



January 3, 2013

TO: Members of the Board of Regents
Ex officio Representatives to the Board of Regents
FROM: Joan Goldblatt, Secretary of the Board of Regents
RE: Schedule of Meetings

WEDNESDAY, JANUARY 9, 2013

5:30 p.m. President's Residence

**DINNER FOR REGENTS,
AND OTHER GUESTS**

THURSDAY, JANUARY 10, 2013

8:30 to 10:25 a.m. 142 Gerberding Hall

**ACADEMIC AND STUDENT AFFAIRS
COMMITTEE:** Regents Ayer (Chair), Cole, Jaech,
Jordan

***10:35 to 11:55 a.m. 142 Gerberding Hall**

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Smith (Chair), Blake,
Jewell, Shanahan, Simon

***12:05 to 12:45 p.m. 142 Gerberding Hall**

**ACADEMIC AND STUDENT AFFAIRS
COMMITTEE:** Regents Ayer (Chair), Cole, Jaech,
Jordan

in Joint Session with

**FINANCE, AUDIT AND FACILITIES
COMMITTEE:** Regents Smith (Chair), Blake,
Jewell, Shanahan, Simon

**1:00 p.m. Petersen Room
Allen Library**

**REGULAR MEETING OF BOARD OF
REGENTS**

****or upon conclusion of the previous session.***

Unless otherwise indicated, committee meetings of the Board of Regents will run consecutively; starting times following the first committee are estimates only. If a session ends earlier than expected, the next scheduled session may convene immediately. Committee meetings may be attended by all members of the Board of Regents and all members may participate.

To request disability accommodation, contact the Disability Services Office at: 206.543.6450 (voice), 206.543.6452 (TTY), 206.685.7264 (fax), or email at dso@uw.edu. The University of Washington makes every effort to honor disability accommodation requests. Requests can be responded to most effectively if received as far in advance of the event as possible, preferably at least 10 days.

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1/10/13



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

Academic and Student Affairs Committee
Regents Ayer (Chair), Cole, Jaech, Jordan

January 10, 2013
8:30 to 10:25 a.m.
142 Gerberding Hall

1. **Academic and Administrative Appointments** ACTION A-1
Ana Mari Cauce, Provost and Executive Vice President
2. **Amendment of Chapter 478-156 WAC, Rules for the University of Washington Residence Halls and Family Housing Apartments** ACTION A-2
Pamela Schreiber, Director of Housing & Food Services
3. **UW Bothell: Consolidation of the Computing and Software Systems Program and the Science and Technology Program into the School of Science, Technology, Engineering, and Mathematics** ACTION A-3
Kenyon Chan, Chancellor, UW Bothell
Susan Jeffords, Vice Chancellor for Academic Affairs, UW Bothell
4. **Approval to Name the Foster School of Business Center for Innovation and Entrepreneurship the “Arthur W. Buerk Center for Entrepreneurship”** ACTION A-4
James Jiambalvo, Dean, Foster School of Business
5. **Current Trends in College Student Mental Health – 2013 Update** INFORMATION A-5
Eric Godfrey, Vice President and Vice Provost for Student Life
Ellen B. Taylor, Assistant Vice President for Student Life and Director, Counseling Center
6. **Review of the Freeh Report and Safety of Minors** INFORMATION A-6
Eric Godfrey
Jack G. Johnson, Chief of Staff, Office of the President
7. **Student Engagement at the University of Washington** INFORMATION A-7
Jerry Baldasty, Senior Vice Provost for Academic and Student Affairs
Eric Godfrey
Ed Taylor, Dean and Vice Provost for Undergraduate Academic Affairs
Christopher Jordan, Student Regent
Chelsa Ayers, Sophomore, Political Science
Vicky Herrera, Senior, Biochemistry
Bryan Dosono, Senior, Informatics: Human-Computer Interaction
Jose Meza, Alumnus, BA in Spanish and American Ethnic Studies

8. **Other Business**



UNIVERSITY OF WASHINGTON
BOARD OF REGENTS

Finance, Audit and Facilities Committee
Regents Smith (Chair), Blake, Jewell, Shanahan, Simon

January 10, 2013
10:35 to 11:55 a.m.
142 Gerberding Hall

1. **Report of Contributions – October and November 2012** INFORMATION F-1
Walt Dryfoos, Associate Vice President, Advancement Services
Connie Kravas, Vice President, University Advancement
2. **Grant and Contract Awards Summary – September and October 2012** ACTION F-2
Ana Mari Cauce, Provost and Executive Vice President
3. **Actions Taken Under Delegated Authority** INFORMATION F-3
Richard Chapman, Associate Vice President, Capital Projects Office
4. **Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2012** INFORMATION F-4
Todd Timberlake, Chief Real Estate Officer
Tom Schappacher, Assistant Director, Finance and Administration, Real Estate Office
5. **Investment Performance Report, First Quarter, Fiscal Year 2013** INFORMATION F-5
Keith Ferguson, Chief Investment Officer, Treasury Office
6. **Internal Lending Program Quarterly Report for the Quarter Ended September 30, 2012** INFORMATION F-6
Chris Malins Senior Associate Treasurer, Asset Liability Management, Treasury Office
Ruchi Aggarwal, Assistant Treasurer, Asset Liability Management, Treasury Office
7. **Metropolitan Tract – Approval of 2013 Unico Capital Expenditure Budget** ACTION F-7
Todd Timberlake
Tom Schappacher

8. **Sound Transit U District Station – Approval of Property Exchange & Development Agreement** **ACTION** F-8
Todd Timberlake
Jeanette Henderson, Director, Real Estate Office
Paul Jenny, Vice Provost, Planning & Budgeting
Rebecca Barnes, University Architect, Office of Planning & Budgeting
9. **UW Tacoma – Condemnation of Quan Property** **ACTION** F-9
Todd Timberlake
Jeanette Henderson
10. **University of Washington Investment Committee (UWINCO) Update** **INFORMATION** F-10
Keith Ferguson
11. **2012 Independent Auditor’s Report on the UW Financial Statements – KPMG** **INFORMATION** F-11
Richard Cordova, Executive Director, Internal Audit
Ann Nelson, KPMG
Karissa Marker, KPMG
Jacque Cabe, KPMG
12. **Executive Session**
(to review the performance of public employees.)
13. **Executive Session**
(to consider the minimum price at which real estate will be offered for sale or lease when public knowledge regarding such consideration would cause a likelihood of decreased price.)
14. **Executive Session**
(to consider the minimum price at which real estate will be offered for sale or lease when public knowledge regarding such consideration would cause a likelihood of decreased price.)
(to discuss with legal counsel representing the University, litigation or potential litigation to which the University is, or is likely to become, a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the University.)
15. **Other Business**



**UNIVERSITY OF WASHINGTON
BOARD OF REGENTS**

Academic and Student Affairs Committee
Regents Ayer (Chair), Cole, Jaech, Jordan

In Joint Session with

Finance, Audit and Facilities Committee
Regents Smith (Chair), Blake, Jewell, Shanahan, Simon

**January 10, 2013
12:05 to 12:45 p.m.
142 Gerberding Hall**

1. **State Budget Update** **INFORMATION** F-12
Paul Jenny, Vice Provost, Office of Planning & Budgeting
2. **Executive Session**
(to discuss with legal counsel representing the University, litigation or potential litigation to which the University is, or is likely to become, a party, when public knowledge regarding the discussion is likely to result in an adverse legal or financial consequence to the University.)
3. **Executive Session**
(to review the performance of public employees.)
4. **Other Business**



AGENDA

BOARD OF REGENTS University of Washington

January 10, 2013

1:00 p.m.

Petersen Room, Allen Library

(Item No.)

I. CALL TO ORDER

II. ROLL CALL: Assistant Secretary Shelley Tennant

III. CONFIRM AGENDA

IV. REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Harrell
PUBLIC COMMENT PERIOD

V. REPORT OF THE UNIVERSITY PRESIDENT: President Young

VI. CONSENT AGENDA

Approval of Minutes of Meeting of November 8, 2012

Amendment of Chapter 478-156 WAC, Rules for the University of Washington
Residence Halls and Family Housing Apartments A-2

UW Bothell: Consolidation of the Computing and Software Systems Program
and the Science and Technology Program into the School of Science,
Technology, Engineering, and Mathematics A-3

Approval to Name the Foster School of Business Center for Innovation and
Entrepreneurship the "Arthur W. Buerk Center for Entrepreneurship" A-4

Grant and Contract Awards Summary – September and October 2012 F-2

Metropolitan Tract – Approval of 2013 Unico Capital Expenditure Budget F-7

Sound Transit U District Station – Approval of Property Exchange &
Development Agreement F-8

UW Tacoma – Condemnation of Quan Property F-9

VII. STANDING COMMITTEES**A. Academic and Student Affairs Committee: Regent Ayer– Chair**

Academic and Administrative Appointments (Action)	A–1
Current Trends in College Student Mental Health – 2013 Update (Information only)	A–5
Review of the Freeh Report and Safety of Minors (Information only)	A–6
Student Engagement at the University of Washington (Information only)	A–7

Joint Session**A. Academic and Student Affairs Committee: Regent Ayer – Chair****B. Finance, Audit and Facilities Committee: Regent Smith – Chair**

State Budget Update (Information only)	F–12
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B. Finance, Audit and Facilities Committee: Regent Smith – Chair

Report of Contributions – October and November 2012 (Information only)	F–1
Actions Taken Under Delegated Authority (Information only)	F–3
Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2012 (Information only)	F–4
Investment Performance Report, First Quarter, Fiscal Year 2013 (Information only)	F–5
Internal Lending Program Quarterly Report for the Quarter Ended September 30, 2012 (Information only)	F–6
University of Washington Investment Committee (UWINCO) Update (Information only)	F–10
2012 Independent Auditor’s Report on the UW Financial Statements – KPMG (Information only)	F–11

VIII. OTHER BUSINESS

Reports to the Board:

Faculty Senate Chair – Professor Jim Gregory

Student Leaders:

ASUW President – Mr. Evan Smith

GPSS President – Mr. Adam Sherman

ASUW Tacoma President – Ms. Elizabeth Pierini

ASUW Bothell President – Mr. Kevin King

Alumni Association President – Mr. Patrick Crumb

IX. DATE FOR NEXT REGULAR MEETING: Thursday, February 14, 2013

X. ADJOURNMENT

OFFICIAL MINUTES

MINUTES

BOARD OF REGENTS University of Washington

January 10, 2013

The Board of Regents held its regular meeting on Thursday, January 10, 2013, beginning at 1:00 p.m. in the Petersen Room of the Allen Library. The notice of the meeting was appropriately provided to the public and the media.

CALL TO ORDER

A notice was posted announcing a delay in the start time of the meeting. Regent Harrell called the meeting to order at 1:10 p.m.

ROLL CALL

Assistant Secretary Tennant called the roll: Present were Regents Harrell (presiding), Ayer, Blake, Cole, Jaech, Jewell, Jordan, Simon, Smith; President Young, Provost Cauce, Ms. Warren, Ms. Goldblatt; ex officio representatives: Professor Gregory, Mr. Sherman, Mr. Smith, Mr. Crumb.

Absent: Regent Shanahan

CONFIRM AGENDA

The agenda was confirmed as presented.

REPORT OF THE CHAIR OF THE BOARD OF REGENTS: Regent Harrell

Regent Harrell wished everyone a happy new year and said she looks forward to the challenges and opportunities 2013 may bring.

She reported in December she had the opportunity to travel with the University of Washington group to watch the Husky Football team compete in the Maaco Las Vegas Bowl. Although the Huskies did not win the game, she said she enjoyed the time with the team and the University community. She thanked President Young for his participation in the Bowl festivities.

Later in the day, Regents and others plan to attend the grand opening of the Samuel E. Kelly Ethnic Cultural Center. Regent Harrell congratulated students, the Office of Minority Affairs and Diversity, and the University for completing this great project. The

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original building was demolished in 2011 and all are looking forward to seeing the new facility.

The Center currently serves about seventy student groups and has been a “home away from home” for students of color since its inception in 1971. Regent Harrell recalled spending time at the Center while she was a student. The Center has been a place where students can find familiar faces similar to themselves, staff who care about their academic and personal well-being, and where students can meet, interact, hang out, and build community. The Center is also a place where students learn leadership development skills, share and understand different cultural perspectives, and become leaders of tomorrow.

