, shall be given not less than twenty-four hours (24) prior to the meeting, stating the time, place and business to be transacted at the meeting.

1.6.3. Procedure. Except as otherwise specified in these Bylaws, all meetings of the Board and its committees shall be conducted in accordance with the latest revision of Robert's Rules of Order.

Section 1.7. Quorum

A majority of the Board shall constitute a quorum.

Section 1.8. Vacancies

Whenever a vacancy occurs on the Board, for whatever reason, notice shall immediately be given to the President of the University so that a member may be appointed by the Board of Regents to fill the unexpired term. The Board may submit names of individuals recommended by the Board for the President's consideration.

Section 1.9. Removal

The Board may recommend to the Board of Regents that a member of the Board be removed. This action may be taken at any meeting by two-thirds (2/3) vote of the entire Board, provided that the Board gives the affected member at least ten (10) days written notice of such intended action and advises the affected member of the basis for such action. The Board member affected shall have the right to be heard and to explain to the Board why he/she should not be removed. Recommendation for removal shall be made directly to the Board of Regents and shall not be effective until approved by the Board of Regents.

Any Board member who has four (4) unexcused absences for Board meetings in an academic calendar year (July 1 through June 30), as determined by the Chairperson, shall be automatically removed.

Section 1.10. Liability

All Board members and persons serving at the request of the Board shall, in the performance of their duties as members of the Board or appointees of the Board, be considered to be “agents” of the University. Each Board member or person serving at the request of the Board shall be protected against costs and expenses, including legal fees, in connection with the defense of any action, lawsuit or proceeding in which the member or person serving at the request of the Board shall be made a party by reason of being a member or appointee of the Board. Such protection is subject to the condition that such person shall have acted in good faith and within the scope of his or her duties, and subject further to the specific exemption and qualifications stated in either the Standing Orders of the Board of Regents, Ch. V and RCW 28B.20.250 through 28B.20.255, which statute authorizes the indemnification of Regents, officers, employees, agents and students of the University in relation to such matters.

Section 1.11. Compensation of Board and Board Committee Members

No Board member or any member of any committee appointed by the Board shall receive any compensation for services rendered in his/her capacity as a Board or committee member. However, nothing herein shall be construed to preclude any Board member or committee member from receiving compensation from UW Medicine for other services actually rendered, a per diem for attending Board meetings, or reimbursement for expenses incurred for serving UW Medicine as a Board member or committee member or in any other capacity, all in accordance with established University practices and procedures, RCW 42.52, and RCW 43.03.050 and 43.03.060, as now existing or hereafter amended.

Section 1.12. Conflict of Interest

No Board member or any person appointed by the Board in any capacity may act as an agent for any person or organization where such an act would create a conflict of interest with the terms of the person’s service to UW Medicine or to the Board. The policies, rules and regulations adopted by the University relating to conflict of interest, the provisions of RCW 42.52, and any policy adopted by the Board shall apply to any person appointed by the Board in any capacity and to the Board members themselves.

**ARTICLE II
OFFICERS**

Section 2.1. Officers

The officers of the Board shall be members of the Board and shall consist of a Chairperson, Vice Chairperson, and such other officers as the Board may deem advisable. The Chairperson is

appointed annually by the Chairperson of the Board of Regents. The Vice Chairperson and other officers shall be elected annually by the Board. No officer may serve more than two successive terms in the same office, unless there are extenuating circumstances as approved by the Chairperson of the Board of Regents.

Section 2.2. Chairperson

The Chairperson of the Board shall annually appoint such committee members as are specified under these Bylaws; shall preside at all meetings of the Board; shall serve as an *ex officio* member, without vote, on all standing and special committees, unless otherwise specified in the Bylaws; and shall perform all of the acts usually attendant upon the office of the Chairperson or which may be set forth by these Bylaws or by the Board.

Section 2.3. Vice Chairperson

During the absence of the Chairperson or while he/she is unable to act, the Vice Chairperson shall perform the duties and exercise the powers of the Chairperson.

ARTICLE III ADMINISTRATION

Section 3.1. Appointment of Administrative Officers

3.1.1. Secretary of the Board. A Secretary of the Board shall be appointed by the CEO/EVPMA/Dean, with such duties as shall be provided in these Bylaws and otherwise determined by the CEO/EVPMA/Dean. The Secretary of the Board shall provide or cause to be provided all secretarial assistance to the Board and its committees; assist the Chairperson in developing an agenda for each meeting; keep records of the minutes of the meetings of the Board; and furnish copies of such minutes to each Board member, to the CEO/EVPMA/Dean, to the deans of the health sciences schools, to the President of the University, and to others, in accordance with these Bylaws. The CEO/EVPMA/Dean shall be custodian of and shall faithfully keep, or cause to be kept, all records, books, documents and other valuable papers relating to the Board. The Secretary shall be responsible for arranging all meeting notices and for attending to all correspondence that may be ordered by the Board.

3.1.2. Executive Director. The Executive Director of the UWMC is a professional staff employee of the University who reports to the Chief Health System Officer, UW Medicine and Vice President of Medical Affairs (CHSO/VPMA). The Executive Director is appointed by the CHSO/VPMA with the concurrence of the CEO/EVPMA/Dean and the Board. Annual evaluations are completed by the CHSO/VPMA with input from the Board.

The Executive Director, as senior officer of the UWMC, shall, subject to University policy and shared service agreements in effect, be responsible for maintaining overall direction of the UWMC within the policies established by the Board. The Executive Director is accountable to the Board and to the CHSO/VPMA who is accountable to the CEO/EVPMA/Dean.

In the event that the Executive Director is unable to perform the functions of the office, the CHSO/VPMA, with concurrence of the CEO/EVPMA/Dean, may designate an acting Executive Director until such time as a permanent Executive Director is appointed.

ARTICLE IV UW MEDICINE STANDING COMMITTEES

Section 4.1. Standing Committee Designations

The Board shall approve the appointment of an Executive Committee, a Compliance Committee, a Finance and Audit Committee, a Patient Safety and Quality Committee and such other standing committees as the Board may from time to time deem advisable.

Section 4.2. Executive Committee

4.2.1. Purpose. The Executive Committee, as requested by the CEO/EVPMA/Dean, provides strategic advice to the Board and the CEO/EVPMA/Dean; determines the agenda for the Board meetings and annual retreat; and has the power to transact business of the Board between regular meetings of the Board as the Board may hereafter authorize. All actions of the Executive Committee shall be reported to the full Board at its next regular meeting.

4.2.2. Composition. The Executive Committee of the Board shall consist of the Chairperson of the Board, who shall serve as the Chairperson of the Executive Committee; the Vice Chairperson of the Board; and the Chairperson of each standing committee of the Board, and, within the discretion of the Chairperson of the Board, any Chairperson of special or ad hoc committees established by the Board.

4.2.3. Meetings. The Executive Committee shall meet as deemed necessary by the Chairperson of the Committee and the CEO/EVPMA/Dean.

4.2.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.3. Compliance Committee

4.3.1. Purpose. The Compliance Committee shall be responsible for reviewing and evaluating the compliance programs of UW Medicine and its component entities and preparing the Chairperson of the Board to advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the implementation and effectiveness of UW Medicine compliance programs, including but not limited to advising on: key compliance policies; compliance program infrastructure and reporting relationships; scope of authority of key positions; ongoing assessment of compliance risks; and level of resources dedicated to the compliance programs.

4.3.2. Composition. The voting members of the Compliance Committee shall consist of at least three (3) Board members, including the Chairperson of the Board or his/her designee, and may include up to three (3) community members who are not members of the Board, all as appointed annually by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean. The Chairperson of the Compliance Committee shall be appointed by the Chairperson of the Board, with the approval of the CEO/EVPMA/Dean.

4.3.3. Meetings. The Compliance Committee shall meet at the call of the Chairperson of the Committee as often as necessary, but not less than quarterly, to perform its duties.

4.3.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.4. Finance and Audit Committee

4.4.1 Purpose. The Finance and Audit Committee shall be responsible for reviewing and evaluating the financial results, plans and audits of UW Medicine and its component entities for the purpose of assessing the overall financial risks and capacities of UW Medicine and the congruity of the financial management, plans and objectives of UW Medicine. The Finance and Audit Committee shall also be responsible for preparing the Chairperson of the Board to advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the implementation and effectiveness of the UW Medicine finance and audit program.

4.4.2. Composition. The voting members of the Finance and Audit Committee shall consist of at least four (4) Board members, including the Chairperson of the Committee as appointed by the Chairperson of the Board, the Chairperson of the UWMC Committees of the Board, two (2) additional Board members appointed by the Chairperson of the Board, and up to three (3) community members who are not members of the Board, all as appointed annually by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean.

4.4.3. Meetings. The Finance and Audit Committee shall meet at the call of the Chairperson of the Committee, but not less than quarterly.

4.4.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.5. Patient Safety and Quality Committee

4.5.1. Purpose. The Patient Safety and Quality Committee shall be responsible for reviewing and evaluating the patient safety and quality programs of UW Medicine and preparing the Chairperson of the Board to advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the implementation and effectiveness of UW Medicine patient safety and quality programs.

4.5.2. Composition. The voting members of the Patient Safety and Quality Committee are appointed by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean, and

include at least three (3) Board members, including the Chairperson of the Committee as appointed by the Chairperson of the Board, the Chairperson of the Board or designee and up to three (3) community members who are not members of the Board, all appointed annually by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean.

4.5.3. Meetings. The Patient Safety and Quality Committee shall meet at the call of the Chairperson of the Committee, but not less than quarterly.

4.5.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE V UWMC COMMITTEES

Section 5.1. UWMC Committees

The Board shall approve the appointment of a Joint Conference Committee, a Finance Committee, a Facilities Committee and such other committees as it shall from time to time deem advisable for the governance of the UWMC.

Section 5.2. UWMC Joint Conference Committee

5.2.1. Purpose. The UWMC Joint Conference Committee shall serve as an advisory committee to the Board by providing a forum in which representatives of the Board, medical staff and UWMC administration shall jointly consider UWMC policy matters governing medical practice, review quality assurance reports, and advise the Board on organization of medical staff and revisions to the Medical Staff Bylaws. As described below, the UWMC Joint Conference Committee also has delegated authority from the Board for certain actions.

5.2.2. Composition. The voting members of the UWMC Joint Conference Committee shall consist of at least four (4) Board members appointed annually by the Chairperson of the Board, one of whom shall serve as the Chairperson of this UWMC Joint Conference Committee, the Chairperson of the Board or his/her designee, and community members who are not members of the Board, all as appointed annually by the Chairperson of the Board in consultation with CEO/EVPMA/Dean. Non-voting members of the committee are the CHSO/VPMA, the UWMC Executive Director, the UWMC Medical Director, the UWMC Chief Nursing Officer, the UWMC Medical Staff Chief of Staff, and one member of the medical staff nominated by the Medical Staff Administrative Committee for a one-year period. Non-voting individuals regularly invited to attend the Committee include three (3) faculty from clinical departments of UW SoM appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and other non-voting individuals invited by the Chairperson of the UWMC Joint Conference Committee.

5.2.3. Meetings. The UWMC Joint Conference Committee shall meet at the call of the Chairperson of the Committee, but not less than quarterly.

5.2.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

5.2.5. Expedited Medical Staff Credentialing and Privileging. The UWMC Joint Conference Committee shall have delegated authority from the Board to render final decisions regarding expedited approval of Medical Staff initial appointments, reappointments, additions to privileges, and voluntary modifications to clinical privileges consistent with medical and dental staff criteria for expedited credentialing and privileging. Where medical and dental staff criteria for expedited credentialing privileging are not met, medical and dental staff appointment and reappointment and professional privileging decisions shall remain with the Board. At least two (2) Board members of the UWMC Joint Conference Committee must vote for Committee actions on privileges to be valid. The UWMC Joint Conference Committee shall present its final decisions taken under the expedited approval process to the Board for information purposes.

5.2.6. Clinical Services. The UWMC Joint Conference Committee shall have the delegated authority from the Board to concur in the appointment of the chief of each clinical service. Appointment shall be made consistent with the provisions of Section 8.9 below. The UWMC Joint Conference Committee shall recommend to the Board the establishment of any new clinical services. Recommendations shall be made consistent with the provisions of Section 8.9 below. Approval of new clinical services shall remain with the Board.

Section 5.3. UWMC Finance Committee

5.3.1. Purpose. The UWMC Finance Committee shall be responsible for advising the Board on financial matters as they relate to the UWMC and its shared services, including reviewing the annual audit, annual budgets and monthly financial reports. The UWMC Finance Committee shall be responsible for reviewing the UWMC Audit Policy and ensuring that the policy complies with the Sarbanes Oxley principles adopted by the Board. The UWMC Finance Committee shall also review programs, long-range financial plans, budget plans and proposals for rate-setting, before these are submitted to the Board for final action, as well as oversight of UWMC resources.

5.3.2. Composition. The voting members of the UWMC Finance Committee shall consist of at least four (4) Board members appointed annually by the Chairperson of the Board, one of whom shall be Chairperson of the Finance Committee, the Chairperson of the Board or his/her designee, and community members who are not members of the Board, all as appointed annually by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean. Non-voting individuals regularly invited to attend the committee shall include the CHSO/VPMA; the UWMC Executive Director; the UWMC Medical Director; the UWMC Chief Nursing Officer; the UWMC Finance Representative(s) as appointed by the UWMC Executive Director in consultation with the CHSO/VPMA; the UWMC Medical Staff Chief of Staff, three (3) faculty from clinical departments of UW SoM appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and other non-voting individuals invited by the Chairperson of the Committee.

5.3.3. Meetings. The Finance Committee shall meet at the call of the Chairperson of the Committee, but not less than quarterly.

5.3.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 5.4. UWMC Facilities Committee

5.4.1. Purpose. The UWMC Facilities Committee shall have general supervision over and shall make recommendations to the Board concerning the physical use and status of the facilities that house the UWMC and its shared services.

5.4.2. Composition. The voting members of the UWMC Facilities Committee shall consist of at least four (4) Board members appointed annually by the Chairperson of the Board, one of whom shall be Chairperson of the Facilities Committee, the Chairperson of the Board or his/her designee, and community members who are not members of the Board, all as appointed annually by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean. Non-voting individuals invited to regularly attend the Committee are the CHSO/VPMA; the UWMC Executive Director; the UWMC Medical Director; the UWMC Chief Nursing Officer; the Senior Associate Administrator for Facilities; the UWMC Medical Staff Chief of Staff; three (3) faculty from clinical departments of UW SoM appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; the Health Sciences Executive Director or his/her designee; and other non-voting individuals invited by the Chairperson of the Committee.

5.4.3. Meetings. The Facilities Committee shall meet at the call of the Chairperson of the Committee, but not less than semi-annually.

5.4.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE VI SPECIAL COMMITTEES

Section 6.1. Other Committees

Other special or ad hoc committees of the Board may be established by the Board.

ARTICLE VII RELATIONS OF UW MEDICINE TO ACADEMIC AND RESEARCH PROGRAMS OF THE HEALTH SCIENCES CENTER

Section 7.1. General

The deans of the health sciences professional schools have been delegated broad responsibilities by the President for overall management and direction of the teaching and research programs

conducted by the faculty of their respective schools. The health sciences professional schools carry out a significant portion of their education and research programs in the UWMC and other affiliated medical centers, particularly those activities that require a patient care setting. The Board shall lend its best efforts to assure that the programs of the health sciences professional schools are effectively supported in collaboration with service programs of UW Medicine.

ARTICLE VIII MEDICAL STAFF

Section 8.1. General

The responsibility for standards of medical care in the UWMC has been delegated by the Board of Regents to the Board. The Board holds the Medical Staff organization accountable to the Board for establishing and maintaining standards of medical care. The Board shall approve or disapprove the Medical Staff Bylaws, rules and regulations and may approve or disapprove such standards of medical care as may be established from time to time.

Section 8.2. Medical Staff

For purposes of these Bylaws, the words “Medical Staff” shall include all physicians and dentists who are authorized to attend patients in the UWMC or in any other medical care activity administered by the UWMC, and may include such other professionals as the Medical Staff Bylaws designate.

Section 8.3. Organization of the Medical Staff

The Board shall approve and authorize the organization of the Medical Staff so as to discharge those duties and responsibilities assigned to it by the Board and specifically to accomplish the following purposes, among others:

- a. To monitor the quality of medical care in the UWMC and make recommendations to the Board so that all patients admitted to or treated at any of the facilities, departments or services of the UWMC receive continuous high-quality medical care;
- b. To recommend to the Board, or where an expedited process is appropriate, to the Joint Conference Committee of the Board, the appointment or reappointment of an applicant to the Medical Staff of the UWMC, the clinical privileges such applicant shall enjoy in the UWMC, and appropriate action that may be necessary in connection with any member of the Medical Staff, to the end that at all times there shall be a high level of professional performance of all persons authorized to practice in the UWMC. Criteria for selection to the Medical Staff shall include individual character, competence, training, experience and judgment, and may include, in conjunction therewith, board certification, provided, however, that under no circumstances shall the accordance of staff membership or professional privileges in the UWMC be dependent solely upon certification, fellowship or membership in a specialty body or society.

- c. To represent the Medical Staff of the UWMC and to provide the means whereby issues concerning the Medical Staff and the UWMC may be discussed both within the Medical Staff organization and by representatives of the Medical Staff with the Joint Conference Committee of the Board and management of the UWMC.
- d. To establish specific rules and regulations governing actions of members of the Medical Staff.

Section 8.4. Medical Staff Bylaws

The Medical Staff organization shall recommend to the Board revisions of the existing Medical Staff Bylaws, rules and regulations setting forth the Medical Staff organization and governance for maintaining such bylaws, rules and regulations in such a manner as to accomplish the purposes set forth in Section 8.3. When such bylaws, rules and regulations are adopted by the Board, they shall become effective and become part of the bylaws, rules and regulations of the Medical Staff.

Section 8.5. Appointment to the Medical Staff and Assignment of Clinical Privileges

Upon recommendation of the Medical Staff and in accordance with the Medical Staff Bylaws, the Board, or where an expedited process is appropriate, the Joint Conference Committee of the Board may appoint to membership on the Medical Staff of the UWMC, physicians, dentists and other professionals who meet the personal and professional qualifications prescribed in the Medical Staff Bylaws. Appointment to the Medical Staff carries with it full responsibility for the treatment of individual UWMC patients subject to such limitations as may be imposed by the Board or the bylaws, rules and regulations of the Medical Staff.

Appointments and reappointments to the Medical Staff shall be made in accordance with the appointment and reappointment procedures set forth in the Medical Staff Bylaws. Whenever the Joint Conference Committee of the Board does not concur in a Medical Staff recommendation relative to Medical Staff appointment, reappointment or the granting of clinical privileges, said recommendation shall be referred to the Board for decision.

Section 8.6. Appointment and Reappointment Requirements

Each person initially appointed to membership on the Medical Staff of the UWMC shall be provided with a current copy of the bylaws, rules and regulations and shall submit to the Medical Director a signed written statement agreeing to abide by them. Each member of the Medical Staff shall as a minimum be required to:

- a. Provide care and supervision to all patients within the UWMC for whom such Medical Staff member has responsibility.
- b. Abide by the UW Medicine Bylaws, the Medical Staff Bylaws, rules and regulations, and the policies and directives that may be in force during the time such person is a member of the Medical Staff of the UWMC.

- c. Accept committee assignments and such other duties and responsibilities as may be reasonably assigned by the Joint Conference Committee of the Board or the Medical Staff.

Section 8.7. Appointment of Salaried Physicians and Dentists for Administrative or Medico-Administrative Purposes

Physicians or dentists employed by the University at the UWMC for administrative or medico-administrative purposes shall be subject to the faculty exempt personnel policies in effect for the UWMC at the time as it relates to his/her employment relationship and to the Medical Staff Bylaws, rules and regulations as it is related to his/her Medical Staff appointment. Their clinical privileges to admit and treat patients are defined in accordance with the Medical Staff Bylaws. Termination of either the employment appointment or Medical Staff appointment shall not affect the other unless it is agreed in advance that they are mutually dependent and this agreement is documented in a letter of agreement, signed by the physician or dentist and the UWMC and approved by the appropriate Dean or his/her designee.

Section 8.8. Medical Staff Administrative Committee

8.8.1. Purpose. The Medical Staff Administrative Committee shall establish and maintain a framework for self-government and a means of accountability to the Joint Conference Committee of the Board, in accordance with the medical administrative unit for the Medical Staff. It shall concern itself primarily with the quality of medical care within the UWMC. It shall receive and act upon all Medical Staff committee reports and make recommendations regarding Medical Staff status and privileges to the Board or, where an expedited process is appropriate, to the Joint Conference Committee of the Board. The specific duties of the Medical Staff Administrative Committee are set forth in the Medical Staff Bylaws.

8.8.2. Composition. The medical board of the Medical Staff shall be known as the Medical Staff Administrative Committee which shall be composed of the Chief of Staff, the Chief of Staff-Elect, the chiefs of clinical services, three (3) at-large members elected by the medical staff, the Medical Director, and the Executive Director. The Medical Director, who is appointed by the CEO/EVPMA/Dean, shall serve as Chairperson of the Medical Staff Administrative Committee and shall represent the Medical Staff Administrative Committee at meetings of the Joint Conference Committee of the Board.

8.8.3. Meetings. The Medical Staff Administrative Committee shall meet monthly. Minutes of the meetings shall be provided to all members of the Committee, the Associate Dean for Clinical Affairs and Graduate Education, the Executive Director of the UWMC, the CHSO and the CEO/EVPMA/Dean.

Section 8.9. UWMC Clinical Services

8.9.1. Appointment of Chief. Appointment of the chief of each clinical service is subject to concurrence of the Joint Conference Committee of the Board on the joint recommendation of

the dean of the applicable professional school and the Executive Director. If the chief of a clinical service is to be someone other than the chairperson of the corresponding department in the medical or dental school, the recommendation of the departmental chairperson is also required. All such appointments shall be reviewed periodically by the Joint Conference Committee of the Board. Chiefs of clinical services vacancies may be filled on an interim basis at any time by action of the dean of the appropriate professional school, after consulting with the Board.

8.9.2. Delegation. Upon Board approval of Medical Staff Bylaws, rules or regulations containing such a provision, the chiefs of the clinical services have delegated responsibility for maintaining the quality of medical care in their services and for recommending an applicant's appointment or reappointment to the Medical Staff of the UWMC and privileges for such an applicant.

8.9.3. Accountability. The CEO/EVPMA/Dean serves as the chief medical officer of the UWMC and in this capacity is accountable directly to the Board in matters relating to medical care. Members of the clinical services of the UWMC are accountable to the Medical Director, who is accountable to the CEO/EVPMA/Dean.

ARTICLE IX AUXILIARY ORGANIZATIONS

Section 9.1. General

Organizations auxiliary to UW Medicine and the UWMC, such as the Service League, may be established only under bylaws approved by the Board. Such bylaws must, at a minimum, define the purpose, organizational structure, officers, procedures for conducting business, reporting requirements, and the amendment procedures.

Section 9.2. Bylaws

The approved bylaws of the auxiliary organization and any amendments thereto, shall be kept as a permanent record by the Board and filed with the minutes of the Board meeting at which said bylaws or amendments thereto were approved by the Board.

Section 9.3. Oversight by Board

An approved auxiliary organization's relationship with UW Medicine shall be subject to the oversight of the appropriate Board committee.

**ARTICLE X
AMENDMENTS**

These Bylaws are reviewed annually and updated as needed. Changes in these Bylaws, whether amendments, additions, deletions or replacements, may be made by a two-thirds (2/3) vote of the entire Board at a meeting of which prior notice of the meeting and the proposed action shall have been given. Such changes shall be subject to approval by the CEO/EVPMA/Dean and the Board of Regents.

UW MEDICINE
1959 NE Pacific Street
Seattle, Washington 98195

BOARD BYLAWS
REVISED ~~JANUARY 2010~~ JANUARY 2012

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**UW MEDICINE BOARD
BYLAWS**

PREAMBLE

Whereas, the Board of Regents (the “Board of Regents”) of the University of Washington (the “University”) is empowered by the legislature under RCW 28B.20.060 and RCW 28B.20.300 to establish, operate and maintain a school of medicine; and

Whereas, the Board of Regents is empowered by the legislature under RCW 28B.20.440 to operate, maintain, control and supervise a hospital to be used as a patient care and teaching resource for the University health sciences professional schools; and

Whereas, the University has operated a licensed hospital on its campus since 1959 that has been formally designated the University of Washington Medical Center (“UWMC”); and

Whereas, the University has, pursuant to RCW 36.62.290, contracted with the Trustees of Harborview Medical Center (“HarborviewHMC”), acting on behalf of King County, to provide for hospital, hospital management, and medical services, in conjunction with teaching and research activities at HarborviewHMC since January, 1970; and

Whereas, the University has entered into an Affiliation with Health Resources Northwest and Northwest Hospital & Medical Center, effective January 1, 2010, that establishes the University as the sole corporate member of UW Medicine/Northwest d/b/a Northwest Hospital & Medical Center (“NWH”); and

Whereas, the University has entered into a Strategic Alliance with Public Hospital District No. 1 of King County d/b/a Valley Medical Center (“VMC”), effective July 1, 2011, to establish VMC as a component of UW Medicine as permitted by the Interlocal Cooperation Act; and

Whereas, UW Medicine is a term that refers collectively to ~~the University of Washington School of Medicine (“UWSOM”); its component entities HMC, NWH, VMC, UWMC, Harborview, UW Physicians Network d/b/a UW Neighborhood Clinics (“UWNC”), The Association of University Physicians d/b/a University of Washington Physicians (“UWP”), NWH, the University of Washington School of Medicine (“UW SoM”), Airlift Northwest (“ALNW”), UW Physicians Network (“UWPN”)~~ and the University’s membership in Children’s University Medical Group (“CUMG”) and the Seattle Cancer Care Alliance (“SCCA”); and

Whereas, the clinical programs of UW Medicine for the purpose of these Bylaws include inpatient, outpatient, short stay, and emergency hospital services at ~~the UWMC, HarborviewHMC~~, NWH, VMC, UWMC and UWPN clinicsUWNC; services shared with other hospitals and ~~health care~~healthcare providers in its licensed on-campus hospital facility and off-campus units where extensions of its services are provided; arrangements with auxiliary and affiliate entities to promote high-quality patient care and advances in medical research and medical services; and strategic directions of the ~~UWSOM’s academic~~UW SoM’s teaching and research programs; and

Whereas, the President of the University (“the President”) and the Board of Regents have delegated to the ~~CEO~~Chief Executive Officer, UW Medicine, Executive Vice President for Medical Affairs and Dean of ~~UWSOM~~UW SoM (the “CEO/EVPMA/Dean”) chief executive responsibility for overseeing, planning, and coordinating the resources of the entities referred to as UW Medicine; and negotiating, executing and maintaining extramural affiliation, and operating agreements involving the entities referred to as UW Medicine; and

Whereas, the Board of Regents has determined that a board should ~~be established to~~ advise the Board of Regents, the President, and the CEO/EVPMA/Dean in carrying out their responsibilities and authority with respect to UW Medicine as described above; now therefore:

The Board of Regents hereby charges the UW Medicine Board (“the Board”), subject to the authority of the Board of Regents, and established University agreements and policies, with advising the Board of Regents, the President and the CEO/EVPMA/Dean regarding the operation and governance of UW Medicine. -The Board shall also be charged with governance of the patient care aspects of the UWMC.

Except as specifically otherwise provided in this document, the President of the University, the CEO/EVPMA/Dean, and the Executive Director of the UWMC shall retain their delegated authority and responsibility for the administration and operation of the UWMC and other aspects of ~~the UW~~ MEDICINE.~~Medicine~~. Further, the Board of Trustees of ~~Harborview~~HMC shall retain all authority provided in its governing documents and by the Management and Operations Contract with the University.- Additionally, the ~~boards governing documents~~ of ~~UWP, CUMG, UWP~~N, NWH, VMC, UWNC, UWP, ALNW, CUMG and the SCCA ~~shall retain all each describes the~~ authority ~~provided in their of its~~ respective ~~governing documents~~.~~board~~. The role of the ~~UW Medicine~~ Board shall not create a partnership or other separate legal entity of the entities referred to as UW Medicine.- The entities of UW Medicine that are separate legal entities shall retain that status. Contracts among the entities are not superseded or amended by these Bylaws and these Bylaws do not create joint and several liability among them.

ARTICLE I UW MEDICINE BOARD

Section 1.1. UW Medicine Board Membership

The ~~UW Medicine~~ Board (~~the “Board”~~) shall consist of ~~sixteen (16)~~seventeen (17) members, including the President of the University and the CEO/EVPMA/Dean, who shall serve as *ex officio* members of the Board with full voice and vote.- The Board members shall be appointed by the Board of Regents. -The ~~Chair~~Chairperson of the Board of Regents shall appoint the Chairperson of the ~~UW Medicine~~ Board. -At least one Board member shall be a member of the Board of Regents.- One Board member shall be a member of the faculty of the University nominated by the President of the University. Pursuant to the Affiliation between the University, Health Resources Northwest, and NWH effective January 1, 2010, two NWH Trustees designated by the NWH Board (the “NWH Designated Board Members”) ~~will~~shall serve as members of the Board. Pursuant to the Strategic Alliance agreement with Public Hospital District

No. 1 of King County , two (2) non-UW Medicine Board-Designated Trustees from the VMC Board of Trustees shall serve as members of the Board. The remaining ten (10) Board members shall be nominated by the President of the University, following consultation with the CEO/EVPMA/Dean and the Board, from among people who have broad public perspectives and do not represent any special interest group.

The term of office of each appointed Board member shall be three (3) years. -No appointed Board member may serve more than three (3) successive three-year (3) terms unless the person is serving as ~~chair~~Chairperson or ~~vice chair~~Vice Chairperson of the Board. If a person is serving as ~~chair~~Chairperson or ~~vice chair~~Vice Chairperson, the term may be extended to complete ~~their~~his/her role as an officer of the Board.- The terms of Board members shall be staggered so that the final terms of no more than one-third (1/3) of the members will expire simultaneously on the last day of June in any one year. -Whenever more than one-third (1/3) of the members' final terms expire simultaneously, lots will be drawn to determine which expiring term shall be extended for one more year to eliminate this condition.- The ~~chairperson~~Chairperson shall not have a vote at meetings of the Board except to break a tie among those present and voting.

The Board may grant a leave of absence to a Board member for a period not to exceed one year. If the leave of absence is less than six (6) months, the term of the Board member will not change. If the leave of absence exceeds six (6) months, the term of the Board member will be extended by one year.

In addition to the Board members, the Deans of the Schools of Dentistry, Nursing, Pharmacy, Public Health, and Social Work shall be invited to attend Board meetings ~~and to present agenda items to the secretary.~~ All agendas ~~and insert materials of the Board meetings~~ shall be provided by the ~~secretary~~Secretary to all of the described positions and additional materials shall be provided upon request.

Section 1.2. Powers and Duties

The Board shall have responsibility for:

- a. advising the Board of Regents, the President and the CEO/EVPMA/Dean regarding the operation and governance of those aspects of UW Medicine relating to the development and strategic allocation of resources;
- b. strategic aspects of ~~academic~~research and education programs, including the development of off-campus research facilities;
- c. ~~the~~ planning and delivery of medical services, including oversight of the physician services provided through the UWP;
- d. implementation and effectiveness of programs that assure compliance with federal and state laws, rules and regulations, University rules and regulations, and contract terms that govern UW Medicine's clinical care, research, and training activities ("compliance programs"); and,

- e. ~~the~~ management of current and future extramural affiliation and operating agreements, including those executed by the University with regard to HarborviewHMC, NWH, ALNW, VMC, UWNC and the SCCA, and UWPN.

This Board shall also be charged with governance of the UWMC, including ensuring the provision of safe, effective and high-quality patient care by the medical and dental hospital staffs in a manner that is responsive to the University's academic educational and research needs, responsibility for appointments to the medical and dental staffs, ~~the~~ delineation of medical and dental staff clinical privileges, and ~~the~~ approval, adoption and amendment of the medical and dental staff bylaws.

Subject to the Board of Regents' ultimate authority, and consistent with the Board's duty to advise the Board of Regents, the President and the CEO/EVPMA/Dean with respect to the exercise of their authority, the following specific powers and duties have been delegated to the Board by the Board of Regents:

1.2.1. Advisory Duties

- a. ~~a.~~ Provide general advice and policy guidance for UW Medicine strategic planning and program development and define the role of present and future UW Medicine programs and facilities, collaborative relationships, and auxiliary organizations within state or regional structures for the delivery of health services.
- b. Represent and advocate for UW Medicine in relations with communities, other ~~health care~~healthcare providers, and outside agencies.
- c. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding the development and strategic allocation of UW Medicine resources.
- d. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding strategic aspects of the ~~UWSOM's academic~~UW SoM's education and research programs.
- e. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean ~~concerning~~regarding the provision of medical services, including without limitation, physician services pursuant to the Agreement between the University and UWP dated December 8, 1983.
- f. Advise the Board of Regents, the President and the CEO/EVPMA/Dean ~~concerning~~regarding management of extramural ~~affiliation~~affiliations and operating agreements now existing or which may be developed, including without limitation, the operating agreements between the University and HMC, NWH, VMC, UWNC, UWP, Harborview, the SCCA, and the UWPNSCCA, respectively.

- g. Advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding the implementation and effectiveness of compliance programs, including but not limited to advising on key compliance policies; compliance program infrastructure and reporting relationships; scope of authority of key positions; ongoing assessment of compliance risks; and level of resources dedicated to the compliance programs.

1.2.2. ~~UWMC Board Governance Powers and Duties for UWMC~~

~~a. Accountable to ensure that high quality and safe patient care is provided at the UWMC and approve new clinical services.~~

- ~~a.~~ Determine objectives and policies pertinent to the delivery of patient care services ~~of~~ at the UWMC.

~~1. This function includes, including the adoption and maintenance of a statement on patient care missions and goals.~~

~~b. 2. The UW Medicine Board may delegate responsibility for appointment of clinical service chiefs to the Joint Conference Committee of the UW Medicine Board. The UW Medicine Board may delegate to the chiefs of the clinical services (i) responsibility for maintaining the quality of medical care in their services as set forth in the approved medical staff bylaws, rules and regulations and (ii) responsibility for recommending an applicant's appointment or reappointment to the UWMC medical staff and privileges for such an applicant. objectives.~~

~~b.c.~~ Approve and adopt policies pertaining to admission of patients to the inpatient, outpatient, short stay, and emergency services of the UWMC.

~~e.d.~~ Establish an organized medical staff and dental staff by determining which categories of practitioners are eligible candidates for appointment to the ~~medical staff.~~ Medical Staff.

~~1. Criteria for selection to the medical and dental staff shall include individual character, competence, training, experience, and judgment and may include, in conjunction therewith, board certification; provided, however, that under no circumstances shall the accordance of staff membership or professional privileges in UWMC be dependent solely upon certification, fellowship, or membership in a specialty body or society.~~

~~2. The responsibilities and structure of the medical staff shall be as set forth in Article VIII below.~~

~~de.~~ Approve bylaws, rules, and regulations of the UWMC medical and dental staffs.

- ef. Appoint and suspend or remove any member of the medical and dental staffs after considering the recommendations of the existing members of the medical and dental staff and under the applicable provisions of the medical and dental staff bylaws.
- ~~1. To expedite initial appointments to medical and dental staff membership and granting of privileges, reappointment to membership, or renewal or voluntary modification of privileges consistent with medical and dental staff criteria for expedited credentialing and privileging, the UW Medicine Board may delegate its authority to render those expedited decisions to the UWMC Joint Conference Committee.~~
- ~~2. Where medical and dental staff criteria for expedited credentialing privileging is not met, medical and dental staff appointment and reappointment and professional privileging decisions shall remain with the UW Medicine Board.~~
- fg. Create and maintain mechanisms for continuing assessment and improvement of the quality of patient care, including but not limited to special studies, regular reports and the creation of standing and special committees.- Such mechanisms shall include consultation with the medical staff on patient care matters.
- gh. Review and approve ~~qualification~~qualifications and periodic evaluation criteria for the key ~~positions~~position of UWMC Executive Director ~~and Medical Director.~~
Appointments. Appointment to each of these positions are ~~this position is~~ subject to approval by the Board.
- ~~i. h.—The Board must concur with the appointment of the chiefs of the clinical services and may delegate to the chiefs of the clinical services: (i) responsibility for maintaining the quality of medical care in their services as set forth in the approved Medical Staff Bylaws, rules and regulations; and (ii) responsibility for recommending an applicant’s appointment or reappointment to the UWMC medical staff and privileges for such an applicant.~~
- j. Maintain general supervision over the use of UWMC resources:
1. Review and approve all operating and capital budgets and make recommendations to the University administration and the Board of Regents.
 2. Authorize revisions in charges for UWMC services based on the operating and capital budgets as approved.
 3. Review and accept monthly reports on UWMC operating income and expenditures, utilization of services, and patient statistics.
 4. Assist in the development of additional sources of income necessary to maintain the UWMC as a leading professional institution.

- ~~—k.~~ Review recommendations for development plans for physical facilities development plans for the UWMC and make recommendations to the University administration and the Board of Regents.

In carrying out its responsibilities as identified in Section 1.2, the Board shall seek continuing guidance from health professionals, management specialists, and others with professional expertise.

Section 1.3. Accountability

The Board shall be accountable to the Board of Regents ~~and the President, and the CEO/EVPMA/Dean of the University.~~

The President of the University holds the CEO/EVPMA/Dean accountable to him/her directly for all aspects of health services provided by all University faculty and staff (i) at ~~the UWMC, HarborviewHMC, NWH, VMC, UWMC and UWNC~~ and (ii) through affiliation, operating, patient care or other agreements with UW Medicine.

Among the delegated duties of the CEO/EVPMA/Dean is to serve as the chief medical officer of the UWMC, who is responsible for medical affairs. - In carrying out their individual and joint duties related to patient services as the senior officers of the UWMC, the Executive Director of the UWMC and the CEO/EVPMA/Dean are accountable to the ~~UW Medicine~~ Board, which in turn is accountable to the Board of Regents.

Section 1.4. Reports to Board of Regents

The ~~UW Medicine~~ Board shall report to the Board of Regents three (3) times a year, and as needed or requested, providing:

1.4.1. Annual Meeting with Board of Regents. Patient Safety and Quality Report. Each spring ~~the Board shall meet with the, the Chairperson of the Board, Chairperson of the Patient Safety and Quality Committee and such Board of Regents to advise~~ members as may be of assistance, shall appear before the Board of Regents and the President to report on the operation and governance of specific aspects of UW Medicine relating to:

- ~~• the development and strategic allocation of resources;~~
- ~~• strategic aspects of academic programs, including the development of off-campus research facilities;~~
- ~~• the planning and delivery of medical services, including oversight of those services provided through the UWP;~~
- ~~• implementation and effectiveness of compliance programs;~~
- ~~• the management of current and future extramural affiliation and operating agreements, including those executed by the University with Harborview, the SCCA, and UWP; and the status of, and plans for patient care at the UWMC.~~ safety and quality.

~~—1.4.2. Annual Financial Report.~~ Each fall, the Chairperson of the Board, Chairperson of the Finance and Audit Committee and such Board members as may be of assistance, shall

appear before the Board of Regents and the President to report on the financial condition of UW Medicine;

—1.4.3. Annual Compliance Report. Each winter the Chairperson of the Board, Chairperson of the Compliance Committee and such Board members as may be of assistance, shall appear before the Board of Regents and the President to report on the effectiveness of UW Medicine compliance programs, including but not limited to advising on: key compliance policies; the status of compliance program infrastructure and reporting relationships; the scope of authority of key positions; the current assessment of compliance risks; and the level of resources dedicated to the compliance programs.

Section 1.5. Board Year

The Board year, including Board member appointments and Board officer terms, shall be from July 1 to June 30.

Section 1.6. Meetings and Notice

—1.6.1. Regular Meetings.— Regular meetings of the Board shall be held at least quarterly, the dates and places of which shall be determined by the ~~chairperson~~Chairperson at least one month in advance, and notice of which shall be given in accordance with Chapter 42.30 RCW.

—1.6.2. Special Meetings.— Special meetings may be called by the ~~chairperson~~Chairperson at any time, or by a majority of the members of the Board, provided that written notice to all Board members and to others, as required by Chapter 42.30 RCW, shall be given not less than twenty-four hours (24) prior to the meeting, stating the time, place and business to be transacted at the meeting.

—1.6.3. Procedure.— Except as otherwise specified in these Bylaws, all meetings of the Board and its committees shall be conducted in accordance with the latest revision of ~~Roberts~~Robert's Rules of Order.

Section 1.7. Quorum

A majority of the Board shall constitute a quorum.

Section 1.8. Vacancies

Whenever a vacancy occurs on the Board, for whatever reason, notice shall immediately be given to the President of the University so that a member may be appointed as soon as possible by the Board of Regents to fill the unexpired term.— The Board may submit names of individuals recommended by the Board for the President's consideration.

Section 1.9. Removal

The Board may recommend to the Board of Regents that a member of the Board be removed. This action may be taken at any meeting by two-thirds (2/3) vote of the entire Board, provided that the Board gives the affected member at least ten (10) ~~days'~~days written notice of such intended action and advises the affected member of the basis for such action.- The Board member affected shall have the right to be heard and to explain to the Board why he/she should not be removed. -Recommendation for ~~suspension~~removal shall be made directly to the Board of Regents and shall not be effective until approved by the Board of Regents.

Any Board member who has ~~three (3) unexcused absences for three (3) consecutive Board meetings or five (5)~~four (4) unexcused absences for Board meetings in an academic calendar year (July 1 through June 30), as determined by the ~~secretary~~Chairperson, shall be automatically removed.

Section 1.10. Liability

All Board members and persons serving at the request of the Board shall, in the performance of their duties as members of the Board or appointees of the Board, be ~~designated insureds,~~ as considered to be "agents" ~~under RCW 28.B.20.250, under the general liability coverage-~~ of the University.- Each Board member or person serving at the request of the Board shall be protected against costs and expenses, including legal fees, in connection with the defense of any action, ~~suit~~lawsuit or proceeding in which the member or person serving at the request of the Board shall be made a party by reason of being a member or appointee of the Board.- Such protection is subject to the condition that such person shall have acted in good faith and within the scope of his or her duties, and subject further to the specific exemption and qualifications stated in either the ~~University liability policy~~Standing Orders of the Board of Regents, Ch. V and RCW ~~23B~~28B.20.250 through 28B.20.255, which statute authorizes the indemnification of Regents, ~~trustees,~~ officers, ~~and employees of,~~ agents and students of the University in relation to such matters.

Section 1.11. Compensation of Board and Board Committee Members

No Board member or any member of any committee appointed by the Board shall receive any compensation for services rendered in his/her capacity as a Board or committee member. However, nothing herein shall be construed to preclude any Board member or committee member from receiving compensation from UW Medicine for other services actually rendered, a per diem for attending ~~UW Medicine~~ Board meetings, or reimbursement for expenses incurred for serving UW Medicine as a Board member or committee member or in any other capacity, all in accordance with established University practices and procedures, RCW 42.52, and RCW 43.03.050 and 43.03.060, as now existing or hereafter amended.

Section 1.12. Conflict of Interest

No Board member or any person appointed by the Board in any capacity may act as an agent for any person or organization where such an act would create a conflict of interest with the terms of the person's service to UW Medicine or to the Board. -The policies, rules and regulations

adopted by the University relating to conflict of interest ~~and~~, the provisions of RCW 42.52, and any policy adopted by the Board shall apply to any person appointed by the Board in any capacity and to the Board members themselves.

ARTICLE II OFFICERS

Section 2.1. Officers

The officers of the Board shall be members of the Board and shall consist of a ~~chairperson, vice chairperson~~ Chairperson, Vice Chairperson, and such other officers as the Board may deem advisable. - The Chairperson is appointed annually by the ~~Chair~~ Chairperson of the Board of Regents. - The ~~vice chair~~ Vice Chairperson and other officers shall be elected annually by the Board. - No officer may serve more than two successive terms in the same office, unless there are extenuating circumstances as approved by the Chairperson of the Board of Regents.

Section 2.2. Chairperson

The ~~chairperson~~ Chairperson of the Board shall annually appoint such committee members as are specified under these Bylaws; shall preside at all meetings of the Board; shall serve as an *ex-officio* member, without vote, on all standing and special committees, unless otherwise specified in the Bylaws; and shall perform all of the acts usually attendant upon the office of the ~~chairperson~~ Chairperson or which may be set forth by these Bylaws or by the Board.

Section 2.3. Vice Chairperson

During the absence of the ~~chairperson~~ Chairperson or while he/she is unable to act, the ~~vice chairperson~~ Vice Chairperson shall perform the duties and exercise the powers of the ~~chairperson.~~ Chairperson.

ARTICLE III ADMINISTRATION

Section 3.1. Appointment of Administrative Officers

3.1.1. Secretary of the Board. A ~~secretary~~ Secretary of the Board shall be appointed by the CEO/EVPMA/Dean, with such duties as shall be provided in these Bylaws and otherwise determined by the CEO/EVPMA/Dean. - The ~~secretary~~ Secretary of the Board shall provide or cause to be provided all secretarial assistance to the Board and its committees; assist the ~~chairperson~~ Chairperson in developing an agenda for each meeting; keep records of the minutes of the meetings of the Board; and furnish copies of such minutes to each Board member, to the CEO/EVPMA/Dean, to the deans of the health sciences schools, to the President of the University, and ~~others, in accordance with these Bylaws; and shall assure that copies of all minutes of the Board and its committees are promptly filed with the secretary of the Board of Regents.~~ The secretary of the Board shall regularly advise the Board of Health Sciences Deans and the CEO/EVPMA/Dean of the activities and actions of

~~the Board to others, in accordance with these Bylaws.~~ The CEO/EVPMA/Dean shall be custodian of and shall faithfully keep, or cause to be kept, all records, books, documents and other valuable papers relating to the ~~UW Medicine~~ Board.- The ~~secretary~~Secretary shall be responsible for arranging all meeting notices and for attending to all correspondence that may be ordered by the Board.

—3.1.2. Executive Director. The Executive Director of the UWMC ~~shall be is a professional staff employee of the University who reports to the Chief Health System Officer, UW Medicine and Vice President of Medical Affairs (CHSO/VPMA).~~ The Executive Director is appointed by the ~~President of the University, upon the recommendation~~CHSO/VPMA with the concurrence of the CEO/EVPMA/Dean and ~~subject to approval~~the Board. Annual evaluations are completed by the ~~UW Medicine Board.~~ Among the delegated duties of the Executive Director is to serve as CHSO/VPMA with input from the chief executive officer of the UWMC with overall responsibility for institutional management and directionBoard.

The Executive Director, as ~~chief executive~~senior officer of the UWMC, shall, subject to University policy and shared service agreements in effect, be responsible for maintaining overall direction of the UWMC within the policies established by the Board.- The Executive Director is accountable to the Board and to the CHSO/VPMA who is accountable to the CEO/EVPMA/Dean.

In the event that the Executive Director is unable to perform the functions of the office, the CHSO/VPMA, with concurrence of the CEO/EVPMA/Dean, may designate an acting Executive Director until such time as a permanent Executive Director is appointed.

ARTICLE IV UW MEDICINE STANDING COMMITTEES

Section 4.1. Standing Committee Designations

The Board shall approve the appointment of an Executive Committee ~~and,~~ a Compliance Committee, a Finance and Audit Committee, a Patient Safety and Quality Committee and such other standing committees as ~~the Board~~ may from time to time deem advisable.

Section 4.2. Executive Committee

—4.2.1. Purpose. The Executive Committee, as requested by the CEO/EVPMA/Dean, ~~shall review and provide~~provides strategic advice ~~on issues for presentation~~ to the Board and ~~shall have the CEO/EVPMA/Dean; determines the agenda for the Board meetings and annual retreat; and has~~ the power to transact ~~such~~ business of the Board between regular meetings of the Board as the Board may hereafter authorize.- All actions of the Executive Committee shall be reported to the full Board at its next regular meeting.

—4.2.2. Composition. The Executive Committee of the Board shall consist of the ~~chairperson~~Chairperson of the Board, who shall serve as the ~~chairperson~~Chairperson of the Executive Committee; the ~~vice chairperson~~Vice Chairperson of the Board; ~~the CEO/EVPMA/Dean; the chairperson and the Chairperson of the UWMC Committees (Joint Conference, Facilities, Finance); the chairperson~~each standing committee of the ~~Compliance Committee; Board,~~ and, within the chairperson discretion of the ~~Finance and Audit Committee~~Chairperson of the Board, any Chairperson of special or ad hoc committees established by the Board.

—4.2.3. Meetings. The Executive Committee shall meet as deemed necessary by the ~~chairperson~~Chairperson of the Committee and the CEO/EVPMA/Dean.

4.2.4. Quorum.— A majority of the voting members of the committee shall constitute a quorum.

Section 4.43. Compliance Committee

~~4.4.~~

4.3.1. Purpose. The Compliance Committee shall be responsible for reviewing and evaluating the compliance programs of UW Medicine and its component entities and preparing the Chairperson of the Board to advise the Board of Regents, the President, and the CEO/EVPMA/Dean regarding the implementation and effectiveness of UW Medicine ~~Compliance~~compliance programs, including but not limited to advising on: key compliance policies; compliance program infrastructure and reporting relationships; scope of authority of key positions; ongoing assessment of compliance risks; and level of resources dedicated to the compliance programs.

4.43.2. Composition. The voting members of the Compliance Committee shall consist of at least three (3) Board members, including the ~~chairperson~~Chairperson of the Board or his/her designee, and may include up to three (3) community members who are not members of the Board, all as appointed annually by the ~~chairperson~~Chairperson of the Board in consultation with the ~~Chair of the Board of Regents, the President and the~~ CEO/EVPMA/Dean. ~~The chairperson~~Chairperson of the Compliance Committee shall be appointed by the ~~chairperson~~Chairperson of the Board, with the approval of the ~~President and the~~ CEO/EVPMA/Dean.

4.43.3. Meetings. The Compliance Committee shall meet at the call of the ~~chairperson~~Chairperson of the Committee as often as necessary, but not less than quarterly, to perform its duties.

4.43.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.54. Finance and Audit Committee

—4.54.1 Purpose. The Finance and Audit Committee shall be responsible for reviewing and evaluating the financial results, plans and audits of UW Medicine and its component organizations/entities for the purpose of assessing the overall financial risks and capacities of UW Medicine and the congruity of the financial management, plans, ~~and objectives of UW Medicine.~~ and objectives of UW Medicine. The Finance and Audit Committee shall also be responsible for preparing the Chairperson of the Board to advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the implementation and effectiveness of the UW Medicine finance and audit program.

—4.54.2. Composition. The voting members of the Finance and Audit Committee shall consist of at least four (4) Board members, including the ~~chairperson~~Chairperson of the Committee as appointed by the ~~Chair~~Chairperson of the ~~UW Medicine~~ Board, the ~~Chair~~Chairperson of the UWMC Committees of the Board, ~~the CEO/EVPMA/Dean, one~~(2) additional Board ~~member~~members appointed by the Chairperson of the Board ~~Chair~~, and up to three (3) community members who are not members of the Board, all as appointed annually by the ~~chairperson~~Chairperson of the Board in consultation with the ~~Chair of the Board of Regents, the President and the~~ CEO/EVPMA/Dean.

—4.54.3. Meetings. The Finance and Audit Committee shall meet at the call of the ~~Chair~~Chairperson of the Committee, but not less than quarterly.

4.54.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 4.5. Patient Safety and Quality Committee

4.5.1. Purpose. The Patient Safety and Quality Committee shall be responsible for reviewing and evaluating the patient safety and quality programs of UW Medicine and preparing the Chairperson of the Board to advise the Board of Regents, the President and the CEO/EVPMA/Dean regarding the implementation and effectiveness of UW Medicine patient safety and quality programs.

4.5.2. Composition. The voting members of the Patient Safety and Quality Committee are appointed by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean, and include at least three (3) Board members, including the Chairperson of the Committee as appointed by the Chairperson of the Board, the Chairperson of the Board or designee and up to three (3) community members who are not members of the Board, all appointed annually by the Chairperson of the Board in consultation with the CEO/EVPMA/Dean.

4.5.3. Meetings. The Patient Safety and Quality Committee shall meet at the call of the Chairperson of the Committee, but not less than quarterly.

4.5.4. Quorum. A majority of the voting members of the committee shall constitute a quorum.

ARTICLE V

UNIVERSITY OF WASHINGTON MEDICAL CENTER UWMC COMMITTEES

Section 5.1. University of Washington Medical Center UWMC Committees

The Board shall approve the appointment of a Joint Conference Committee, a Finance Committee, a Facilities Committee and such other committees as it shall from time to time deem advisable for the governance of the UWMC.

Section 5.2. UWMC Joint Conference Committee

5.2.1. Purpose. The UWMC Joint Conference Committee shall serve as an advisory committee to the Board by providing a forum in which representatives of the Board, medical staff and UWMC administration, shall jointly consider UWMC policy matters governing medical practice ~~and,~~ review quality assurance reports ~~-,~~ and advise the Board on organization of medical staff and revisions to the Medical Staff Bylaws. As described below, the UWMC Joint Conference Committee also has delegated authority from the Board for certain actions.

5.2.2. Composition. The voting members of the UWMC Joint Conference Committee shall consist of at least ~~three~~four (4) Board members appointed annually by the ~~chairperson~~Chairperson of the Board, one of whom shall serve as the ~~chairperson~~Chairperson of this UWMC Joint Conference Committee, the ~~chairperson~~Chairperson of the Board or his/her ~~designate, the Clinical Operations Officer/VPMA, the UWMC Medical Director, the UWMC Executive Director, the Chief of Staff, one member of the medical staff nominated by the Medical Staff Administrative Committee for a one-year period, and up to~~ ~~three~~designee, and community members who are not members of the Board, all as appointed annually by the ~~chairperson~~Chairperson of the Board in consultation with ~~the Chair of the Board of Regents, the President and the~~ CEO/EVPMA/Dean. Non-voting members of the committee are the ~~CHSO/VPMA, the UWMC Executive Director, the UWMC Medical Director, the UWMC Chief Nursing Officer; three, the UWMC Medical Staff Chief of Staff, and one member of the medical staff nominated by the Medical Staff Administrative Committee for a one-year period. Non-voting individuals regularly invited to attend the Committee include three (3) faculty from clinical departments of the School of Medicine UW SoM appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and the CEO/EVPMA/Dean or his/her~~ ~~designee~~other non-voting individuals invited by the Chairperson of the UWMC Joint Conference Committee.

5.2.3. Meetings. The UWMC Joint Conference Committee shall meet at the call of the ~~chairperson~~Chairperson of the Committee, but not less than quarterly.

5.2.4. Quorum. ~~-. A majority of the voting members of the committee shall constitute a quorum.~~

—~~5.2.5.2.5. Expedited Medical Staff Credentialing and Privileging.~~ The UWMC Joint Conference Committee shall have delegated authority from the Board to render final decisions regarding expedited approval of ~~medical staff~~Medical Staff initial appointments, reappointments, additions to privileges, and voluntary modifications to clinical privileges—consistent with medical and dental staff criteria for expedited credentialing and privileging. Where medical and dental staff criteria for expedited credentialing privileging are not met, medical and dental staff appointment and reappointment and professional privileging decisions shall remain with the Board. At least two (2) Board members of the UWMC Joint Conference Committee must vote for Committee actions on privileges to be valid.— The UWMC Joint Conference Committee shall present its final decisions taken under the expedited approval process to the Board for information purposes.

—~~5.2.6. Clinical Services.~~~~The.~~ The UWMC Joint Conference Committee shall have the delegated authority from the Board to ~~appoint~~concur in the appointment of the chief of each clinical service. —Appointment shall be made consistent with the provisions of ~~section~~Section 8.9 below. —The UWMC Joint Conference Committee shall recommend to the ~~UW Medicine~~ Board the establishment of any new clinical services. —Recommendations shall be made consistent with the provisions of ~~section~~Section 8.9 below. —Approval of new clinical services shall remain with the ~~UW Medicine~~ Board.

Section 5.3. UWMC Finance Committee

—~~5.3.1. Purpose.~~ The UWMC Finance Committee shall be responsible for advising the Board on financial matters as they relate to the UWMC and its shared services, including reviewing the annual audit, annual budgets, and monthly financial reports.— The UWMC Finance Committee shall be responsible for reviewing ~~and approving~~ the UWMC Audit Policy and ensuring that the policy complies with the Sarbanes Oxley principles adopted by the ~~UW Medicine~~ Board. The UWMC Finance Committee shall also review programs, long-range financial plans, budget plans and proposals for rate-setting ~~revenues~~, before ~~they~~these are submitted to the Board for final action, as well as oversight of UWMC resources.

5.3.2. Composition. The voting members of the UWMC Finance Committee shall consist of at least ~~three~~four (4) Board members appointed annually by the ~~chairperson~~Chairperson of the Board, one of whom shall be ~~chairperson~~Chairperson of the Finance Committee, the ~~Clinical Operations Officer/VPMA, the UWMC Executive Director, the UWMC Medical Director, and up to three~~Chairperson of the Board or his/her designee, and community members who are not members of the Board, all as appointed annually by the ~~chairperson~~Chairperson of the Board in consultation with the ~~Chair of the Board of Regents, the President and the~~ CEO/EVPMA/Dean.— Non-voting ~~members of individuals regularly invited to attend~~ the committee ~~are~~shall include the CHSO/VPMA; the UWMC Executive Director; the UWMC Medical Director; the UWMC Chief Nursing Officer; the UWMC Finance Representative(s) as appointed by the UWMC Executive Director in consultation with the CHSO/VPMA; the UWMC Medical Staff Chief of Staff; three (3) faculty from clinical departments of ~~the School of Medicine~~UW SoM appointed by the

CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; and ~~the CEO/EVPMA/Dean or his/her designee~~other non-voting individuals invited by the Chairperson of the Committee.

—~~5.3.3.~~ Meetings. The Finance Committee shall meet at the call of the ~~chairperson~~Chairperson of the Committee, but not less than quarterly.

—~~5.3.4.~~ Quorum. A majority of the voting members of the committee shall constitute a quorum.

Section 5.4. UWMC Facilities Committee

—~~5.4.1.~~ Purpose. The UWMC Facilities Committee shall have general supervision over and shall make recommendations to the Board concerning the ~~program plans for UWMC and the~~ physical use and status of the facilities ~~to~~that house the UWMC and its shared services.

—~~5.4.2.~~ Composition. The voting members of the UWMC Facilities Committee shall consist of at least ~~three~~four (4) Board members appointed annually by the ~~chairperson~~Chairperson of the Board, one of whom shall be ~~chairperson~~Chairperson of the Facilities Committee, the ~~Clinical Operations Officer/VPMA, the UWMC Executive Director, the UWMC Medical Director, and up to three~~Chairperson of the Board or his/her designee, and community members who are not members of the Board, all as appointed annually by the ~~chairperson~~Chairperson of the Board in consultation with the ~~Chair of the Board of Regents, the President and the~~ CEO/EVPMA/Dean. Non-voting ~~members of the committee~~individuals invited to regularly attend the Committee are the CHSO/VPMA; the UWMC Executive Director; the UWMC Medical Director; the UWMC Chief Nursing Officer; the Senior Associate Administrator for Facilities; the UWMC Medical Staff Chief of Staff; three (3) faculty from clinical departments of the School of Medicine UW SoM appointed by the CEO/EVPMA/Dean for one year; one member of the Housestaff Association designated by its president; the Health Sciences ~~Facilities Officer; and the~~ CEO/EVPMA/Dean Executive Director or his/her designee-; and other non-voting individuals invited by the Chairperson of the Committee.

~~5.4.3.~~ Meetings. The Facilities Committee shall meet at the call of the ~~chairperson~~Chairperson of the Committee, but not less than ~~quarterly~~semi-annually.

—~~5.4.4.~~ Quorum. A majority of the voting members of the committee shall constitute a quorum.

**ARTICLE VI
SPECIAL COMMITTEES**

Section 6.1. Other Committees

Other special or ad hoc committees of the Board may be established by the Board.

**ARTICLE VII
RELATIONS OF UW MEDICINE TO ACADEMIC AND RESEARCH PROGRAMS OF
THE HEALTH SCIENCES CENTER**

Section 7.1. General

The deans of the health sciences professional schools have been delegated broad responsibilities by the President for overall management and direction of the teaching and research programs conducted by the faculty of their respective schools. -The health sciences professional schools carry out a significant portion of their education and research programs in the UWMC and other affiliated medical centers, particularly those activities that require a patient care setting. The Board shall lend its best efforts to assure that the programs of the health sciences professional schools are effectively supported in collaboration with service programs of UW Medicine.

~~In order to assure excellence in both academic and patient programs, which are typically interrelated, collaborative decision making between the Board and the deans of the professional schools shall be encouraged by the CEO/EVPMA/Dean.~~

**ARTICLE VIII
MEDICAL STAFF**

Section 8.1. General

The responsibility for standards of medical care in the UWMC has been delegated by the Board of Regents to the Board. -The Board holds the Medical Staff organization accountable to the Board for establishing and maintaining standards of medical care. -The Board shall approve or disapprove the Medical Staff Bylaws, rules and regulations and may approve or disapprove such standards of medical care as may be established from time to time.

Section 8.2. Medical Staff

For purposes of ~~Article VIII of~~ these Bylaws, the words “Medical Staff” shall include all physicians and dentists who are authorized to attend patients in the UWMC or in any other medical care activity administered by the UWMC, and may include such other professionals as the Medical Staff Bylaws designate.

Section 8.3. Organization of the Medical Staff

The Board shall approve and authorize the organization of the Medical Staff so as to discharge those duties and responsibilities assigned to it by the Board and specifically to accomplish the following purposes, among others:

- a. To monitor the quality of medical care in the UWMC and make recommendations to the Board so that all patients admitted to or treated at any of the facilities, departments or services of the UWMC receive continuous high-quality medical care;
- b. To recommend to the ~~UW Medicine~~ Board, or where an expedited process is appropriate, to the Joint Conference Committee of the ~~UW Medicine~~ Board, the appointment or reappointment of an applicant to the Medical Staff of the UWMC, the clinical privileges such applicant shall enjoy in the UWMC, and appropriate action that may be necessary in connection with any member of the Medical Staff, to the end that at all times there shall be a high level of professional performance of all persons authorized to practice in the UWMC. Criteria for selection to the Medical Staff shall include individual character, competence, training, experience and judgment, and may include, in conjunction therewith, board certification, provided, however, that under no circumstances shall the accordance of staff membership or professional privileges in the UWMC be dependent solely upon certification, fellowship or membership in a specialty body or society.
- c. To represent the Medical Staff of the UWMC and to provide the means whereby issues concerning the Medical Staff and the UWMC may be discussed both within the Medical Staff organization and by representatives of the Medical Staff with the Joint Conference Committee of the ~~UW Medicine~~ Board and management of the UWMC.
- d. To establish specific rules and regulations governing actions of members of the Medical Staff.

Section 8.4. Medical Staff Bylaws

The Medical Staff organization shall recommend to the ~~UW Medicine~~ Board, revisions of the existing Medical Staff Bylaws, rules and regulations setting forth the Medical Staff organization and governance for maintaining such bylaws, rules and regulations in such a manner as to accomplish the purposes set forth in Section 8.3.- When such bylaws, rules and regulations are adopted by the ~~UW Medicine~~ Board, they shall become effective and become part of the bylaws, rules and regulations of the Medical Staff.

Section 8.5. Appointment to the Medical Staff and Assignment of Clinical Privileges

Upon recommendation of the Medical Staff and in accordance with the Medical Staff Bylaws, the ~~UW Medicine~~ Board, or where an expedited process is appropriate, the Joint Conference Committee of the ~~UW Medicine~~ Board may appoint to membership on the Medical Staff of the UWMC, physicians, dentists and other professionals who meet the personal and professional qualifications prescribed in the Medical Staff Bylaws.- Appointment to the Medical Staff carries with it full responsibility for the treatment of individual UWMC patients subject to such

limitations as may be imposed by the ~~UW Medicine~~ Board or the bylaws, rules and regulations of the Medical Staff.

Appointments and reappointments to the Medical Staff shall be made in accordance with the appointment and reappointment procedures set forth in the Medical Staff Bylaws. -Whenever the Joint Conference Committee of the ~~UW Medicine~~ Board does not concur in a Medical Staff recommendation relative to Medical Staff appointment, reappointment or the granting of clinical privileges, said recommendation shall be referred to the ~~UW Medicine~~ Board for decision.

Section 8.6. Appointment and Reappointment Requirements

Each person initially appointed to membership on the Medical Staff of the UWMC shall be provided with a current copy of the bylaws, rules and regulations and shall submit to the Medical Director a signed written statement agreeing to abide by them. -Each member of the Medical Staff shall as a minimum be required to:

- a. Provide care and supervision to all patients within the UWMC for whom such Medical Staff member has responsibility.
- b. Abide by the UW Medicine ~~bylaws~~Bylaws, the Medical Staff Bylaws, rules and regulations, and the policies and directives that may be in force during the time such person is a member of the Medical Staff of the UWMC.
- c. Accept committee assignments and such other duties and responsibilities as may be reasonably assigned by the Joint Conference Committee of the ~~UW Medicine~~ Board or the Medical Staff.

Section 8.7. Appointment of Salaried Physicians and Dentists for Administrative or Medico-Administrative Purposes

Physicians or dentists employed by the University at the UWMC for administrative or medico-administrative purposes shall be subject to the faculty exempt personnel policies in effect for the UWMC at the time as it relates to his/her employment relationship and to the Medical Staff Bylaws, rules and regulations as it is related to his/her Medical Staff appointment.- Their clinical privileges to admit and treat patients- are defined in accordance with the Medical Staff Bylaws. Termination of either the employment appointment or ~~medical staff~~Medical Staff appointment shall not affect the other unless it is agreed in advance that they are mutually dependent and this agreement is documented in a letter of agreement, signed by the physician or dentist and the UWMC and approved by the appropriate Dean or his/her designee.

Section 8.8. Medical Staff Administrative Committee

—8.8.1. Purpose. The Medical Staff Administrative Committee shall establish and maintain a framework for self-government and a means of accountability to the Joint Conference Committee of the ~~UW Medicine~~ Board, in accordance with the medical administrative unit for the ~~medical staff.~~Medical Staff. It shall concern itself primarily with

the quality of medical care within the UWMC. -It shall receive and act upon all ~~medical staff~~Medical Staff committee reports and make recommendations regarding ~~medical staff~~Medical Staff status and privileges to the ~~UW Medicine~~ Board or, where an expedited process is appropriate, to the Joint Conference Committee of the ~~UW Medicine~~ Board. - The specific duties of the Medical Staff Administrative Committee are set forth in the Medical Staff Bylaws.

—8.8.2. Composition. The medical board of the ~~medical staff~~Medical Staff shall be known as the Medical Staff Administrative Committee which shall be composed of the Chief of Staff, the Chief of Staff-Elect, the chiefs of clinical services, three (3) at-large members elected by the medical staff, the Medical Director, and the Executive Director. - The Medical Director, who is appointed by the CEO/EVPMA/Dean, ~~subject to approval by the Board,~~ shall serve as ~~chairperson~~Chairperson of the Medical Staff Administrative Committee and shall represent the Medical Staff Administrative Committee at meetings of the Joint Conference Committee of the ~~UW Medicine~~ Board.

8.8.3. Meetings. The Medical Staff Administrative Committee shall meet monthly. -Minutes of the meetings shall be provided to all members of the Committee, the Associate Dean for Clinical Affairs and Graduate Education, the Executive Director of the UWMC, the CHSO and the CEO/EVPMA/Dean, ~~the Dean of the School of Dentistry, and the deans of other professional schools whose faculty have appointment on the medical and dental staffs.~~

Section 8.9. UWMC Clinical Services

8.9.1. Appointment of Chief. Appointment of the chief of each clinical service is subject to concurrence of the Joint Conference Committee of the ~~UW Medicine~~ Board on the joint recommendation of the dean of the applicable professional school and the Executive Director. - If the chief of a clinical service is to be someone other than the chairperson of the corresponding department in the medical or dental school, the recommendation of the departmental chairperson is also required. -All such appointments shall be reviewed periodically by the Joint Conference Committee of the ~~UW Medicine~~ Board. - Chiefs of clinical services vacancies may be filled on an interim basis at any time by action of the dean of the appropriate professional school, after consulting with the Board.

8.9.2. Delegation. Upon ~~UW Medicine~~ Board approval of ~~medical staff bylaws~~Medical Staff Bylaws, rules, or regulations containing such a provision, the chiefs of the clinical services have delegated responsibility for maintaining the quality of medical care in their services and for recommending an applicant's appointment or reappointment to the Medical Staff of the UWMC and privileges for such an applicant.

—~~8.9.3. New Services. The Joint Conference Committee of the UW Medicine Board may recommend to the UW Medicine Board the establishment of new clinical services upon the joint concurrence of the dean of the applicable professional school and the Executive Director. Approval of new clinical services shall remain with the UW Medicine Board.~~

—~~8.9.4. Accountability.~~

8.9.3. Accountability. The CEO/EVPMA/Dean serves as the chief medical officer of the UWMC and in this capacity is accountable directly to the Board in matters relating to medical care.- Members of the clinical services of the UWMC are accountable to the Medical Director, who is accountable to the CEO/EVPMA/Dean.

ARTICLE IX AUXILIARY ORGANIZATIONS

Section 9.1. General

Organizations auxiliary to UW Medicine and the UWMC, such as the Service League, may be established only under bylaws approved by the UW Medicine Board.- Such bylaws must, at a minimum, define the purpose, organizational structure, officers, procedures for conducting business, reporting requirements, and the amendment procedures.

Section 9.2. Bylaws

The approved bylaws of the auxiliary organization and any amendments thereto, shall be kept as a permanent record by UW Medicinethe Board and filed with the minutes of UW Medicinethe Board meeting at which said bylaws or amendments thereto were approved by UW Medicinethe Board.

Section 9.3. Oversight by Board

An approved auxiliary organization's relationship with UW Medicine shall be subject to the oversight of the appropriate Board committee.

ARTICLE X AMENDMENTS

These Bylaws are reviewed annually and updated as needed. -Changes in these Bylaws, whether amendments, additions, deletions or replacements, may be made by a two-thirds (2/3) vote of the entire Board at a meeting of which prior notice of the meeting and the proposed action shall have been given.- Such changes shall be subject to approval by the CEO/EVPMA/Dean and the Board of Regents.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

UW Medicine Board Appointments

RECOMMENDED ACTION

It is the recommendation of the University President and the Academic and Student Affairs Committee that the Board of Regents appoint the following two Valley Medical Center Board members as members of the UW Medicine Board:

Appointments

Gary Kohlwes	January 1, 2012 to December 31, 2014
Geneice (Sue) Bowman	January 1, 2012 to December 31, 2014

BACKGROUND

Article 1, Section 1.1 of the UW Medicine Board Bylaws states, “The Board shall consist of seventeen (17) members, including the President of the University and the CEO/EVPMA/Dean, who shall serve as *ex officio* members of the Board with full voice and vote. The Board members shall be appointed by the Board of Regents. The Chairperson of the Board of Regents shall appoint the Chairperson of the Board. At least one Board member shall be a member of the Board of Regents. One Board member shall be a member of the faculty of the University nominated by the President of the University. Pursuant to the Affiliation between the University, Health Resources Northwest, and NWH effective January 1, 2010, two NWH Trustees designated by the NWH Board (the “NWH Designated Board Members”) shall serve as members of the Board. Pursuant to the Strategic Alliance agreement with Public Hospital District No. 1 of King County, two (2) non-UW Medicine Designated Trustees from the VMC Board of Trustees shall serve as members of the Board. The remaining ten (10) Board members shall be nominated by the President of the University, following consultation with the CEO/EVPMA/Dean and the Board, from among people who have broad public perspectives and do not represent any special interest group.”