Regent Harrell announced that as required by law, there is a time for public comment at each meeting of the Board of Regents. During the Finance, Audit and Facilities Committee meeting Regents heard public comment regarding a civic square at the U District Sound Transit station development.

Regent Harrell called the name of one additional person who came forward to give public comment on the civic square at the U District Sound Transit station development.

Regent Harrell invited President Young to deliver his report.

REPORT OF THE UNIVERSITY PRESIDENT: President Young

President Young said he was delighted to echo Regent Harrell’s wishes for a happy new year, adding 2013 promises to be a year of achievement and excitement, accompanied by a fair share of challenges. He looks forward to working with everyone to make it the best year possible. He added his congratulations on the completion of the Ethnic Cultural Center and lauded the community it creates at the University. He praised the leadership of Dr. Sheila Edwards Lange.

The President reported on recent meetings and events he attended:

- Veterans Day Recognition event where Rear Admiral Herb Bridge was the first recipient of the Distinguished Veterans Alumni Award;
- Governor’s meeting with higher education and business leaders;
- Washington Economic Development Commission meeting;
- Dempsey Hall dedication at the Foster School of Business recognizing Neal Dempsey’s many contributions to the University;
- Travel to Spokane where he was joined by Regent Blake at meetings with alumni, community members, and the media;
- Eight other speaking engagements over the last month;
- Advisory Committee on Real Estate (ACRE), UW Investment Committee (UWINCO), and Minority Community Advisory Committee (MCAC) meetings;
- Continued to meet with Faculty Senate leaders;

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- Hosted receptions at the President's residence honoring UW Olympians (twenty-three UW athletes competed in the 2012 Summer Olympics and earned eighteen medals), for members of the Faculty Senate, and for the UW Alumni Association Board;
- Interviewed candidates for leadership positions.

The President highlighted recent UW honors and achievements:

- UW was ranked 17th out of 100 in Kiplinger's ranking of the "best value in public colleges."
- John Delaney, who the President termed as UW's "resident Jacques Cousteau," received the American Geophysical Union's Spilhaus Award.
- The new Molecular Engineering & Sciences Building won Engineering News Record's award for best higher education/research project in the nation. In conjunction with this project, the President praised the work of UW's Capital Projects Office, overseen by Richard Chapman, and part of Finance & Facilities, led by V'ella Warren.
- Eleven UW researchers were named Fellows of the American Association for the Advancement of Science.
- Daniela Witten, assistant professor of Biostatistics, was named to Forbes Magazine's "30 Under 30" list of rising stars in science and healthcare. The President noted last year she was on the "30 Under 30" list for science and innovation.
- The Henry Art Gallery was selected to receive an Art Works grant from the National Endowment for the Arts. This grant emerged from a highly competitive process, and the President praised Sylvia Wolf for the tremendous job she is doing directing the Henry.
- The Lancet published its first ever triple issue in December and included the results of a groundbreaking study on global health led by the UW's Institute for Health Metrics and Evaluation, directed by Chris Murray. The study offers profound implications for future policy decisions related to global health worldwide.
- Both Nature and Science journals cited the ENCODE project as one of a handful of major scientific advances for 2012. UW plays a major leadership role in this international project compiling a comprehensive list of the working parts of the human genome, led by Genome Sciences Professor John Stamatoyannopoulos.
- On its list of the top ten science stories of 2012, CNN included the work of UW scientists who constructed a near-total genome sequence of a fetus using a blood sample from the mother and saliva from the father. Jacob Kitzman and Matthew Snyder, both graduate students in Genome Sciences, led the study under the supervision of Genome Sciences Associate Professor Jay Shendure.

President Young recognized UW staff member John Pariseau, Director of Recreational Sports Program, for his hard work, dedication, and creativity and cited him as truly exemplary of what makes the UW an extraordinary institution. When confronted with the decision faced by many schools as to which intramural locker rooms, male or female, are to be used by transgender students, Mr. Pariseau worked out a thoughtful, innovative answer.

He started by defining this not as a "problem" but as an opportunity to help make members of the UW community feel more comfortable. Then, rather than forcing

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such students to make a difficult either/or decision, he pursued his idea for a “universal shower-dressing room” to be used by transgender students and others, giving them the privacy of traditional male and female facilities without unnecessary complications. Mr. Pariseau coordinated the planning for this locker room with the UW’s Q Center and it is scheduled to open soon. The President said he values this kind of flexible thinking and the application of both imagination and common sense, adding it is reflective of the great staff members at the University who make an effort to put students first and are concerned about creating an atmosphere that maximizes the comfort level of people who engage in the academic enterprise.

Regent Harrell thanked President Young for his report, saying it is clear the University is off to a good start in 2013.

CONSENT AGENDA

Regent Harrell asked if any items on the consent agenda should be removed and returned to the report of a committee for discussion.

Regent Jewell commented, out of respect to the public comment heard earlier, on the Sound Transit U District Station item, agenda number F-8, and said it was important during the complex negotiations of this critical transit project to not “throw up a road block” to moving forward. The UW has many years to evaluate how to develop the property. Regent Smith said it was his understanding there was nothing in the agreement to obligate the University to any one type of development or anything to prevent considering an open space. Regent Cole said he did not know if an open space would be the best use, as Regents have not received enough information to determine the best use for the space, or information about the overall campus plan for open space. He reiterated that the decision has not been made.

Regent Harrell noted there were eight items for approval on the consent agenda, and called for a motion.

MOTION: Upon the recommendation of the Chair of the Board and the motion made by Regent Jewell, seconded by Regent Blake, the Board voted to approve the eight items on the consent agenda as shown below:

Minutes for the meeting of November 8, 2012

Amendment of Chapter 478-156 WAC, Rules for the University of Washington Residence Halls and Family Housing Apartments (Agenda no. A-2)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents adopt the amendments to Chapter 478-156 WAC, “Rules for the University of Washington Residence Halls and Family Housing Apartments.”

See Attachment A-2.

UW Bothell: Consolidation of the Computing and Software Systems Program and the Science and Technology Program into the School of Science, Technology, Engineering, and Mathematics (Agenda no. A-3)

It was the recommendation of the administration and the Academic and Student Affairs Committee that the Computing and Software Systems Program and the Science and Technology Program be consolidated into a single School of Science, Technology, Engineering, and Mathematics.

It was further recommended that the Divisions of Computing and Software Systems, Biological Sciences, Engineering and Mathematics, and Physical Sciences be created as appointing units within the School of Science, Technology, Engineering, and Mathematics.

See Attachment A-3.

Approval to Name the Foster School of Business Center for Innovation and Entrepreneurship the “Arthur W. Buerk Center for Entrepreneurship” (Agenda no. A-4)

It was the recommendation of the President and the Academic and Student Affairs Committee that the Board of Regents approve naming the Center for Innovation and Entrepreneurship at the Foster School of Business “Arthur W. Buerk Center for Entrepreneurship” in recognition of Artie Buerk’s role in co-founding the Center in 1991 and his continuing partnership and philanthropy with the UW to promote the study and practice of entrepreneurship throughout our state.

In accordance with the Endowment and Program Naming Policy, Mr. Buerk’s name will remain for the life of the center, assuming his positive image and demonstrated integrity remain in good standing.

See Attachment A-4.

Grant and Contract Awards Summary – September and October 2012 (Agenda no. F-2)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee the Board of Regents accept Grant and Contract Awards for the month of September 2012 in the total amount of \$138,848,500 and in October 2012, in the total amount of \$97,418,970.

See Attachment F-2.

Metropolitan Tract – Approval of 2013 Unico Capital Expenditure Budget (Agenda no. F-7)

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It was the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$8.0 million in capital expenditures by Unico Properties, LLC, for capital and tenant improvements requested in its 2013 Capital Expenditure Plan for the buildings on the Metropolitan Tract. The \$8.0 million is comprised of up to \$4.4 million for capital improvements and up to \$3.6 million for tenant improvements.

See Attachment F-7.

Sound Transit U District Station – Approval of Property Exchange & Development Agreement (Agenda no. F-8)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

1. Approve entering into a Property Exchange & Development Agreement with Sound Transit to exchange University property for the air rights above the U District Station for future transit oriented development, including temporary construction and tieback easements, a permanent tunnel easement under the Social Work Building, and a condominium declaration; and
2. Delegate to the President or his designee the authority to execute all documents related to this property exchange and development.

See Attachment F-8.

UW Tacoma – Condemnation of Quan Property (Agenda no. F-9)

It was the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

1. Authorize the exercise of eminent domain (condemnation) of the Quan property at UW Tacoma; and
2. Delegate to the President or his designee the authority to execute all documents related to this transaction, and prosecute a condemnation action through the Office of Attorney General in Pierce County Superior Court.

See Attachment F-9.

STANDING COMMITTEES

Regent Harrell invited Regent Ayer to provide a report from the Academic and Student Affairs Committee.

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Ayer Chair

At the request of Regent Ayer, Provost Cauce highlighted the appointment of David Eaton to Vice Provost and Dean of The Graduate School, effective March 16, 2013. Dr. Eaton has been at the University since 1979 and has held many leadership positions, most

recently Associate Vice Provost for Research. The Provost described him as a world renowned researcher and teacher, and an excellent manager, administrator and leader.

Academic and Administrative Appointments (Agenda no. A-1) (Action)

MOTION: Upon the recommendation of the administration and the Academic and Student Affairs Committee, the Board voted to approve the academic and administrative appointments. Regent Jordan abstained from the vote.

See Attachment A-1.

Current Trends in College Student Mental Health – 2013 Update (Agenda no. A-5)
(Information only)

Regent Ayer described the report from Eric Godfrey and Ellen Taylor. Regents learned the national trend shows student demand for mental health services is increasing as is the complexity of issues. Universities are expected to do more in this area. The primary issues affecting UW students, consistent with national trends, are anxiety, depression, and relationship issues. Seventy five percent of those seen expressed normal developmental concerns, while fifteen to twenty percent have more severe issues.

UW recently reorganized student mental health services to improve the effectiveness and efficiency of delivery, providing more collaborative and coordinated care with only a slight staff increase.

Regent Ayer said he found it interesting that recently financial concerns are increasingly contributing to students' stress. UW is reaching more students with the objective of early detection. The student culture is a positive factor as peer encouragement is important to remove the stigma of consulting with a mental health professional.

See Attachment A-5.

Review of the Freeh Report and Safety of Minors (Agenda no. A-6) (Information only)

Regent Ayer reported Eric Godfrey and Jack Johnson described the work of the Safety of Minors Committee, formed in July 2012, to develop policies, standards, guidelines, and incident response protocols, and to review training and education.

The key messages of the Committee's report were that there should be one place to go to report concerns, and this needs to be communicated across the campus. The Committee reviewed the 119 across-the-board recommendations in the Freeh Report to identify potential action items and discussed how critically important the "tone at the top" is along with an institutional culture that emphasizes student safety as a top priority.

See Attachment A-6.

Student Engagement at the University of Washington (Agenda no. A-7) (Information only)

Regents heard from students about their engagement in various activities across campus, learning this involvement helps create smaller communities in a large University. Students described many opportunities that are likely not well known outside of the University, including the Husky Leadership Initiative, Freshmen Seminars, Career Center, undergraduate research opportunities, work experiences, service as a Resident Advisor, library work, international programs, and participation in many student organizations including ASUW and GPSS. It became clear to Regents that student involvement has added to students' academic success, their personal growth, and their overall enjoyment of the UW experience. Regent Ayer called this report "encouraging and uplifting."

See Attachment A-7.

FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Smith, Chair

Regent Harrell invited Regent Smith to provide a report from the Finance, Audit and Facilities Committee, and the Finance item on the Joint Committee.

Report of Contributions – October and November 2012 (Agenda no. F-1) (Information only)

The total amount of gifts received in October 2012 was \$22,659,797, and the total for November 2012 was \$40,258,949. The total for the year-to-date is \$121,301,531.

See Attachment F-1.

Actions Taken Under Delegated Authority (Agenda no. F-3) (Information only)

See Attachment F-3.

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2012 (Agenda no. F-4) (Information only)

See Attachment F-4.

Investment Performance Report, First Quarter, Fiscal Year 2013 (Agenda no. F-5) (Information only)

See Attachment F-5.

Internal Lending Program Quarterly Report for the Quarter Ended September 30, 2012 (Agenda no. F-6) (Information only)

See Attachment F-6.

University of Washington Investment Committee (UWINCO) Update (Agenda no. F-10) (Information only)

See Attachment F-10.