Mr. Gary Kohlwes served on the Valley Medical Center Board of Commissioners from 1995-2007 and was recently appointed to the Valley Board as a community trustee. He has served on the Washington Pacific Insurance SP Board since 2008. Mr. Kohlwes was the superintendent of the Renton School District from 1974 to 1997. He is owner and president of a commercial fishing vessel in Bristol Bay, Alaska. He has also served on the Renton Chamber of Commerce Board, Seattle Country Day School Board, First Savings Bank Northwest Board and was Renton Citizen of the Year. It is recommended that Mr. Kohlwes be appointed to the UW Medicine Board for a three-year term ending December 31, 2014.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

UW Medicine Board Appointments (continued p. 2)

Ms. Geneice (Sue) Bowman has served on the Valley Medical Center Board of Commissioners since 2007. She has 27 years of experience working in health care as a program administrator at Western State Hospital. Her experience spans from participation in The Joint Commission accreditation surveys to developing policies and procedures for program development for the mentally ill. Ms. Bowman is the Northwest Administrator for “Opera By Children” an educational outreach program of the Utah Festival Opera Company promoting children’s creativity through music in elementary classrooms. She also serves as Public Affairs, Media Director for the Renton LDS Church. It is recommended that Ms. Bowman be appointed to the UW Medicine Board for a three-year term ending December 31, 2014.

Under the Bylaws of the UW Medicine Board it states that “the term of office of each appointed Board member shall be three years. No appointed Board member may serve more than three successive three-year terms...”

Attachment

UW Medicine Board Appointment Dates

UW MEDICINE BOARD APPOINTMENT DATES

	<u>Term of Recommended Appointment</u>	<u>Changes</u>
James Anderson	1/1/10 to 12/31/12	
Sue Bowman	1/1/12 to 12/31/14	<i>appointment</i>
Alan Frazier	7/1/09 to 6/30/12	
Michael D. Garvey	2/1/09 to 1/31/12	
Allan C. Golston	11/1/11 to 10/31/14	
Gerald Grinstein	1/1/10 to 12/31/13	
Sally Jewell	10/1/10 to 9/30/13	
Richard Jones	8/01/09 to 7/31/12	
Gary Kohlwes	1/1/12 to 12/31/14	<i>appointment</i>
Shan Mullin, <i>Chair</i>	9/1/10 to 8/31/13	
Julie Nordstrom	11/1/11 to 10/31/14	
Dennis Okamoto	10/1/09 to 9/30/12	
William Rex	1/1/10 to 12/31/12	
Herman Sarkowsky	10/1/10 to 9/30/13	
Paul G. Ramsey, M.D. CEO UW Medicine Executive Vice President for Medical Affairs and Dean of the School of Medicine University of Washington	Ex officio	
JoAnn Taricani	7/1/11 to 6/30/14	
Michael K. Young President University of Washington	Ex officio	

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Services and Activities Fee – University of Washington Bothell: Distribution of Student Long Term Funds

RECOMMENDED ACTION

It is the recommendation of the administration and Academic and Student Affairs Committee that the Board of Regents approve for the University of Washington Bothell:

- 1) Distribution of Student Long Term Funds in the amount of \$300,000 for the continued planning, confirmation of program, initial schematic design, and cost estimating for the proposed Student Activity Center.

BACKGROUND

At the November 17, 2011, meeting of the Finance, Audit and Facilities Committee, the Regents approved the recommendation that the President be delegated authority to award a design contract for the UW Bothell Student Activity Center Project with the firm to be recommended by the University's Architectural Commission at their December, 2011, meeting. It was noted at the November 17, 2011, meeting that an item would be brought before the Regents in January, requesting approval of the use of Student Long Term Funds to support this next phase of project development and estimating.

Over the past two and a half years, the UW Bothell Student Services and Activities Fee (SAF) Committee and the Associated Students of the University of Washington Bothell (ASUWB) have supported the development of the Student Activity Center project. In the 2010-11 academic year, SAF provided funding for the preparation of a concept and massing study for the Student Activity Center. This concept study was completed in March, 2011, and based on the findings of this study the SAF Committee and ASUWB are in support of continuing forward with the next steps in developing the project.

The SAF Committee, based on ASUWB's funding request has voted and approved the use of \$300,000 from the UW Bothell Student Long Term Fund to support the confirmation of program, initial schematic design, and cost estimating for the Student Activity Center. Participation in funding from the students or administration of the co-located Cascadia Community College will be explored during this initial phase, and should Cascadia's participation in funding for the facility be confirmed, an Interagency Agreement will be developed to define the role of each institution.

Following this next phase of project definition, and following the anticipated student vote in May, 2012, UW Bothell will come back to the Regents with a request to adopt the project budget, approve the issuance of bonds, and delegate authority to award a construction contract.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Overview of the Autumn 2011 Entering Class

INFORMATION ONLY

Attachment

Freshman & Transfer Statistics for the Seattle Campus, Autumn Quarter 2011

W UNIVERSITY *of* WASHINGTON

Office of Admissions

1410 NE Campus Parkway
Seattle, WA 98195



Freshman & Transfer Statistics for the Seattle campus

Autumn Quarter 2011

2011



Freshmen Students

The figures below provide a first glance at the students who have joined the UW community this fall.

	Autumn 2011	Change from 2010
Applications Received	24,540	+ 7.4%
Offers Extended	14,340	+ 10.6%
Admit Rate	58.4%	+ 1.6%
Registered	5,793	

Class Profile

Women	2,458	(53.8%)
Men	2,957	(46.2%)

Caucasian	(42.5%)
Asian American	(26.9%)
International Students	(17.9%)
Underrepresented Minority	(12.1%)
African American	(3.2%)
Hawaiian/Pacific Islander	(0.9%)
Hispanic/Latino	(6.6%)
Native American	(1.3%)
Other/Non-reporting	(0.6%)

	Average	Mid 50% Range
Average High School GPA	3.75	3.64 to 3.92

Average SAT Composite	1212	1130 to 1310
Average SAT Reading	579	510 to 650
Average SAT Math	633	570 to 700

Average ACT Composite	26.7	24 to 30
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The World is our Dawghouse

The UW attracted applicants from all 50 states and 93 countries. Students from other states and countries are showing an increased interest in coming to the Emerald City. Applications from outside Washington account for **57%** of the total freshman application pool.



Top 10 Countries

China	3,290
South Korea	782
Taiwan	359
Canada	92
Thailand	79
Indonesia	76
Hong Kong	72
India	62
Malaysia	51
Singapore	46

States with 100+ applications

Washington	10,637
California	4,642
Oregon	601
Hawaii	361
Colorado	274
Texas	273
Arizona	198
Nevada	194
Idaho	172
Illinois	171
Minnesota	121
Utah	107

93 Countries!
50 States!



So, what's your major?

While freshman applicants are neither required nor expected to request — much less declare! — a major when they apply, Admissions is making increasing use of this information in recruitment and outreach.

Top 10 Requested Majors	Applicants	Average SAT	Average HS GPA
Business Administration	3,394	1178	3.56
Biology	2,041	1225	3.66
Psychology	1,002	1169	3.51
Nursing	729	1077	3.55
Economics	679	1270	3.6
Computer Science	667	1284	3.58
Mechanical Engineering	665	1243	3.61
Communications	602	1163	3.5
Bioengineering	557	1299	3.74
Math	552	1257	3.68

Husky High

We wonder if applying to the University of Washington is a graduation requirement at some schools! Here are a few of this year's high schools voted most likely to have purple and gold as the new school colors ...



WA High Schools

Top 20 by most applications

Inglemoor	207
Newport	205
Garfield	196
Skyline	170
Mercer Island	167
Eastlake	161
Redmond	156
Bellevue	146
Roosevelt	141
Edmonds	140
Kamiak	140
Issaquah	131
Kentridge	125
Interlake	123
Shorewood	118
Bainbridge	116
Bothell	111
Henry M Jackson	109
Ballard	107
Thomas Jefferson	101

Non-WA High Schools

Top 20 by most applications

Palos Verdes(CA)	67
Punahou(HI)	62
Torrey Pines(CA)	61
Monta Vista-Cupertino(CA)	57
Corona Del Mar(CA)	52
Westview(OR)	49
St. Ignatius(CA)	48
Monte Vista-Danville(CA)	46
Santa Margarita(CA)	45
Westlake(CA)	44
Tesoro(CA)	44
Miramonte(CA)	44
Lowell(CA)	43
H M Gunn(CA)	43
Bishop Odowd(CA)	42
Agoura(CA)	41
Calabasas(CA)	41
Mira Costa(CA)	39
Iolani(HI)	38
Lacosta Canyon(CA)	38

What's in a Name?

This year, our applicant pool of nearly 25,000 students included over 5,000 different names and spellings. As a glimpse back to popular names among parents in 1993, here is the greatest hits list:

The top 10 male names:

Michael	214
Andrew	180
Alexander	177
Daniel	168
Matthew	163
Kevin	156
Ryan	151
Nicholas	149
Christopher	137
David	123

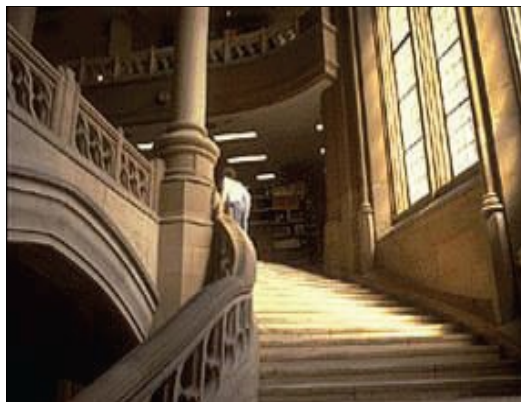
The top 10 female names:

Sarah	194
Emily	184
Jessica	146
Lauren	138
Rachel	127
Megan	122
Katherine	118
Nicole	116
Alexandra	112
Hannah	108



Freshman Factoids...

Applicants with a 4.00 GPA1,636
 Applicants with a 2400 SAT Score11
 Incoming freshmen who participated in Running Start.....899
 Incoming freshmen who are children of UW alumni.....921
 Incoming First Generation.....29.4%
 Resident freshmen eligible for Husky Promise.....31%



Transfer Students

The UW maintains a strong commitment to enrolling transfer students, especially those from Washington community colleges.

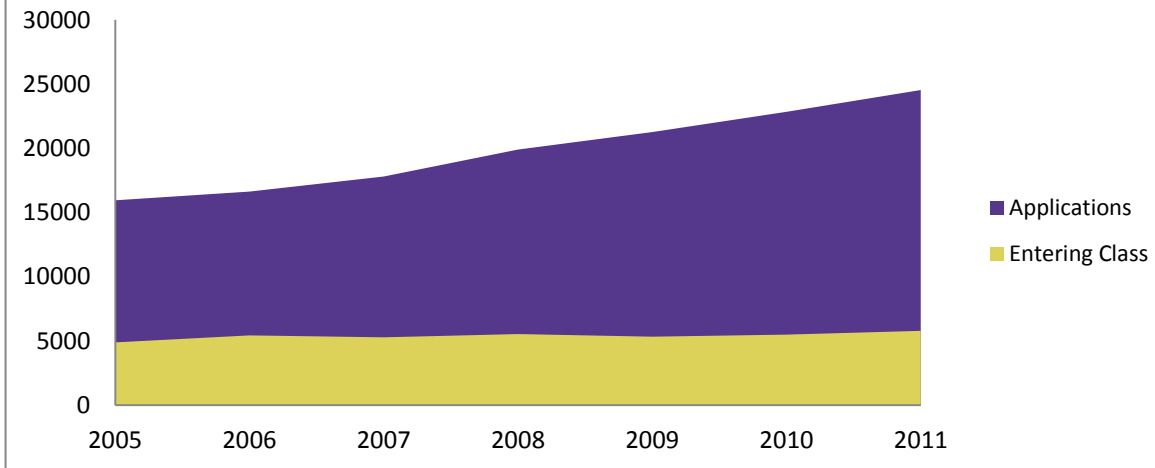


2-yr Transfers	2006	2007	2008	2009	2010	2011
Applications	2,332	2,458	2,503	2,971	3,309	3,444
Offered	1,548	1,647	1,642	1,655	1,743	1,761
Admit Rate	66%	67%	66%	56%	53%	51%
Registered	1,196	1,241	1,206	1,249	1,308	1,301

4-yr Transfers	2006	2007	2008	2009	2010	2011
Applications	1,266	1,245	1,296	1,570	1,562	1,782
Offered	410	378	478	464	430	445
Admit Rate	32%	30%	37%	30%	28%	25%
Registered	308	295	351	351	315	325

University of Washington - Seattle Campus

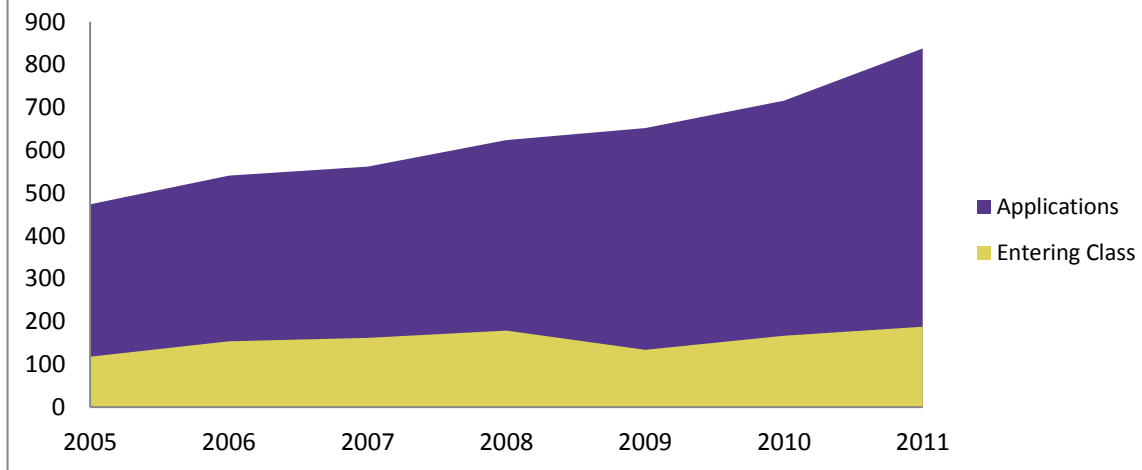
All Students Entering from High School Autumn Quarter



	2005	2006	2007	2008	2009	2010	2011
Applications	15955	16634	17808	19906	21268	22843	24540
Entering Class	4893	5438	5287	5540	5338	5498	5793
HS GPA	3.69	3.67	3.69	3.72	3.72	3.73	3.75
SAT (CR+M)	1198	1187	1197	1201	1208	1213	1212
SAT (CR+M+W)	NA	1750	1765	1771	1790	1802	1803

University of Washington - Seattle Campus

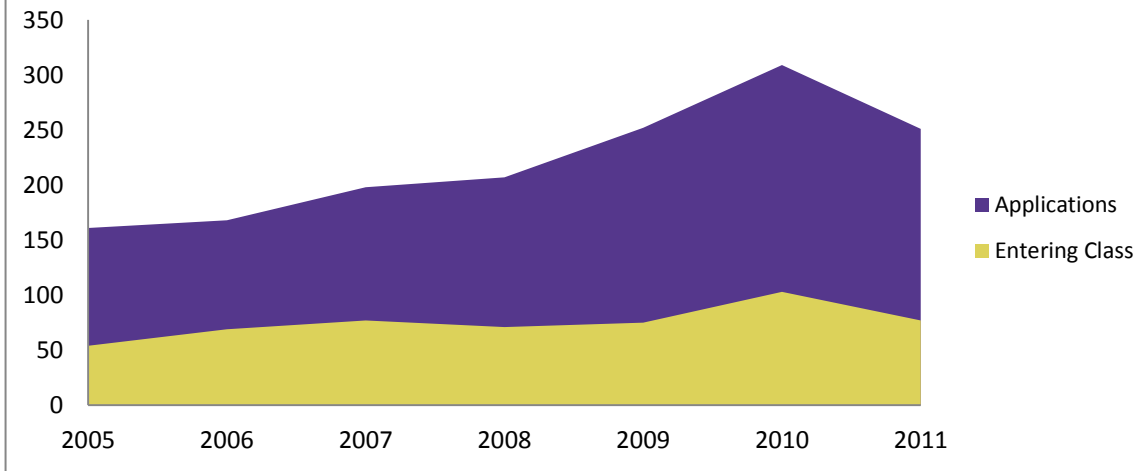
African American Students from High School Autumn Quarter



	2005	2006	2007	2008	2009	2010	2011
Applications	474	541	562	624	652	716	838
Entering Class	118	154	162	179	134	167	188

University of Washington - Seattle Campus

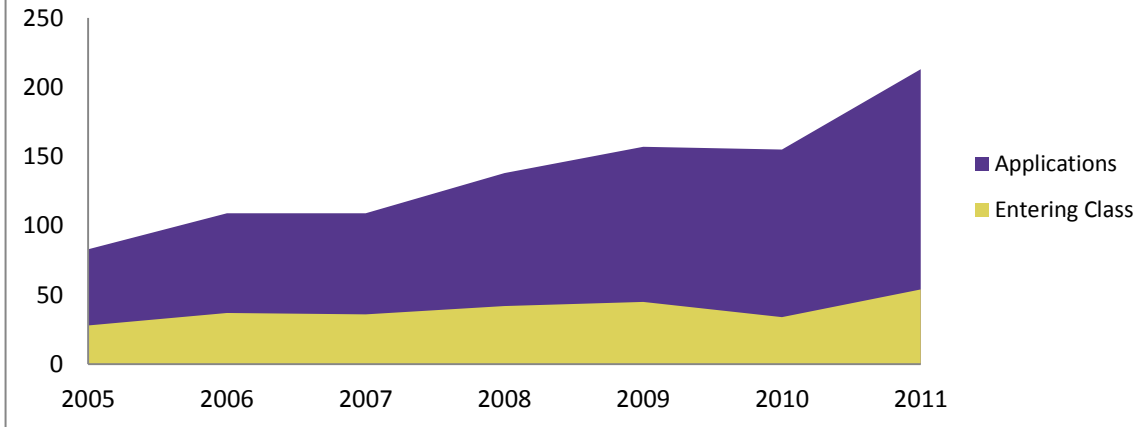
American Indian Students from High School Autumn Quarter



	2005	2006	2007	2008	2009	2010	2011
Applications	161	168	198	207	252	309	251
Entering Class	54	69	77	71	75	103	77

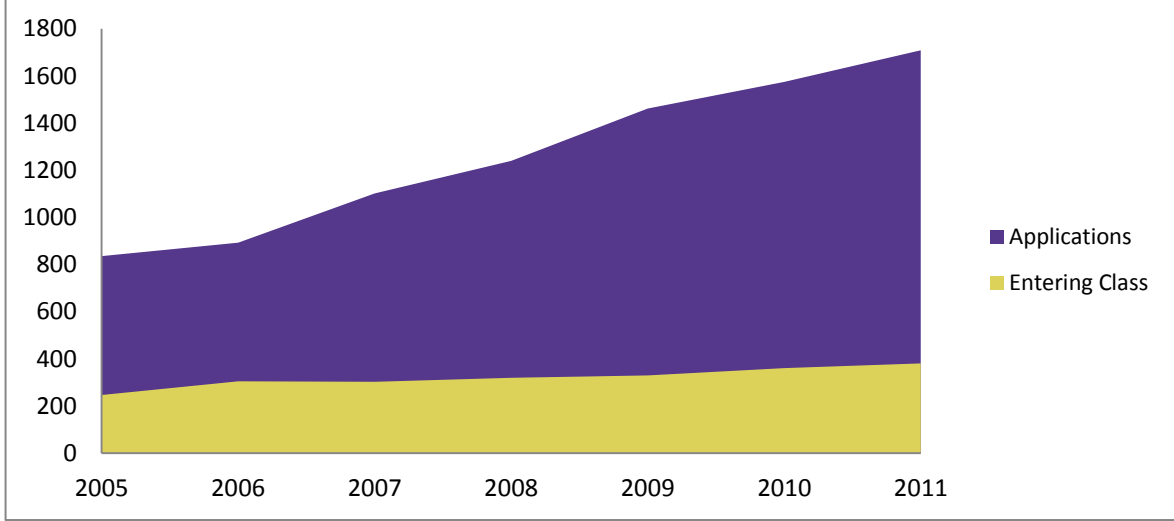
University of Washington - Seattle Campus

Hawaiian/Pacific Islander Students from High School Autumn Quarter



	2005	2006	2007	2008	2009	2010	2011
Applications	83	109	109	138	157	155	213
Entering Class	28	37	36	42	45	34	54

**University of Washington - Seattle Campus
Hispanic/Latino Students from High School Autumn Quarter**



	2005	2006	2007	2008	2009	2010	2011
Applications	836	893	1102	1240	1462	1575	1709
Entering Class	247	305	303	320	330	361	381

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

In Joint Session with

B. Finance, Audit and Facilities Committee

UW in Seattle Neighborhoods

FOR INFORMATION ONLY

The Office of Regional and Community Relations and the Marketing web team have come together to build an interactive online map that highlights UW contributions in each of the 13 neighborhood districts in the City of Seattle.

This project is similar to the state map created by the Office of State Relations for the 49 legislative districts which Regents reviewed in November of 2010.

Each neighborhood map is populated with real stories of UW impact within each community. The map, which will be demonstrated, also features information on the numbers of UW students, alumni, staff and Husky Promise recipients living in each district as well as UW programs available in all of the 13 neighborhood districts. As new information becomes available, the website will be updated on a regular basis.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Contributions – October and November 2011

For information only.

Attachments

UW Foundation Report of Contributions for October 2011

UW Foundation Report of Contributions for November 2011

Report of Contributions

All Areas | October 2011

ATTACHMENT 1

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Private Grants	Total	Donors ¹	Gifts	Private Grants	Total	Donors
UW Medicine	\$1,753,724	\$7,719,846	\$9,473,570	3,181	\$8,343,425	\$39,427,528	\$47,770,953	4,940
Arts and Sciences	\$1,082,908	\$425,820	\$1,508,728	1,534	\$4,537,112	\$3,803,212	\$8,340,324	4,470
Broadcast Services	\$97,982		\$97,982	321	\$701,459		\$701,459	6,276
Built Environments	\$21,303		\$21,303	76	\$175,127	\$2,500	\$177,627	198
Business School	\$1,087,641		\$1,087,641	669	\$2,429,719		\$2,429,719	1,592
Dentistry	\$93,867		\$93,867	188	\$650,224	\$21,067	\$671,291	543
Education	\$17,287	\$115,772	\$133,059	80	\$386,999	\$672,345	\$1,059,344	523
Engineering	\$909,544	\$841,088	\$1,750,632	884	\$2,961,880	\$1,822,138	\$4,784,018	1,718
Environment	\$217,414	\$35,121	\$252,535	220	\$756,470	\$1,509,823	\$2,266,293	702
Evans School of Public Affairs	\$40,336		\$40,336	79	\$111,982	\$356,789	\$468,771	221
Graduate School	\$468,484	\$140,033	\$608,517	23	\$660,554	\$859,273	\$1,519,827	70
Information School	\$14,284	\$182,676	\$196,960	132	\$95,411	\$182,676	\$278,087	479
Intercollegiate Athletics	\$2,111,710		\$2,111,710	928	\$10,466,359		\$10,466,359	3,731
Law	\$39,078		\$39,078	79	\$517,568	\$90,000	\$607,568	344
Libraries	\$43,077		\$43,077	267	\$230,810		\$230,810	933
Minority Affairs	\$4,683		\$4,683	125	\$70,986		\$70,986	225
Nursing	\$18,338	\$176,715	\$195,053	119	\$270,152	\$228,415	\$498,567	324
Pharmacy	\$41,369	\$252,349	\$293,718	166	\$299,455	\$715,034	\$1,014,489	470
President's Funds	\$148,323		\$148,323	133	\$257,701		\$257,701	423
Public Health	\$45,172	\$608,902	\$654,074	109	\$229,972	\$6,389,761	\$6,619,733	256
Social Work	\$4,758	\$31,337	\$36,095	50	\$367,179	\$194,833	\$562,012	152
Student Life	\$39,079		\$39,079	356	\$724,623		\$724,623	1,408
Undergraduate Academic Affairs	\$79,460	\$194,361	\$273,821	34	\$114,067	\$211,838	\$325,905	119
University Press					\$40,265		\$40,265	22
UW Alumni Association	\$76,152		\$76,152	1,385	\$270,336		\$270,336	4,864
UW Bothell	\$19,496	\$750,000	\$769,496	58	\$249,274	\$2,194,499	\$2,443,773	200
UW Tacoma	\$1,558,361		\$1,558,361	121	\$1,666,943	\$77,310	\$1,744,253	320
Other University Support	\$38,658	\$129,500	\$168,158	189	\$2,660,617	\$982,855	\$3,643,472	575
Total	\$10,072,490	\$11,603,520	\$21,676,010	10,807	\$40,246,668	\$59,741,896	\$99,988,564	33,335

MONTHLY HIGHLIGHTS

The UW received \$21.68M in total private voluntary support (\$10.07M in gifts and \$11.60M in grants) in the current month.

Areas including Arts and Sciences, Broadcast Services, Graduate School, Intercollegiate Athletics, Law, Minority Affairs, Social Work, UW Alumni Association, UW Bothell and UW Tacoma are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

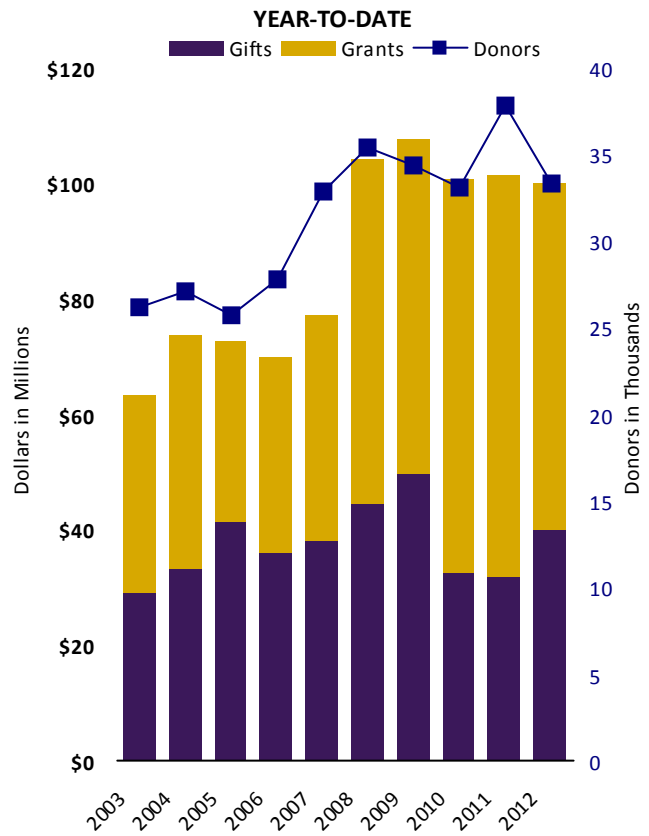
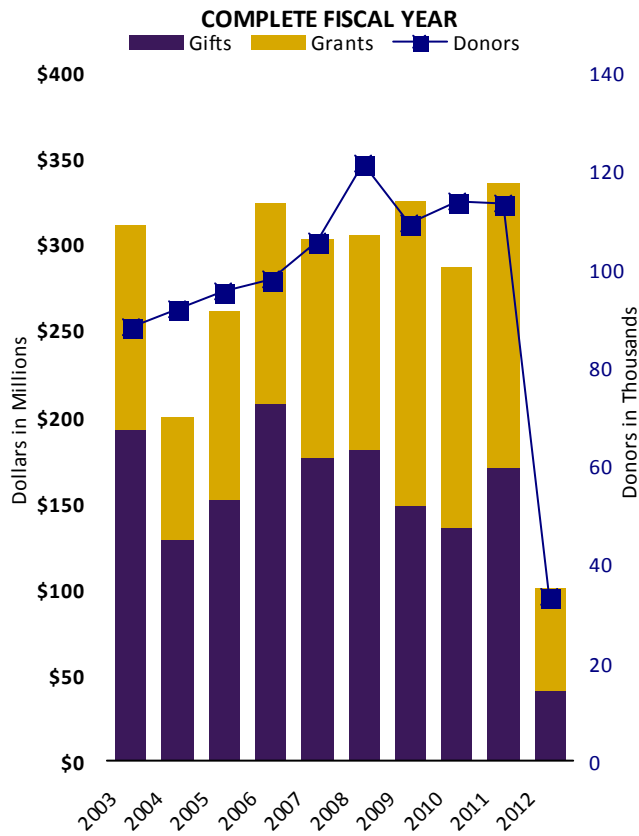
The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$9,473,570	3,181	\$47,770,953	4,940	\$53,376,313	5,565	\$127,994,691	15,832
Arts and Sciences	\$1,508,728	1,534	\$8,340,324	4,470	\$4,701,665	4,598	\$26,366,179	14,021
Broadcast Services	\$97,982	321	\$701,459	6,276	\$220,993	10,973	\$2,945,722	21,519
Built Environments	\$21,303	76	\$177,627	198	\$535,553	405	\$4,097,082	1,304
Business School	\$1,087,641	669	\$2,429,719	1,592	\$2,743,159	1,543	\$14,099,968	4,136
Dentistry	\$93,867	188	\$671,291	543	\$1,658,851	461	\$4,880,520	1,371
Education	\$133,059	80	\$1,059,344	523	\$1,309,484	305	\$4,453,458	1,322
Engineering	\$1,750,632	884	\$4,784,018	1,718	\$10,210,627	1,443	\$28,104,317	4,207
Environment	\$252,535	220	\$2,266,293	702	\$4,864,729	587	\$10,224,490	2,596
Evans School of Public Affairs	\$40,336	79	\$468,771	221	\$629,776	130	\$1,259,897	536
Graduate School	\$608,517	23	\$1,519,827	70	\$557,625	52	\$1,904,156	260
Information School	\$196,960	132	\$278,087	479	\$515,721	435	\$978,535	747
Intercollegiate Athletics	\$2,111,710	928	\$10,466,359	3,731	\$3,745,964	3,230	\$25,769,643	24,108
Law	\$39,078	79	\$607,568	344	\$605,715	358	\$3,954,968	1,671
Libraries	\$43,077	267	\$230,810	933	\$514,832	957	\$2,221,330	5,602
Minority Affairs	\$4,683	125	\$70,986	225	\$51,510	299	\$1,125,063	762
Nursing	\$195,053	119	\$498,567	324	\$824,020	321	\$2,572,650	1,476
Pharmacy	\$293,718	166	\$1,014,489	470	\$1,286,113	415	\$3,449,822	1,256
President's Funds	\$148,323	133	\$257,701	423	\$382,103	479	\$4,660,973	1,419
Public Health	\$654,074	109	\$6,619,733	256	\$7,676,717	244	\$20,982,432	728
Social Work	\$36,095	50	\$562,012	152	\$320,583	129	\$3,825,867	922
Student Life	\$39,079	356	\$724,623	1,408	\$1,198,611	769	\$24,665,211	3,351
Undergraduate Academic Affairs	\$273,821	34	\$325,905	119	\$456,591	155	\$2,135,761	545
University Press			\$40,265	22	\$118,480	73	\$1,525,915	215
UW Alumni Association	\$76,152	1,385	\$270,336	4,864	\$233,391	4,979	\$943,635	17,676
UW Bothell	\$769,496	58	\$2,443,773	200	\$657,074	155	\$1,890,127	753
UW Tacoma	\$1,558,361	121	\$1,744,253	320	\$508,369	278	\$5,207,539	798
Other University Support	\$168,158	189	\$3,643,472	575	\$1,479,200	1,120	\$2,397,723	2,095
Total¹	\$21,676,010	10,807	\$99,988,564	33,335	\$101,383,768	37,765	\$334,637,675	113,114

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Private Grants	Total	Donors	Gifts	Private Grants	Total	Donors
2011-2012	\$40,246,668	\$59,741,896	\$99,988,564	33,335	\$40,246,668	\$59,741,896	\$99,988,564	33,335
2010-2011	\$170,201,978	\$164,435,696	\$334,637,675	113,114	\$31,679,814	\$69,703,954	\$101,383,768	37,765
2009-2010	\$135,813,022	\$150,815,796	\$286,628,819	113,746	\$32,546,330	\$68,005,530	\$100,551,861	33,089
2008-2009	\$148,364,809	\$175,713,667	\$324,078,477	109,083	\$49,857,078	\$57,782,127	\$107,639,204	34,295
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$44,642,366	\$59,284,704	\$103,927,070	35,334
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$38,054,245	\$38,896,258	\$76,950,503	32,831
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$35,905,189	\$33,783,873	\$69,689,062	27,797
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$41,342,960	\$31,333,435	\$72,676,395	25,706
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$33,364,731	\$40,123,767	\$73,488,498	27,121
2002-2003	\$192,573,183	\$118,677,722	\$311,250,905	88,259	\$28,948,199	\$34,187,741	\$63,135,940	26,222

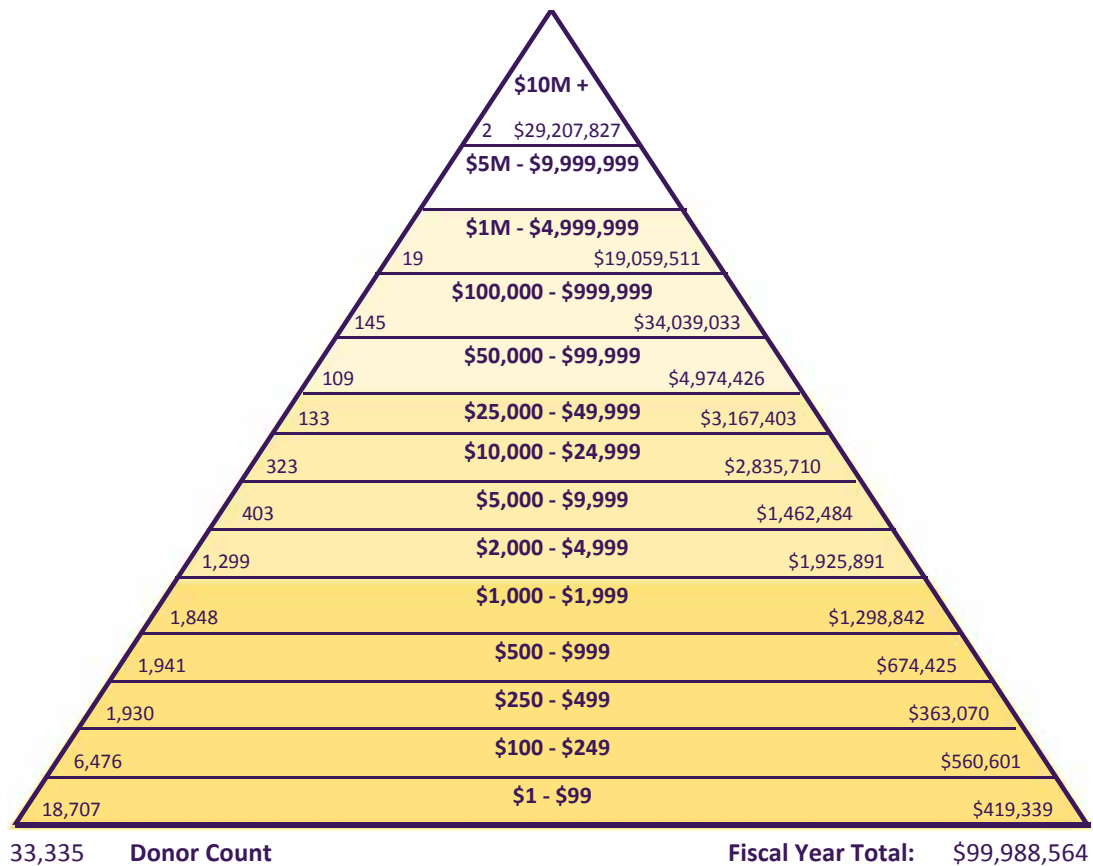
ANNUAL FUNDING THEME PROGRESS

Theme	Current Use	Year to Date	
		Endowment	Total
Student Support	\$2,131,394	\$3,568,986	\$5,700,381
Faculty Support	\$2,967,337	\$648,800	\$3,616,137
Program Support for Faculty and Students	\$75,895,567	\$2,627,597	\$78,523,165
Capital	\$4,439,039	\$275	\$4,439,314
Excellence Funds	\$7,366,426	\$343,142	\$7,709,568
Total	\$92,799,764	\$7,188,800	\$99,988,564

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors ¹	Total	Donors	Total
Alumni	16,324	\$9,093,014	16,657	\$7,004,715	48,671	\$48,006,717
Corporations	885	\$14,205,873	770	\$14,973,019	2,568	\$48,099,904
Family Foundations	56	\$3,146,415	44	\$1,832,892	161	\$16,071,226
Foundations	182	\$38,431,337	178	\$38,430,937	446	\$97,547,429
Non-Alumni	15,666	\$7,589,264	19,878	\$8,114,158	60,669	\$49,015,743
Organizations	222	\$27,522,660	238	\$31,028,047	599	\$75,896,655
Total	33,335	\$99,988,564	37,765	\$101,383,768	113,114	\$334,637,675

DEVELOPMENT ACTIVITY PYRAMID



¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data at the end of the prior fiscal year.

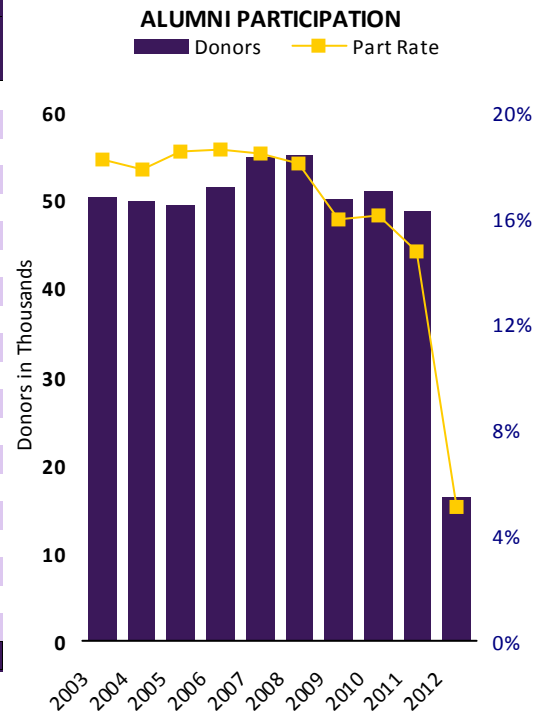
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +					\$19,196,050	\$10,011,777	\$29,207,827
\$5M - \$9,999,999							\$0
\$1M - \$4,999,999	\$1,002,860	\$1,024,512	\$1,153,193	\$4,504,726	\$7,383,445	\$3,990,775	\$19,059,511
\$100,000 - \$999,999	\$2,645,527	\$2,790,052	\$1,464,613	\$6,431,545	\$9,453,791	\$11,253,504	\$34,039,033
\$50,000 - \$99,999	\$948,812	\$720,159	\$155,200	\$731,085	\$1,603,844	\$815,327	\$4,974,426
\$25,000 - \$49,999	\$403,959	\$566,843	\$146,425	\$745,452	\$468,978	\$835,745	\$3,167,403
\$10,000 - \$24,999	\$754,149	\$477,082	\$140,485	\$938,082	\$168,697	\$357,215	\$2,835,710
\$5,000 - \$9,999	\$491,202	\$348,076	\$64,695	\$349,315	\$47,550	\$161,647	\$1,462,484
\$2,000 - \$4,999	\$1,007,944	\$471,926	\$12,250	\$290,723	\$80,453	\$62,595	\$1,925,891
\$1,000 - \$1,999	\$626,388	\$498,042	\$8,684	\$126,015	\$17,462	\$22,251	\$1,298,842
\$500 - \$999	\$381,702	\$235,148	\$600	\$44,897	\$6,100	\$5,979	\$674,425
\$250 - \$499	\$189,596	\$146,738		\$21,161	\$2,386	\$3,189	\$363,070
\$100 - \$249	\$360,849	\$176,713	\$200	\$18,607	\$2,277	\$1,955	\$560,601
\$1 - \$99	\$280,026	\$133,972	\$70	\$4,265	\$305	\$700	\$419,339
Total	\$9,093,014	\$7,589,264	\$3,146,415	\$14,205,873	\$38,431,337	\$27,522,660	\$99,988,564

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +					1	1	2
\$5M - \$9,999,999							0
\$1M - \$4,999,999	2	7	1	3	4	2	19
\$100,000 - \$999,999	24	27	7	28	31	28	145
\$50,000 - \$99,999	25	34	3	13	22	12	109
\$25,000 - \$49,999	26	39	5	23	16	24	133
\$10,000 - \$24,999	93	117	11	69	12	21	323
\$5,000 - \$9,999	146	148	11	65	9	24	403
\$2,000 - \$4,999	655	473	7	114	28	22	1,299
\$1,000 - \$1,999	832	863	8	112	16	17	1,848
\$500 - \$999	956	872	1	90	9	13	1,941
\$250 - \$499	884	946		81	8	11	1,930
\$100 - \$249	3,481	2,801	1	162	16	15	6,476
\$1 - \$99	9,200	9,339	1	125	10	32	18,707
Total	16,324	15,666	56	885	182	222	33,335

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

Area	Solicitable	To UW		To Unit	
		Year to Date	Part Rate	Year to Date	Part Rate
UW Medicine	19,296	1,119	5.80%	883	4.58%
Arts and Sciences	148,325	7,203	4.86%	2,702	1.82%
Business School	38,811	2,516	6.48%	1,238	3.19%
Built Environments	8,372	365	4.36%	79	0.94%
Dentistry	4,598	433	9.42%	337	7.33%
Education	17,578	973	5.54%	84	0.48%
Engineering	33,380	1,755	5.26%	1,301	3.90%
Environment	11,457	456	3.98%	145	1.27%
Evans School of Public Affairs	2,773	200	7.21%	61	2.20%
Interdisc. Grad. Programs	2,239	123	5.49%		
Interschool Programs	2,406	187	7.77%		
Information School	4,882	506	10.36%	380	7.78%
Law	8,074	435	5.39%	165	2.04%
School of Nursing	8,737	500	5.72%	170	1.95%
Pharmacy	3,705	316	8.53%	229	6.18%
Public Health	4,728	217	4.59%	28	0.59%
Social Work	6,543	272	4.16%	71	1.09%
UW Bothell	8,323	301	3.62%	55	0.66%
UW Tacoma	9,481	267	2.82%	84	0.89%
Unspecified	9,066	423	4.67%		
ALL UW TOTAL	324,647	16,324	5.03%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors ¹	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFY Final
UW Medicine	19,185	1,201	6.26%	3,576	18.64%	707	3.69%	2,273	11.85%
Arts and Sciences	151,787	7,328	4.83%	20,278	13.36%	1,850	1.22%	5,558	3.66%
Business School	39,725	2,511	6.32%	7,407	18.65%	935	2.35%	2,384	6.00%
Built Environments	8,496	403	4.74%	1,328	15.63%	102	1.20%	521	6.13%
Dentistry	4,650	358	7.70%	1,116	24.00%	184	3.96%	601	12.92%
Education	18,092	993	5.49%	3,180	17.58%	94	0.52%	542	3.00%
Engineering	33,868	1,681	4.96%	4,885	14.42%	804	2.37%	2,235	6.60%
Environment	11,635	512	4.40%	1,711	14.71%	172	1.48%	746	6.41%
Evans School of Public Affairs	2,747	188	6.84%	561	20.42%	41	1.49%	193	7.03%
Interdisc. Grad. Programs	2,200	112	5.09%	323	14.68%				
Interdisc. Undergrad. Programs									
Interschool Programs	2,459	193	7.85%	503	20.46%				
Information School	4,950	507	10.24%	981	19.82%	280	5.66%	464	9.37%
Law	8,186	494	6.03%	1,701	20.78%	181	2.21%	891	10.88%
School of Nursing	8,909	488	5.48%	1,709	19.18%	160	1.80%	883	9.91%
Pharmacy	3,690	312	8.46%	947	25.66%	231	6.26%	689	18.67%
Public Health	4,683	254	5.42%	736	15.72%	48	1.02%	234	5.00%
Social Work	6,753	275	4.07%	971	14.38%	59	0.87%	465	6.89%
UW Bothell	8,069	292	3.62%	803	9.95%	50	0.62%	271	3.36%
UW Tacoma	9,452	295	3.12%	772	8.17%	121	1.28%	373	3.95%
Unspecified	9,472	440	4.65%	1,540	16.26%				
ALL UW TOTAL	330,521	16,577	5.02%	48,671	14.73%				

¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data on the date this report was run in the prior fiscal year.



The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.



UWAA Member Giving by Constituency

School	Solicitable Alumni	Member		Alumni Giving	
		Members ¹	Donors	Members	Non Members
UW Medicine	19,296	1,791	357	19.93%	3.60%
Arts and Sciences	148,325	18,141	2,558	14.10%	2.39%
Business School	38,811	6,951	1,162	16.72%	2.88%
Built Environments	8,372	1,076	135	12.55%	1.88%
Dentistry	4,598	963	199	20.66%	5.17%
Education	17,578	2,761	395	14.31%	2.43%
Engineering	33,380	4,346	666	15.32%	2.90%
Environment	11,457	1,382	143	10.35%	2.12%
Evans School of Public Affairs	2,773	315	63	20.00%	3.86%
Interdisc. Grad. Programs	2,239	205	34	16.59%	3.00%
Interdisc. Undergrad. Progra					
Interscholar Programs	2,406	530	88	16.60%	3.73%
Information School	4,882	737	154	20.90%	7.24%
Law	8,074	999	152	15.22%	3.12%
School of Nursing	8,737	1,282	172	13.42%	3.26%
Pharmacy	3,705	612	140	22.88%	4.75%
Public Health	4,728	374	45	12.03%	2.87%
Social Work	6,543	559	68	12.16%	2.49%
UW Bothell	8,323	700	52	7.43%	1.71%
UW Tacoma	9,481	616	33	5.36%	1.35%
Unspecified	9,066	1,801	226	12.55%	1.46%
Non-Alumni		7,256	1,939	26.72%	
Total	324,647	51,189	8,053	15.73%	

Alumni Activity

1 in 3 registrants at 2011 UW events were UWAA members
1 in 2 registrants at 2011 UW events were UW donors
7 in 10 registrants at 2011 UW events were Solicitable Alumni
1 in 50 UWAA members attended a 2011 UW event
1 in 3 2010-2011 Football/Basketball season ticket holders were UWAA members
3 in 5 UWAA members were 2010-2011 Football/Basketball season ticket holders

Top 10 Membership by Class Year

Class Year	Part. Rate	Class Year	Population
1955	22.88%	2011	1,416
1953	21.79%	1971	1,106
1954	21.55%	1973	1,065
1959	21.31%	1974	1,036
1946	21.20%	1970	1,027
1956	20.94%	1972	1,006
1958	20.17%	1976	991
1950	20.16%	1975	980
1952	20.05%	1977	932
1957	20.00%	1968	889

Activity Participation - Rolling 3 Year Total ³

School ²	Participants	Part. Donors	% Donors	Alum Non-Part.	Alum Non-Par Donor	% Non-Part Donor
Intercollegiate Athletics	1,893	1,851	97.78%			
UW Medicine	4,492	3,189	70.99%	21,150	3,051	14.43%
Arts and Sciences	16,040	3,333	20.78%	139,038	7,637	5.49%
Built Environments	1,786	707	39.59%	7,369	648	8.79%
Business School	7,090	1,827	25.77%	34,095	2,998	8.79%
Dentistry	2,007	781	38.91%	2,923	405	13.86%
Education	2,067	390	18.87%	16,497	837	5.07%
Engineering	3,325	1,015	30.53%	31,622	3,100	9.80%
Environment	1,464	637	43.51%	10,901	975	8.94%
Evans School of Public Affairs	935	316	33.80%	2,193	266	12.13%
Graduate School	382	149	39.01%	2,168	4	0.18%
Information School	798	250	31.33%	4,535	630	13.89%
Law	2,247	861	38.32%	6,518	962	14.76%
Libraries	1,264	1,222	96.68%			
Nursing	1,042	397	38.10%	8,264	1,223	14.80%
Pharmacy	425	251	59.06%	3,552	893	25.14%
Public Health	687	219	31.88%	4,060	354	8.72%
Social Work	894	282	31.54%	6,147	597	9.71%
UW Bothell	884	254	28.73%	8,419	656	7.79%
UW Tacoma	629	228	36.25%	9,831	796	8.10%

¹ Members include paid Annual Members, Lifetime Members, and TPC Level Donors

² Activity is based on a unit affiliated Alumni or Donor being labeled as a positive RSVP, host, speaker, or participant at any tracked UW activity.

³ 3-Years consists of any activity since 7/1/2006

Source: University of Washington Alumni Association

(07/01/2011 - 10/31/2011)

Source: University Advancement, Information Management Report # devrpts_s1111193



Report of Contributions

All Areas | November 2011

ATTACHMENT 2

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Private Grants	Total	Donors ¹	Gifts	Private Grants	Total	Donors
UW Medicine	\$2,393,825	\$15,030,807	\$17,424,632	3,232	\$10,739,100	\$54,458,335	\$65,197,435	6,833
Arts and Sciences	\$523,676	\$266,574	\$790,250	1,879	\$5,056,012	\$4,069,786	\$9,125,798	5,897
Broadcast Services	\$40,397		\$40,397	601	\$741,605		\$741,605	6,442
Built Environments	\$54,433		\$54,433	156	\$229,460	\$2,500	\$231,960	340
Business School	\$615,730		\$615,730	445	\$3,046,949		\$3,046,949	1,970
Dentistry	\$63,965		\$63,965	206	\$714,290	\$21,067	\$735,357	706
Education	\$17,038		\$17,038	111	\$404,037	\$672,345	\$1,076,382	570
Engineering	\$457,188	\$1,380,241	\$1,837,429	616	\$3,419,069	\$3,202,379	\$6,621,447	2,259
Environment	\$112,222	\$2,100,505	\$2,212,727	287	\$868,692	\$3,610,328	\$4,479,020	912
Evans School of Public Affairs	\$5,760	\$117,407	\$123,167	44	\$117,742	\$474,196	\$591,938	240
Graduate School	\$146,280		\$146,280	36	\$806,834	\$859,273	\$1,666,107	129
Information School	\$13,618		\$13,618	85	\$109,029	\$182,676	\$291,705	538
Intercollegiate Athletics	\$1,792,755		\$1,792,755	1,257	\$12,254,865		\$12,254,865	4,637
Law	\$50,853		\$50,853	247	\$568,321	\$90,000	\$658,321	554
Libraries	\$24,527		\$24,527	198	\$255,337		\$255,337	1,100
Minority Affairs	\$204,841		\$204,841	267	\$275,826		\$275,826	392
Nursing	\$38,279	\$349,556	\$387,835	383	\$306,931	\$577,971	\$884,902	664
Pharmacy	\$20,063	\$6,991,350	\$7,011,413	127	\$319,518	\$7,706,384	\$8,025,902	568
President's Funds	\$38,098		\$38,098	150	\$294,109		\$294,109	509
Public Health	\$17,529	\$1,524,006	\$1,541,534	112	\$247,501	\$7,913,766	\$8,161,267	305
Social Work	\$71,568	\$50,000	\$121,568	167	\$440,247	\$244,833	\$685,080	300
Student Life	\$3,198,284		\$3,198,284	358	\$3,923,006		\$3,923,006	1,697
Undergraduate Academic Affairs	\$175,950	\$299,894	\$475,844	64	\$290,002	\$511,732	\$801,734	154
University Press	\$2,000		\$2,000	6	\$42,265		\$42,265	23
UW Alumni Association	\$93,499		\$93,499	1,749	\$363,835		\$363,835	6,546
UW Bothell	\$11,851		\$11,851	78	\$261,124	\$2,194,499	\$2,455,623	223
UW Tacoma	\$1,105,813		\$1,105,813	134	\$2,773,755	\$77,310	\$2,851,065	379
Other University Support	\$52,779	\$89,542	\$142,321	187	\$2,713,397	\$1,072,397	\$3,785,794	707
Total	\$11,342,820	\$28,199,881	\$39,542,701	12,204	\$51,582,858	\$87,941,776	\$139,524,635	41,555

MONTHLY HIGHLIGHTS

The UW received \$39.54M in total private voluntary support (\$11.34M in gifts and \$28.20M in grants) in the current month.

Areas including UW Medicine, Broadcast Services, Graduate School, Intercollegiate Athletics, Minority Affairs, Pharmacy, Social Work, Student Life, Undergraduate Academic Affairs, UW Alumni Association, UW Bothell and UW Tacoma are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

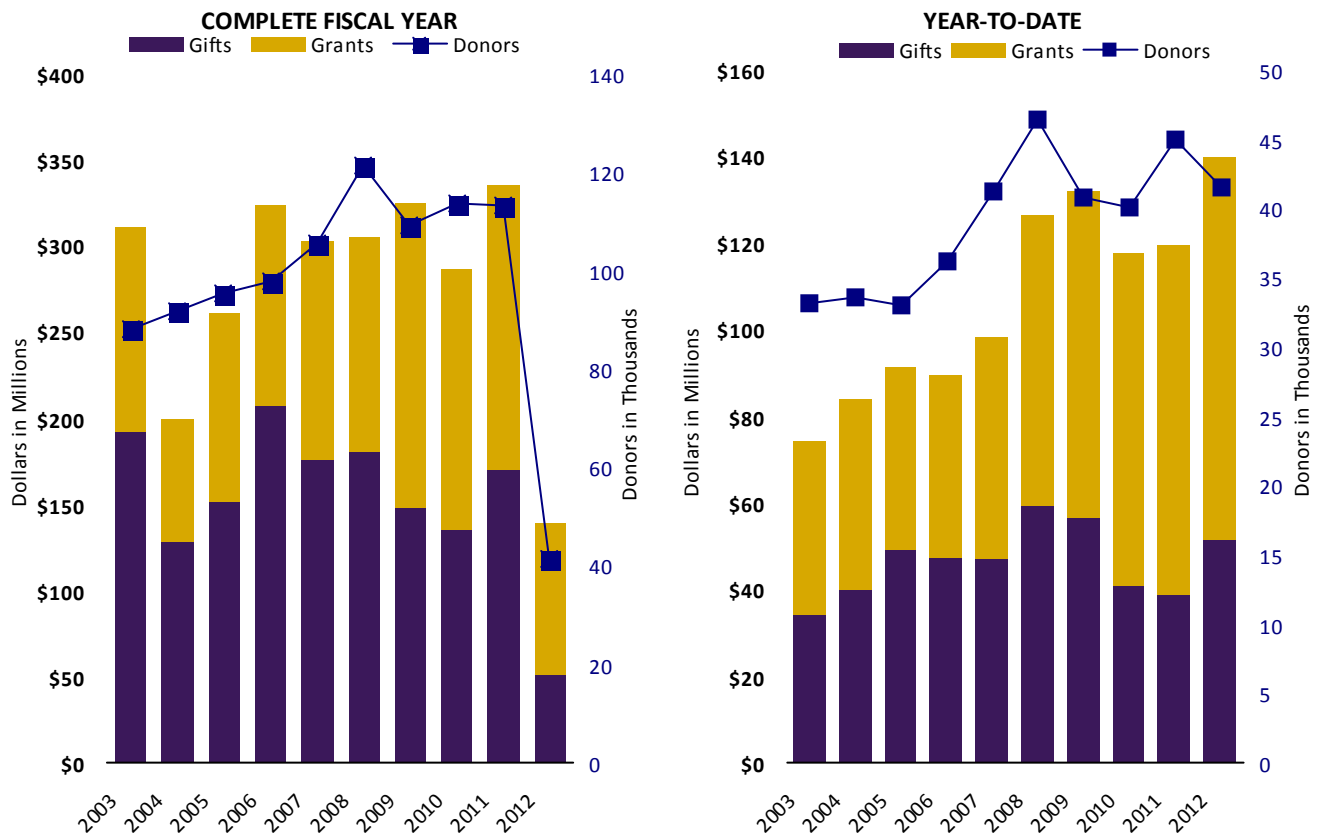
The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$17,424,632	3,232	\$65,197,435	6,833	\$57,766,237	7,224	\$127,994,691	15,832
Arts and Sciences	\$790,250	1,879	\$9,125,798	5,897	\$11,147,620	6,052	\$26,366,179	14,021
Broadcast Services	\$40,397	601	\$741,605	6,442	\$260,123	11,553	\$2,945,722	21,519
Built Environments	\$54,433	156	\$231,960	340	\$670,210	538	\$4,097,082	1,304
Business School	\$615,730	445	\$3,046,949	1,970	\$3,673,501	1,866	\$14,099,968	4,136
Dentistry	\$63,965	206	\$735,357	706	\$1,866,696	662	\$4,880,520	1,371
Education	\$17,038	111	\$1,076,382	570	\$1,570,977	352	\$4,453,458	1,322
Engineering	\$1,837,429	616	\$6,621,447	2,259	\$12,286,664	1,914	\$28,104,317	4,207
Environment	\$2,212,727	287	\$4,479,020	912	\$5,008,576	775	\$10,224,490	2,596
Evans School of Public Affairs	\$123,167	44	\$591,938	240	\$634,259	154	\$1,259,897	536
Graduate School	\$146,280	36	\$1,666,107	129	\$639,277	67	\$1,904,156	260
Information School	\$13,618	85	\$291,705	538	\$526,226	508	\$978,535	747
Intercollegiate Athletics	\$1,792,755	1,257	\$12,254,865	4,637	\$4,006,400	3,471	\$25,769,643	24,108
Law	\$50,853	247	\$658,321	554	\$1,002,038	422	\$3,954,968	1,671
Libraries	\$24,527	198	\$255,337	1,100	\$598,715	1,283	\$2,221,330	5,602
Minority Affairs	\$204,841	267	\$275,826	392	\$88,877	364	\$1,125,063	762
Nursing	\$387,835	383	\$884,902	664	\$953,429	532	\$2,572,650	1,476
Pharmacy	\$7,011,413	127	\$8,025,902	568	\$1,364,117	483	\$3,449,822	1,256
President's Funds	\$38,098	150	\$294,109	509	\$416,087	591	\$4,660,973	1,419
Public Health	\$1,541,534	112	\$8,161,267	305	\$8,348,473	297	\$20,982,432	728
Social Work	\$121,568	167	\$685,080	300	\$330,898	243	\$3,825,867	922
Student Life	\$3,198,284	358	\$3,923,006	1,697	\$1,245,199	1,011	\$24,665,211	3,351
Undergraduate Academic Affairs	\$475,844	64	\$801,734	154	\$655,002	183	\$2,135,761	545
University Press	\$2,000	6	\$42,265	23	\$130,005	98	\$1,525,915	215
UW Alumni Association	\$93,499	1,749	\$363,835	6,546	\$294,429	6,278	\$943,635	17,676
UW Bothell	\$11,851	78	\$2,455,623	223	\$676,223	177	\$1,890,127	753
UW Tacoma	\$1,105,813	134	\$2,851,065	379	\$1,534,697	334	\$5,207,539	798
Other University Support	\$142,321	187	\$3,785,794	707	\$1,542,909	1,312	\$2,397,723	2,095
Total¹	\$39,542,701	12,204	\$139,524,635	41,555	\$119,237,865	44,955	\$334,637,675	113,114

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Private Grants	Total	Donors	Gifts	Private Grants	Total	Donors
2011-2012	\$51,582,858	\$87,941,776	\$139,524,635	41,555	\$51,582,858	\$87,941,776	\$139,524,635	41,555
2010-2011	\$170,201,978	\$164,435,696	\$334,637,675	113,114	\$38,923,723	\$80,314,141	\$119,237,865	44,955
2009-2010	\$135,813,022	\$150,815,796	\$286,628,819	113,746	\$41,027,566	\$76,746,276	\$117,773,842	40,055
2008-2009	\$148,364,809	\$175,713,667	\$324,078,477	109,083	\$56,891,087	\$74,939,616	\$131,830,703	40,721
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$59,530,856	\$66,905,100	\$126,435,956	46,419
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$47,080,249	\$51,097,282	\$98,177,531	41,262
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$47,328,278	\$41,920,482	\$89,248,760	36,130
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$49,499,496	\$41,615,811	\$91,115,307	32,944
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$40,115,399	\$43,839,685	\$83,955,084	33,564
2002-2003	\$192,573,183	\$118,677,722	\$311,250,905	88,259	\$34,187,163	\$40,154,560	\$74,341,723	33,166

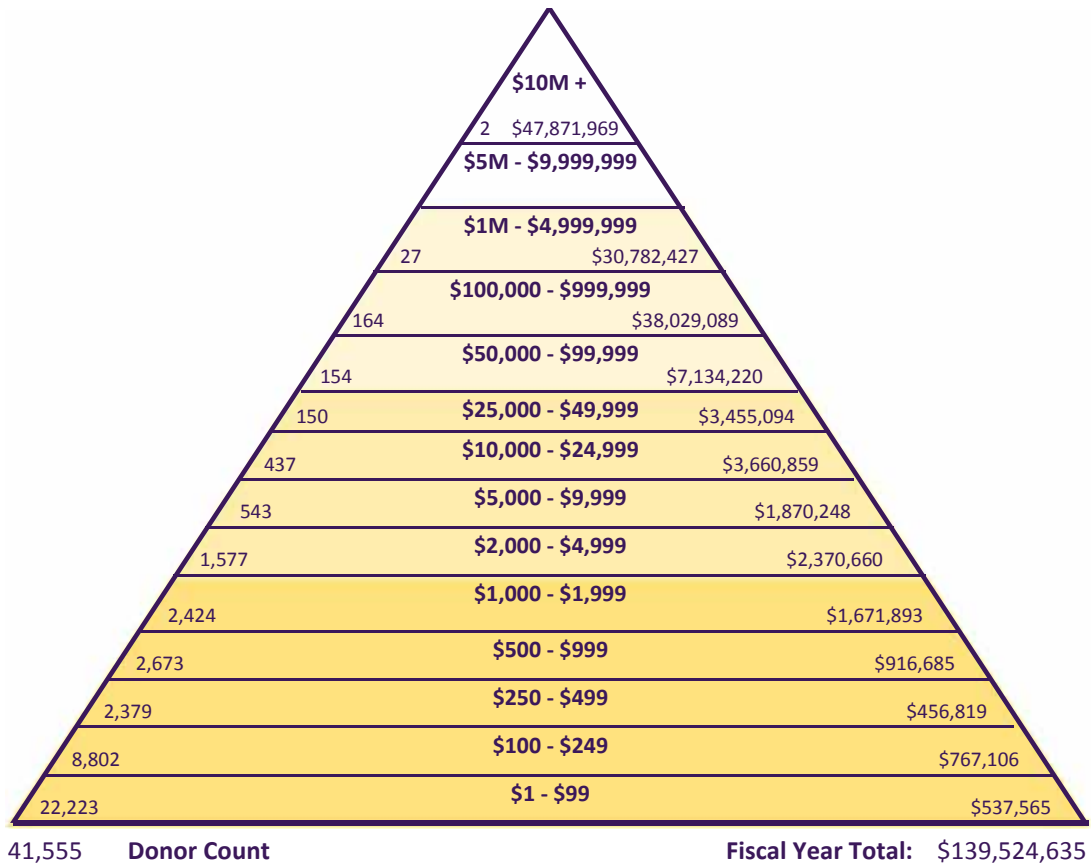
ANNUAL FUNDING THEME PROGRESS

Theme	Current Use	Year to Date	
		Endowment	Total
Student Support	\$3,710,788	\$6,351,045	\$10,061,833
Faculty Support	\$3,489,627	\$929,701	\$4,419,328
Program Support for Faculty and Students	\$106,715,900	\$4,249,013	\$110,964,913
Capital	\$5,013,594	\$775	\$5,014,369
Excellence Funds	\$8,635,200	\$428,992	\$9,064,192
Total	\$127,565,109	\$11,959,526	\$139,524,635

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors ¹	Total	Donors	Total
Alumni	21,035	\$11,277,976	20,514	\$8,398,368	48,671	\$48,006,717
Corporations	1,114	\$17,145,607	937	\$17,375,611	2,568	\$48,099,904
Family Foundations	68	\$3,290,090	57	\$5,832,078	161	\$16,071,226
Foundations	204	\$62,259,072	201	\$43,622,379	446	\$97,547,429
Non-Alumni	18,873	\$12,515,490	22,961	\$9,183,969	60,669	\$49,015,743
Organizations	261	\$33,036,400	285	\$34,825,459	599	\$75,896,655
Total	41,555	\$139,524,635	44,955	\$119,237,865	113,114	\$334,637,675

DEVELOPMENT ACTIVITY PYRAMID



¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data at the end of the prior fiscal year.

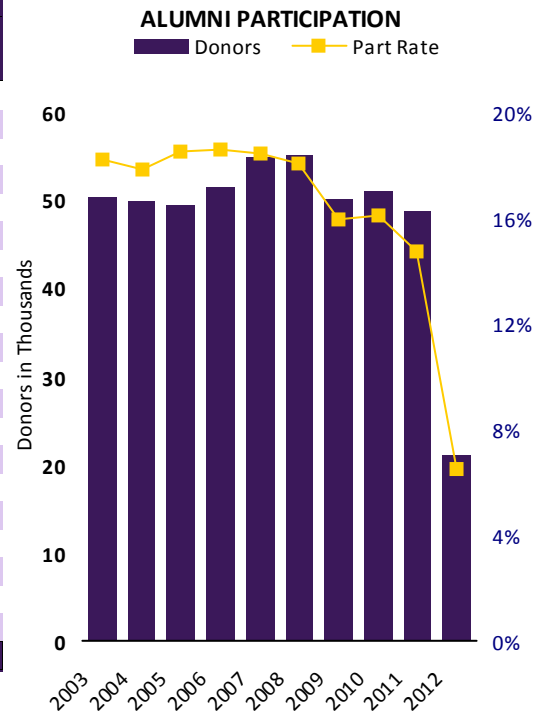
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +					\$35,984,398	\$11,887,571	\$47,871,969
\$5M - \$9,999,999							\$0
\$1M - \$4,999,999	\$1,003,260	\$4,183,457	\$1,153,193	\$5,556,347	\$11,001,125	\$7,885,045	\$30,782,427
\$100,000 - \$999,999	\$2,989,127	\$3,395,092	\$1,469,613	\$7,005,765	\$12,659,829	\$10,509,662	\$38,029,089
\$50,000 - \$99,999	\$1,341,177	\$988,823	\$228,050	\$1,583,050	\$1,844,394	\$1,148,728	\$7,134,220
\$25,000 - \$49,999	\$494,309	\$657,463	\$188,985	\$727,708	\$420,548	\$966,080	\$3,455,094
\$10,000 - \$24,999	\$1,106,568	\$698,112	\$141,500	\$1,204,001	\$158,947	\$351,731	\$3,660,859
\$5,000 - \$9,999	\$691,331	\$424,222	\$76,695	\$434,406	\$74,054	\$169,540	\$1,870,248
\$2,000 - \$4,999	\$1,181,443	\$635,948	\$20,250	\$377,615	\$77,028	\$78,375	\$2,370,660
\$1,000 - \$1,999	\$849,485	\$620,126	\$10,184	\$138,931	\$26,155	\$27,011	\$1,671,893
\$500 - \$999	\$511,141	\$332,026	\$1,100	\$58,968	\$7,275	\$6,175	\$916,685
\$250 - \$499	\$248,640	\$175,483	\$250	\$26,665	\$2,691	\$3,089	\$456,819
\$100 - \$249	\$494,569	\$241,302	\$200	\$26,201	\$2,337	\$2,498	\$767,106
\$1 - \$99	\$366,924	\$163,435	\$70	\$5,951	\$290	\$895	\$537,565
Total	\$11,277,976	\$12,515,490	\$3,290,090	\$17,145,607	\$62,259,072	\$33,036,400	\$139,524,635

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +					1	1	2
\$5M - \$9,999,999							0
\$1M - \$4,999,999	2	10	1	4	5	5	27
\$100,000 - \$999,999	26	32	7	33	36	30	164
\$50,000 - \$99,999	34	50	4	24	25	17	154
\$25,000 - \$49,999	30	46	7	23	15	29	150
\$10,000 - \$24,999	138	164	12	90	12	21	437
\$5,000 - \$9,999	208	197	13	83	14	28	543
\$2,000 - \$4,999	755	609	10	150	26	27	1,577
\$1,000 - \$1,999	1,142	1,107	9	123	23	20	2,424
\$500 - \$999	1,300	1,233	2	113	11	14	2,673
\$250 - \$499	1,126	1,133	1	98	9	12	2,379
\$100 - \$249	4,784	3,762	1	217	17	21	8,802
\$1 - \$99	11,490	10,530	1	156	10	36	22,223
Total	21,035	18,873	68	1,114	204	261	41,555

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

Area	Solicitable	To UW		To Unit	
		Year to Date	Part Rate	Year to Date	Part Rate
UW Medicine	19,296	1,594	8.26%	1,251	6.48%
Arts and Sciences	148,325	9,055	6.10%	3,373	2.27%
Business School	38,811	3,163	8.15%	1,372	3.54%
Built Environments	8,372	510	6.09%	292	3.49%
Dentistry	4,598	562	12.22%	403	8.76%
Education	17,578	1,241	7.06%	103	0.59%
Engineering	33,380	2,278	6.82%	1,516	4.54%
Environment	11,457	582	5.08%	194	1.69%
Evans School of Public Affairs	2,773	245	8.84%	71	2.56%
Interdisc. Grad. Programs	2,239	156	6.97%		
Interschool Programs	2,406	224	9.31%		
Information School	4,882	563	11.53%	404	8.28%
Law	8,074	632	7.83%	386	4.78%
School of Nursing	8,737	802	9.18%	580	6.64%
Pharmacy	3,705	386	10.42%	285	7.69%
Public Health	4,728	273	5.77%	45	0.95%
Social Work	6,543	406	6.21%	271	4.14%
UW Bothell	8,323	351	4.22%	56	0.67%
UW Tacoma	9,481	319	3.36%	80	0.84%
Unspecified	9,066	549	6.06%		
ALL UW TOTAL	324,647	21,035	6.48%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors ¹	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFY Final
UW Medicine	19,185	1,608	8.38%	3,576	18.64%	1,015	5.29%	2,273	11.85%
Arts and Sciences	151,787	8,885	5.85%	20,278	13.36%	2,580	1.70%	5,558	3.66%
Business School	39,725	2,971	7.48%	7,407	18.65%	1,122	2.82%	2,384	6.00%
Built Environments	8,496	515	6.06%	1,328	15.63%	159	1.87%	521	6.13%
Dentistry	4,650	477	10.26%	1,116	24.00%	281	6.04%	601	12.92%
Education	18,092	1,223	6.76%	3,180	17.58%	110	0.61%	542	3.00%
Engineering	33,868	2,101	6.20%	4,885	14.42%	1,062	3.14%	2,235	6.60%
Environment	11,635	637	5.47%	1,711	14.71%	226	1.94%	746	6.41%
Evans School of Public Affairs	2,747	225	8.19%	561	20.42%	54	1.97%	193	7.03%
Interdisc. Grad. Programs	2,200	130	5.91%	323	14.68%				
Interdisc. Undergrad. Programs									
Interschool Programs	2,459	227	9.23%	503	20.46%				
Information School	4,950	582	11.76%	981	19.82%	322	6.51%	464	9.37%
Law	8,186	586	7.16%	1,701	20.78%	219	2.68%	891	10.88%
School of Nursing	8,909	691	7.76%	1,709	19.18%	302	3.39%	883	9.91%
Pharmacy	3,690	362	9.81%	947	25.66%	273	7.40%	689	18.67%
Public Health	4,683	306	6.53%	736	15.72%	63	1.35%	234	5.00%
Social Work	6,753	383	5.67%	971	14.38%	135	2.00%	465	6.89%
UW Bothell	8,069	329	4.08%	803	9.95%	57	0.71%	271	3.36%
UW Tacoma	9,452	330	3.49%	772	8.17%	128	1.35%	373	3.95%
Unspecified	9,472	558	5.89%	1,540	16.26%				
ALL UW TOTAL	330,521	20,387	6.17%	48,671	14.73%				

¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data on the date this report was run in the prior fiscal year.