2012 Independent Auditor's Report on the UW Financial Statements – KPMG (Agenda no. F-11) (Information only)

Regent Smith said the independent auditors reported a clean opinion overall on all parts of the University examined. The auditors, he said, were “extraordinarily” complimentary about management’s work and cooperation during the audit. They singled out the strength of the “tone at the top.”

See Attachment F-11.

Joint Session

ACADEMIC AND STUDENT AFFAIRS COMMITTEE: Regent Ayer, Chair
FINANCE, AUDIT AND FACILITIES COMMITTEE: Regent Smith, Chair

State Budget Update (Agenda no. F-12) (Information only)

Regents received a brief report on the state budgets. The only proposed budgets are those authored by the outgoing Governor. The real work is yet to be done, as there is a need to hear from the incoming Governor and the legislature.

See Attachment F-12.

OTHER BUSINESS: REPORTS TO THE BOARD OF REGENTS

Regent Harrell invited the student leaders from UW Bothell and UW Tacoma to join the Regents at the table.

Faculty Senate Chair: Professor Jim Gregory

Professor Gregory’s remarks are attached to these minutes.

ASUW President: Mr. Evan Smith

Mr. Smith reported during autumn quarter the ASUW government settled into their offices in the newly-renovated HUB. They are seeing record numbers of student involvement, including applicants for ASUW’s leadership development program. All ASUW services and programs are in good fiscal health thanks to the budget management and customer service skills the student-managers are learning. During winter quarter ASUW will sponsor programs including the American Indian Student Commission’s annual winter powwow. ASUW leaders are making progress on policy review. They are advocating for student-led councils in each college to advise deans and support student-informed decision making. The College of Arts & Sciences will begin their council soon.

ASUW leaders are excited and optimistic about the upcoming legislative session. Mr. Smith feels there will be areas of agreement and collaboration with faculty and administration members. He believes investment in faculty salaries is crucial but students ask that their tuition not be the sole source of this funding.

Mr. Smith thanked the Provost for sending her Resolution 2013 message reaffirming the University's pact with students.

GPSS President: Mr. Adam Sherman

Mr. Sherman recognized the effort by university presidents to work with Olympia to maintain undergraduate tuition at the same level and asked that graduate tuition also be considered. He cited graduate education as important for economic development, innovation, and job creation, and asked for support to focus on graduate education along with undergraduate education.

Mr. Sherman highlighted some upcoming GPSS events. As part of a series of science and policy events, GPSS will host an event to connect science at the University with state policy makers to ensure science is informing policy. GPSS will hold training sessions for student scientists to learn how to communicate to policy-makers.

On Martin Luther King Day GPSS students will participate in a day of service, in partnership with the GO-MAP (Graduate Opportunities and Minority Achievement Program). Activities are planned at three sites to engage student volunteers in the community.

The "Huskies on the Hill" lobby day will be held in Olympia on February 15.

Mr. Sherman addressed GPSS internal issues, reiterating his goal to increase the financial stability of GPSS to enable it to continue to serve its constituents. He is working with the offices of V'ella Warren, Senior Vice President of Finance & Facilities, and Paul Jenny, Vice Provost for Planning and Budgeting, to formulate a plan to establish an endowment. This could create a more robust funding stream in the future.

In partnership with the UWAA and The Graduate School, GPSS is working to create a GPSS alumni association to provide institutional memory, create social and professional networking opportunities, and possibly offer the possibility of fundraising in the future.

Mr. Sherman praised the work of the University committee formed to review sponsorship efforts saying it demonstrated tremendous leadership on the part of University administrators to recognize the need to identify creative ways to fund the University's activities. GPSS is hoping to explore ways to use sponsorships to fund their programming and events. There is a strong need to identify funding for the travel grants program to provide support for graduate students to attend professional and academic conferences where they present information, learn, and bring information back to the UW.

ASUW Tacoma President: Ms. Elizabeth Pierini

Ms. Pierini reported UW Tacoma recently held its welcome days. ASUW Tacoma launched a bookselling blog for students to sell used books. It replaced a bulletin board and has received a good response from students.

The student government is concerned about campus transportation issues. Proposition 1, which was supported by ASUW Tacoma students, failed to pass in the last election. This will likely result in cuts by Pierce Transit to bus service to campus after 7 p.m. Ms. Pierini said this would affect many evening students who attend classes until 8:20 p.m.

ASUW Tacoma has two main goals for winter quarter. The first is to engage with graduate and evening students to understand them better, advocate for them more effectively, and engage and involve them on campus. The second goal is to continue the “story card” campaign. They have collected about one hundred cards from students. On the cards students describe how they have been affected by increased tuition rates over the last four years. ASUWT plans to provide the completed cards to legislators during the upcoming “Huskies on the Hill” and at other lobby days.

During fall quarter the number of students volunteering in ASUWT activities doubled.

Ms. Pierini reported on what she termed an “exciting partnership” created for student recreation and fitness, the addition of hot yoga and rock climbing. ASUWT is engaging with the local community to find new ways to keep students engaged and stay healthy.

Internally, a constitution committee has been formed to review student governance documents to restructure the organization and determine the optimal way to organize a functional student government.

ASUW Bothell President: Mr. Kevin King

Mr. King thanked Regents for approving the STEM School at UW Bothell, saying students are excited about the program, as the Bothell campus continues to expand and grow.

He reported the Sports and Recreation complex is complete and students have been enjoying participating in sporting activities there.

The student activity center project will be presented to the Board for approval in the near future, following approval of funding from the Student Activities Fee Committee. The project groundbreaking is expected to be in October 2013.

Mr. King said UW Bothell will be hosting the first annual Universal Leadership Conference on May 4, 2013. The mission of the conference is to empower students and support diversity efforts and promote multicultural awareness by bringing together students and leaders from diverse backgrounds to learn leadership skills, cultivate social responsibility, break down leadership barriers, and to adopt leadership as a way of life.

The conference is designed to help students tap into and expand their leadership potential, helping them grow personally and professionally.

Regent Harrell thanked Mr. King for his report and reminded everyone the Board is scheduled to hold its March meeting at UW Bothell.

Alumni Association President: Mr. Patrick Crumb

Mr. Crumb reported UWAA members and staff attended the Las Vegas Bowl “in force” reflecting a great show of Husky pride.

During the fall quarter many think UWAA programming is centered on athletics, with homecoming and football-related events. In winter quarter the featured activities include a robust series of lectures focusing on academics and lifelong learning. UWAA sponsors fifty lectures annually with 16,000 unique attendees during the last year. These attendees have accounted for about \$40 million in donations to the University. Mr. Crumb said the lectures provide a great way to bring alumni to campus to reinforce their connections with the university. UWAA’s lecture series is one of the largest programs of its type in the country and offers a great opportunity to expose people to UW’s faculty.

Mr. Crumb termed this “tailgating for the mind” and urged all to “never stop learning.”

UW Impact is preparing for the upcoming legislative session by honing a message about the importance of funding for higher education and working with the District Dawgs to set up meetings with legislators. UW Impact plans to co-host a reception in Olympia with Western Washington University and Washington State University during the Regents and Trustees Day.

UWAA is also working on strategic planning and will be listening to stakeholders with the goal of affirming the UWAA will continue to be an asset to the University community.

Mr. Crumb highlighted the alumni travel program, which includes UW faculty members on many of its trips.

DATE FOR NEXT MEETING

Regent Harrell announced the next regular meeting of the Board of Regents will be held on Thursday, February 14, 2013, at the UW Tower.

ADJOURNMENT

Regent Harrell adjourned the meeting at 2:15 p.m.



Joan Goldblatt
Secretary of the Board of Regents

Approved at the meeting of the Board on February 14, 2013.

I want to use my five minutes to talk about salary issues and explain why I think that raises need to be a priority in next year's budget. As you know a salary freeze has been in effect for more than four years. Not since the summer of 2008 have faculty or most staff received any adjustments in their salary, not even the most modest cost of living adjustments.

For the most part these years have passed without complaint. We all understand the fiscal realities and most faculty members have been very willing to do their part in hard times. Many are probably willing to continue doing so, especially those who are well paid in comparison with other occupations. I have long been impressed by the culture of fairness that is widespread at this university. Greed and self-interestedness are not celebrated here—quite the opposite—and that has very much helped us in these trying times.

But we are now reaching a crisis point as the university struggles to retain its current faculty, attract new professors, and maintain morale. Our salaries are far below our peers and we are all alone in not being able to offer anything for these four and half years. We are even alone in Washington State. All of the regional universities and WSU have found ways around the salary freeze. Western Washington University faculty received a 6.25% increase this year in its collective bargaining agreement. WSU faculty earned a 2% bonus payment. Only UW remains frozen.

How far have we fallen behind peers? We have comparison data by department and rank for 14 peer public institutions that like UW are Research 1 universities with medical schools (U Arizona, U Florida, U Iowa, Michigan State, U Michigan, U Minnesota, U Missouri, UNC, Ohio State, U Pittsburgh, Texas A&M, UC Berkeley, U Virginia, U Wisconsin). I should caution that these data are from Fall 2011 and in the last year most of these other institutions have raised salaries.

What they show is that in almost all disciplines and departments UW salaries are noticeably lower, sometimes shockingly lower, especially among senior faculty, the full professors.

Full professors in the Computer Science department earn on average 11% less than the average at peer universities.

Math department 14% less
Architecture 19% less
English 20%
Political Science 21%
Industrial Engineering 21%
Psychology 22%
Economics 25%
Law 27%

In some cases the gap is smaller, but it is hard to find a department at UW where full professor salaries have kept up with peers. The misery prize should probably go to the School of Communication where full professors earned 46% less than peers in 2011.

This is a prescription for disaster, because other states are in recovery mode, have money to spend, and their universities are hunting for our faculty. We've been handling this with a policy of targeted retention offers to those in danger of leaving. But that is like fighting a forest fire with a garden hose. It has saved

James Gregory, Faculty Senate Chair
Report to the Board of Regents
January 10, 2013

some programs while others are starting to burn. And it has introduced a new problem. Since the only way to get a raise is to have a job offer, more and more people are shopping for offers. I think you can see why that is a serious problem.

If we go into a fifth year with no raises, the exodus of our faculty will accelerate. And morale problems will escalate. I doubt that the legislature is going to understand this issue, and certainly not the general public. They think that faculty members are well paid in comparison to many jobs, and that is true for many of us. But not all. The public would be surprised at how low some of our salaries are. We have some faculty members with 20-30 years of experience and PhD degrees earning less than K-12 teachers.

But this is not just about fairness. It is about preserving the quality of a great university. If our best faculty continue to leave, our reputation will plummet, and the consequences will be dire. What has taken half a century to build can be destroyed very quickly. I know that you understand this and will make sure that it does not happen. Thank you.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Academic and Administrative Appointments

RECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents approve the appointments to the University faculty and administration as presented on the attached list.

Attachment

Academic and Administrative Appointments

ADMINISTRATIVE APPOINTMENTS

School of Dentistry

Department of Restorative Dentistry

Schwedhelm, Ernesto Ricardo

Acting Chair, Restorative Dentistry, effective 12/1/2012

Continuing Appointment:

- Clinical Associate Professor, Dental Pathway, Restorative Dentistry

Degrees:

- MSD, 1983, Indiana University
- DDS, 1978, Universidad Tecnologica de Mexico

School of Dentistry

Le Resche, Linda Ann

Associate Dean, Dentistry , effective 9/1/2012

Continuing Appointments:

- Professor, Oral Medicine
- Adjunct Professor, Oral Health Sciences

Degrees:

- Doctor Of Science, 1977, Johns Hopkins University
- BS, 1969, Carleton College

Graduate School

Graduate School

Eaton, David L.

Dean and Vice Provost, Graduate School, effective 3/16/2013

Continuing Appointments:

- Professor, Environmental and Occupational Health Sciences

Degrees:

- PhD, 1978, University of Kansas
- BS, 1974, Montana State University

School of Medicine

Department of Biomedical Informatics and Medical Education

Tarczy-Hornoch, Peter

**Chair, Biomedical Informatics and Medical Education,
effective 12/1/2012**

Continuing Appointments:

- Professor without Tenure, Biomedical Informatics and Medical Education
- Professor without Tenure, Pediatrics
- Adjunct Professor, Computer Science and Engineering

Degrees:

- MD, 1989, Stanford University
- BS, 1985, Stanford University

ENDOWED APPOINTMENTS

College of Arts and Sciences

Department of Economics

Innes, Robert Dibblee

Castor Endowed Professorship in Economics, effective 7/1/2013

Continuing Appointment:

- Professor, Economics

Degrees:

- PhD, 1986, University of California (Berkeley)
- MBA, 1984, University of California (Berkeley)
- BA, 1981, University of California (Berkeley)

School of Drama

Curtis-Newton, Valerie

Donald E. Petersen Endowed Professorship, effective 1/1/2013

Continuing Appointment:

- Professor, Drama

Degrees:

- MA, 1996, University of Washington
- BA, 1981, College of The Holy Cross

School of Music

Vu, Cuong

Donald E. Petersen Endowed Professorship, effective 12/16/2012

Continuing Appointment:

- Associate Professor, Music

Degree:

- Bachelor of Music (BM), 1993, New England Conservatory of Music

College of Engineering

Department of Human Centered Design and Engineering

Ramey, Judith Ann

**Frank and Julie Jungers Endowed Deanship in Engineering,
effective 1/1/2013**

Continuing Appointments:

- Professor, Human Centered Design and Engineering
- Interim Dean, Engineering

Degrees:

- PhD, 1983, University of Texas (unspecified)
- MA, 1971, University of Texas (unspecified)
- BA, 1969, University of Texas (Austin)

School of Medicine

Department of Medicine

Martins, Renato

Endowed Chair in Cancer Care, effective 11/1/2012

Continuing Appointment:

- Associate Professor without Tenure, Medicine

Degrees:

- MPH, 1998, Harvard University
- MD, 1992, Federal University of Rio de Janeiro (Brazil)

Page, Stephanie T.

**Robert B. McMillen Endowed Professorship in Lipid
Research, effective 12/1/2012**

Continuing Appointment:

- Associate Professor without Tenure, Medicine

Degrees:

- MD, 1999, University of Washington
- PhD, 1999, University of Washington
- BS, 1989, Stanford University

Department of Surgery

Gibran, Nicole Simone

**David and Nancy Auth-Washington Research Foundation Endowed
Chair for Restorative Burn Surgery, effective 1/1/2013**

Continuing Appointments:

- Professor without Tenure, Surgery
- Adjunct Professor, Medicine

Degrees:

- MD, 1985, Boston University
- BS, 1981, Brown University

NEW APPOINTMENTS

ROTC

Department of Aerospace Studies

Mengistu, Isseyas H.

Assistant Professor without Tenure, Aerospace Studies, effective 6/1/2013

Prior Non-UW Appointment:

Executive Officer, Space and Missile Systems Center, Los Angeles AFB, CA

Degrees:

- MS, 2011, Air Force Institute of Technology
- BS, 2005, Virginia Polytechnic Institute and State University

Department of Naval Science

Boehm, Matthew Joseph

Assistant Professor without Tenure, Naval Science, effective 2/1/2013

Prior Non-UW Appointment:

Assistant Operations Officer, USS Connecticut

Degree:

- BS, 2008, US Naval Academy

Nelson, Garrett Dale

Assistant Professor without Tenure, Naval Science, effective 4/1/2013

Prior Non-UW Appointment:

Aircrew Training and Assistant Operations Officer, United States Marine Corps

Degrees:

- BA, 2004, University of Illinois

College of Arts and Sciences

Department of Astronomy

McQuinn, Matthew Judd

Assistant Professor, Astronomy, effective 9/16/2014

Degrees:

- PhD, 2009, Harvard University
- MA, 2006, Harvard University
- Scientiae Baccalaureus (SB), 2004, Stanford University

Department of Economics

Innes, Robert Dibblee

Professor, Economics, effective 7/1/2013

Prior Non-UW Appointment:

Professor of Economics and Tony Coelho Chair of Public Policy, University of California, Merced

Degrees:

- PhD, 1986, University of California (Berkeley)
- MBA, 1984, University of California (Berkeley)
- BA, 1981, University of California (Berkeley)

Department of Germanics

Pietsch, Lutz-Henning

Visiting Assistant Professor, Germanics, effective 3/16/2013

Prior Non-UW Appointment:

Assistant Professor, German Department, University of Tübingen

Degrees:

- PhD, 2011, University of Tübingen (Germany)
- MA, 1999, University of Regensburg (Germany)

Business School

Department of Accounting

Hewitt, Max

Visiting Assistant Professor, Accounting, effective 12/16/2012

Prior Non-UW Appointment:

Assistant Professor, Kelley School of Business, Indiana University

Degrees:

- PhD, 2007, University of Washington
- MCom, 2003, University of New South Wales (Australia)
- MAT, 2001, University of Sydney (Australia)
- BS, 1999, Macquarie University (Australia)

Department of Finance and Business Economics

Seslen, Tracey

Senior Lecturer, Full-time, Finance and Business Economics, effective 12/16/2012

Prior Non-UW Appointment:

Assistant Professor, Clinical Finance and Business Economics, USC

Degrees:

- PhD, 2003, Massachusetts Institute of Technology
- BA, 1999, Tufts University

College of Education

College of Education

Lopez, Sara L.

Senior Lecturer, Full-time, Education, effective 10/1/2012

Prior UW Appointment:

Lecturer Part-Time, Education

Degrees:

- MED, 2003, University of Washington
- BA, 1983, Pacific Lutheran University

College of Engineering

Department of Bioengineering

Curra, Francesco P.

APL Assistant Professor without Tenure, Bioengineering, effective 9/16/2012

Notes:

Dr. Curra is currently a Principal Research Scientist in Applied Physics Laboratory.

Department of Computer Science and Engineering

Gollakota, Shyamnath Venkata Satyasrisai

Acting Assistant Professor, pending Ph.D., Computer Science and Engineering, effective 10/1/2012

Degrees:

- PhD - Expected, 2013, Massachusetts Institute of Technology
- SM, 2008, Massachusetts Institute of Technology
- BTech, 2006, Indian Institute of Technology (India)

Kemelmaher, Irena

Assistant Professor, Computer Science and Engineering, effective 1/19/2013

Degrees:

- PhD, 2009, Weizmann Institute for Science (Israel)
- MSC, 2004, Weizmann Institute for Science (Israel)
- BSC, 2001, Bar-Ilan University (Israel)

College of the Environment
School of Environmental and Forest Sciences

Araujo dos Anjos, Luiz Carlos

Visiting Associate Professor, School of Environmental and Forest Sciences, effective 12/1/2012

Prior Non-UW Appointment:

Associate Professor, Chemical Engineering and Chemistry,
Federal Institute of Education, Science and Technology,
Pernambuco

Degrees:

- PhD, 2010, University of Pernambuco
- MS, 2004, University of Pernambuco
- BS, 1999, University of Pernambuco

School of Law
School of Law

Ambrose, Kimberly Dawn

Senior Lecturer, Full-time, Law, effective 9/16/2012

Prior UW Appointment:

Lecturer Full-Time, Law

Degrees:

- JD, 1989, University of Washington
- BA, 1984, University of Washington

Goodman, Carl

Visiting Professor, Law, effective 12/16/2012

Prior Non-UW Appointment:

Adjunct Professor, Law, Georgetown University

Degrees:

- Master Of Laws, 1966, Georgetown University
- JD, 1961, Brooklyn Law School
- BBA, 1957, City University of New York (City College)

Spitzer, Hugh Davidson

Visiting Professor, Law, effective 9/16/2012

Prior Non-UW Appointment:

Adjunct Professor, University of Puget Sound School of Law

Degrees:

- Master Of Laws, 1982, University of California (Berkeley)
- JD, 1974, University of Washington
- BA, 1970, Yale University

School of Medicine

Department of Anesthesiology and Pain Medicine

Rabbitts, Jennifer Anne

Assistant Professor without Tenure, Anesthesiology and Pain Medicine, effective 11/1/2012

Prior UW Appointment:

Acting Assistant Professor, temporary, Anesthesiology and Pain Medicine

Degree:

- MB ChB, 2002, University of Stellenbosch (South Africa)

Department of Family Medicine

Rao, Ashwin Laxminarayana

Assistant Professor without Tenure, Family Medicine, effective 12/16/2012

Prior UW Appointment:

Clinical Associate Professor, Family Medicine

Degrees:

- MD, 2003, Case Western Reserve University
- BA, 1999, Swarthmore College

Vorvick, Linda Jean

Senior Lecturer, Full-time, Family Medicine, effective 1/1/2013

Prior UW Appointment:

Senior Lecturer, Part-time, Family Medicine

Degrees:

- MD, 1982, University of Washington
- BS, 1978, University of Chicago

Department of Medicine

Billings, Martha Elizabeth

Assistant Professor without Tenure, Medicine, effective 11/1/2012

Prior UW Appointment:

Senior Fellow, Medicine

Degrees:

- MD, 2002, University of California (San Francisco)
- BA, 1997, Stanford University

Gardner, Jennifer Michelle

Assistant Professor without Tenure, Medicine, effective 11/1/2012

Degrees:

- MD, 2006, Washington University
- BS, 2001, Duke University

Department of Microbiology

Chattopadhyay, Sujay

Research Assistant Professor, Microbiology, effective 11/1/2012

Prior UW Appointment:

Acting Instructor, Microbiology

Degrees:

- PhD, 2003, Indian Associate for the Cultivation of Science (India)
- Mtech, 1998, India Institute of Technology
- MSC, 1995, University of Calcutta (India)
- BSC, 1993, University of Calcutta (India)

Department of Neurological Surgery

Kalume, Franck K.

Assistant Professor without Tenure, Neurological Surgery, effective 12/1/2012

Prior UW Appointment:

Acting Instructor, Pharmacology

Degrees:

- PhD, 2004, University of Tennessee
- BS, 1997, LeMoyne-Owen College

Kievit, Forrest M.

Research Assistant Professor, Neurological Surgery, effective 1/2/2013

Prior UW Appointment:

Research Associate-Trainee, Materials Science and Engineering

Degrees:

- PhD, 2011, University of Washington
- BS, 2007, University of Washington

Mikheev, Andrei Mikhailovich

Research Assistant Professor, Neurological Surgery, effective 11/1/2012

Prior UW Appointment:

Acting Assistant Professor, temporary, Neurological Surgery

Degrees:

- PhD, 1991, St. Petersburg State University (Russia)
- MD, 1984, St. Petersburg State University (Russia)

Department of Ophthalmology

Chang, Grace C.

**Assistant Professor without Tenure, Ophthalmology, effective
11/1/2012**

Degrees:

- MD, 2006, Stanford University
- PhD, 2003, California Institute of Technology
- MS, 1994, Stanford University
- BS, 1992, Stanford University

Ono, Seiji

**Research Assistant Professor, Ophthalmology, effective
12/1/2012**

Degrees:

- PhD, 2002, Tokyo Medical and Dental University
- MS, 1996, University of Tsukuba (Japan)
- BA, 1994, Juntendo University (Japan)

Tarczy-Hornoch, Kristina

**Associate Professor without Tenure, Ophthalmology, effective
11/1/2012**

Prior Non-UW Appointment:

Associate Professor, Clinical Ophthalmology, University of
Southern California

Degrees:

- MS, 2007, University of Southern California
- MD, 1998, University of California (San Francisco)
- Doctor Philosophiae (DPhil), 1997, University of Oxford
(UK)
- BA, 1991, University of Oxford (UK)

Department of Pathology

Tretiakova, Maria Sergeevna

**Assistant Professor without Tenure, Pathology, effective
12/1/2012**

Degrees:

- PhD, 1997, North-Western State Medical University named
after I.I. Mechnikov (Russia)
- MD, 1994, North-Western State Medical University named
after I.I. Mechnikov (Russia)

Department of Pediatrics

Beier, David Randolph

Professor without Tenure, Pediatrics, effective 12/1/2012

Prior Non-UW Appointment:

Professor, Medicine, Harvard Medical School

Degrees:

- PhD, 1985, University of Washington
- MD, 1984, University of Washington
- BA, 1977, Harvard University

Moreno, Megan Andreas

Associate Professor without Tenure, Pediatrics, effective 12/28/2012

Prior Non-UW Appointment:

Assistant Professor, Pediatrics, University of Wisconsin

Degrees:

- MPH, 2008, University of Washington
- MEd, 2004, University of Wisconsin
- MD, 2000, George Washington University
- BA, 1995, Northwestern University

Department of Psychiatry and Behavioral Sciences

Clark, Jeremy James

Assistant Professor without Tenure, Psychiatry and Behavioral Sciences, effective 1/2/2013

Prior UW Appointment:

Acting Assistant Professor, temporary, Psychiatry and Behavioral Sciences

Degrees:

- PhD, 2006, University of Washington
- BA, 2001, California State University (campus unspecified)
- BA, 1996, Indiana University

Geisner, Irene Markman

Assistant Professor without Tenure, Psychiatry and Behavioral Sciences, effective 1/2/2013

Prior UW Appointment:

Acting Assistant Professor, temporary, Psychiatry and Behavioral Sciences

Degrees:

- PhD, 2008, University of Washington
- MEd, 1998, University of Pennsylvania
- BA, 1993, University of Pennsylvania
- BS, 1993, University of Pennsylvania

Litt, Dana Michelle

Assistant Professor without Tenure, Psychiatry and Behavioral Sciences, effective 1/2/2013

Prior UW Appointment:

Acting Assistant Professor, temporary, Psychiatry and Behavioral Sciences

Degrees:

- PhD, 2010, Georgetown University
- BA, 2004, University of Miami (Florida)

Department of Rehabilitation Medicine

Heckman, Jeffrey Todd

Assistant Professor without Tenure, Rehabilitation Medicine, effective 12/1/2012

Degrees:

- Doctor of Osteopathic Medicine, 2006, University of New England
- BS, 2002, Pennsylvania State University

School of Public Health

Department of Environmental and Occupational Health Sciences

Cohen, Martin Alan

Senior Lecturer, Full-time, Environmental and Occupational Health Sciences, effective 12/1/2012

Degrees:

- Doctor Of Science, 1990, Harvard University
- MS, 1985, Harvard University
- BS, 1983, Purdue University

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Amendment of Chapter 478-156 WAC, Rules for the University of Washington Residence Halls and Family Housing ApartmentsRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Board of Regents adopt the amendments to Chapter 478-156 WAC, “Rules for the University of Washington Residence Halls and Family Housing Apartments.”