The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.



UWAA Member Giving by Constituency

School	Solicitable Alumni	Member		Alumni Giving	
		Members ¹	Donors	Members	Non Members
UW Medicine	19,296	1,809	469	25.93%	5.54%
Arts and Sciences	148,325	18,245	3,135	17.18%	3.06%
Business School	38,811	7,009	1,428	20.37%	3.65%
Built Environments	8,372	1,092	210	19.23%	2.47%
Dentistry	4,598	975	236	24.21%	7.04%
Education	17,578	2,777	488	17.57%	3.19%
Engineering	33,380	4,366	808	18.51%	3.90%
Environment	11,457	1,396	188	13.47%	2.66%
Evans School of Public Affairs	2,773	320	70	21.88%	4.73%
Interdisc. Grad. Programs	2,239	210	43	20.48%	3.79%
Interdisc. Undergrad. Progra					
Interscholar Programs	2,406	531	105	19.77%	4.21%
Information School	4,882	745	177	23.76%	7.86%
Law	8,074	1,013	235	23.20%	4.46%
School of Nursing	8,737	1,283	302	23.54%	5.29%
Pharmacy	3,705	615	169	27.48%	5.99%
Public Health	4,728	380	64	16.84%	3.50%
Social Work	6,543	561	106	18.89%	3.96%
UW Bothell	8,323	710	62	8.73%	1.92%
UW Tacoma	9,481	615	45	7.32%	1.45%
Unspecified	9,066	1,810	290	16.02%	1.89%
Non-Alumni		7,364	2,390	32.46%	
Total	324,647	51,661	10,091	19.53%	

Alumni Activity

1 in 3 registrants at 2011 UW events were UWAA members
1 in 2 registrants at 2011 UW events were UW donors
7 in 10 registrants at 2011 UW events were Solicitable Alumni
1 in 50 UWAA members attended a 2011 UW event
1 in 3 2010-2011 Football/Basketball season ticket holders were UWAA members
3 in 5 UWAA members were 2010-2011 Football/Basketball season ticket holders

Top 10 Membership by Class Year

Class Year	Part. Rate	Class Year	Population
1955	22.79%	2011	1,483
1953	21.65%	1971	1,109
1954	21.45%	1973	1,063
1959	21.24%	1974	1,042
1946	20.90%	1970	1,029
1956	20.80%	1972	1,006
1958	20.21%	1976	996
1950	20.13%	1975	983
1957	20.12%	1977	936
1952	19.92%	1968	891

Activity Participation - Rolling 3 Year Total ³

School ²	Participants	Part. Donors	% Donors	Alum Non-Part.	Alum Non-Par Donor	% Non-Part Donor
Intercollegiate Athletics	2,078	2,031	97.74%			
UW Medicine	4,596	3,264	71.02%	21,091	3,084	14.62%
Arts and Sciences	16,919	3,446	20.37%	138,200	7,681	5.56%
Built Environments	1,822	716	39.30%	7,332	666	9.08%
Business School	7,317	1,880	25.69%	33,883	2,978	8.79%
Dentistry	2,025	802	39.60%	2,905	403	13.87%
Education	2,156	411	19.06%	16,423	830	5.05%
Engineering	3,468	1,053	30.36%	31,496	3,097	9.83%
Environment	1,514	648	42.80%	10,861	973	8.96%
Evans School of Public Affairs	963	325	33.75%	2,164	260	12.01%
Graduate School	399	150	37.59%	2,151	4	0.19%
Information School	813	250	30.75%	4,511	637	14.12%
Law	2,277	872	38.30%	6,480	963	14.86%
Libraries	1,316	1,274	96.81%			
Nursing	1,073	410	38.21%	8,236	1,271	15.43%
Pharmacy	439	258	58.77%	3,537	896	25.33%
Public Health	718	230	32.03%	4,033	351	8.70%
Social Work	922	290	31.45%	6,121	622	10.16%
UW Bothell	934	262	28.05%	8,374	651	7.77%
UW Tacoma	667	239	35.83%	9,797	790	8.06%

¹ Members include paid Annual Members, Lifetime Members, and TPC Level Donors

² Activity is based on a unit affiliated Alumni or Donor being labeled as a positive RSVP, host, speaker, or participant at any tracked UW activity.

³ 3-Years consists of any activity since 7/1/2006

Source: University of Washington Alumni Association

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Grant and Contract Awards Summary – October 2011

RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept the Grant and Contract Awards of \$1,000,000 or more as presented in the attached report.

Attachment

Grant and Contract Awards Summary for October 2011

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

October 2011

Office of Research

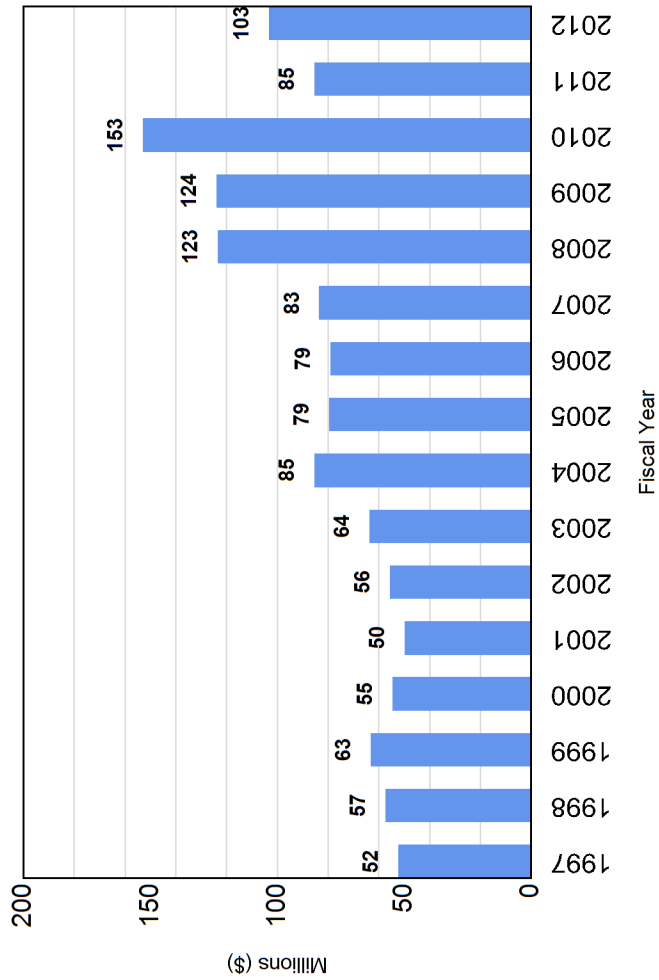
Office of Sponsored Programs

The numbers provided in this report are subject to adjustment at the time that the Annual Report of Sponsored Activity is published.
The changes would reflect modifications and additions to existing awards.

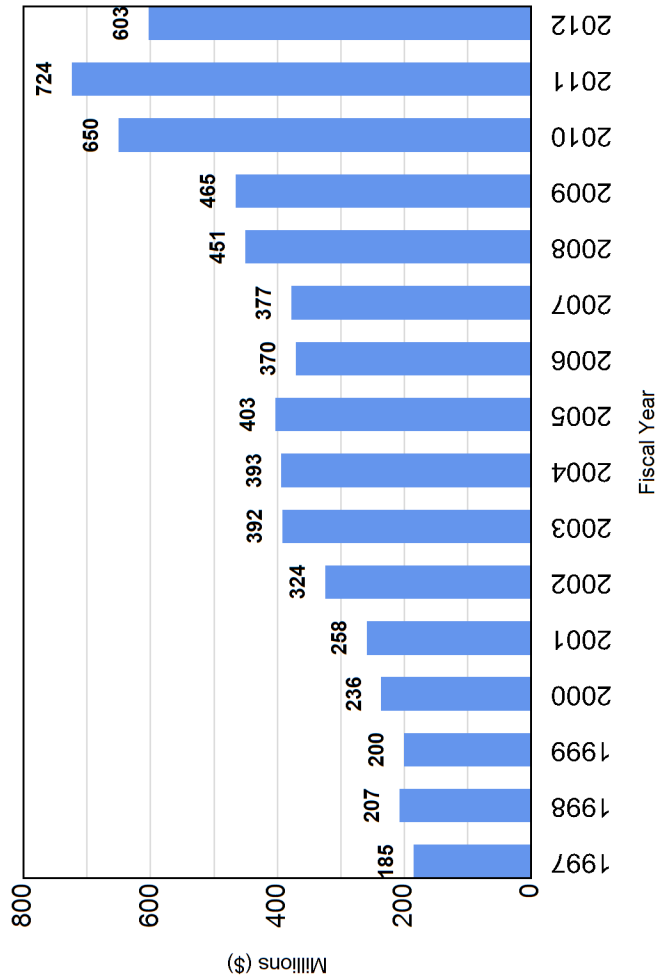
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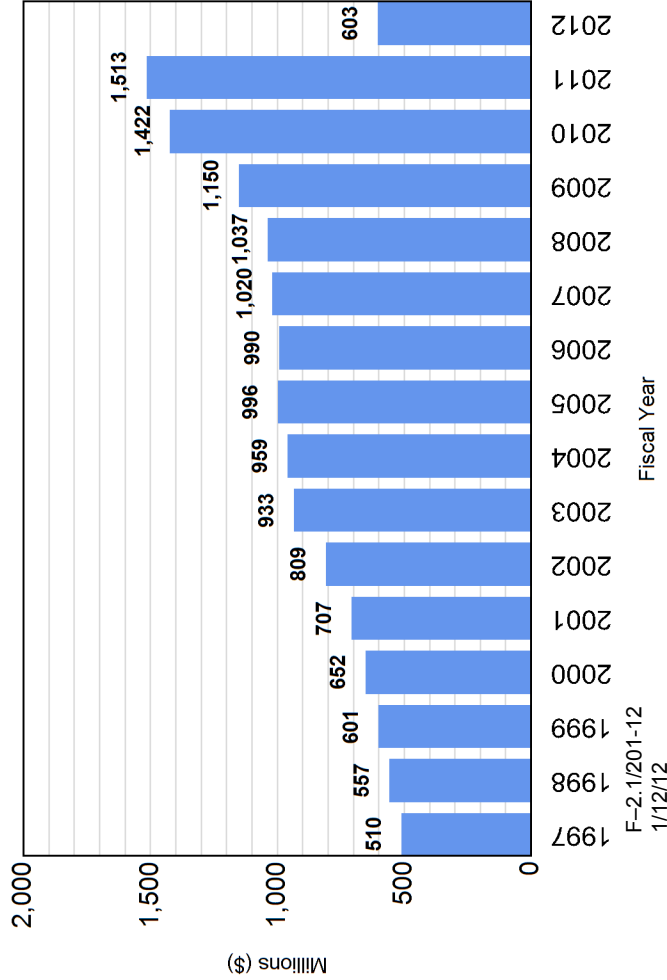
October Only Comparison of Grant & Contract Awards



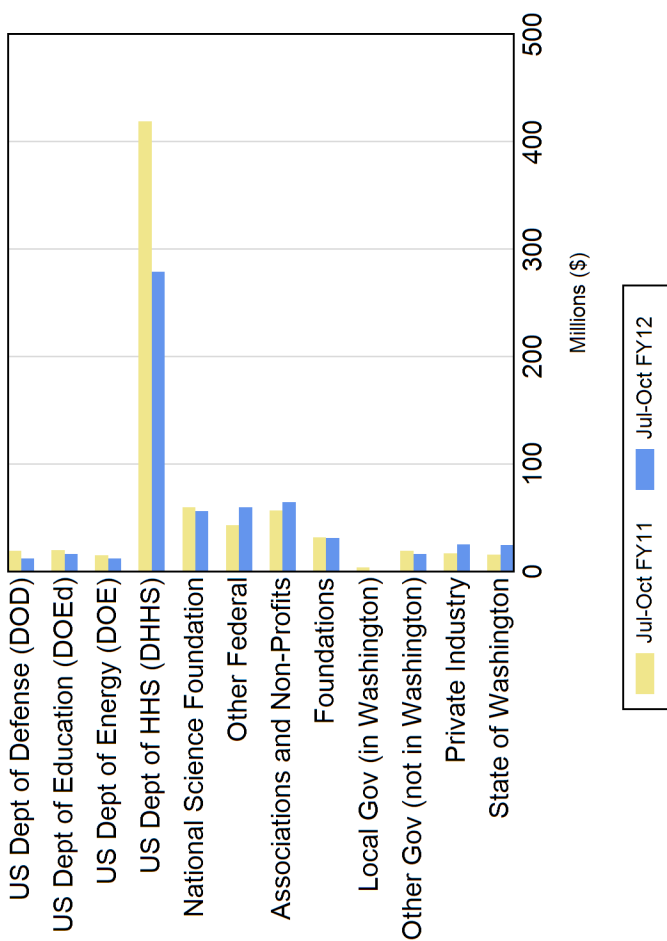
Fiscal Year to Date Comparison of Grant & Contract Awards



Complete Fiscal Year Comparison of Grant & Contract Awards



Fiscal Year to Date Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract AwardsFiscal Year 2011-2012

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 72,776,120	\$ 30,196,740	\$ 7,642,882	\$ 2,388,481	\$ 113,004,200
August	\$ 127,093,600	\$ 38,322,890	\$ 11,366,250	\$ 954,419	\$ 177,737,200
September	\$ 142,422,700	\$ 57,712,860	\$ 7,741,284	\$ 1,267,491	\$ 209,144,400
October	\$ 64,757,680	\$ 33,027,160	\$ 4,470,465	\$ 802,690	\$ 103,058,000
FY12 to Date	\$407,050,185	\$159,259,657	\$31,220,876	\$5,413,081	\$602,943,799
FY11 to Date	\$500,440,758	\$137,821,592	\$77,380,414	\$8,263,439	\$723,906,203
Over (Under) Previous Year	(\$93,390,573)	\$21,438,065	(\$46,159,538)	(\$2,850,358)	(\$120,962,405)

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2010-2011 and 2011-2012

Agency	Jul-Oct FY11	Jul-Oct FY12
US Department of Defense (DOD)	\$ 19,452,327	\$ 12,683,959
US Department of Education (DOEd)	\$ 20,103,992	\$ 16,832,808
US Department of Energy (DOE)	\$ 15,144,826	\$ 12,366,726
US Department of Health and Human Services (DHHS)	\$ 419,459,446	\$ 279,261,468
National Science Foundation (NSF)	\$ 60,076,873	\$ 56,732,783
Other Federal	\$ 43,583,708	\$ 60,393,317
Subtotal for Federal :	\$ 577,821,172	\$ 438,271,061
Associations and Non-Profits	\$ 57,412,101	\$ 64,869,250
Foundations	\$ 32,029,624	\$ 31,351,634
Local Government (in Washington)	\$ 3,897,832	\$ 1,049,435
Other Government (not in Washington)	\$ 19,331,961	\$ 16,664,856
Private Industry	\$ 17,457,038	\$ 25,513,370
State of Washington	\$ 15,956,476	\$ 25,224,193
Subtotal for Non-Federal :	\$ 146,085,032	\$ 164,672,738
Grand Total :	\$ 723,906,203	\$ 602,943,799
Amount of Increase (Decrease) :		(\$ 120,962,405)
Percent of Increase (Decrease) :		(16.7 %)

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2010-2011 and 2011-2012

School/College	Jul-Oct FY11	Jul-Oct FY12
Upper Campus		
Architecture and Urban Planning	\$ 1,595,904	\$ 120,348
Arts and Sciences	\$ 42,510,988	\$ 39,139,472
College of the Environment	\$ 51,043,125	\$ 77,196,649
Director of Libraries	\$ 282,381	\$ 5,336,571
Education	\$ 14,584,009	\$ 10,287,419
Educational Outreach	\$ 175,000	\$ 50,000
Engineering	\$ 53,032,030	\$ 46,071,413
Evans School of Public Affairs	\$ 1,470,069	\$ 1,058,851
Executive Vice President		\$ 19,981
Foster School of Business	\$ 894,700	\$ 275,043
Graduate School	\$ 682,473	\$ 2,647,768
Information School	\$ 1,205,204	\$ 3,241,236
Law	\$ 1,380,616	\$ 3,098,136
Office of Research	\$ 30,433,838	\$ 19,937,130
Provost		\$ 29,468
Social Work	\$ 13,096,091	\$ 6,627,174
Undergraduate Education	\$ 341,438	\$ 251,836
VP Minority Affairs	\$ 6,597,431	\$ 8,728,012
VP Student Life		\$ 46,000
Subtotal :	\$ 219,325,297	\$ 224,162,507
Health Sciences		
Dentistry	\$ 1,793,334	\$ 1,443,003
Medicine	\$ 374,882,493	\$ 283,497,668
Nursing	\$ 11,073,879	\$ 6,476,664
Pharmacy	\$ 6,848,438	\$ 7,328,009
Public Health	\$ 93,681,268	\$ 51,209,555
Subtotal :	\$ 488,279,412	\$ 349,954,899
Special Programs		
Alcohol and Drug Abuse Institute	\$ 2,761,186	\$ 2,003,448
CHDD Administration	\$ 4,260,645	\$ 5,941,003
Hall Health Primary Care Center	\$ 283,155	
Regional Primate Center	\$ 6,553,080	\$ 17,625,641
Subtotal :	\$ 13,858,066	\$ 25,570,092
Other UW Campuses		
Bothell	\$ 1,206,891	\$ 2,532,817
Tacoma	\$ 1,236,538	\$ 723,483
Subtotal :	\$ 2,443,429	\$ 3,256,300

School/College

Jul-Oct FY11

Jul-Oct FY12

Grand Total :

\$ 723,906,203

\$ 602,943,799

Summary of Grant Awards**Fiscal Year 2011-2012***Excluding private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 71,450,980	\$ 5,019,858	\$ 7,510,674	\$ 126,824	\$ 84,108,340
August	\$ 120,682,900	\$ 2,948,988	\$ 11,366,250	\$ 314,640	\$ 135,312,800
September	\$ 138,462,000	\$ 9,825,795	\$ 7,721,284	\$ 172,830	\$ 156,181,900
October	\$ 55,642,320	\$ 8,875,251	\$ 4,470,465	\$ 255,199	\$ 69,243,240
Year to Date	\$ 386,238,300	\$ 26,669,890	\$ 31,068,670	\$ 869,493	\$ 444,846,300

Summary of Grant Awards**Fiscal Year 2011-2012***Private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 21,192,800	\$ 165,382	\$ 21,358,180
August	\$ 20,388,870	\$ 425,819	\$ 20,814,690
September	\$ 37,401,440	\$ 1,061,446	\$ 38,462,890
October	\$ 19,511,720	\$ 515,275	\$ 20,026,990
Year to Date	\$ 98,494,820	\$ 2,167,922	\$ 100,662,700

Summary of Contract Awards

Fiscal Year 2011-2012

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 1,325,143	\$ 3,984,090	\$ 132,208	\$ 2,096,275	\$ 7,537,716
August	\$ 6,410,709	\$ 14,985,040	\$ 0	\$ 213,960	\$ 21,609,700
September	\$ 3,960,724	\$ 10,485,630	\$ 20,000	\$ 33,215	\$ 14,499,570
October	\$ 9,115,353	\$ 4,640,188	\$ 0	\$ 32,216	\$ 13,787,760
Year to Date	\$ 20,811,930	\$ 34,094,940	\$ 152,208	\$ 2,375,666	\$ 57,434,740

**Report of Grant and Contract Awards
of \$1,000,000 or More**

October 2011

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Education (DOEd)

US Department of Education (DOEd)

To:	Enrique Morales, Associate Vice President V Pres/V Prov Omad	\$ 3,964,000
For:	Rural Initiative in STEM Education and Undergraduate Preparation	
Eff:	9/26/2011	Classified: No
To:	Kathleen Matrone, Clinical Assistant Professor Rehabilitation Medicin	\$ 1,000,000
For:	ADA National Network Regional Center (Northwest ADA Center) to provide a sustained program of outreach, training, technical assistance, information dissemination, and capacity building	
Eff:	10/1/2011	Classified: No

Total for US Department of Education (DOEd): **\$ 4,964,000**

Total for US Department of Education (DOEd): **\$ 4,964,000**

US Department of Energy (DOE)

US Department of Energy (DOE)

To:	Leslie Rosenberg, Professor Physics	\$ 1,275,000
For:	The Phase II Upgrade of the Axion Dark-Matter Experiment (ADMX)	
Eff:	9/1/2011	Classified: No

Total for US Department of Energy (DOE): **\$ 1,275,000**

Total for US Department of Energy (DOE): **\$ 1,275,000**

US Department of Health and Human Services (DHHS)

Centers for Disease Control and Prevention (CDC)

To:	Scott Barnhart, Professor Global Health	\$ 2,300,000
For:	Reducing new HIV infections in Bonjanala Platinum and Dr. Ruth Segomotsi Momapti, North West Province, Republic of South Africa	
Eff:	9/30/2011	Classified: No
To:	Jeffrey Harris, Professor Health Services/Main	\$ 3,881,134
For:	Health Promotion Research Center	
Eff:	9/30/2011	Classified: No

Total for Centers for Disease Control and Prevention (CDC): **\$ 6,181,134**

Health Resources and Services Administration (HRSA)

To:	King Holmes, Professor Global Health	\$ 17,380,003
For:	Building Sustainable Human and Institutional Capacity for HIV Care	
Eff:	4/1/2011	Classified: No

Total for Health Resources and Services Administration (HRSA): **\$ 17,380,003**

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

To: Christine Queitsch, Assistant Professor
Genome Sciences \$ 2,316,272

For: Does organismal robustness explain the missing heritability in
complex diseases?
Eff: 9/30/2011 Classified: No

To: Kenneth Krohn, Professor
Radiology \$ 1,409,387

For: Molecular Imaging of Cancer and Its Response to Therapy
Eff: 9/21/2011 Classified: No

To: Allan E. Rettie, Professor
Medicinal Chemistry \$ 1,379,448

For: Drug Interactions
Eff: 8/1/2011 Classified: No

National Institute of General Medical Sciences (NIGMS)

To: Carl Blau, Professor
Department Of Medicine \$ 1,927,337

For: Self Renewal and Differentiation of Human Embryonic Stem Cells
Eff: 8/1/2011 Classified: No

Total for National Institute of General Medical Sciences (NIGMS): \$ 1,927,337

Total for National Institutes of Health (NIH): \$ 7,032,444

Total for US Department of Health and Human Services (DHHS): \$ 30,593,581

Other Federal

National Institute of Justice (NIJ)

To: Bruce Weir, Professor
Biostatistics \$ 1,269,456

For: Population Genetic Issues for Forensic DNA Profiles
Eff: 1/1/2012 Classified: No

Total for National Institute of Justice (NIJ): \$ 1,269,456

Total for Other Federal: \$ 1,269,456

Total for Federal: \$ 38,102,037

State of Washington

Washington State Life Sciences Discovery Fund Authority (LSDFA)

To: David Flum, Professor
Surgery \$ 2,264,714

For: LSDF SCOAP CER Network
Eff: 10/16/2011 Classified: No

Total for Washington State Life Sciences Discovery Fund Authority (LSDFA): \$ 2,264,714

Total for State of Washington: \$ 2,264,714

Total Public Grants: \$ 40,366,751

Detail of Private Grant Awards

Associations and Non-Profits

Wake Forest University

To: Jeffrey Probstfield, Professor \$ 4,126,563
Department Of Medicine
For: Action to Control Cardiovascular Risk in Diabetes (ACCORD)
Follow-up Trial
Eff: 7/1/2011 Classified: No

Total for Wake Forest University: \$ 4,126,563

Total for Associations and Non-Profits: \$ 4,126,563

Foundations

Bill and Melinda Gates Foundation

To: Buddy Ratner, Professor \$ 1,073,950
Bioengineering
For: Field-Deployable, Rapid Micronutrient Measurement by Plasma
Pencil Mass Spectrometry
Eff: 10/27/2009 Classified: No

Total for Bill and Melinda Gates Foundation: \$ 1,073,950

Total for Foundations: \$ 1,073,950

Private Industry

Alcon Laboratories, Inc.

To: John Neitz, Professor \$ 1,434,476
Ophthalmology
For: Detecting susceptibility and modulating refractive error in developing
eyes
Eff: 6/1/2011 Classified: No

Total for Alcon Laboratories, Inc.: \$ 1,434,476

Total for Private Industry: \$ 1,434,476

Total Private Grants: \$ 6,634,989

Detail of Contract Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Heart, Lung, and Blood Institute (NHLBI)

To: Richard A Kronmal, Professor \$ 3,549,285
Biostatistics

For: Multi-Ethnic Study of Atherosclerosis

Eff: 12/21/1998 Classified: No

Total for National Heart, Lung, and Blood Institute (NHLBI): \$ 3,549,285

National Institute of Allergy and Infectious Diseases (NIAID)

To: Dorothy L Patton, Professor \$ 1,386,005
Obgyn/Admin

For: Topical Microbicide Safety and Efficacy Evaluation in Nonhuman
Primates

Eff: 5/31/2007 Classified: No

Total for National Institute of Allergy and Infectious Diseases (NIAID): \$ 1,386,005

National Institute of Child Health and Human Development (NICHD)

To: Elaine M. Faustman, Professor \$ 1,069,234
Enviro & Occup Health

For: Pacific Northwest Center for National Children's Study-Grant

Eff: 9/26/2008 Classified: No

To: Elaine M. Faustman, Professor \$ 1,400,000
Enviro & Occup Health

For: Pacific Northwest Center for National Children's Study-Grant

Eff: 9/26/2008 Classified: No

Total for National Institute of Child Health and Human Development (NICHD): \$ 2,469,234

Total for National Institutes of Health (NIH): \$ 7,404,524

Total for US Department of Health and Human Services (DHHS): \$ 7,404,524

Total for Federal: \$ 7,404,524

Total Contracts: \$ 7,404,524

Grand Total for all Awards \$ 54,406,264

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents, Delegation of Authority, and to the delegation of authority from the President of the University to the Senior Vice President in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED AUTHORITY –
CAPITAL PROJECT BUDGETS

1. Power Plant South and Middle Cooling Tower Refurbishment
Project No. 203458
Action Reported: Award Construction Contract

On November 25, 2011, a construction contract was awarded to Shinn Mechanical Inc. in the amount of \$1,311,512 for the Power Plant South and Middle Cooling Tower Refurbishment project. Seven bids were received for this project; the highest base bid was \$2,179,000. The budgeted construction cost was \$1,258,000.

Shinn Mechanical is a mechanical contractor with offices in Kent, Washington, and they have provided mechanical construction services for a wide variety of projects at the University.

The original eight south cooling towers at the Power Plant were constructed in 1965. This project replaces motors, drives, fans and other internal components of the cooling towers to increase reliability, reduce energy and water consumption, and reduces operational noise generated by the equipment.

Construction started in November 2011. Completion is scheduled for early May 2012.

The total project budget for this work is \$1,900,000. Funding of \$100,000 has been provided from the 09-11 Building Renewal fund. An additional \$1,800,000 has been provided from the 11-13 Building Renewal fund.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 2)

Budget Summary:	Current Approved Budget	Forecast Cost At Completion*
Total Consultant Services	\$134,000	\$125,786
Total Construction Cost*	\$1,584,200	\$1,595,201
Other Costs	\$35,630	\$34,013
Project Administration	\$146,170	\$145,000
Total Project Budget	\$1,900,000	\$1,900,000

* Includes construction contract amount, contingencies and state sales tax.

2. UWMC 2NN Catheter Lab 4 Replacement Design Project No. 203783
Actions Reported: Select Architect/Adopt Budget

On March 19, 2008, an architectural agreement was awarded to ZGF Architects, for the UWMC 2NN Catheter (Cath) Lab 4 Replacement Design project under their existing Master Term for Architectural Services contract. The agreement amount is \$164,797 for basic services versus a budget value of \$218,757 for design consultants. The balance of the design budget is intended for a hazardous materials consultant, permit expeditor, and for testing and balancing.

ZGF has provided healthcare design for some of the region's largest healthcare providers including the new Franciscan Health Care Center in Gig Harbor and Providence Regional Medical Center. They have recently completed the interior renovation of the UWMC Otolaryngology Lobby remodel. The firm, with over 100 employees, has provided space planning, tenant improvements, expansion, and relocation services for the University of Washington Medical Center and the Seattle Cancer Care Alliance.

This project renovates the existing Cath Lab 4 and support spaces. The renovation replaces aging single plane catheter lab equipment with new bi-plane equipment. The new equipment requires modification to the existing mechanical and electrical utilities servicing the room. New finishes and lighting will be installed with the renovation.

Design is expected to be completed by March 2012, with construction beginning in May and lasting through October 2012.

The project budget is established at \$1,000,000. This project will be funded by the University of Washington Medical Center.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 3)

Budget Summary:	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$218,757	\$218,757
Total Construction Cost*	\$657,000	\$657,000
Other Costs	\$40,753	\$40,753
Project Administration	\$83,490	\$83,490
Total Project Budget	\$1,000,000	\$1,000,000

* Includes construction contract amount, contingencies and state sales tax.

3. Padelford Hall Elevator Renovation and Modernization
Project No. 203454
Action Reported: Award Construction Contract

On November 28, 2011, a construction contract was awarded to Regency Northwest Construction of Bellevue, Washington, in the amount of \$967,000 for the Padelford Hall Elevator Renovation and Modernization. Three bids were received. The highest bid was \$1,104,900. The budgeted construction cost was \$1,065,000.

Regency NW Construction Inc. is a general construction company based in Bellevue, Washington. The company was founded in 1996, has 17 employees, and is experienced in a wide range of educational, healthcare and research facility type projects. Regency has recently completed projects for the UW including Kincaid Hall and Odegaard Undergraduate Library Elevator Upgrades. Regency NW has worked on the UW campus since 1996.

This project renovates the two aging elevators in Padelford Hall, and installs a new third elevator in an existing vacant elevator shaft.

The construction schedule is 328 calendar days with the first elevator going out of service in early February 2012. One elevator will remain in service at all times.

The project budget is established at \$1,755,000. Funding of \$1,805,000 is provided from 11-13 Building Renewal Funds.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 4)

Budget Summary:	Original Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$243,719	\$230,806
Total Construction Cost*	\$1,341,396	\$1,217,695
Other Costs	\$35,658	\$52,272
Project Administration	\$134,227	\$134,227
Total Project Budget	\$1,755,000	\$1,635,000

* Includes construction contract amount, contingencies and state sales tax.

4. UWMC 5EE 5SE Nursing Unit Renovation Project No. 203416 Actions Reported: Award Construction Contract/Budget Adjustment

On November 28, 2011, a construction contract was awarded to Andersen Construction Company of Seattle, Washington, in the amount of \$894,479 for the UWMC 5EE 5SE Nursing Unit Renovation Project. Four bids were received. The highest bid was \$1,334,800. The forecast construction cost was \$615,000 prior to bid. The higher bids are the result of a longer than planned phased construction schedule to maintain operations, and inclusion of equipment that was originally planned for purchase outside of the project budget. The budget was increased from \$1,177,000 to \$1,535,000.

Andersen Construction is a general contractor that was formed in 1950 in Portland, Oregon. Andersen has completed numerous projects in healthcare, higher education, tenant improvement and commercial office space. Oregon State University, Kaiser, the State of Washington and NOAA are some of their recent clients. Andersen Construction recently completed the 3SW MICC Lobby Renovation and the Otolaryngology Lobby remodel for UWMC, and is also on the Critical Care Roster for the University of Washington Medical Center and Harborview Medical Center.

The UWMC 5EE 5SE Nursing Unit improves the family wait area experience on 5EE and 5SE, renovates the clinical integration center on 5EE and 5SE, renovates observation stations on 5EE, 5NN and 5SE, creates multidisciplinary spaces for teams, consolidates sleep areas, provides additional offices for staff, relocates a staff break and locker room and improves equipment supply organization.

Construction started in December 2011, and is planned to be completed in early

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 5)

April 2013. Phased construction will allow the departments to maintain operation throughout the construction process.

Funding of \$1,535,000 is provided from the University of Washington Medical Center.

Budget Summary	Previously Approved Budget	Current Approved Budget Forecast Cost At Completion
Total Consultant Services	\$204,293	\$204,386
Total Construction Cost*	\$842,511	\$1,175,346
Other Costs	\$29,925	\$29,940
Project Administration	\$100,271	\$125,328
Total Project Budget	\$1,177,000	\$1,535,000

* Includes construction contract amount, contingencies, and state sales tax.

5. UWMC Fire Alarm Replacement Project No. 201412 Action Reported: Budget Adjustment

The UWMC Fire Alarm Replacement project was planned for installation over three biennia; phases 1 and 2 are complete. In November 2011, the budget was increased for the third and final phase from \$3,940,000 for phases 1 and 2 to \$4,530,000 to cover the full cost of all three phases.

This project replaces an existing fire alarm system consisting of six fire system loops that protect Wings AA, BB and RR of the Health Sciences Center and the University of Washington Medical Center with the exceptions of the Surgery Pavilion. The new fire alarm system is fully addressable and integrated with existing addressable fire alarm system components and building infrastructure (HVAC, elevators, etc.) systems.

Phase 1 completed the entire fire system design and installed two loops in parallel with the existing fire alarm system which was required to remain in operation until the completion of all phases of the project. Phase 2 installed the new fire alarm on the remaining four loops in parallel with the existing system. Phase 3 will reprogram the new system to be a fully functional standalone fire alarm, certify the new system with the fire department and decommission the old fire alarm system. Phase 3 will start in December 2011 and be complete in March 2013.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 6)

The project budget is established at \$4,530,000. Funding of \$3,178,500 is provided by the University of Washington Medical Center, and the remaining \$1,351,500 is provided by Capital Safety through Environmental Health and Safety.

Budget Summary:	Original Approved Budget	Current Approved Budget and Forecast Cost At Completion
Total Consultant Services	\$455,263	\$407,259
Total Construction Cost*	\$2,867,352	\$3,144,190
Other Costs	\$292,237	\$611,724
Project Administration	\$325,148	\$366,827
Total Project Budget	\$3,940,000	\$4,530,000

*Includes construction contract amount, contingencies and state sales tax.

6. Campus-Wide Bike Shelters and Enclosures Project No. 203480 Action Reported: Select Architect

On November 9, 2011 a professional services agreement was awarded to Schemata Workshop, LLC for the Campus-Wide Bike Shelters and Enclosures project. In August 2011, the Capital Projects Office selected five firms: Alta Planning & Design, CAST Architecture, Schemata Workshop, SHKS Architects and Toole Design Group via the Shared Procurement Portal and reviewed their project specific qualifications submittals on September 19, 2011. The agreement amount is \$155,076 for professional services versus a budget value of \$245,689 for design consultants. The balance of the design budget is intended for a hazardous materials consultant, detailed site design, survey work and a structural consultant.

Schemata Workshop is a Minority and Women Business Enterprise (MWBE) certified firm located in Seattle and formed in 2004. Their recent work has focused on multifamily housing including co-housing that has small living areas and shared common spaces, offices, schools and a variety of other projects. Schemata has received several awards including the 2008 National American Institute of Architects (AIA) Young Architect Award (one of ten bestowed), the 2009 Seattle Mayor's Small Business Award and the AIA/EPA Life Cycle Building Challenge Award for a pre-engineered building design that allows future disassembly and re-assembly of the building components.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 7)

The project will develop a model to predict bicycle parking demand, provide guidance in choosing sites and develop a prototypical design for both the shelter and enclosure that would be adaptable to various campus locations. The goal is to install 30+ shelters and 20+ enclosures campus-wide by the end of 2012. The actual number of shelters and enclosures to be installed will be determined at a later date once construction costs are confirmed. Design is expected to complete by March 2012, with construction beginning in June and lasting through December 2012.

The approved project budget is \$1,500,000. Funding of \$1,500,000 is provided by Transportation Services.

Budget Summary	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$245,689	\$245,689
Total Construction Cost*	\$1,111,644	\$1,111,644
Other Costs	\$45,493	\$45,493
Project Administration	\$97,174	\$97,174
Total Project Budget	\$1,500,000	\$1,500,000

* Includes construction contract amount, contingencies and state sales tax.

REPORT OF ACTIONS TAKEN UNDER SPECIFIC DELEGATED AUTHORITY – CAPITAL PROJECT BUDGETS

1. University of Washington Bothell Student Activity Center (SAC)
Project No. 203835
Action Reported: Select Architect

In November 2011, the Board of Regents delegated authority to the President to award a design contract for the University of Washington Bothell Student Activity Center.

The Capital Projects Office issued a Request for Qualifications for design services, and received responses from ten firms. A selection committee reviewed the responses and selected three firms: THA Architecture Inc, LMN Architects

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 8)

and Opsis Architecture, who were interviewed by the University's Architectural Commission on December 11, 2011.

LMN was selected as the firm with whom to negotiate, with Opsis recommended as the second-ranked firm. LMN's initial work will focus on refining the program generated in a prior feasibility study, developing the conceptual direction for the project, as well as establishing the project budget. Pending approval of new student fees, a full design contract will be negotiated and executed in the first half of 2012.

LMN Architects, established in Seattle in 1979, has grown to include a professional staff of approximately 100. The firm's work focuses on projects in the public realm, including convention centers, performing arts facilities, sports arenas, and higher education. LMN has completed over 25 projects for the University of Washington, including the Paul G. Allen Center for Computer Science and Engineering, PACCAR Hall, the Floyd and Delores Jones Playhouse, and the Foster School of Business Phase 2 (currently under construction). They are also completing the predesign for the University of Washington Medical Center Main Entrance Upgrade.

The project will provide spaces for food service, student leadership offices and clubs, fitness and recreation, casual study, and, potentially, an Events Center. The University of Washington Bothell and Cascadia Community College are expected to jointly use the facility. The proposed site is immediately south of the existing North Creek Events Center (NCEC), and modifications and/or additions to that facility to suit the SAC program may be considered as part of this Project. The prior study resulted in a preferred option which included a 5,000 square foot Events Center and re-purposed the NCEC into a dining facility, but other options will be considered as the project is developed. The campus's continued and projected growth may also require revisions to some of the program elements' size and/or configuration.

The Project scope described in the feasibility study was expected to have a total project cost range of \$24.4 million to \$27.8 million and consist of between 39,500 and 48,000 gross square feet.

Funding for the start of the proposed design will be provided from University of Washington Bothell student reserve funds (\$300,000 approved by UW Bothell Student Activity Fee Committee). Funding for the project is generally proposed to be paid for by bonds supported by University of Washington Bothell student fees, pending approval by the student body and the Board of Regents. Additional

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 9)

funding from Cascadia Community College or potential retail uses is being analyzed and may also be included in the final budget.

Budget Summary	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	N.A.	To be determined
Total Construction Cost	N.A.	To be determined
Equipment, Artwork, Other Costs	N.A.	To be determined
Project Administration	N.A.	To be determined
Total Project Budget	N.A.	To be determined

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED AUTHORITY – ACQUISITION OF GOODS AND SERVICES

1. Philips Ingenia 3.0T MRI Systems
Actions Reported: Award Contracts for Purchase

Under delegated authority, the Director of Purchasing Services, or his designee, has executed contracts with Philips Healthcare to purchase two Ingenia 3.0T MRI systems as part of the UWMC Expansion Project. The contract price is \$2,215,842.00/ea, which includes \$192,242/ea in sales tax. This cost represents a 49.7% discount off of Vendor’s standard list price.

Two agreements, Purchase Orders CAP12112866 and CAP12112880, have been executed based on UW RFP #UW-11-0005 awarded to Philips Healthcare as the responsive and responsible bidder. The contract is funded by the UMWC Expansion capital budget.

2. Isilon Systems Incorporated
Action Reported: Purchase of a Data Storage System

Under delegated authority, the Director of Purchasing Services, or his designee, has issued a sole source purchase order (PO 726200) to Isilon Systems Inc. for a quantity of (1) Data Storage System. The order was sole sourced based on the Vendor’s unique ability to scale a single file-system to greater than 10 Petabytes,

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued, p. 10)

and to maintain compatibility with existing data storage systems. This purchase was funded by a Federal Contract received by the Environmental & Occupational Health Department given to support genetic DNA testing and was therefore not subject to sales tax under the M&E tax exemption provision.

This order was executed for total value of \$1 million which includes a negotiated discount of 68% off of the list price of \$3.1 million.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2011

For information only.

Attachment

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2011

Metropolitan Tract Quarterly Report

Q3 as of 9/30/2011

Q3 Notables:

- Quarterly and year to date revenues exceeded the budget due to the positive impact of the amendment to the terms of the Unico lease in May
- Total occupancy on the Metropolitan Tract is 89%, with office occupancy at 90% and retail at 85%
- The Fairmont hotel has recorded improved occupancy as well as rate increases and is budgeting for further recovery in 2012
- Capital expenditures are on pace with budget as significant leasing (116,000 SF) has occurred during the year. 116,000 SF leased year to date
- In November, \$8 million was distributed to the University for fiscal year 2012

Market News:

Continued leasing in the South Lake Union area and the Russell Investment Tower has provided a positive market trend
Puget Sound office market still seen as attractive investment opportunity compared to other US cities. Office vacancy in Seattle is currently at 18%

Operations & Cash Flow

(Millions \$)

(Millions \$)	Quarter Ended 9/30		YTD		Historical
	Q3 Actual	Quarterly	YTD	2011 Budget	2010
Revenues ¹	\$ 6.71	\$ 5.35	\$ 17.37	\$ 16.04	\$ 22.03
Operating Expenses ²	\$ (0.78)	\$ (0.96)	\$ (2.37)	\$ (2.88)	\$ (4.12)
NOI	\$ 5.93	\$ 4.38	\$ 14.99	\$ 13.15	\$ 17.91
Non-Operating Expenses	\$ (0.36)	\$ (0.58)	\$ (1.70)	\$ (1.73)	\$ (2.30)
Net Income	\$ 5.57	\$ 3.81	\$ 13.29	\$ 11.43	\$ 15.61
Capital & TIs ³	\$ (0.89)	\$ (1.16)	\$ (3.38)	\$ (3.47)	\$ (4.95)
Net Cash Flow	\$ 5.04	\$ 2.65	\$ 9.92	\$ 7.95	\$ 12.97
Distribution to University	\$ -	-	-	(8.00)	(8.00)
Increase/(Decrease) in Cash	\$ 5.04	\$ 2.65	\$ 9.92	\$ (0.05)	\$ 4.97

¹ Revenues include rent from tenant leases, operating savings payments from Unico and Leasehold Excise Tax receipts from tenants.

² Operating Expenses include Leasehold Excise Tax payments, earthquake insurance, professional fees and Met Tract Real Estate Office management expenses.

³ Unico and Rainier Tower.

Metropolitan Tract Portfolio



Unico Portfolio		Olympic Hotel		Cobb Building		Rainier Tower Sublease	
Rainier Tower	569,155	Luxury Hotel Rooms	450	Residential Units	91	Sublease Space	379,463
Financial Center	339,527	Office Space	15,500	Retail Space	18,171	Lease Expiration	
Puget Sound Plaza	274,885	Retail Space	28,000	Lease Expiration	3/22/2050		
IBM Building	225,129	Parking Stalls	787				
Skinner	193,672	Lease Expiration	10/1/2040				
Total Office	1,602,368						
Retail Space	141,806						
Parking Stalls	744						
Lease Expiration	10/31/2014						

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**University of Washington Bothell – Restructure Student Housing Rates and Set New Rates for 2012-13FOR INFORMATION ONLY

The University of Washington Bothell's student housing program is proposing to restructure student housing rates to change the term of the basic student housing agreement to include the three quarters of the academic year, with an optional summer quarter. The current basic student housing agreement is a twelve month contract. Options for a Board Plan and Flex Dollars are also being developed. Following an information presentation to the Board of Regents in January, it is anticipated the recommended rates will be returned to the Regents in February for approval.

BACKGROUND

UW Bothell's housing program and rates have been based on housing agreements covering a full year, i.e., inclusive of all four quarters. Beginning fall 2012, UW Bothell is proposing to change the term of the basic agreement to include three quarters with an optional summer quarter. The objective of the proposal is to reduce the annual housing cost commitment required for resident students, and to respond to the needs and requests of students and their parents. The restructured rates will more closely 1) conform to financial aid eligibility, and 2) align with best practices regarding student housing terms at other higher education institutions. The restructuring will reduce the annual cost commitment for a resident student by \$990 or 13.5% per year, and increase the quarterly expense during the three quarters of the academic year by \$365 or 17%, based on current rates for a shared room. The overall impact of this change on housing revenues is expected to be neutral based on projected continuation of current demand trends. In anticipation of normalized, reduced demand for summer housing, rental rates for the summer quarter are proposed to be lower than the other quarters.

UW Bothell is also reviewing options to offer a food and beverage plan to resident students, including a Board Plan which would include a predetermined number of meals, as well as Flex Dollars, which would require resident students to load a predetermined stored value to their Husky Card Account for the purchase of general food and beverage on campus.

The following rental rates are proposed for 2012-13:

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

University of Washington Bothell – Restructure Student Housing Rates and Set New Rates for 2012-13 (continued, p. 2)

Academic Term Agreement 2012-2103* For Fall, Winter, Spring and Summer Quarters

Room Type	Current 2011-12 Rate Structure (Four Quarters)	Proposed 2012-13 Rate Structure for Academic Year	Proposed 2012-13 Rate Structure for Summer Quarter
Shared bedroom/ Shared unit	\$8,340/year \$2,085/quarter (\$695/mo.)	\$7,350/academic year \$2,450/quarter (\$817/mo.)	\$1,450/quarter (\$483/mo.)
Single bedroom/ Shared unit	\$9,540/year \$2,385/quarter (\$795/mo.)	\$8,250/academic year \$2,750/quarter (\$917/mo.)	\$1,750/quarter (\$583/mo.)
Single Occupancy (Private 1 bdrm/ 1 bath)	\$16,680/year \$4,170/quarter (\$1,390/mo.)	\$14,700/academic year \$4,900/quarter (\$1,633/mo.)	\$3,900/quarter (\$1,300/mo.)

*Rates are shown per person for academic and summer agreements and include 24/7 on-site staffing, student life programming, furnished units and all utilities (water, sewer, garbage, electricity, basic cable, and internet service). No phone service is provided. "Current Rate" is based on a commitment for four-quarters. "Proposed Rate" is based on a commitment of three quarters, with an optional summer quarter. Summer rates are reduced due to expected lower demand for summer quarter housing.

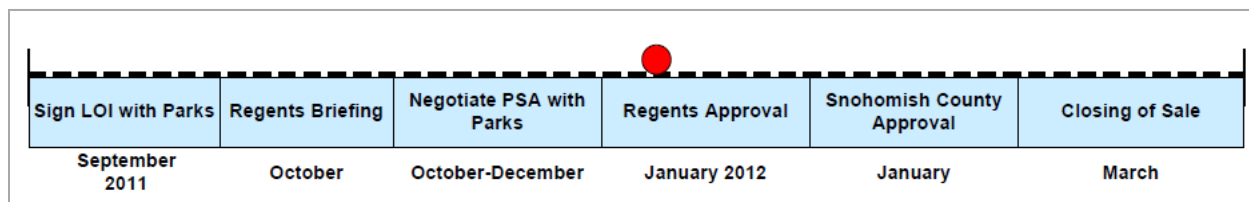
REVIEW AND APPROVAL

The proposed housing rates have been reviewed by the UW Bothell Housing Team, the Assistant Vice Chancellor for Enrollment Management and Student Affairs, the Director of Student and Residential Life, the Director of Auxiliary Services, the Vice Chancellor for Administration and Planning, and the Chancellor.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Wellington Hills – Approve Sale of Property and Delegation of Authority



RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

1. Approve the sale of the Wellington Hills property for \$9,687,500 to Snohomish County.
2. Delegate to the President the authority to execute all documents related to this transaction.

BACKGROUND

The Wellington Hills property was acquired in multiple parcels between 1991 & 1993 and was originally intended as a north-end branch campus. The site was purchased with a combination of University and State funds. Subsequently the Legislature determined that the current UW Bothell campus would be the preferred location. The University has held Wellington Hills since purchase, with leases on the property for a golf course and club-house, uses that pre-dated acquisition.

Wellington Hills is not part of a strategic plan by the Office of Planning and Budgeting and is suitable for sale. The University has been in discussion with Snohomish County Parks Department for some time and recently signed a Letter of Intent. Snohomish County intends to convert the property to a regional park, and has mitigation funds for this purpose from the Brightwater Regional Sewage Treatment Plant. The terms of the sale have been reviewed by the Advisory Committee on Real Estate.

The total investment to date in Wellington Hills, including initial acquisition costs and on-going county infrastructure assessments and management costs, is approximately \$7.8 million. UW External Relations is working with legislative

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Wellington Hills – Approve Sale of Property and Delegation of Authority (continued, p. 2)

staff to resolve questions around entitlement to sales proceeds from Wellington Hills.

PROPERTY DESCRIPTION

The property is 100.64 acres and currently consists of a 9-hole golf course, club house and superintendent's residence.

TRANSACTION SUMMARY

The Purchase and Sale Agreement for this property contains the following essential business terms:

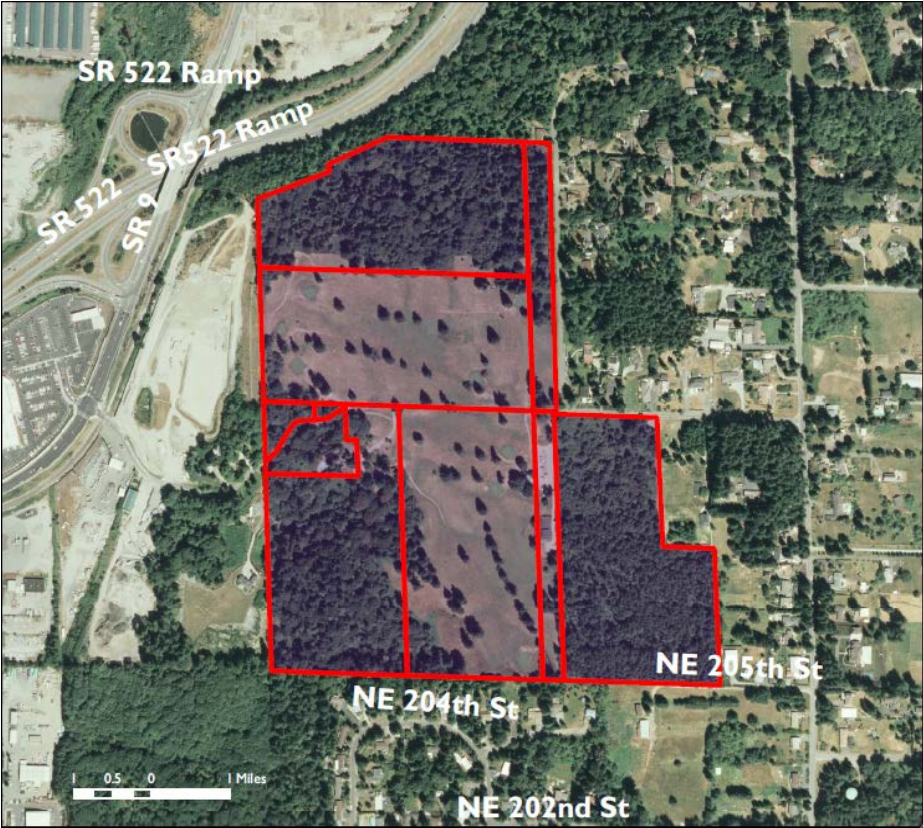
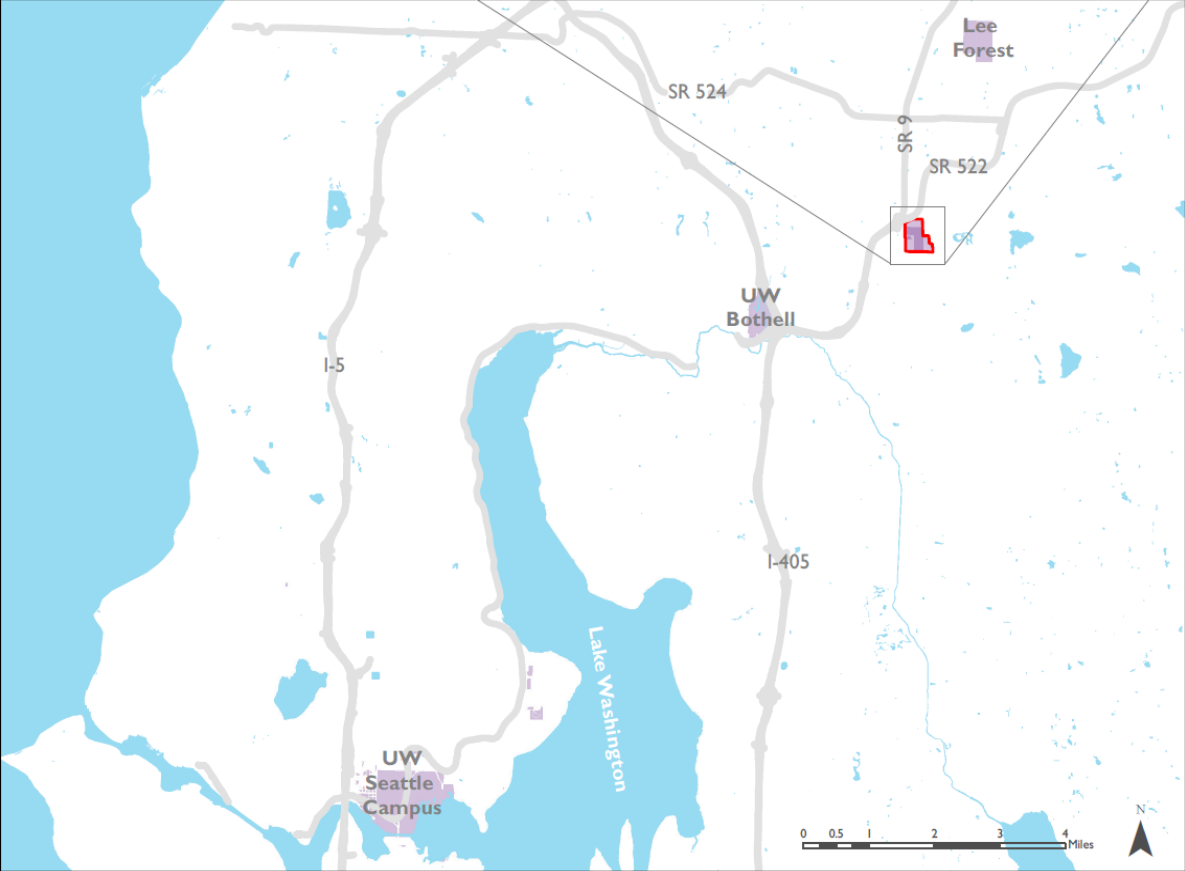
- Buyer: Snohomish County Parks
- Sales Price: \$9,687,500
- No commissions will be paid on the transaction
- Property Sold "As-Is"
- 60 day due diligence period
- UW participates 50/50 if property ever up-zoned and sold/leased for non-park purposes
- Contingencies:
 - Fully negotiated Purchase and Sale Agreement
 - Board of Regents approval
 - Buyer approval of property condition
 - Snohomish County Council and Executive approvals
 - Closing Date: On or before March 31, 2012

REVIEW AND APPROVAL

Sale of the Wellington Hills property has been reviewed and recommended for approval by the Senior Vice President, Chief Real Estate Officer, and the Vice Provost for Planning and Budgeting.

Attachment
Map

Wellington Hills – Map



ATTACHMENT

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Metropolitan Tract - 2012 Unico Capital Expenditure BudgetRECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$4 million in capital expenditures by Unico Properties, LLC, for capital and tenant improvements requested in its 2012 Capital Expenditure Plan for the buildings on the Metropolitan Tract. The \$4 million is comprised of up to \$2.2 million for capital improvements and up to \$1.8 million for tenant improvements.

CAPITAL IMPROVEMENTS

Capital projects are proposed by building and are prioritized by life safety, rent enhancement and energy savings potential.

The recommended 2012 capital improvement reimbursement is \$2.2 million. These investments are intended to improve the quality of the buildings in order to be competitive in the leasing market, as well as enhance energy efficiency and promote sustainability. The 2012 capital improvements are primarily focused on energy saving upgrades, exterior building repair, completion of sprinkler systems, and improving vacant tenant space for lease.

TENANT IMPROVEMENTS

The lease with Unico requires the University to reimburse Unico for tenant improvements subject to achieving agreed upon rent and return thresholds in accordance with an annual Tenant Improvement Plan consistent with "Seattle Market Standards." The University undertakes a collaborative effort with Unico each year to establish the Tenant Improvement Plan. Each lease then completed during the year is measured against the budget established as part of the Tenant Improvement Plan prior to approval of reimbursement of the tenant improvement costs.

The recommended 2012 tenant improvement reimbursement is \$1.8 million. This amount is based on anticipated leasing of existing vacant space and leases due to expire in 2012, and the anticipated amount of investment necessary for occupancy of this space by new tenants. However, it is likely that actual expenditures will be less than this amount unless all budgeted leasing is achieved. Budgeted leasing during 2012 is based on projected leasing of approximately 90,000 square feet and an average tenant improvement investment of \$20/sf.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Metropolitan Tract - 2012 Unico Capital Expenditure Budget (continued p. 2)

2012 Budget vs. 2011 Budget

2012	Capital Improvements	Tenant Improvements	Total Capital Expenditures
Rainier Tower & Square	121,531	538,650	660,181
Financial Center	905,754	88,640	994,394
IBM Building	63,643	767,785	831,428
Puget Sound Plaza	764,624	342,575	1,107,199
Skinner Building	359,668	27,246	386,914
Met Tract Studies	25,000		25,000
TOTAL	\$2,240,220	\$1,764,896	\$4,005,116

2011	Capital Improvements	Tenant Improvements	Total Capital Expenditures
Rainier Tower & Square	498,163	887,295	1,385,458
Financial Center	76,641	224,853	301,494
IBM Building	759,861	326,250	1,086,111
Puget Sound Plaza	629,230	584,485	1,213,715
Skinner Building	140,941	124,160	265,101
Met Tract Studies	25,000		25,000
TOTAL	\$2,129,836	\$2,147,043	\$4,276,879

The decrease from 2011 to 2012 relates to the completion of significant multi-year projects in 2011 as well as conservative lease up estimates for 2012.

REVIEW AND APPROVALS

This recommendation has been reviewed by the Senior Vice President, the Chief Real Estate Officer, and the Advisory Committee on Real Estate (ACRE).

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Naming the new Ethnic Cultural Center the “Samuel E. Kelly Ethnic Cultural Center”RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit, and Facilities Committee that the Board of Regents approve naming the new Ethnic Cultural Center building the “Samuel E. Kelly Ethnic Cultural Center.”

BACKGROUND

The late Dr. Samuel E. Kelly was the first Vice President of the UW Office of Minority Affairs and rendered distinguished service to the University and the local community.

Dr. Kelly was born in Greenwich, Connecticut, in 1926 and served in the U.S. Army from 1944 to 1966, rising from the rank of Private to Colonel. Upon his retirement from the Army, Dr. Kelly knew he wanted to spend the remainder of his life as an educator. Soon afterwards, when he began teaching at Everett Junior College, he became the first African American hired in the Washington State Community College System. He later taught at Shoreline Community College where he helped establish an ethnic studies program.

In 1970, he became the first African American senior administrator at the University of Washington when UW President Charles Odegaard appointed him Vice President for Minority Affairs, a post he held for six years. During that period, he also earned his doctorate in higher-education administration at the UW, and became a tenured faculty member in the College of Education's graduate program.

Dr. Kelly set the standard for, and established a commitment to, diversity before it was popular among American colleges and universities. He also put his knowledge to work in service to his community. He urged others to follow his example and worked tirelessly to increase the number of students of color at the University of Washington, and to provide them with the opportunities to advance their education and, in turn, serve their own communities.

Several of the programs established during his tenure still serve hundreds of UW students on a daily basis. The Educational Opportunity Program Counseling Center and the Ethnic Cultural Center/Theater are two such examples. Not only do these programs continue to thrive on our campus, but they serve as the impetus

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Naming the new Ethnic Cultural Center the “Samuel E. Kelly Ethnic Cultural Center” (continued, p. 2)

for other successful student-centered initiatives at the UW and for other higher education institutions across the country.

Dr. Samuel E. Kelly’s work made diversity a priority for the University of Washington.

The current Facilities and Spaces Naming Policy states that “Buildings named for an individual or family generally will be termed ‘[blank] Hall’.” An exception to the policy is requested for this naming so that Dr. Kelly can be honored for his distinguished service to the University and community.

REVIEW AND APPROVALS

In accordance with University procedures, the University Names Committee, the Provost and Executive Vice President, and the President have reviewed and approved this request.

Attachments

1. July 8, 2011 Letter from Dr. Sheila Edwards Lange to University Names Committee (including attachments)
2. December 7, 2011 Letter of Approval from the University Names Committee with concurrence from President Michael Young



Date: July 8, 2011

To: Daniel S. Friedman
Dean and Professor, College of Built Environments
Chair, University Names Committee

From: Sheila Edwards Lange
Vice President for Minority Affairs
Vice Provost for Diversity

Re: Proposed Renaming of the UW Office of Minority Affairs and Diversity Ethnic Cultural Center to the Samuel E. Kelly Ethnic Cultural Center

The current Ethnic Cultural Center (ECC) building on the UW Seattle campus is undergoing a major reconstruction. It is the recommendation of the President's Minority Community Advisory Committee (MCAC), the Office of Minority Affairs and Diversity staff, the Professional Staff Organization (PSO), and community organizations that the UW Board of Regents approve naming the renovated building the Samuel E. Kelly Ethnic Cultural Center.

Background:

The MCAC has proposed to Interim President Wise that the renovated ECC building on the UW Seattle campus be named the Samuel E. Kelly Ethnic Cultural Center. Signed petitions in support of this recommendation have been collected, a web site was created for public comment, and letters have been submitted from community groups in support of this proposal.

The late Dr. Kelly was the first vice president of the UW Office of Minority Affairs (1970) and rendered distinguished service to the University. His contributions continue to have a profound effect on society. The programs put in place during his tenure are serving hundreds of UW students today. For example, the Educational Opportunity Program Counseling Center, Instructional Center, and the ECC/Theatre are just a few that serve as models for other UW programs and for higher education institutions across the country. Not only do these programs continue to thrive, but they serve as the impetus for other successful student-centered initiatives at the UW. Dr. Kelly's work made diversity a priority for the UW.

As former Washington Governor Daniel Evans stated in his Foreword in Dr. Kelly's recent autobiography, "He was a giant protector of the right of all citizens and the integrity of the University. Sam Kelly helped make us a far better state."

ATTACHMENT 1

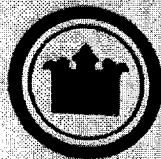
Daniel S. Friedman
July 8, 2011
Page 2

I have attached support documentation for your information. Please do not hesitate to contact me if you have any questions.

Thank you for your consideration of this important request. I look forward to hearing your decision.

Attachments

1. July 27, 2009 Copy of Metropolitan King County Council Memorial to Dr. Samuel E. Kelly, Sr.
2. February 22, 2011 Letter from the Japanese American Citizens League (JACL)
3. March 18, 2011 Response letter from Interim President Wise to JACL
4. March 22, 2011 Letter from the UW President's Minority Community Advisory Committee
5. March 30, 2011 Letter acknowledging receipt of proposal from Interim President Wise
6. April 27, 2011 Letter from the UW Professional Staff Organization
7. Signed petition documents
8. Roster of UW President's Minority Community Advisory Committee Members
9. OMA&D 2009-2010 Annual Report



Metropolitan King County Council

MEMORIAL

WHEREAS, Dr. Samuel E. Kelly Sr. was chosen by former University of Washington President Charles Odegaard as the university's first Vice President of the Office of Minority Affairs (OMA) in 1970; and

WHEREAS, before his appointment as Vice President of the OMA, Dr. Kelly was the first African American to teach in Washington state's community-college system, at Everett Junior College and then at Shoreline Community College, where he developed its first ethnic studies program; and

WHEREAS, after six years as Vice President of OMA, Dr. Kelly became a Special Assistant to the President while earning his doctorate in higher education administration at the UW, and then became tenured faculty in the College of Education; and

WHEREAS, Dr. Kelly will always be a monumental figure in the history of the UW, as the primary architect of programs that created countless opportunities for thousands of minority and disadvantaged white students to enter and graduate from the university; and

WHEREAS, Dr. Kelly's actions opened the door for many others, as evidenced by his selection of Larry Gossett as his first supervisor of the Black Student Division in the OMA, who eventually became a Metropolitan King County Councilmember; and

WHEREAS, Dr. Kelly also hired other young, prominent leaders to head the Chicano, Asian American, and Native American divisions of his newly formed Educational Opportunities Program; and

WHEREAS, Dr. Kelly passed away at his home in Redmond at the age of 83 on July 6th, and is survived by his wife Donna, daughters Brenda Kelly, Sharon North, and Heather Blackthorn, and sons Bill Kelly, and Samuel Kelly Jr.;

NOW, THEREFORE, we, the Metropolitan King County Council, recognizes and honors the life of

DR. SAMUEL E. KELLY, SR.

for all his accomplishments and selfless contributions in creating opportunities for so many students at the University of Washington.

DATED this twenty-seventh day of July, 2009.



Dow Constantine, Council Chair


Bob Ferguson, Council Vice Chair, District One


Kathy Lambert, District Three


Lilla Patterson, District Five


Pete von Reichbauer, District Seven


Larry Gossett, District Two


Larry Phillips, District Four


Jane Hague, Council Vice Chair, District Six


Reagan Dunn, District Nine



February 22, 2011

Interim President Phyllis Wise
University of Washington
301 Gerberding Hall
Box 351230
Seattle, WA 98195

*cc: Sheila Edwards-Lange
P/s. draft response. Thanks!
TR*

Dear President Wise:

*cc: CSN
CK*

I am writing on behalf of the Seattle Chapter of the Japanese American Citizens League (JACL) to urge your support of renaming the rebuilt Ethnic Cultural Center (ECC) after Dr. Samuel E. Kelly. JACL is a non-profit civil rights, leadership development and community engagement organization that just celebrated our 89th anniversary. A fundamental tenet of our mission throughout these past 89 years is to create a greater America and Washington State through the celebration of our diversity.

JACL firmly believes that one way to celebrate UW's diversity is by honoring Dr. Kelly, the founder of the Office of Minority Affairs and its first Vice President. The unveiling of the new ECC would offer a great opportunity to celebrate the first UW building named after a person of color.

Today, the ECC embodies the vision of Dr. Kelly, offering a wealth of resources and opportunities available to students including student advising, organizational development, personal growth, and referrals to different departments and programs. If ever there were a person of color that the UW should honor, it's Dr. Kelly. UW has clearly honored him through the Samuel E. Kelly Distinguished Faculty Lecture, but that is not enough.

Renaming the ECC building after Dr. Kelly would once again show the Washington way, valuing and honoring diversity. On behalf of JACL, we strongly urge you to ask the Board of Regents to consider honoring the Dr. Kelly as the first Chief Diversity Officer. Thank you for your time and consideration President Wise.

Sincerely,

Ryan Chin
President, JACL Seattle

RECEIVED BY

MAR 03 2010

VP - MINORITY AFFAIRS



JAPANESE AMERICAN CITIZENS LEAGUE - SEATTLE CHAPTER

316 Maynard South
Seattle, WA 98104

P 805.225.3169
I jaclseattle.org



OFFICE OF THE PRESIDENT

UNIVERSITY of WASHINGTON

Phyllis M. Wise, Interim President

March 18, 2011

Mr. Ryan Chin
President, Seattle Chapter
Japanese American Citizens League
316 Maynard South
Seattle, WA 98104

Dear Mr. Chin:

Thank you for your letter expressing support from the Seattle Chapter of the Japanese American Citizens League for naming the Ethnic Cultural Center after Dr. Samuel E. Kelly. I appreciate the thought and time that went into this gesture. As the first Vice President of the Office of Minority Affairs, Dr. Kelly had a distinguished career at the UW and made a significant contribution to society. Your respected organization is among several that have contacted me in support of the proposal to name the center after him.

According to UW policy regarding naming campus facilities and spaces after individuals, the final decision rests with the Board of Regents, upon recommendation of the President. Please know that I will be working closely with the President's Minority Community Advisory Committee to facilitate this process.

Again, thank you for your input and support.

Sincerely yours,

Phyllis M. Wise
Interim President

cc: Dr. Connie Kravas
Dr. Sheila Edwards Lange
Ms. Carol Niccolls

RECEIVED BY

MAR 24 2011

VP - MINORITY AFFAIRS



King County

Larry Gossett

Councilmember, District 2

Metropolitan King County Council

RECEIVED BY

MAR 24 2011

VP - MINORITY AFFAIRS

March 22, 2011

Interim President Phyllis M. Wise
Office of the President
University of Washington
Box 351230
Seattle, WA 98195

Dear Interim President Wise:

As Chair of the University of Washington President's Minority Community Advisory Committee, it gives me great pleasure to advise you that at our January 2011 meeting, we unanimously voted to recommend that the rebuilt Ethnic Cultural Center (ECC) be named after the late Dr. Samuel E. Kelly.

Dr. Kelly was the first Vice President of the office of Minority Affairs (1970) and his stellar contributions have had a profound impact on the University community over the past four decades. There is no person who better deserves this honor. Many of the programs put in place during his tenure are serving hundreds of UW students today. Programs such as the Educational Opportunity Program Counseling Center, Instructional Center, and the Ethnic Cultural Center and Theatre serve as models for other higher education institutions. The counseling center guides students toward their majors, the instructional Center provides tutoring to hundreds of students each year, the Ethnic Cultural Center and Theatre offer student leadership development and cultural programming and the list continues. It was his vision that set the tone for making diversity an educational priority at the UW.

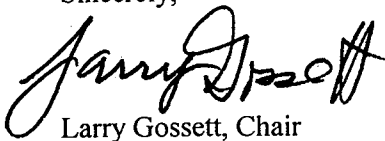
This year the UW will present the 7th annual Samuel E. Kelly Distinguished Faculty Lecture. This lecture is dedicated to acknowledging the work of our distinguished faculty by spotlighting nationally recognized research focusing on diversity and social justice. It is our hope that next year we will be working on how to announce that the ECC has been named the Samuel E. Kelly Ethnic Cultural Center.

The members of our advisory committee are composed of leaders from the Black, Asian Pacific Islander, Native and Latino communities from across Washington State. We reflect a wide-range of different viewpoints, life experiences, cultures and politics. We believe wholeheartedly that educational institutions have an opportunity and responsibility to promote awareness of diversity and its importance within a campus community and society.

We understand that the UW has a process regarding naming a building after an individual and that the Board of Regents will make the final decision upon recommendation from the President. We are asking you to officially make this recommendation. If there is any way that we can be of assistance during this process, please let me know. Within the UW community, Washington State and no doubt the country, Dr. Samuel E. Kelly will always be remembered as a person of distinction. His contributions remain

significant to this day and worthy of the special recognition of having the new Ethnic Cultural Center named after him.

Sincerely,



Larry Gossett, Chair
University of Washington President's Minority Community Advisory Committee

cc: Sheila Edwards Lange, Vice President and Vice Provost
Office of Minority Affairs and Diversity



OFFICE OF THE PRESIDENT

UNIVERSITY of WASHINGTON

Phyllis M. Wise, Interim President

March 30, 2011

The Honorable Larry Gossett
Metropolitan King County Council
King County Courthouse
516 Third Avenue, Room 1200
Seattle, WA 98104

Dear Councilmember Gossett:

Thank you for your letter expressing support from the UW President's Minority Community Advisory Committee for naming the Ethnic Cultural Center after Dr. Samuel E. Kelly. I appreciate the thought and time that went into this gesture. As the first Vice President of the Office of Minority Affairs, Dr. Kelly had a distinguished career at the UW and made a significant contribution to society. The committee is among several groups that have contacted me in support of the proposal to name the center after him.