BACKGROUND

Housing and Food Services at the University of Washington, Seattle campus has requested modifications to their administrative code for eligibility and assignment. Embedded in the revisions are:

- Edits to uniquely identify this WAC chapter as pertaining to the Seattle campus. This is recognition that each campus has unique housing stock and operating methods.
- Modification of sections to include the addition of single student apartments as an assignment option. With the construction related to the master plan, HFS has added inventory that provides apartment style options for single students.
- Changes to the assignment eligibility criteria in family housing apartments to be identified by each specific property with the approval of the Vice President and Vice Provost for Student Life.
- Revisions to the family housing assignment process for eligible students to provide equity to students with disabilities, to those in the educational opportunity program, and to single parents with dependent children meeting the financial eligibility requirement. These students will be given equal priority and assigned based on application date.

As required by the Washington Administrative Code rule-making process, the public was notified that a hearing would be held to consider these proposed rules amendments via notices in the *Washington State Register*, *The Daily*, *UW Today*, and included in the online campus calendar. The hearing officer’s report is attached.

The proposed rule amendments have been reviewed by the Attorney General’s Office, and endorsed by the Office of the Vice President and Vice Provost for Student Life, and the Housing and Food Services Office.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Amendment of Chapter 478-156 WAC, Rules for the University of Washington
Residence Halls and Family Housing Apartments (continued p. 2)

Attachments

1. Hearing Officer's Report (for public hearing held on November 29, 2012)
2. Proposed amendments to Chapter 478-156 WAC
3. Current text of Chapter 478-156 WAC

Rules Coordination Office

December 6, 2012

President Michael K. Young
Office of the President
University of Washington

Dear President Young,

Pursuant to your delegation, I served as the Hearing Officer to receive public comment on the University of Washington's proposed amendments to Chapter 478-156 WAC, "Rules for the University of Washington Residence Halls and Family Housing Apartments," at the November 29, 2012 public hearing held at 1:00 pm in Room 142 of Gerberding Hall at the University of Washington, Seattle campus. I am pleased to provide this report on the hearing and all written comments received.

As required by the Administrative Procedure Act, the University filed the following notices with the Washington State Office of the Code Reviser: a Preproposal Statement of Inquiry (published as WSR 11-20-075); and a Proposed Rule Making (published as WSR 12-21-076). Notices that a hearing would be held were published in *The Daily* on November 20, 2012 and in *UW Today* on November 21, 2012. Notice of this public hearing was also included in the UW Seattle online events calendar. The written comment period began October 19, 2011 and ended November 29, 2012.

Public Comment

No individuals attended the hearing to provide comment on these proposed amendments and no written comments were received.

Analysis and Recommendation


Amendments to the University of Washington's WAC rules for student housing (Chapter 478-156 WAC) are proposed to limit the scope of the chapter to the Seattle campus, allowing other student housing options to be developed for the UW Bothell and UW Tacoma campuses; to update the types of housing now offered to students; and to streamline administrative practices.

The proposed revisions have been reviewed by the Attorney General's Office and endorsed by the Director of Housing and Food Services, and the Vice President and Vice Provost for Student Life.

As there were no comments to the contrary, it is my recommendation that the Board of Regents adopt the amendments to Chapter 478-156 WAC as provided on the amended copy (marked OTS-5044.3).

An audio recording of the hearing has been deposited with the Secretary of the Board of Regents.

Sincerely,



Rebecca Goodwin Deardorff
Director of Rules Coordination

c: Ms. Lenina Arenas-Fuentes
Mr. Eric Godfrey
Ms. Joan Goldblatt
Mr. Jack Johnson
Ms. Pamela Schreiber
Mr. Mark Stanley

Chapter 478-156 WAC

RULES FOR THE UNIVERSITY OF WASHINGTON, SEATTLE CAMPUS RESIDENCE HALLS, SINGLE STUDENT AND FAMILY HOUSING APARTMENTS

AMENDATORY SECTION (Amending WSR 97-24-048, filed 11/26/97, effective 12/27/97)

WAC 478-156-010 Legal authority to enact. Pursuant to the authority granted by RCW 28B.20.130 and 28B.15.031, the board of regents of the University of Washington has established the following rules governing the operation of the university's residence halls, single student and family housing apartments for the University of Washington, Seattle campus.

AMENDATORY SECTION (Amending WSR 97-24-048, filed 11/26/97, effective 12/27/97)

WAC 478-156-011 Purpose of residence halls, single student and family housing apartments. The residence halls, single student and family housing apartments exist primarily to provide a conveniently located living environment for university students which will contribute to their educational development.

AMENDATORY SECTION (Amending WSR 97-24-048, filed 11/26/97, effective 12/27/97)

WAC 478-156-013 Priority for residence halls (~~Eligibility~~) and single student apartments. Priority for use of residence hall space and single student apartments is given to enrolled students at the University of Washington, Seattle.

Priority for use of any remaining housing space is specific to each residence hall or apartment building. Up-to-date information pertaining to residence hall and single student apartment use priority is available on the University of Washington, Seattle

housing and food services' web site.

Subject to space availability, the following may be granted residence privileges in the order of their applications after the needs of enrolled students have been fulfilled:

(1) Participants in university-sponsored short courses, conferences, and state tests and examinations.

(2) Visiting scholars sponsored by academic departments.

(3) Students attending nearby community colleges.

(4) On a transient basis, visiting students from other institutions, visiting faculty members and guests of student residents.

(5) Other groups when approved by the committee on the use of university facilities.

(6) Other groups or individuals approved by housing and food services, on a space available basis.

AMENDATORY SECTION (Amending WSR 97-24-048, filed 11/26/97, effective 12/27/97)

WAC 478-156-016 Family housing apartments--Assignment and unit size eligibility. Residents must be enrolled as full-time students at the University of Washington three of the four quarters of an academic year, and be married couples; registered, same-sex domestic partners (that is, the partnerships are registered with the ~~((city of Seattle))~~ state of Washington or other jurisdictions where domestic partner registrations are offered); or single parents with dependent children. In addition, financial eligibility must be verified ~~((annually))~~ prior to move-in for those students in priority groups (1) through (3), which are described in WAC 478-156-017. The office of student financial aid will ~~((annually))~~ update financial need figures for family housing eligibility and will ~~((annually))~~ evaluate the resources of each new applicant ~~((and each current resident of family housing))~~ to determine if their requirements for financial assistance exceed the established need figures. Separate financial need figures are established for each unit size. ~~((The applicable dollar amounts and deadlines for submission of the financial aid form are published by and available at the housing and food services office in January of each year. Eligibility will be for the period July 1 through June 30.))~~ Any expenses related to the processing of the financial aid form will be borne by the applicant or the current resident. ~~((Residents not meeting the eligibility requirements who feel they have mitigating circumstances may appeal to the application appeal and eviction review committee.))~~ Assignment eligibility criteria for each unit size of university-owned housing is specific to the property and outlined on the University of Washington, Seattle housing and food services web site.

Changes to these eligibility criteria shall be approved

through the office of the vice-president and vice-provost for student life after consultation with student leadership from the Associated Students of the University of Washington (ASUW) and the Graduate and Professional Student Senate (GPSS).

AMENDATORY SECTION (Amending WSR 97-24-048, filed 11/26/97, effective 12/27/97)

WAC 478-156-017 Assignment priority. Applicants for university-owned family housing apartments who are judged eligible for assignment pursuant to WAC 478-156-016 are assigned in the following order of priority, based on the date of application:

(1) Students who have special housing needs due to:

● Disability-related reasons (~~((financial eligibility may be waived on a case-by-case basis for students with disabilities),)~~);

● Students in the university's educational opportunity program (~~(, and others with extreme financial or personal hardship. (2))~~);

● Students who are single parents and have dependent children meeting financial eligibility criteria as set forth in WAC 478-156-016; and

● Others with extreme financial or personal hardship.

~~((3))~~ (2) Other students meeting financial eligibility criteria as set forth in WAC 478-156-016.

~~((4))~~ (3) Other students exceeding financial eligibility criteria.

REPEALER

The following sections of the Washington Administrative Code are repealed:

WAC 478-156-015

Occupancy deadline.

WAC 478-156-018

Unit size--Eligibility standards.

Chapter 478-156 WAC

RULES FOR THE UNIVERSITY OF WASHINGTON RESIDENCE HALLS AND FAMILY HOUSING APARTMENTS

WAC Sections

[478-156-010](#) Legal authority to enact.

[478-156-011](#) Purpose of residence halls and family housing apartments.

[478-156-012](#) Modification of these rules.

[478-156-013](#) Residence halls -- Eligibility.

[478-156-015](#) Occupancy deadline.

[478-156-016](#) Family housing apartments -- Eligibility.

[478-156-017](#) Assignment priority.

[478-156-018](#) Unit size -- Eligibility standards.

DISPOSITIONS OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

478-156-001, 478-156-002, 478-156-003, 478-156-004, 478-156-005, 478-156-006, 478-156-007, 478-156-008, 478-156-009. [Order 72-2, § 478-156-001 through § 478-156-009, filed 4/27/72, effective 6/19/72.] Repealed by Order 73-4, filed 4/16/73.

478-156-014 Assignment priority. [Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-014, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-014, filed 11/6/72.] Repealed by 01-24-014, filed 11/26/01, effective 12/27/01. Statutory Authority: RCW [28B.20.130](#).

478-156-010 Legal authority to enact.

Pursuant to the authority granted by RCW [28B.20.130](#) and [28B.15.031](#), the board of regents of the University of Washington has established the following rules governing the operation of the university's residence halls and family housing apartments.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-010, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-010, filed 11/6/72.]

478-156-011 Purpose of residence halls and family housing apartments.

The residence halls and family housing apartments exist to provide a conveniently located living environment for university students which will contribute to their educational development.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-011, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-011, filed 11/6/72.]

478-156-012 Modification of these rules.

The board of regents reserves the right to add, delete, or modify portions of these rules in accordance with its rules and applicable laws.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-012, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-012, filed 11/6/72.]

478-156-013 Residence halls — Eligibility.

Priority for use of residence hall space is given to enrolled students at the University of Washington. Subject to space availability, the following may be granted residence privileges in the order of their applications after the needs of enrolled students have been fulfilled:

- (1) Participants in university-sponsored short courses, conferences and state tests and examinations.
- (2) Visiting scholars sponsored by academic departments.
- (3) Students attending nearby community colleges.
- (4) On a transient basis, visiting students from other institutions, visiting faculty members and guests of student residents.
- (5) Other groups when approved by the committee on the use of university facilities.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-013, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-013, filed 11/6/72.]

478-156-015 Occupancy deadline.