According to UW policy regarding naming campus facilities and spaces after individuals, the final decision rests with the Board of Regents, upon recommendation of the President. Please know that I will be working closely with you and your colleagues on the President's Minority Community Advisory Committee to facilitate this process.

Again, thank you for your input and support.

Sincerely yours,

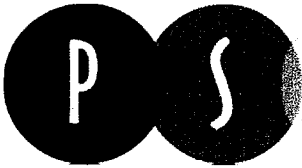
Phyllis M. Wise
Interim President

cc: Dr. Connie Kravas
Dr. Sheila Edwards Lange
Ms. Carol Niccolls

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APR 04 2011

VP - MINORITY AFFAIRS



UNIVERSITY OF WASHINGTON
PROFESSIONAL STAFF ORGANIZATION

April 27, 2011

Dr. Sheila Edwards Lange
Office of Minority Affairs and Diversity
Box 352835
University of Washington
Seattle, WA 98195

Dear Dr. Lange:

The Professional Staff Organization (PSO) highlights the essential role of, enriches the experience of, and serves as a resource for professional staff at the University of Washington. Our core goals are advocacy, communication, education, professional development, and representation of professional staff.

We are writing this letter to provide our support of the petition to rename the Ethnic Cultural Center in honor of Dr. Samuel E. Kelly. The PSO recognizes and acknowledges Dr. Kelly's effort to make diversity a priority for the University of Washington. His work is in line with our core goals and mission as an organization and it is our privilege to support this petition.

Sincerely,

Anita Rocha
President, Professional Staff Organization

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APR 28 2011



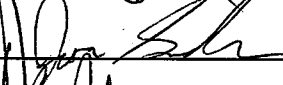
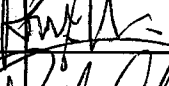
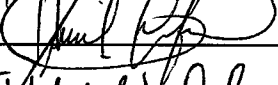
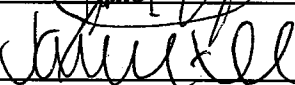
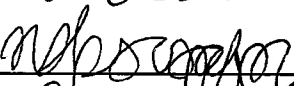
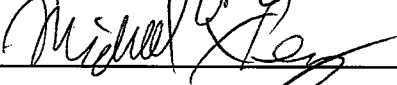

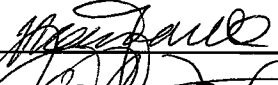
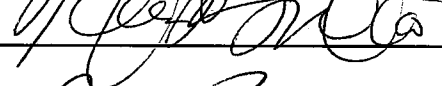



VP - MINORITY AFFAIRS

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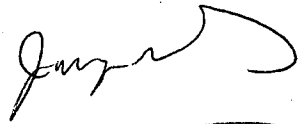
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NAME	SIGNATURE	ORGANIZATION
Brittany Hager		MAPS
Tusheed Yasin		MAPS
Jessica Sachara		MAPS
Kristine Menemot		MAPS/FASA
David Asfour		MAPS/AED
JANICE IFF		MAPS
Mnedozie Okoroator		MAPS
Mire Perez		MAPS
Denny madut		MAPS
Monica Fanilla		MAPS
Mara Hazeltine		AMSA/MAPS/AED
Tomy Li		MAPS
Tom Hong		MAPS/Rotant
William Obenzer		MAPS

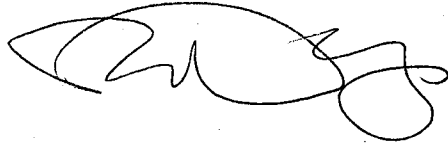
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Jungmi Moon



MAPS

Alisha Wang



MAPS

Ansab Khwaja



MAPS

Chika Ugwoaba



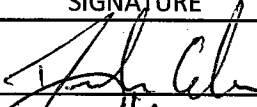
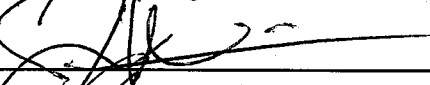



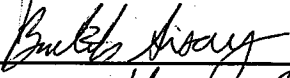






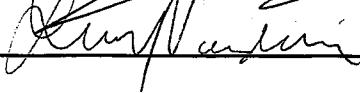
MAPS

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NAME	SIGNATURE	ORGANIZATION
DEREK EDAMURA		FASA, MIC, PSA, HUI
Jonathan Winn		BSU, IMPACT
Sierra Stewart		Sisterhood, B.S.U. Int'l
Joe Dars		FN@UW
Ruel Clanday Jr		FASA
Brukab Szay		Ubuntu / P.I.P.E
Hanna Barker		SSA
Ruben Rada Barker		ASH
Kathryn Alca		FASA
Ashley Lifford		Sisterhood / VP of Commu
Sarah Duff		ECC / ZPB / BSU
Ryan Gucoy		FASA
Lesly Valdamos		SLG

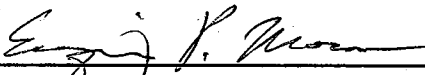
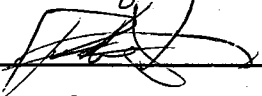
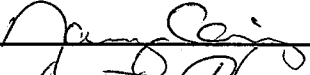
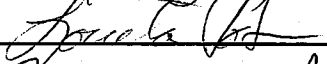

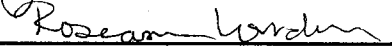
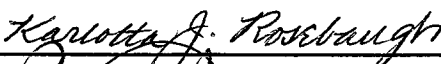
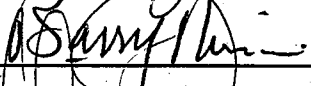

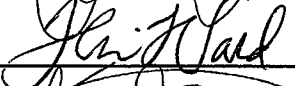

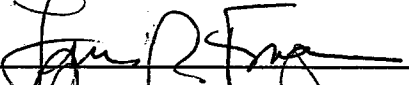
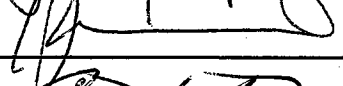

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NAME	SIGNATURE	ORGANIZATION
Eugenio J. Morales		OMAD
Rona Scott		OMAD
Nancy Corning		OMAD
Loueta Johnson		OMAD
Julian ARGEL		OMAD
Roseann London		OMAD
KARLOTTA J. ROSEBAUGH		OMAD & D
Barry Minai		OMAD-IC
VICTOR FLORES		OMAD & D-EC
Teri Ward		OMAD
Karl Smith		OMAD & D
Luis R. FRAGA		OMAD & Provost
Alejandro Espina		OMAD & D
Kristian Wilos		OMAD & D

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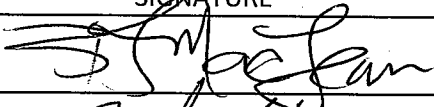

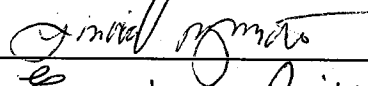

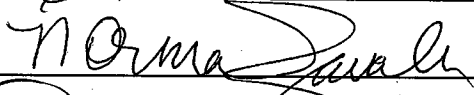


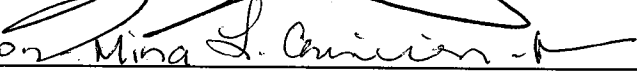
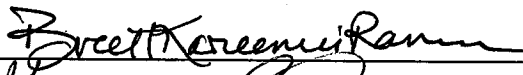
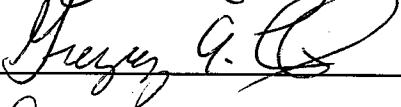
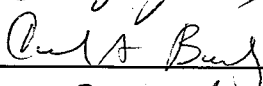
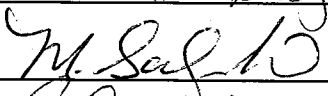

vpomad@uw.edu.

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B. L. MacLEAN		FEOP
Paul Johnson		FEOP
LINDA MIZUMOTO		FEOP
EMILE PITRE		FEOP
Norma Zavala		FEOP
Antonio Sanchez		D
Terry E. Jones		FEOP
Lori-Ann Anunciacion		FEOP
Bree Kare'eni-Ramirez		FEOP
Grey Lewis		FEOP/UW staff
Carol Bailey		FEOP
Madrienne Salgado		FEOP
Roxanne Christian		FEOP

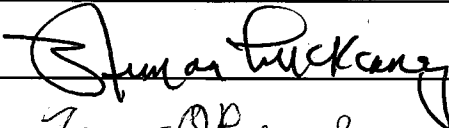
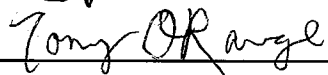
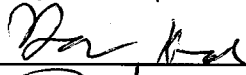

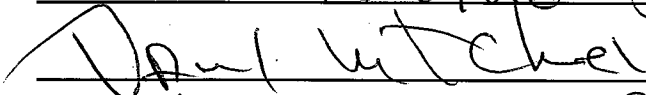

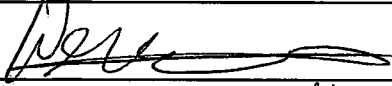
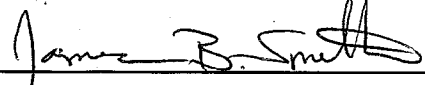
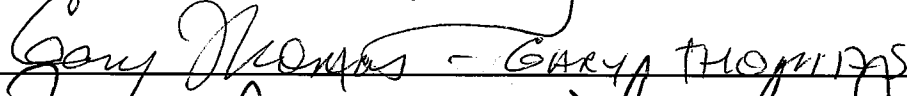
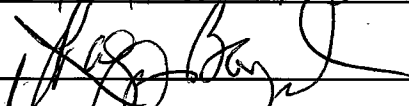
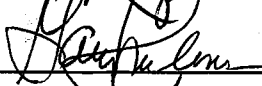
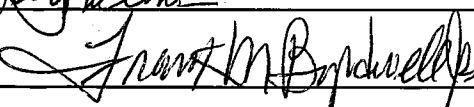
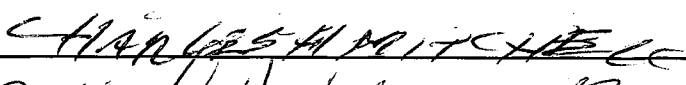

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NAME	SIGNATURE	ORGANIZATION
HELEN MCKINNEY		Breakfast Group
Tony Orange		Breakfast Group
Don Hume		Breakfast Group
Robert Luciano		Breakfast Group
Paul Mitchell		Breakfast Group
NATE Miles		Breakfast Group
Wallace Shields		USSS (Retired)
JAMES B. SMITH		BREAKFAST GROUP
Gary Thomas		Breakfast Group
RALPH BAYARD		Breakfast Group
GARY Melonson		Breakfast Group
FRANK M. BYRDWELL, JR		BREAKFAST GROUP
SHARON MITCHELL		Breakfast Group
Michael Hall		Breakfast Group

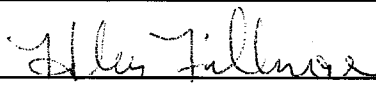
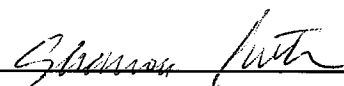
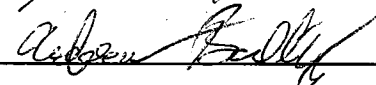
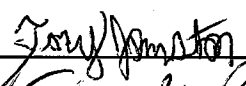
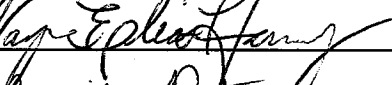
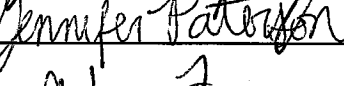
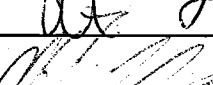
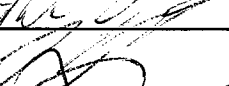

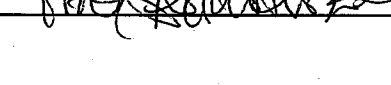
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Dr. Kelly was the UW's first Vice President of the UW Office of Minority Affairs (1970), and worked collaboratively with then President Charles Odegaard to make diversity a priority for the University. Dr. Kelly's vision to make diversity an educational priority at the UW has had a profound impact on the University community over the past four decades. Many of the programs established during his tenure continue to serve thousands of UW students today and provide a model for other higher education institutions across the country. Those include the Ethnic Cultural Center, Educational Opportunity Program Counseling Services, Recruitment and Outreach, and the Instructional Center. Dr. Kelly's contributions are just as important today as they were over 40 years ago and naming the center for him will forever honor his distinguished legacy.

By signing this document, I support the request from the Minority Community Advisory Committee.

NAME	SIGNATURE	ORGANIZATION
Helen Fillmore		First Nations @ UW
Shanoa Pinkham		AISC, FNU@UW, AISES
Andrew Berding		FNU@UW
Tory Johnston		FNU@UW
Wayne Harvey		FNU@UW
Jennifer Paterson		FN
Autumn Thomas		FNU@UW
Michael Yates		FNU@UW
Joe Dupris		FNU@UW
TIA GEHLHAUSEN		OMA&D / FNU@UW

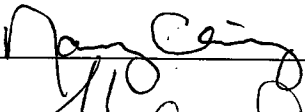
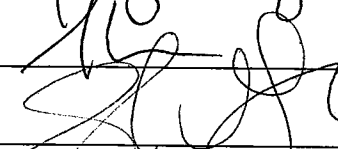


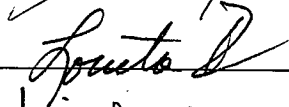
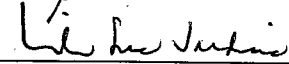
To comment on this project, please visit <http://depts.washington.edu/ecc/construction/> where you can anonymously submit thoughts and ideas or write to the current Vice President for Minority Affairs, Dr. Sheila Edwards Lange, at

Petition to rename the Ethnic Cultural Center in Honor of Dr. Samuel E. Kelly

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NAME	SIGNATURE	ORGANIZATION
Nancy Corning		OMAD
GABRIEL GALLARDO		OMAD
Stephanie Gardner		OMAD
Carlos González		OMAD
Loueta Johnson		OMAD
Lynn Sue Johnson		OMAD

Petition to rename the Ethnic Cultural Center in Honor of Dr. Samuel E. Kelly

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NAME	SIGNATURE	ORGANIZATION
ELIGHA J. BUEKS III	<i>[Signature]</i>	INSTRUC. CENTER
BANH LY	<i>[Signature]</i>	INSTRUC CENTER
HANNIEG G. PITAE	<i>[Signature]</i>	OMAD I.G.
Getachew Zeleke	<i>[Signature]</i>	OMAD T.C.
Elijah J. Wallace	<i>[Signature]</i>	I.C.
Jo-NATHAN THOMAS	<i>[Signature]</i>	E.O.P.
Jennifer Rance	<i>[Signature]</i>	Outreach & Recruitment
Candice N. Garza	<i>[Signature]</i>	Outreach + Recruitment
THUY-AN LOVERIDGE	<i>[Signature]</i>	OMAD SSS

**UW Minority Community Advisory Committee
Membership List**

Mailing List (revised 1/18/11)

Mr. Rodrigo Barrón
21226 100th Place SE
Kent, WA 98031
Telephone: (253)-797-0364 (cell)
Fax: (360) 664-0256
email rodbarron@comcast.net

Mr. Marty Bluewater
1521 NW 54th, Apt 604
Seattle, WA 98107
Telephone: 206-790-5705 (cell)
Fax:
email: martybluewater@hotmail.com

Mr. Oscar Eason
5507 South Leo Street
Seattle, WA 98178
Telephone: (206) 764-3684
Fax: (206) 764-4470
email: oscar.eason.jr@nws02.usace.army.mil

The Honorable Larry Gossett
Metropolitan King County Council
Room 1200 King County Courthouse
516 Third Avenue
Seattle, WA 98104-3272
Telephone: (206) 296-1002
Fax: (206) 296-0198
email: larry.gossett@kingcounty.gov

The Honorable Phyllis Gutierrez Kenney
Washington State House of Representatives
Post Office Box 40600
Olympia, WA 98504-0600

Telephone: (206) 368-4491 (Seattle office)
email: kenney_ph@leg.wa.gov

Olympia Telephone: (360) 786-7818
Olympia Fax: (360) 786-1283 (shared fax site - call Mr. Costa
at (360) 786-7818 before faxing)

District Office:
12345 – 30th Avenue Northeast, Suite E
Seattle, WA 98125

Seattle Office: (206) 368-4491
Seattle Office Fax: (206) 368-4513

Ms. Vivian Lee
11118 S.E. 219th Place
Kent, WA 98031

Telephone: (253) 854-7721 (home)
(206) 819-4292 (cell)
email: vivilee@intergate.com

The Honorable John McCoy
Washington State House of Representatives
2812 Lombard Ave, #303
Everett, WA 98201

Telephone: (360)-786-7864 (Olympia Office)
(425)-257-1769 (District Office)
email: mccoy.john@leg.wa.gov

Ms. Sapina Pele
UniServ Director
Seattle Education Association
5501 4th Ave S
Seattle, WA 98108

Telephone: (206) 283-8443
email: spele@washingtonea.org

Ms. Mary Pugh
President
Pugh Capital Management Inc.
1414 - 31st Avenue South, Suite 302
Seattle, WA 98144-3910

Telephone: (206) 322-4985, Ext. 3200 (w)
(206) 328-2580 (h)
email: mpugh@pughcapital.com

W

UNIVERSITY COLLEGE OF 224 GOULD HALL
OF BUILT BOX 355726
WASHINGTON ENVIRONMENTS SEATTLE, WA 98195-5726

OFFICE OF THE DEAN

December 7, 2011

Sheila Edwards Lange
Vice President/Vice Provost for Minority Affairs and Diversity
320 Mary Gates Hall, Box 352835
University of Washington

Dear Sheila.

I am pleased to inform you that President Young has concurred with the University Names Committee recommendation for approval of your request that the new Ethnic Cultural Center building be named the "Samuel E. Kelly Ethnic Cultural Center".

As you know, the Board of Regents approves the naming of exterior space (UW Handbook, Vol. 4, Chap. 10, Sect. 1). Typically, requests for regental approval would issue from the nominating office. We invite you to review the full instructions at <http://www.washington.edu/regents/meetings/>

If I can be of any further assistance, please consider me at your service.

Sincerely,



Daniel S. Friedman, PhD, FAIA
Dean
Chair, University Names Committee

copies: University Names Committee
V'Ella Warren, Box 354998

F-8.2/201-12
1/12/12

WWW.BE.WASHINGTON.EDU
T 206 543 7679
F 206 543 2463

ATTACHMENT 2

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Renaming Replacement Residence Halls as Terry Hall, Lander Hall, and Mercer Court

RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents approve renaming three replacement residence halls as Terry Hall, Lander Hall, and Mercer Court.

BACKGROUND

Phases II and III of the Housing Master Plan include the demolition and reconstruction of several existing buildings and construction of two new buildings. This renaming proposal retains the current names of Lander, Terry, and Mercer in recognition of their historical and commemorative significance.

Approval by the President and the University Names Committee was requested and received to use some variation of historic and commemorative names in the reconstructed buildings. From those approved names, the Vice President and Vice Provost for the Office of Student Life, in conjunction with Housing & Food Services, selected the names proposed below:

<u>Current Name</u>	<u>Proposed Name</u>
Lander Hall	Lander Hall
Terry Hall plus addition	Terry Hall
Mercer Hall plus Site 29	Mercer Court

According to the current Facilities and Spaces Naming Policy, use of names on replacement facilities must be approved by the Board of Regents:

2. Individual/Family Naming Guidelines

A. Buildings or Outdoor Areas

- 4) The name will remain on the building or outdoor area for the life of the building or area (subject to [Section 2.A.1](#)). If at some future time the building or area is replaced (which may include a major reconstruction that substantially changes the functions/appearance of a building's interior and exterior), the name may or may not be carried on the replacement facility as approved by the Board of Regents. If the name is not used on the replacement facility, the Board of Regents will determine the appropriate recognition to be incorporated into the new building or area.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Renaming Replacement Residence Halls as Terry Hall, Lander Hall, and Mercer Court (continued, p. 2)

The Facilities and Spaces Naming Policy also states that “Buildings named for an individual or family generally will be termed ‘[blank] Hall’.” An exception to the policy is requested so that the new Mercer building can be called Mercer Court because of its signature element of three landscaped courtyards, its construction as apartments rather than a residence hall, and its similarity to its neighbor, Stevens Court.

Housing & Food Services plans to continue the use of tree names as functional names for two new buildings being constructed as part of Phases II and III. New Center will be called Maple, and the new building to be constructed on Site 30 will be called Madrona. The Facilities and Spaces Naming Policy does not require Regental approval for functional naming; they are noted here for information only.

PREVIOUS REGENT ACTIONS

In 1956, the Board of Regents approved naming two units of a new Men’s Dormitory group after Mr. Charles C. Terry and Judge Edward Lander who, jointly with Mr. Arthur A. Denny, for whom the first building on the present campus was named, donated ten acres of land for the establishment of the original campus.

In 1970, the Board of Regents approved the naming of Residence Hall Unit V as Asa Mercer Hall in memory of the first president of the University.

REVIEW AND APPROVALS

In accordance with University procedures, the University Names Committee, the Vice President and Vice Provost for Student Life, and the President have reviewed and approved this request.

Attachments

1. April 20, 2011 Letter to University Names Committee (with map)
2. December 7, 2011 Letter of Approval from the University Names Committee with concurrence from President Young



April 20, 2011

Sandra Archibald
Chair, University Names Committee
Dean and Professor, Evans School of Public Affairs
Box 353055
Parrington Hall, Room 208E

Dear Sandy:

On behalf of the Department of Housing & Food Services, I am seeking approval from the University Names Committee to retain the current names, or a variant of them, for four of the buildings that will be constructed in Phase II and III of the Housing Master Plan (HMP) and to use temporary, "placeholder" names for the two remaining buildings to be constructed.

Please refer to the following page for a rendering of the six building sites.

1. New Lander – This building could retain the name Lander Hall or be called Lander Place or Lander Center. The name has positive recognition, serves as an already known location and continues to pay honor to Seattle history. Center or Place recognizes the regional desk located in this building as a main hub for services in west campus.
2. Site 29 – This building could retain the name Mercer for the same reasons as above. The second part of the name could be changed from Hall to Court to reflect the large complex of buildings. Court continues the theme begun in Stevens Court and recognizes the feature courtyard of new Mercer. The five buildings should be labeled A-E, which is also consistent with Stevens Court.
3. Terry and New Terry – These buildings could be called Terry Hall and Terry Apartments. The name has positive recognition, serves as an already known location and may aid in finding the HFS offices. The second name designator distinguishes the upperclassmen housing in the Terry Apartments; this apartment building will be very different from the original building and consequently should be distinguished from the original Terry Hall building.
4. The two remaining buildings on site 30 and New Center could receive temporary names until official names are provided. We recommend using tree names as was done for the Phase I buildings. The committee suggests Maple for New Center and Madrona for site 30.

ATTACHMENT 1

Thank you for your consideration, and if there are questions, please let me know.

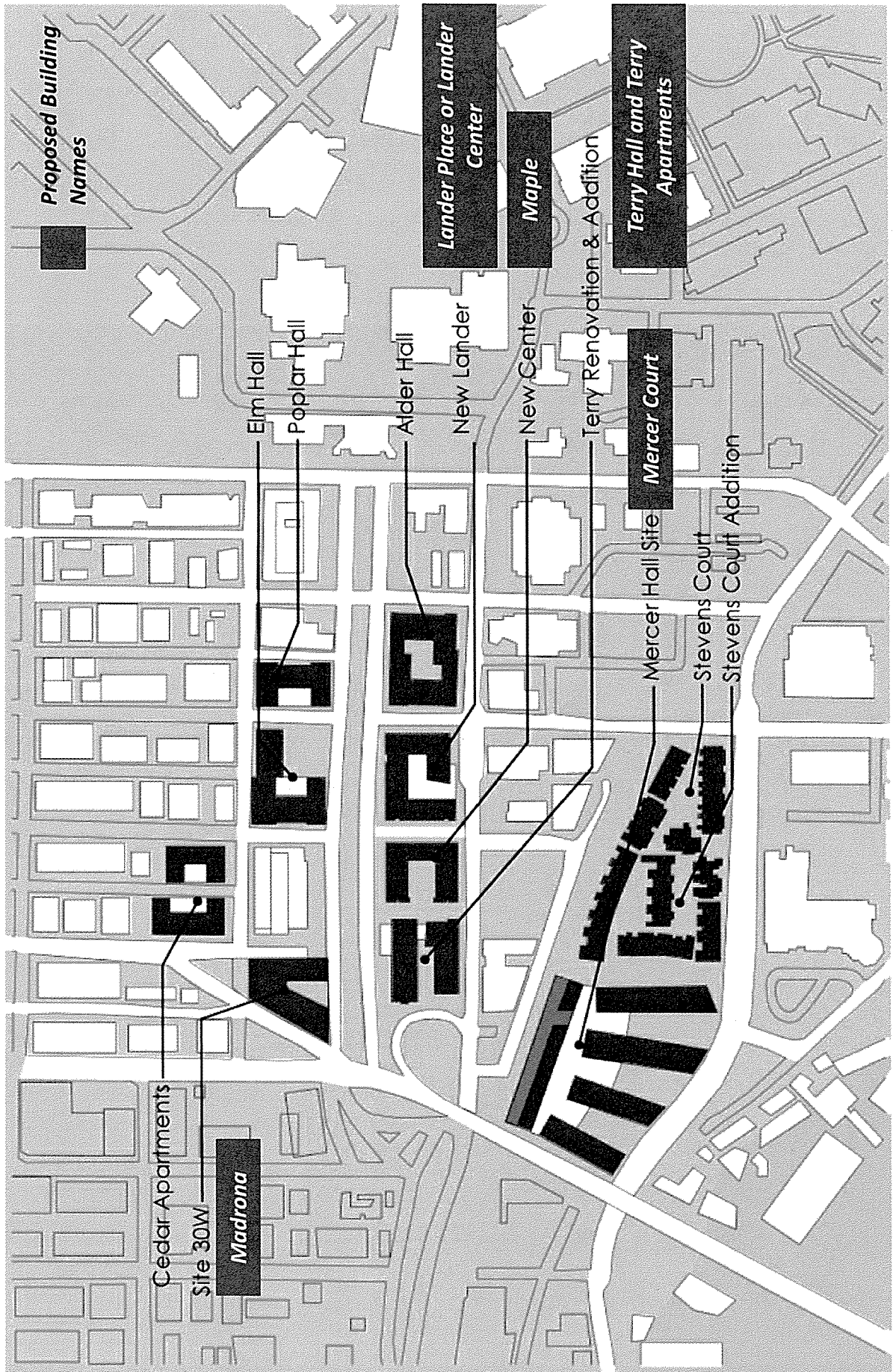
Sincerely yours,

A handwritten signature in black ink, appearing to read "E. Godfrey". The signature is written in a cursive style with a large initial "E" and a stylized "G".

Eric S. Godfrey
Vice President & Vice Provost

cc: Pam Schreiber, Director of Housing and Food Services

Naming Recommendations for Phase II and Phase III Buildings



W

UNIVERSITY OF WASHINGTON
COLLEGE OF ENVIRONMENTS
224 GOULD HALL
BOX 355726
SEATTLE, WA 98195-5726

OFFICE OF THE DEAN

December 7, 2011

Eric S. Godfrey
Vice President and Provost for Student Life
Gerberding Hall, Box 351266
University of Washington

Dear Eric,

I am pleased to inform you that President Young has concurred with the University Names Committee recommendation for approval of your request to retain the current names, or variants thereof, for four of the buildings under construction in Phase II and III of the Housing Master Plan, and to use temporary names for the two remaining buildings still in design development phase. As you proposed, New Lander will acquire the name "Lander Hall," "Lander Place," or "Lander Center"; the new housing currently under construction on Site 29 will acquire the name "Mercer Hall" or "Mercer Court"; and Terry and New Terry will be called "Terry Hall" and "Terry Apartments." Student housing currently under construction on Site 30 and the New Center will receive temporary "tree" names, "Madrona" and "Maple" respectively.

As you know, the Board of Regents approves the naming of exterior space (UW Handbook, Vol. 4, Chap. 10, Sect. 1). Typically, requests for regental approval would issue from the nominating office. We invite you to review the full instructions at <http://www.washington.edu/regents/meetings/>

If I can be of any further assistance, please consider me at your service.

Sincerely,



Daniel S. Friedman, PhD, FAIA
Dean
Chair, University Names Committee

copies: University Names Committee
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WWW.BE.WASHINGTON.EDU
T.206.543.7679

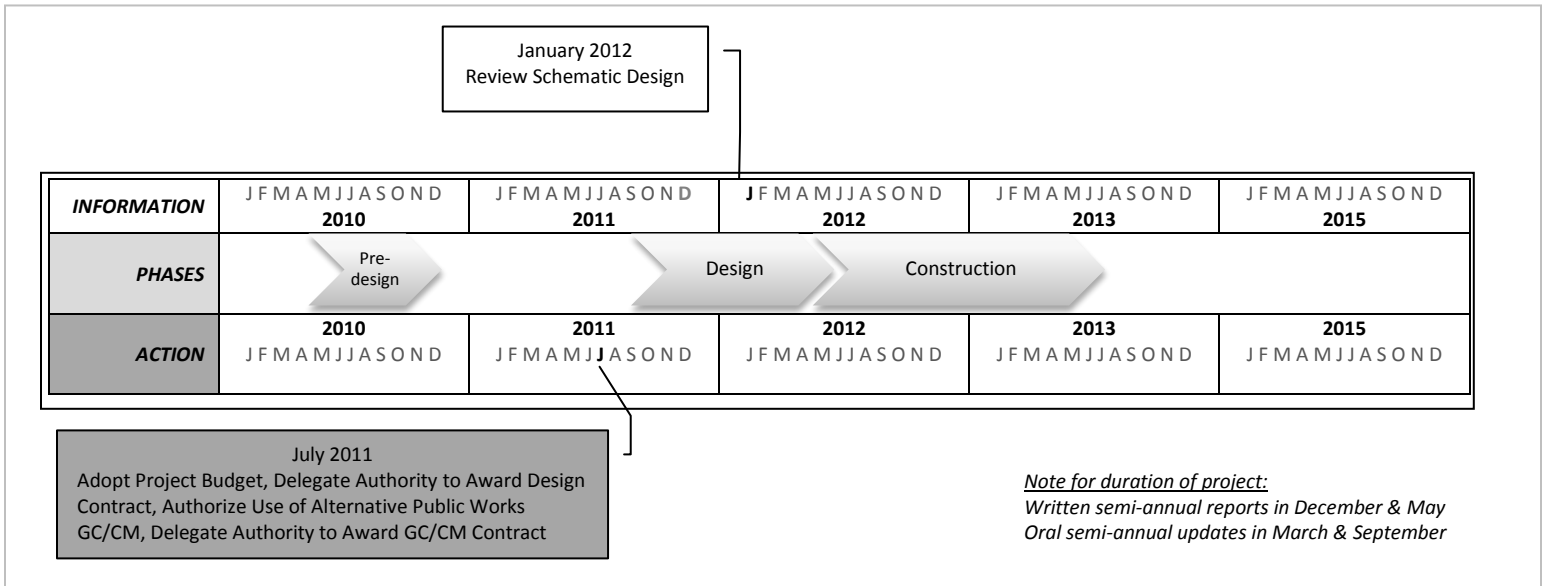
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ATTACHMENT 2

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Odegaard Undergraduate Learning Center Renovation – Review Schematic Design



INFORMATION

This presentation is for information only.

PROJECT DESCRIPTION

The Odegaard Undergraduate Learning Center Renovation project will renovate primarily the first floor in order to provide innovative and multi-use learning spaces, space for students to work on projects in teams, reconfigurable casual learning spaces and classrooms, and individual and group study spaces. The project will also significantly alter the existing center atrium space in order to create new learning space and improve the quality of the space around the atrium, as well as to bring it into code compliance. The design and construction schedule is extremely compressed, and the library will close for the summer of 2012 to allow heavy demolition and steel framing to take place without disturbing library operations. The library will resume normal hours for the duration of the construction as of fall quarter of 2012.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Odegaard Undergraduate Learning Center Renovation – Review Schematic Design (continued p. 2)

PREVIOUS REGENTS ACTIONS

July 2011 Adopt Project Budget
 Delegate Authority to Award Design Contract
 Authorize Use of Alternative Public Works GC/CM
 Delegate Authority to Award GC/CM Contract

PROJECT SCOPE

The general work elements of the Odegaard Undergraduate Learning Center Renovation project include:

- Demolish the central stair and replace it with a more compact stair to create new learning space on the mezzanine and first floor.
- Insert a new skylight above the central atrium.
- Create three new innovative and flexible learning spaces, which may serve both scheduled classes and students seeking space for group or individual study. The spaces will be developed on the Active Learning approach to classrooms and will be technology-rich.
- Provide a variety of new study rooms and areas with different ways to share information, solve problems, or work on team projects.
- Reconfigure the existing mezzanine to provide staff and student contact space.
- Enclose the existing non-code-compliant atrium at the 3rd floor, both to meet code and to enhance that floor's function as a 'quiet study' floor.
- Improve lighting and finishes throughout the first floor.
- Increase the building net to gross space efficiency from 55% to approximately 70%.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Odegaard Undergraduate Learning Center Renovation – Review Schematic Design (continued p. 3)

PROJECT SCHEDULE

Building Master Plan and scope definition	September – December 2011
Design (partial scope)	December 2011- July 2012
Start construction	June 2012
Construction complete	June 2013
Occupancy	September 2013

CURRENT PROJECT STATUS

The team will focus on documenting the work to be done during the closure of the library in the summer of 2012, including stair demolition, skylight, mezzanine partial demolition and framing of new mezzanine area, and second floor restroom accessibility upgrades to maintain ADA compliance when the first floor is under construction (ADA compliance work funded separately).

PROJECT BUDGET

Consultant Services *	\$ 2,168,000
Construction Cost	\$ 12,027,000
Other Costs	\$ 2,380,000
Total Project Cost (TPC)	<u>\$ 16,575,000</u>
*all numbers rounded	

Included in the TPC:

Escalation to Mid-point of Construction \$ 511,000

Source Of Funds:

State Bldg Constr Acct (11/13) \$ 16,575,000

Total Source Of Funds \$ 16,575,000

Odegaard Undergraduate Learning Center

Concept Design Documentation











Planning Process: Outreach

1. Gathering ideas from Students

ASUW Student Survey

2 Student Focus Groups

Dawg Daze Events

UW Daily article

ASUW Board of Directors meeting

Working Group participation

2. Gathering ideas from Faculty

Faculty Survey

FCUFS presentation

goal 1: FOSTER A NEW LEARNING LANDSCAPE



TRADITIONAL PARADIGM: Knowledge as a Collection of Facts

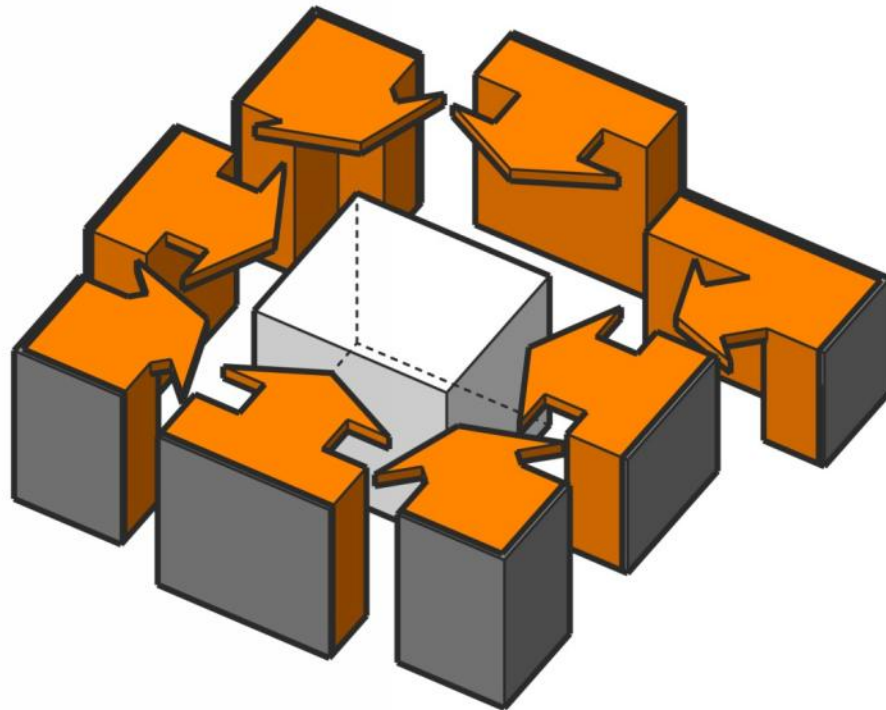
goal 1: FOSTER A NEW LEARNING LANDSCAPE



CURRENT PARADIGM: Collaboration, Communication, Evaluation,
Critical Thinking, Content Creation

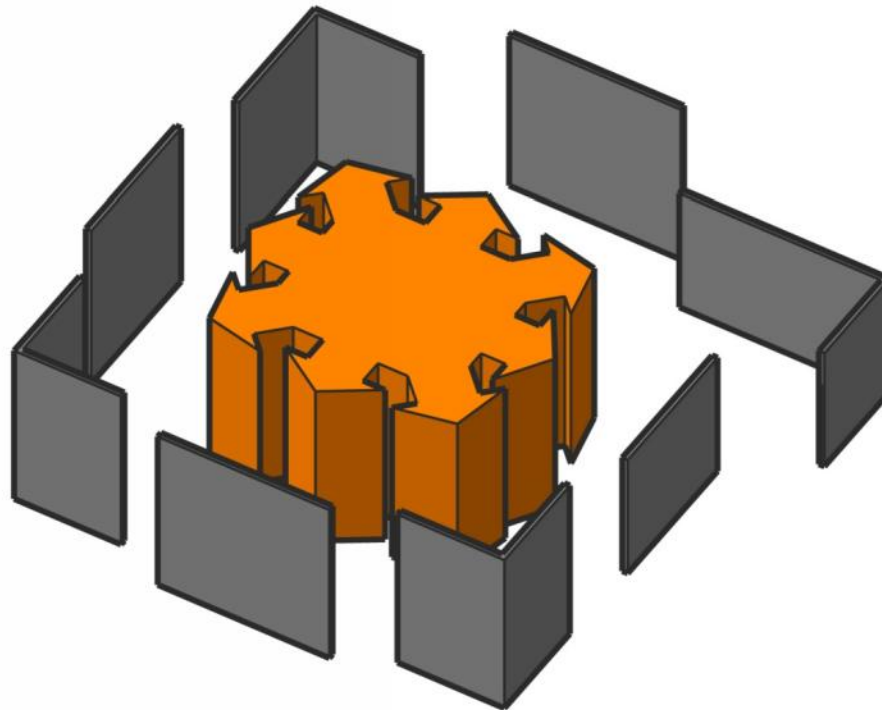
goal 1: FOSTER A NEW LEARNING LANDSCAPE

goal 2: **ACTIVATE THE ATRIUM**

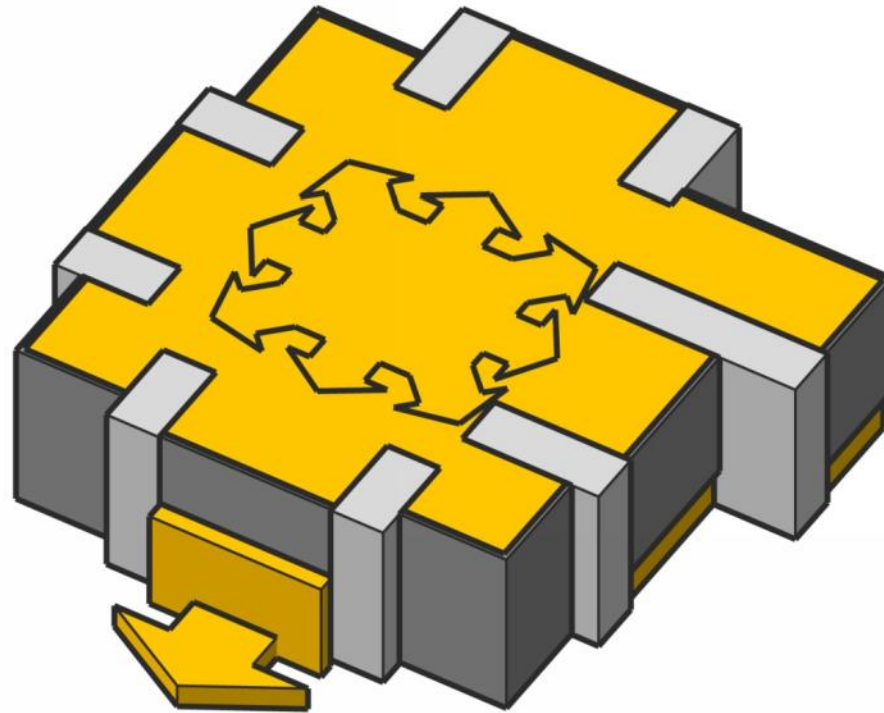


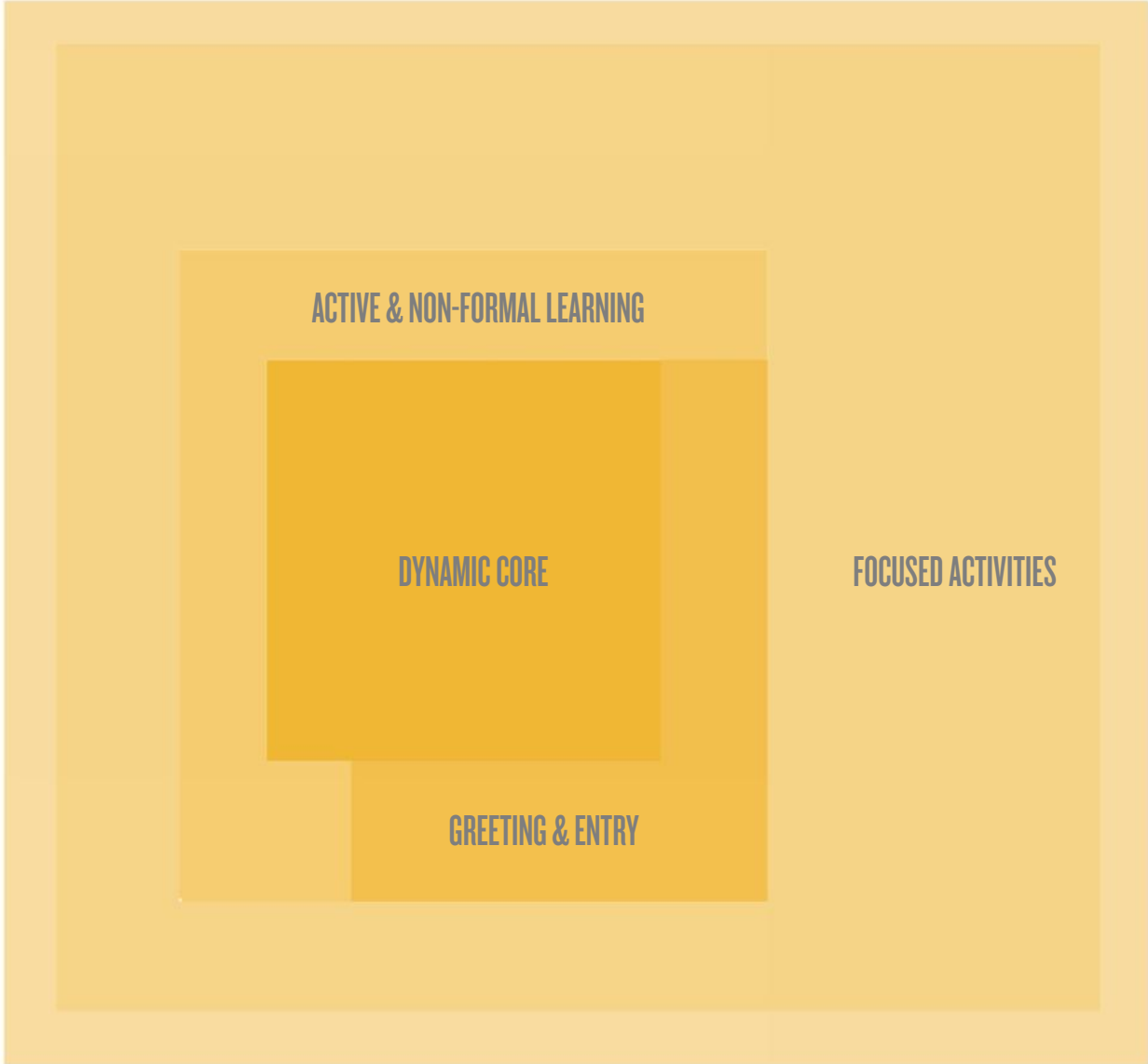
goal 1: FOSTER A NEW LEARNING LANDSCAPE

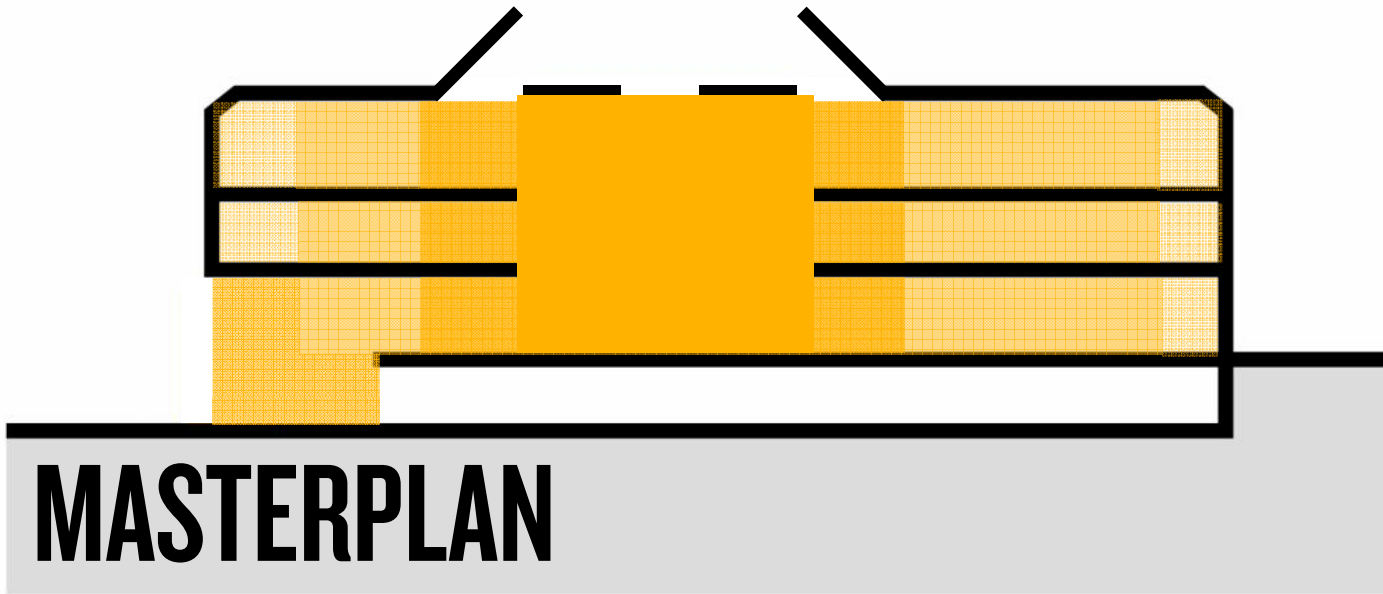
goal 2: **ACTIVATE THE ATRIUM**

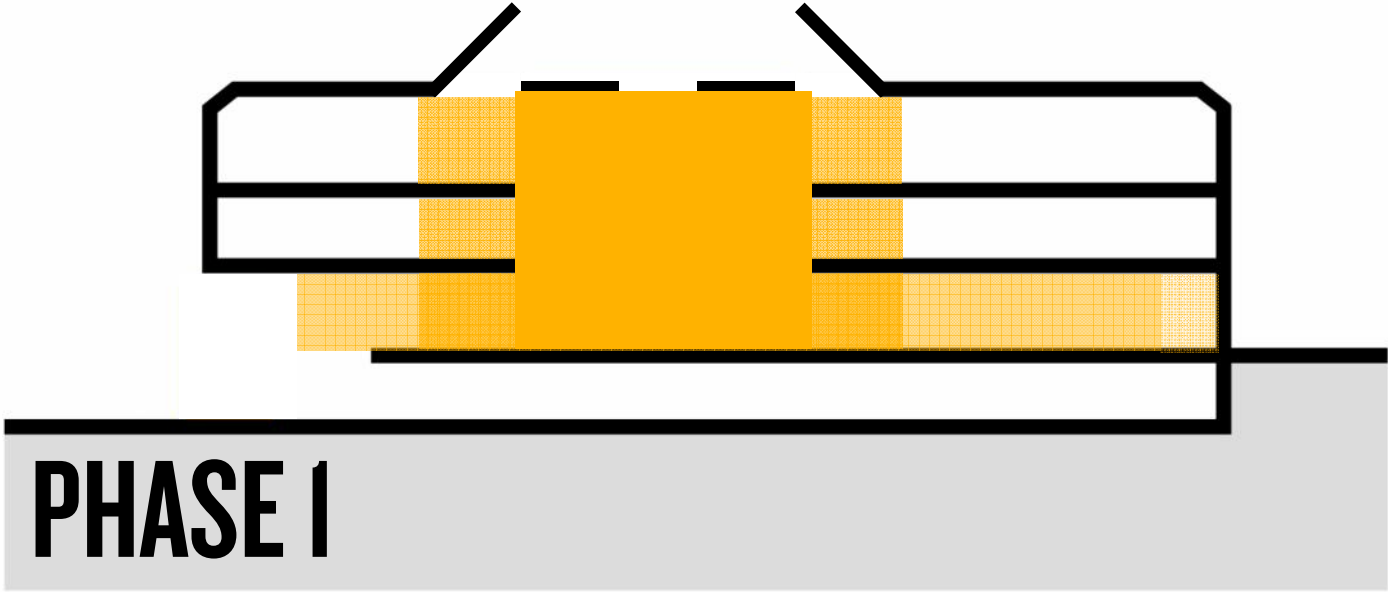


goal 1: FOSTER A NEW LEARNING LANDSCAPE
goal 2: ACTIVATE THE ATRIUM
goal 3: **CONNECT TO CAMPUS**

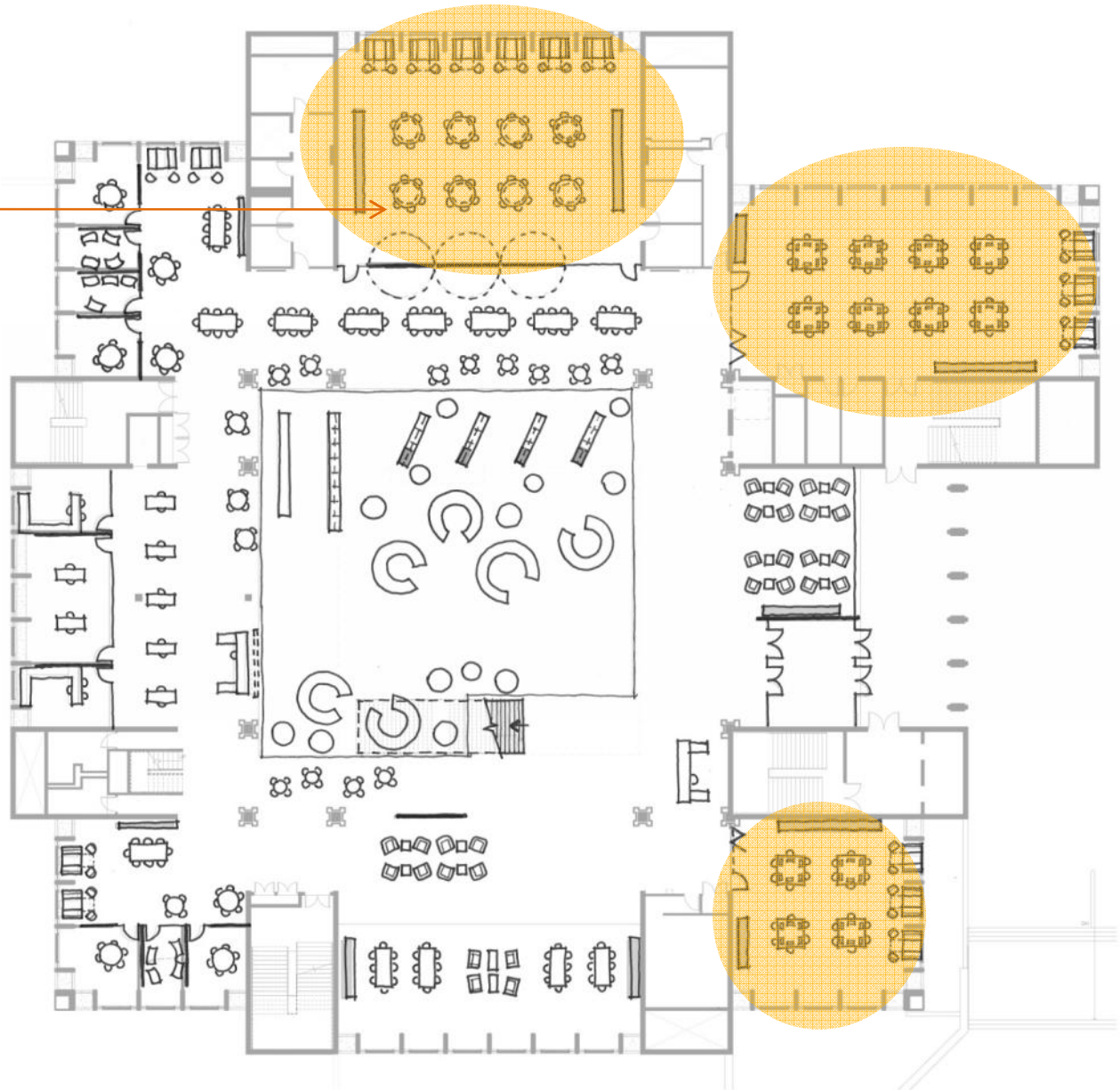
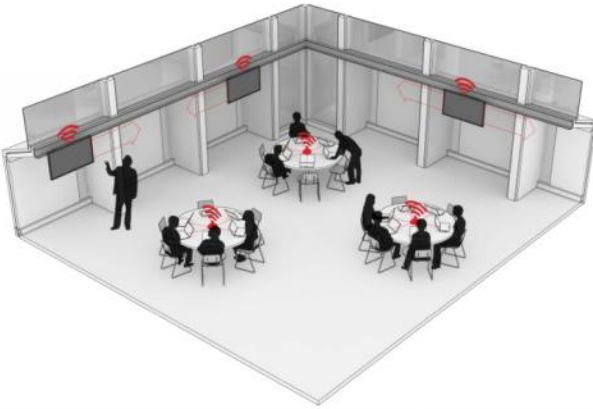


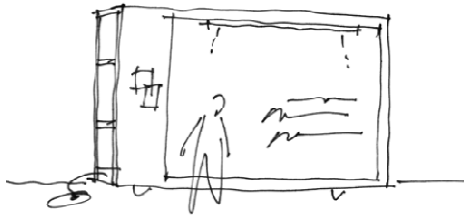






INNOVATIVE LEARNING SPACES





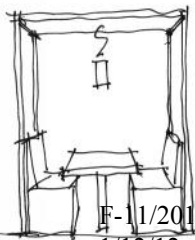
ACTIVE LEARNING: **WORKING WALLS**
(whiteboards and/or displays)

DISCOVERY: **COLLECTION**
(physical and digital)

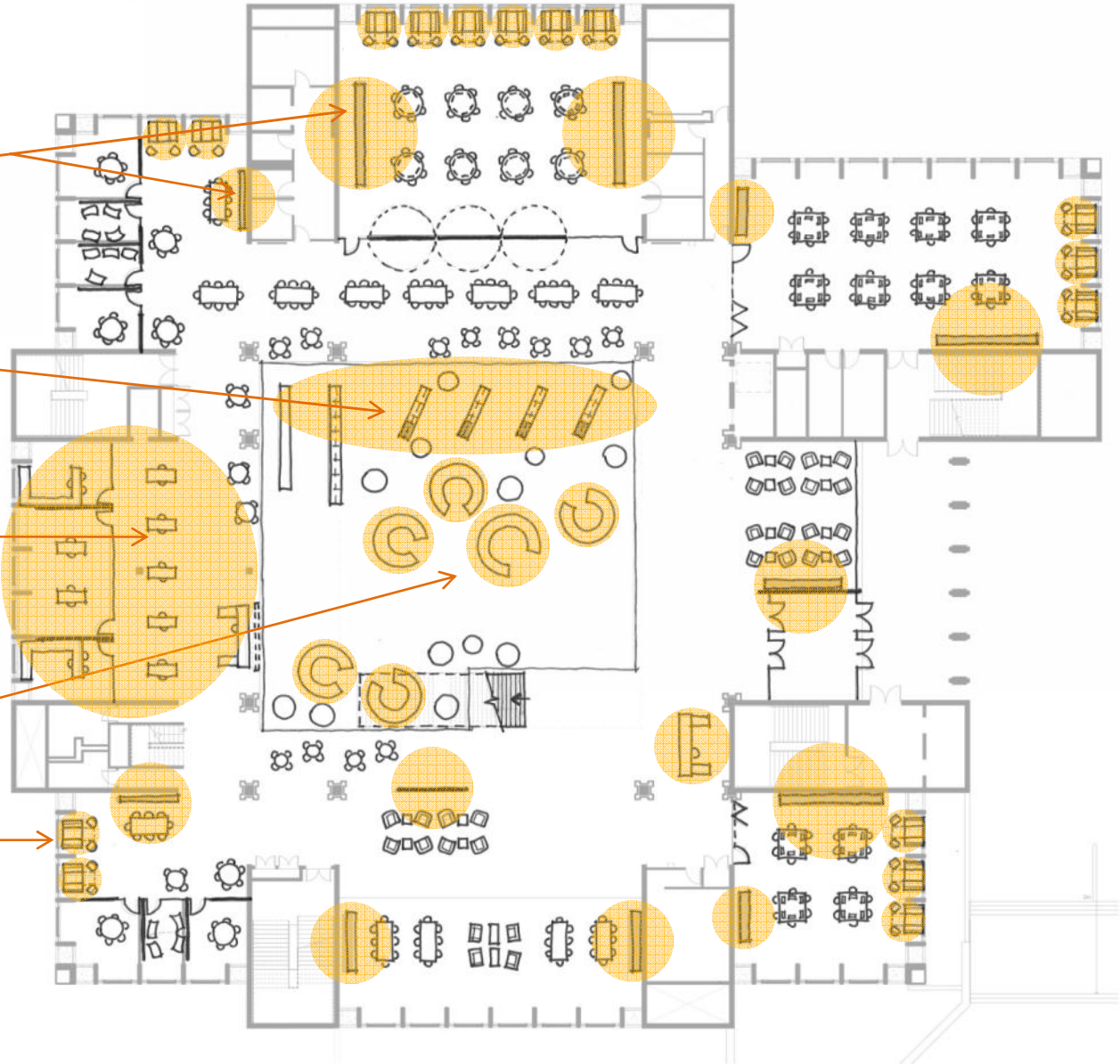
SERVICES: **CONSULTATION**
(student and faculty services)

NON-FORMAL TEAM SPACES: **BENCHES**

ACTIVE LEARNING: **BOOTHS**
(team spaces)

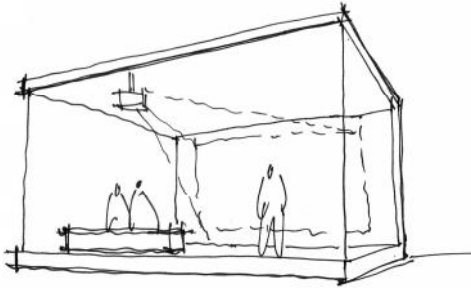


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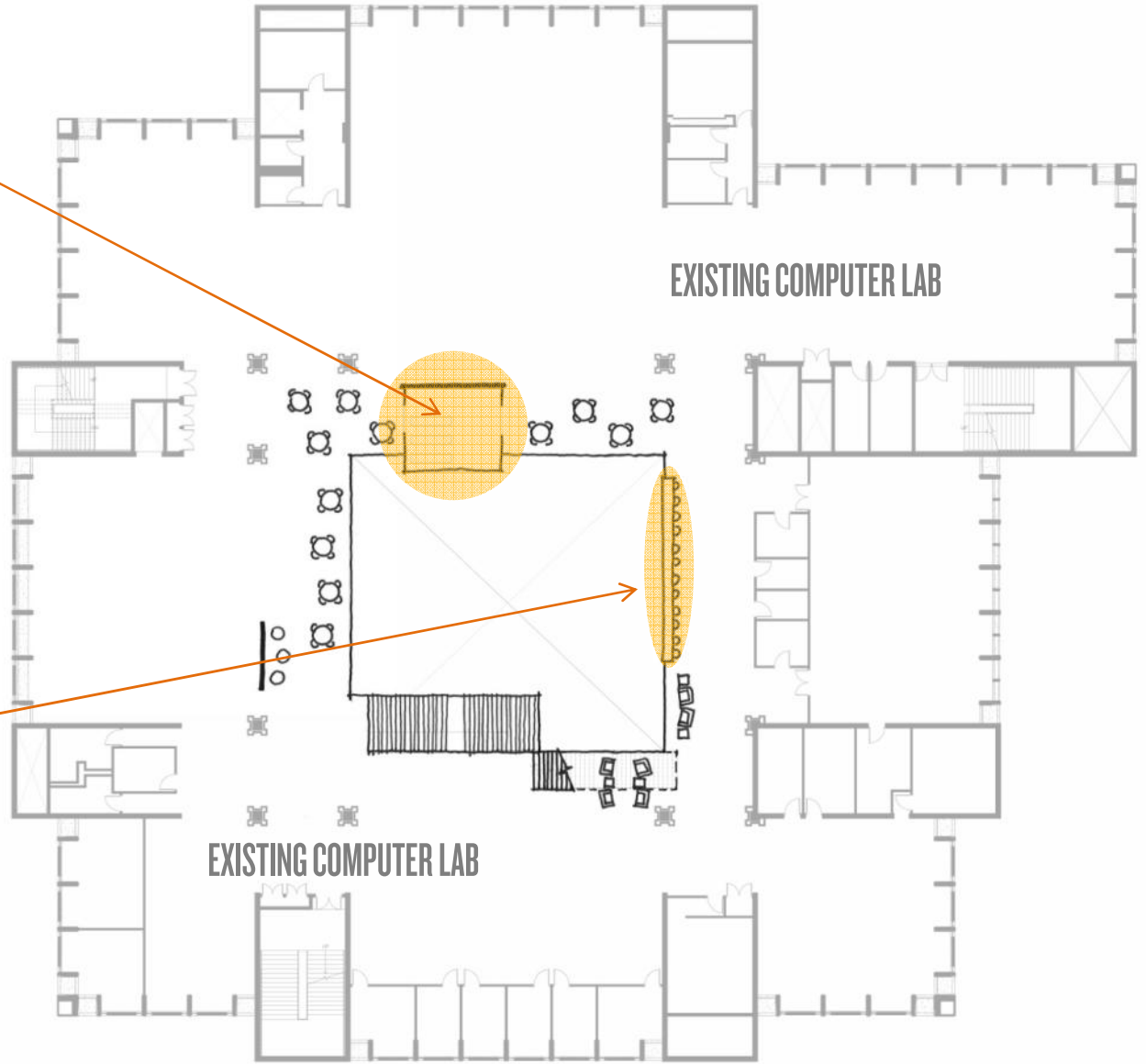
PERFORMANCE: **MEDIA GALLERY**

(highlight student media work)

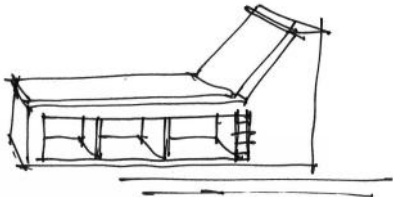


ACTIVE LEARNING: **STUDY COUNTERS**

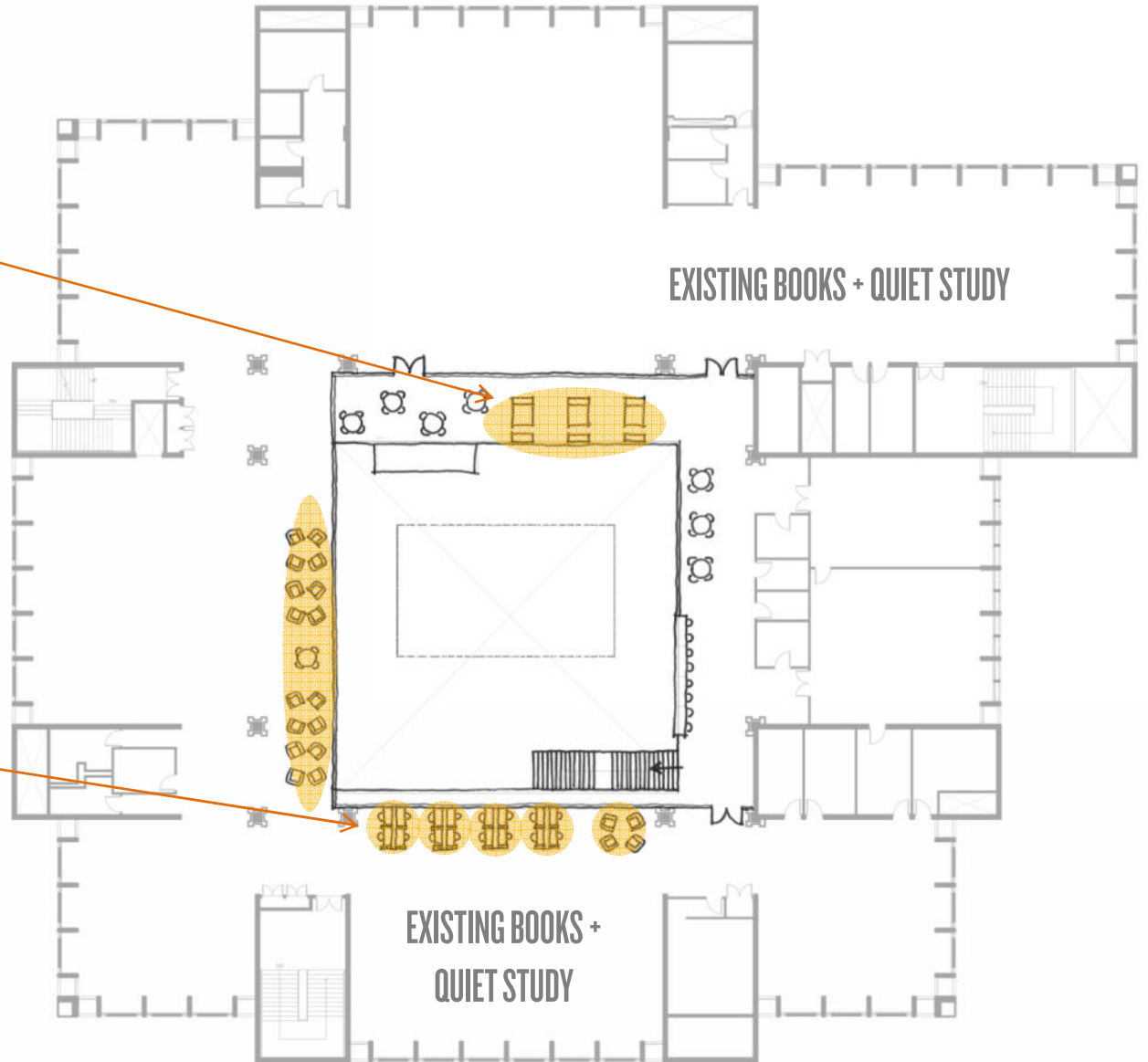
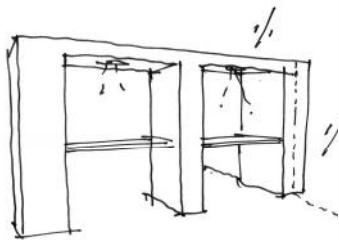
(team spaces)



REFLECTION: **RECLINE**



QUIET STUDY: **CARRELS**









VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

University of Washington Investment Committee (UWINCO) Update

INFORMATION

There will be an oral report for information only.

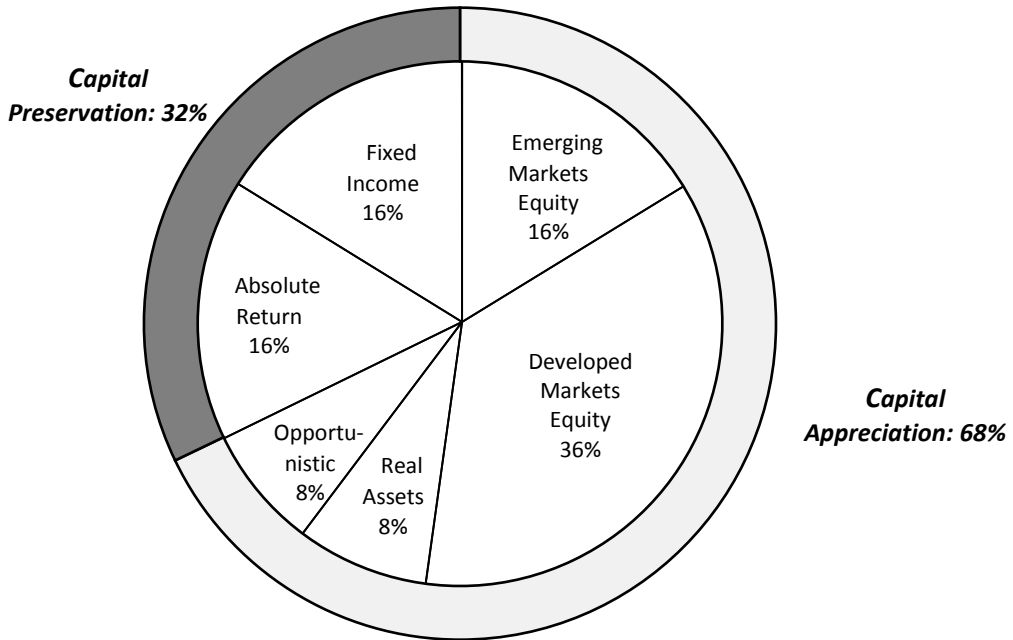
Attachments

1. CEF Asset Allocation
2. CEF Exposures vs. Policy Benchmark



CEF Asset Allocation

As of September 30, 2011



	Current Allocation ¹		Policy Targets and Ranges
	(\$ m)		
Emerging Markets Equity	\$325	16%	17%
Developed Markets Equity	\$725	36%	36%
Real Assets	\$163	8%	11%
Opportunistic	\$151	8%	6%
Capital Appreciation²	\$1,364	68%	70% Range: 55% - 85%
Absolute Return	\$323	16%	15%
Fixed Income	\$327	16%	15%
Capital Preservation	\$650	32%	30% Range: 15% - 45%
Total Consolidated Endowment Fund	\$2,014	100%	



CEF Exposures vs. Policy Benchmark

As of September 30, 2011

Highlights

	<u>(over/ under)</u> <u>benchmark</u>
Geographic	
Developed Europe	-1.9%
EM – Asia	+5.9%
North America ¹	-8.5%
Sector	
Consumer Discretionary	+5.6%
Financials	-7.0%
Currency	
US Dollar (USD)	-4.9%
Chinese Renminbi (RMB)	+2.4%

¹ Hedged equity included.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

2011 Independent Auditor's Report on the UW Financial Statements – KPMG

There will be an oral report for information only.

Attachment

The 2011 Annual Report will be available on-line at annualreport.uw.edu after the meeting of the Board, subject to the Board's acceptance of the report.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

in Joint Session with

B. Finance, Audit and Facilities Committee

State Budget Update

There will be an oral report for information only.