Students who have applied and have been assigned to a hall must occupy their rooms by noon of the second day of the quarter or their reservations will be terminated.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-015, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-015, filed 11/6/72.]

478-156-016 Family housing apartments — Eligibility.

Residents must be enrolled as full-time students at the University of Washington three of the four quarters of an academic year, and be married couples; registered, same-sex domestic partners (that is, the partnerships are registered with the city of Seattle or other jurisdictions where domestic partner registrations are offered); or single parents with dependent children. In addition, financial eligibility must be verified annually for those students in priority groups (1) through (3), which are described in WAC [478-156-017](#). The office of student financial aid will annually update financial need figures for family housing eligibility and will annually evaluate the resources of each new applicant and each current resident of family housing to determine if their requirements for financial assistance exceed the established need figures. Separate financial need figures are established for each unit size. The applicable dollar amounts and deadlines for submission of the financial aid form are published by and available at the housing and food services office in January of each year. Eligibility will be for the period July 1 through June 30. Any expenses related to the processing of the financial aid form will be borne by the applicant or the current resident. Residents not meeting the eligibility requirements who feel they have mitigating circumstances may appeal to the application appeal and eviction review committee.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-016, filed 11/26/97, effective 12/27/97. Statutory Authority: RCW [28B.20.130](#)(1). 80-03-005 (Order 79-5), § 478-156-016, filed 2/8/80; Order 72-6, § 478-156-016, filed 11/6/72.]

478-156-017 Assignment priority.

Applicants for university-owned family housing apartments who are judged eligible for assignment pursuant to WAC [478-156-016](#) are assigned in the following order of priority:

(1) Students who have special housing needs due to disability-related reasons (financial eligibility may be waived on a case-by-case basis for students with disabilities), students in the university's educational opportunity program, and others with extreme financial or personal hardship.

(2) Students who are single parents and have dependent children.

(3) Other students meeting financial eligibility criteria as set forth in WAC [478-156-016](#).

(4) Other students exceeding financial eligibility criteria.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-017, filed 11/26/97, effective 12/27/97. Statutory Authority: RCW [28B.20.130](#)(1). 80-03-005 (Order 79-5), § 478-156-017, filed 2/8/80; Order 72-6, § 478-156-017, filed 11/6/72.]

478-156-018 Unit size — Eligibility standards.

(1) Studio units. Married couples with no children; registered, same-sex domestic partners with no children; or single persons at least 20 years of age or of junior standing.

(2) One bedroom units. Married couples with no children; registered, same-sex domestic partners with no children; single parents with one child; married couples with one child; or registered, same-sex domestic partners with one child.

(3) Two bedroom units. Married couples with one or two children; registered, same-sex domestic partners with one or two children; or single parents with one or two children.

(4) Three bedroom units. Married couples with two or more children; registered, same-sex domestic partners with two or more children; or single parents with two or more children.

[Statutory Authority: RCW [28B.20.130](#). 97-24-048, § 478-156-018, filed 11/26/97, effective 12/27/97; Order 72-6, § 478-156-018, filed 11/6/72.]

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

UW Bothell: Consolidation of the Computing and Software Systems Program and the Science and Technology Program into the School of Science, Technology, Engineering, and MathematicsRECOMMENDED ACTION

It is the recommendation of the administration and the Academic and Student Affairs Committee that the Computing and Software Systems Program and the Science and Technology Program be consolidated into a single School of Science, Technology, Engineering, and Mathematics.

It is further recommended that the Divisions of Computing and Software Systems, Biological Sciences, Engineering and Mathematics, and Physical Sciences be created as appointing units within the School of Science, Technology, Engineering, and Mathematics.

JUSTIFICATION FOR PROPOSED ACTIONS

The proposed programmatic consolidation and creation of the School of Science, Technology, Engineering, and Mathematics was presented to Provost Ana Mari Cauce by Chancellor Kenyon Chan with his endorsement after extensive deliberation and endorsement by the faculty of the Computing and Software Systems Program and the Science and Technology Program. The proposal was also submitted for campus review, which included a 30-day comment period, and review by the General Faculty Organization Executive Council, and the Academic Council. The proposal was developed as part of the University of Washington Bothell's plan to transition to a more recognizable and effective academic administrative structure.

Guided by the *21st Century Campus Initiative* strategic plan, the School of Science, Technology, Engineering, and Mathematics is designed to build on existing strengths and facilitate increased collaboration among faculty, staff, and students in curriculum, research, and community partnerships. By integrating most of UW Bothell's STEM faculty into a single unit, it is envisioned that consolidation will:

- Strategically orient the campus' STEM activities around a common set of goals, priorities, and values;
- Increase the visibility and availability of STEM curricula and research at UW Bothell for future students and academic stakeholders;

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Consolidation of the Computing and Software Systems Program and the Science and Technology Program into the School of Science, Technology, Engineering, and Mathematics (continued p. 2)

- Efficiently support future campus growth given proposed changes to administrative and fiscal structure;
- Encourage and reward collaboration among STEM faculty within and across disciplines;
- Enhance capacity for interdisciplinary, collaborative research endeavors for faculty and students; and
- Create opportunities for innovation in curriculum that optimizes efficiency and student access.

REVIEW PROCESS

Following University of Washington “Reorganization, Consolidation, and Elimination Procedures” (Faculty Code Section 26-41), Provost Cauce referred the matter for discussion to the Senate Committee on Planning and Budgeting. Because the consolidation of Computing and Software Systems Program and the Science and Technology Program will not result in the elimination of any degrees; removal of tenured faculty, or of untenured faculty before the completion of their contract; a significant change in the terms and conditions of employment of the faculty; a significant change to the overall curriculum of the University of Washington Bothell; or a significant departure from the University of Washington Bothell’s mission, the review was evaluated under the provisions governing “Limited Reorganization.” The voting faculty members of these two programs were notified of the process to seek a full review of the reorganization by the Secretary of the Faculty. No petition for further review was submitted to Provost Cauce. Therefore, having considered the proposed programmatic consolidation using a process consistent with the rules promulgated for reorganizations under the Faculty Code, Provost Cauce supports the consolidation of the Computing and Software Systems Program and the Science and Technology Program into the School of Science, Technology, Engineering, and Mathematics, effective March 16, 2013. It is further supported by Chancellor Chan and Provost Cauce that this new School be organized with four appointing Divisions (Computing and Software Systems, Biological Sciences, Engineering and Mathematics, and Physical Sciences), with responsibility for the educational, administrative, personnel, and budget affairs of the unit.

Attachment


1. Official memo to Provost Cauce from Chancellor Chan



UNIVERSITY *of* WASHINGTON | BOTHELL

OFFICE OF THE CHANCELLOR

TO: Ana Mari Cauce, Provost and Executive Vice President
University of Washington

FROM: Kenyon Chan, Chancellor 
University of Washington Bothell

DATE: December 14, 2012

SUBJECT: Formation of the UW Bothell School of Science, Technology, Engineering,
and Mathematics (STEM) and recognition of appointment units

On November 14, 2012, UW Bothell completed the Limited RCEP process for the Computing and Software Systems Program and the Science and Technology Program to reorganize as a School of Science, Technology, Engineering, and Mathematics (STEM).

Based on this process, I am writing to affirm my endorsement of the formation of the new School of STEM and to further recommend recognition of four Divisions as appointing units within the School. These Divisions are: Biological Sciences, Computing and Software Systems, Engineering and Mathematics, and Physical Sciences. These Divisions are intended to function as departments and will operate in compliance with campus-level and university policies and procedures, including processes related to personnel and academic matters.

I look forward to working with you on the next step in establishing the School of STEM at UW Bothell. The new School will support continued growth in these fields and for the campus as a whole, as envisioned in the *21st Century Campus Initiative*.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Approval to Name the Foster School of Business Center for Innovation and Entrepreneurship the “Arthur W. Buerk Center for Entrepreneurship”RECOMMENDED ACTION

It is the recommendation of the President and the Academic and Student Affairs Committee that the Board of Regents approve naming the Center for Innovation and Entrepreneurship at the Foster School of Business “Arthur W. Buerk Center for Entrepreneurship” in recognition of Artie Buerk’s role in co-founding the Center in 1991 and his continuing partnership and philanthropy with the UW to promote the study and practice of entrepreneurship throughout our state.

Consistent with prescribed Board of Regents Governance, Regent Policy No. 9, Endowment and Program Naming Policy, Artie and Sue Buerk have made an extraordinary gift commitment in excess of \$5 million that will provide significant annual operating resources to the entrepreneurship center in perpetuity. Mr. Buerk has been involved in the center since its founding, providing the first \$100,000 gift to help launch the center in 1991. He has remained consistently and actively involved as a center board member and liaison to the business community since that time.

In accordance with the Endowment and Program Naming Policy, Mr. Buerk’s name will remain for the life of the center, assuming his positive image and demonstrated integrity remain in good standing.

BACKGROUND

The University of Washington’s Michael G. Foster School of Business (Foster School) is pursuing a long-term goal to be the best public business school in America. A key facet of business education today is experiential learning, where classroom knowledge is put into practice while bridges to the business community are established and enhanced. The oldest of four Foster School centers, the Center for Innovation and Entrepreneurship actively engages dozens of faculty, hundreds of students and more than 1,000 business professionals each year in activities including the UW Business Plan Competition, the Environmental Innovation Challenge, and the Lavin Entrepreneurial Action Program. The Center is also home to a small incubator space known as the Herbold Innovation Lab, where real companies created through the aforementioned initiatives can establish themselves and grow. A new priority in the near term is the establishment of an entrepreneurship minor for non-business students at the University of Washington.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Approval to Name the Foster School of Business Center for Innovation and Entrepreneurship the “Arthur W. Buerk Center for Entrepreneurship” (continued, p. 2)

Artie Buerk is a 1958 UW Business School graduate. Mr. Buerk was the first full-time fundraiser employed by the University of Washington. He is past president of the UW Alumni Association, past chair of the Foster School Advisory Board, and a long-time member of the University of Washington Foundation Board. Mr. Buerk received the 2007 *Gates Volunteer Service Award* as well as the *Distinguished Leadership Award* from the Foster School in 1995. He also has led a very successful career in the private sector that includes serving as president of both Shurgard and Northwestern Trust. Additionally, Mr. Buerk founded a successful Seattle-based venture capital firm now known as Montlake Capital.

Along with his own entrepreneurial success, Mr. Buerk has helped other entrepreneurs realize their dreams. He has been a mentor and recruiter of UW students throughout his career. Last year, Mr. Buerk’s role with the Center for Innovation and Entrepreneurship expanded to include a Foster School Advisory Board appointment to chair a task force to assist in the development of a strategic plan that will enhance the study, practice and reputation of entrepreneurship at the UW. The result was an action plan that is currently being executed, including plans for the entrepreneurship minor. Since submitting the committee’s recommendation, Mr. and Mrs. Buerk have explored philanthropic opportunities to aid the Center’s efforts. They elected to commit \$5.2 million in support of entrepreneurship center operations at the Foster School, with \$5 million of their support designated to endowment of the Center, helping ensure both permanence and excellence for generations to come.

The Buerks have and will continue to open doors for the University of Washington. Mr. Buerk is respected and well known in the entrepreneurial community, just as he is recognized and highly regarded throughout the University of Washington. Now is the time to recognize the Buerks’ most recent generosity along with a lifetime of UW advocacy by permanently changing the center’s name to the *Arthur W. Buerk Center for Entrepreneurship*. Mr. Buerk is uniquely deserving of this recognition, and we would be proud to have his name visibly and lastingly connect to the Foster School’s entrepreneurship center.

REVIEW AND APPROVALS

The proposed naming is recommended by the President and has also been reviewed and approved by the Provost, the Vice President for Advancement, and the Dean of the Michael G. Foster School of Business.

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Current Trends in College Student Mental Health – 2013 Update

For information only.

BACKGROUNDOn a national level

Steady increase in demand for services has continued:

- Greater numbers of students are seeking counseling support
- Greater complexity of presenting issues
- Increasing numbers of students arriving on campus with history of medication
- Increasing expectations among parents and the public
- National concern about the apparent increase in violence on campuses

American College Health Association Survey [Spring, 2012 (n=90,666 at 141 colleges and universities)]

Students Report:

“Diagnosed or treated by professional in last 12 months”:

Anxiety	12%
Depression	11%
Panic attacks	6%
Bipolar	2%

Factors negatively affecting academic performance:

Stress	29%
Sleep difficulties	21%
Anxiety	20%
Respiratory infection	16%
Work	14%
Internet use/computer games	13%
Depression	12%
Concern for a troubled family member or friend	11%

Reported that at least once in the past 12 months:

Felt “overwhelming anxiety”	51%
Felt “overwhelming anger”	37%
Felt “so depressed it was difficult to function”	31%
Seriously considered suicide	7%

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Current Trends in College Student Mental Health – 2013 Update (continued p. 2)

What do we mean when we talk about “Developmental Concerns” among college students?

- Identity Development: Who am I? Who do I want to become?
- Career Development concerns: What do I want to do with my life? How will I contribute?
- Relationship Issues: With whom do I want to spend my time? How do I find love and connection? How do I take care of myself when I feel lonely?
- Family Concerns: How do I stay connected with my family even if they don't approve of me? How do I learn to let go of old resentments and move forward? How do I balance my own needs with the expectations of my parents?
- Making Meaning: What is the meaning and purpose of life? How do I live in a way that is congruent with my values? What matters most to me?
- Academic Concerns: Why am I not more successful? How do I manage my time more effectively? How do I motivate myself to focus on school?
- Self-Care and Mood Management: How do I manage stress and anxiety? What helps when I'm feeling blue? Why am I not happy when I know I have so much going for me?
- Financial Concerns: How will I pay for college? How do I keep up with my studies when I must work two jobs?

Relationship between Counseling, Retention, and Academic Success

The retention rate for students who received psychological counseling is 11- 14% higher than it is for students who do not.

High levels of psychological distress among college students are significantly related to academic performance: Students with higher levels of psychological distress are characterized by:

- Higher test anxiety,
- Lower academic self-efficacy, and
- Less effective time management and use of study resources.

University of Washington

Two primary vehicles for assessing risk and managing complex cases crossing departmental boundaries:

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Current Trends in College Student Mental Health – 2013 Update (continued p. 3)

- Consultation and Assessment Team (CAT) focuses primarily on students of concern and evaluates cases involving possible risk to self or others, as well as cases involving conduct and disruption to the learning environment. Representatives from Health & Wellness, Counseling Center, Community Standards & Student Conduct, SafeCampus, UWPD, Residential Life, and others attend twice weekly meetings.
- Violence Prevention Assessment Team (VPAT) focuses primarily on student, staff, or faculty situations that may represent threat to one or more members of the university community. Members of these two teams are overlapping due to expertise and the need to effectively “connect the dots;” however, attendance at VPAT meetings, in particular, is determined by the content and scope of the particular case.

Counseling Center:

- Primary presenting issues: Anxiety, Depression, Relationship Issues
- Steady increase in Consultation as well as demand for Counseling Services
- Funds from Services and Activities Fee as well as Provost Reinvestment funds have allowed for increase of 2.4 FTE; will track usage data with increased capacity
- Outreach, Education, “Post-vention” are important components of a comprehensive college mental health program.

University of Washington Counseling Center Service Delivery Trends

	2009-10	2010-11	2011-12	Fall 2011	Fall 2012	2012-13 (Projected)
Students Seen for Counseling	1,483	1,454	1,451	518	562	1,561
Appointments	7,077	6,749	6,428	1,733	1,863	6,900
Crisis Consult with Student*	301	434	488	138	192	686
Consult with Non-Student**	276	235	256	61	70	292

* Includes all walk-in contacts with students whose concerns are urgent

** Includes one-time and ongoing consultations with parents, faculty, staff, and others with concern about a UW student’s well-being

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Current Trends in College Student Mental Health – 2013 Update (continued p. 4)

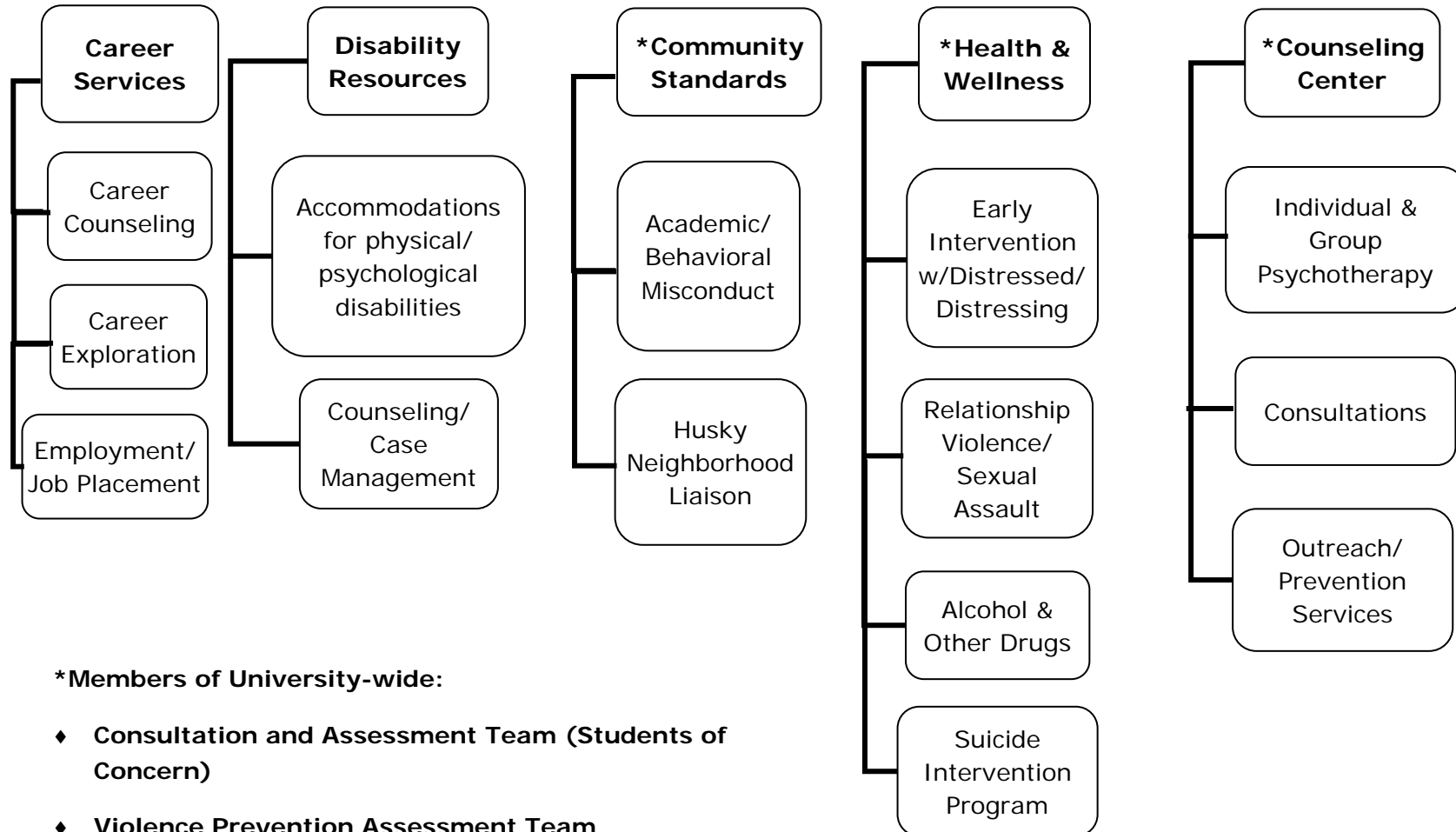
In 2011-12, Students seen at Counseling Center:

- 8% International Students
- 21% First-generation college students
- 30% currently or formerly on medication for emotional problems
- 22% report history of self-injurious behavior
- 28% report suicidal thoughts
- 6% report past suicide attempt
- 6% report thoughts of harming others
- 33% report current finances are often or always stressful

Attachment

Student Care/Welfare Organization Chart

Student Care/Welfare Division of Student Life



January 2013

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Review of the Freeh Report and Safety of Minors

For information only.

Attachments

1. Memorandum from Provost creating the University of Washington Committee on the Safety of Minors
2. Freeh Report: Recommendations for Governance, Administration, and Protection of Children (abridged version)

July 30, 2012

University of Washington Committee on the Safety of Minors

Eric Godfrey, Vice President & Vice Provost, Student Life, ***Chair***

David Anderson, Executive Director, Health Sciences Administration

Cheryl Cameron, Vice Provost for Academic Personnel, Office of the Provost

Robert Stacey, Interim Dean, College of Arts and Sciences

David Szatmary, Vice Provost, UW Educational Outreach

Stephanie Rempe, Senior Associate Athletic Director

Mindy Kornberg, Vice President, Human Resources

Kenyon Chan, Chancellor, UW Bothell

Advisor:

John Nicholson, Assistant Attorney General, Attorney General's Office

Support:

Elizabeth Cherry, Executive Director, Risk Management

Becky Bullock, Director, Risk Financing, Office of Risk Management

Glenna Chang, Organizational Development, Student Life

Susan Freccia, Project Manager, Office of Risk Management

Dear Colleagues:

I am writing to invite you to serve as a member of the newly constituted University of Washington Committee on the Safety of Minors. The committee's mission will be to advance the safety of minors at the UW by providing advice and guidance related to laws, regulatory obligations and contractual commitments through:

- Development of policies, standards, and guidelines
- Helping define roles and responsibilities for staff and academic personnel
- Incident response protocols, including:
 - Effective lines of communication and procedures to receive complaints or reports
 - Identifying resources and methods for investigation of misconduct and use of appropriate corrective actions and preventive measures
 - Systems of appropriate disciplinary action for perpetrators and for failure to report suspected abuse
- Training and education, including information resources for programs and initiatives involving minors
- Compliance and risk assessments

I anticipate that the committee will also establish working groups to create and implement effective compliance and safety measures. The committee's scope includes on and off-campus programs controlled by the University that involve contact with or the participation of minors. 'Minors' in this context means students and non-students under the age of 18.

Eric Godfrey, Vice President and Vice Provost for Student Life, will chair the committee. Eric will work with the committee to determine the schedule and frequency of its meetings. An important first task for the committee will be to develop formal policies and training related to recently enacted Senate Bill 5991, which requires all higher education employees to report suspected child abuse or neglect.

Thank you in advance for your willingness to serve on this important new committee which will help to carry out the University's commitment to a safe, healthy environment for all our students, staff, faculty and visitors.

Sincerely,

A handwritten signature in black ink that reads "Ana Mari Cauce". The signature is written in a cursive, flowing style.

Ana Mari Cauce
Provost and Executive Vice President

c: Jack Johnson

FREEH REPORT ¹ : RECOMMENDATIONS FOR GOVERNANCE, ADMINISTRATION, AND PROTECTION OF CHILDREN ²	UW NOTES
1.0 – Penn State Culture	Specific to Penn State.
2.0 – Administration & General Counsel: Structure, Policies & Procedures	
2.1 Review/adjust organizational structures.	
<ul style="list-style-type: none"> Evaluate/adjust the span of control of University President to ensure that the President’s duties are realistic and capable of the President’s oversight and control. 	Unclear whether this review is needed at UW.
<ul style="list-style-type: none"> Evaluate/adjust the span of control and responsibility of the Senior Vice President - Finance and Business (“SVP FB”) to ensure that the duties are realistic and capable of oversight and control. 	Unclear whether this review is needed at UW.
<ul style="list-style-type: none"> Upgrade the position of the Associate Vice President for Human Resources to a Vice President position reporting directly to University President. 	Already done at UW
<ul style="list-style-type: none"> Evaluate/adjust size, composition and procedures of President’s Council. 	Cabinet membership reviewed in 2011
2.2 Review/adjust administrative processes and procedures.	
<ul style="list-style-type: none"> Separate Office of Human Resources from Finance and Business organization. 	Done at UW: HR VP reports directly to President; the Academic Personnel VP reports directly to Provost. <i>(Note: In this table “UW HR” refers to Staff HR and Academic Personnel.)</i>
<ul style="list-style-type: none"> Assign all HR policy making responsibilities to the OHR and limit the ability of individual departments and campuses to disregard University’s human resources policies and rules. 	That is the expectation at UW. <i>(Note: Policy making authority also resides with the Provost; faculty policies are developed via shared governance.)</i>
<ul style="list-style-type: none"> Centralize HR functions, where feasible, such as background checks, hiring, promotions, terminations, on board orientation and management training, while recognizing the unique requirements of University components and campuses, and their need for measured autonomy. 	UW HR is satisfied with what functions are/are not centralized at UW. (Background checks are currently being revised.)
<ul style="list-style-type: none"> Designate the Vice President for Human Resources (“VP HR”) as the hiring authority for HR representatives throughout University and establish a “dotted line” reporting relationship between the HR representatives and the VP HR similar to that used in the Finance and Audit areas. 	Would not be not consistent with UW’s operational structure with functioning checks and balances. But structure may be worth revisiting as administrative systems and processes are revamped.
<ul style="list-style-type: none"> Develop job descriptions for all new key leadership positions and incumbent positions if none exist. 	An ongoing process.
<ul style="list-style-type: none"> Evaluate/modify the size of the OHR staff, benchmark its human capital capacity against public universities of similar size and scope of responsibility. 	Review of UW HR staffing levels may be worthwhile.
<ul style="list-style-type: none"> Adopt a Human Resource Information System with sufficient growth capacity for use at all campuses. 	HR/Payroll system replacement project and business redesign is in the works at UW.
<ul style="list-style-type: none"> Engage external HR professionals to assist in the development of University’s performance management system. 	External HR firm is facilitating business process redesign portion of UW HR/Payroll replacement project.

¹ Report of the Special Investigative Counsel Regarding the Actions of Pennsylvania State University Related to the Child Sexual Abuse Committed by Gerald A. Sandusky by Louis A. Freeh (July 12, 2012)

² Abridged

<ul style="list-style-type: none"> • Provide OHR with complete access to executive compensation information and utilize the OHR, in conjunction with Budget Office, to benchmark and advise the administration and the Board of Trustees on matters of executive compensation. 	UW HR does this.
<ul style="list-style-type: none"> • Develop a mechanism to provide and track all employee training mandated by state and federal law and University policies. 	Ability to track completion of required training <i>may</i> be included in scope for the HR/Payroll replacement project, but not determined as yet.
<ul style="list-style-type: none"> • Update, standardize, centralize, and monitor background check procedures. 	UW recently reviewed background check systems and is implementing a revised mix of centralized and decentralized processes.
<ul style="list-style-type: none"> • Require updated background checks for employees, contractors and volunteers at least every five years. 	Periodic updating may be worth consideration.
<ul style="list-style-type: none"> • Audit periodically the effectiveness of background check procedures and self reporting system for employees. 	Internal Audit looks at this when auditing for losses.
<ul style="list-style-type: none"> • Update computer use policies and regularly inform employees of expectations and employee responsibilities with regard to electronic data and materials. 	Done at UW, but could be strengthened.
<ul style="list-style-type: none"> • Develop a procedure to ensure that University immediately retrieves keys and access cards from unauthorized persons. 	Policies in place at UW, but depend upon actions by many individual employees and could be improved.
2.3 Complete development of Office of General Counsel.	Specific to Penn State
2.4 Advertise all senior executive positions externally and engage educational search experts to broaden the talent pools for senior executive positions.	Somewhat specific to Penn State. (Most UW searches are publicly advertised.)
2.5 Integrate faculty and staff from different disciplines and areas in University wide professional development/leadership training to increase their exposure to other University personnel, programs, challenges and solutions.	Most UW training is offered and presented across units.
2.6 Implement consistent, state of the art records management and retention procedures.	Multiple fronts to this effort at UW. More work to be done.
2.7 Provide sufficient support and oversight of the Office of Student Affairs to make certain that all students follow the same standards of conduct.	UW already has one code of student conduct for all students, overseen by Student Life.
2.8 Designate an individual, administrative entity or committee to approve and review all new and modified University policies.	
<ul style="list-style-type: none"> • Develop guidelines for creating, standardizing, approving, reviewing and updating University policies. 	UW has a centralized rules coordination office; some specialized policies (e.g. GIM) are outside it.
<ul style="list-style-type: none"> • Review periodically all University policies for relevance, utility and necessity, and modify or rescind as appropriate. 	Some more work to do in this area.
3.0 – Board of Trustees: Responsibilities and Operations	
3.1 Review the administrative and governance issues raised in this report, particularly with regard to the structure, composition, eligibility requirements and term limits of the Board, the need to include more members who are not associated with University, and the role of the Emeriti.	Specific to Penn State.

3.2 Review, develop and adopt an ethics/conflict of interest policy for the Board that includes guidelines for conflict management and a commitment to transparency regarding significant issues.	Conflict rules in place for UW Board.
<ul style="list-style-type: none"> • Include training on ethics and oversight responsibilities in the current regulatory environment in Board member orientation. 	More ethics training for Board may be worthwhile.
<ul style="list-style-type: none"> • Require full and public disclosure by Board members of financial relationships between themselves and their businesses and University. 	Rules in place for UW Board.
3.3 Implement the Board's proposals for revised committee structures to include a committee on Risk, Compliance, Legal and Audit and subcommittees for Audit and Legal matters; and a subcommittee for Human Resources as part of the Committee on Finance, Business and Capital Planning.	Mostly specific to Penn State, but some ongoing UW discussion about committee structure.
<ul style="list-style-type: none"> • Rotate Committee Chairs every five years or sooner. 	Committee chairs change annually.
3.4 Increase and improve the channels of communication between the Board and University administrators.	
<ul style="list-style-type: none"> • Ensure that President, General Counsel and relevant members of senior staff thoroughly and forthrightly brief the Board at each meeting on significant issues facing University. 	This is the expectation at UW.
<ul style="list-style-type: none"> • Require regular Risk Management, Compliance and Internal Audit reports to the Board on assessment of risks, pending investigations, compliance with federal and state regulations as well as on measures in place to mitigate those risks. 	Done at UW.
<ul style="list-style-type: none"> • Require that the SVP FB, the GC and/or their designee to provide timely briefings to the Board on potential problem areas such as unusual severance or termination payments, Faculty and staff Emeriti appointments, settlement agreements, government inquiries, important litigation and whistleblower complaints. 	UW Board is briefed on significant issues.
<ul style="list-style-type: none"> • Use the Board's Executive Session with the President to make relevant and reasonable inquiry into substantive matters and to facilitate sound decision making. 	Done at UW, as allowed by Open Meetings law
<ul style="list-style-type: none"> • Review annually Clery Act reports, and the compensation and performance of senior executives and leaders. 	UW Board does not review Clery Act reports.
<ul style="list-style-type: none"> • Conduct an informational seminar for the Board and senior administrators on Clery Act compliance and reporting procedures. 	UW Board not involved with Clery Act compliance.
<ul style="list-style-type: none"> • Continue to provide all Board members with regular reports of local, national and academic media coverage of University. 	Done case-by-case at UW.
3.5 Increase and improve the channels of communication between the Board and University community.	
<ul style="list-style-type: none"> • Establish and enforce rules regarding public and press statements made by Board members and Emeriti regarding confidential University matters. 	Special press rules for Board not needed at UW.
<ul style="list-style-type: none"> • Increase and publicize the ways in which individuals can convey messages and concerns to Board members. 	Contact options published at UW website.
<ul style="list-style-type: none"> • Provide Board members with individual University email addresses and make them known to the public. 	Done at UW.
<ul style="list-style-type: none"> • Use common social media communications tools to communicate with the public on various Board matters. 	Not done at UW.
3.6 Develop a critical incident management plan, including training and exercises, for the Board and University administrators.	May be worth exploring.
3.7 Continue to conduct and publicize periodic internal and external self	

assessments of Board performance.	
4.0 – Compliance: Risk and Reporting Misconduct	
4.1 Establish and select an individual for a position of “Chief Compliance Officer.” The Chief Compliance Officer should:	UW has not chosen to put all compliance in a single position.
• Head an independent office equivalent to the Office of Internal Audit.	n/a
• Chair a Compliance Council.	UW Internal Audit does this.
• Coordinate compliance functions in a manner similar to the Office of Internal Audit.	UW Internal Audit does this.
• Have similar access to, and a reporting relationship with the Board, as does the Internal Auditor.	n/a
• Coordinate the Chief Compliance Officer’s responsibilities with the Office of General Counsel, the Director of Risk Management and the Director of Internal Audit.	n/a
• Direct further review of any incidents or risks reported to the Compliance Officer.	n/a
4.2 Assign full time responsibility for Clery Act compliance to an individual within University Police Department and provide the individual with sufficient resources and personnel to meet Clery Act regulations. The individual responsible for Clery Act compliance should:	Done at UW
• Establish a University policy for the implementation of the Clery Act.	Done at UW
• Create a master list of names of those persons with Clery Act reporting responsibilities, notify them annually of the Clery Act responsibilities and publish the list to University community.	Done at UW
• Require, monitor and track training, and periodic retraining for Campus Security Authorities (“CSAs”) on Clery Act compliance.	Done at UW
• Provide information to the OHR on Clery Act responsibilities, reporting suspicious activity to CSAs and whistleblower protection for inclusion in the general training for all employees.	Done at UW
• Coordinate timely notices of incidents and threat warnings with the Vice President for Student Affairs, Chief Compliance Officer and General Counsel.	Done at UW
• Review annual Clery Act reports with the President’s Council, the Board, and the Compliance Officer.	Not done at UW
• Coordinate Clery Act training and compliance with other campuses.	Done at UW
• Arrange for periodic internal and external audits of Clery Act compliance.	Done at UW
4.3 Update regularly and prioritize University’s list of institutional risks; determine the appropriate implementation and audit schedule for those risks; and present the results to the Board.	This is the purpose of the UW Enterprise Risk Management system, which is still evolving.
4.4 Send a communication to all University students, faculty and staff at the beginning of each academic term: that encourages the reporting of misconduct; describes the channels for direct or anonymous reporting; and University’s whistleblower policy and protection from retaliation.	Widely publicized at UW, but could be increased.
4.5 Publicize the employee misconduct hotline regularly and prominently throughout University on a variety of platforms.	No UW-wide misconduct hotline. Deserves review.
5.0 – Athletic Department: Integration and Compliance	
5.1 Revise the organizational structure of the Athletic Department to clearly define lines of authority, responsibilities and reporting relationships.	Recently reviewed at UW.

5.2 Evaluate security and access protocols for athletic, recreational and camp facilities and modify as necessary to provide reasonable protections for those using the facilities.	Ongoing. With the many changes in facilities, this is under continuous review.
5.3 Conduct national searches for candidates for key positions, including head coaches and Associate Athletic Director(s) and above.	Typically done at UW.
5.4 Integrate, where feasible, academic support staff, programs and locations for student athletes.	Already done with many successful programs.
5.5 Provide Athletic Compliance Office with additional staff and resources to meet its many responsibilities.	Ongoing.
<ul style="list-style-type: none"> • Benchmark against peer institutions to determine an appropriate staffing level for the office. 	Done recently and will be evaluated regularly.
<ul style="list-style-type: none"> • Establish an effective reporting relationship with University Compliance Officer. 	Not applicable – UW compliance is decentralized.
<ul style="list-style-type: none"> • Realign the compliance related responsibilities of Athletic Department staff to ensure that the Athletic Compliance Office has oversight of the entire program. 	Already in place at UW.
<ul style="list-style-type: none"> • Ensure that new hires and incumbent compliance personnel have requisite working knowledge of the NCAA, Conference and University rules. 	A regular UW practice.
5.6 Ensure that Athletic Department employees comply with University wide training mandates.	Ongoing.
<ul style="list-style-type: none"> • Provide and track initial and on-going training for athletic staff in matters of leadership, ethics, standards of conduct, abuse awareness, and reporting misconduct pursuant to the Clery Act and University policy. 	Ongoing and working with UW HR on tracking systems.
<ul style="list-style-type: none"> • Include Athletic Department employees in management training programs provided to other University managers. 	Already done at UW.
6.0 – University Police Department: Oversight, Policies and Procedures	<i>Note: Only Seattle campus has a police dept.</i>
6.1 Arrange for an external examination of Police Department’s structure, policies and procedures through a professionally recognized accreditation body, with emphasis on UPD’s training for and qualifications of sex abuse investigators.	UWPD is CALEA accredited
6.2 Review the organizational placement of University Police Department in University’s Finance and Business area in conjunction with the review of the span of control of the SVP FB.	Done; UW Police not under Finance Office.
6.3 Provide the Director of Public Safety with sufficient administrative authority and resources to operate effectively and independently.	Done at UW Seattle
6.4 Review records management procedures and controls, revise where needed.	On-going
<ul style="list-style-type: none"> • Establish a policy to ensure that all police reports alleging criminal conduct by Penn State students, faculty and staff are reported to the OHR. 	Not done at UW.
<ul style="list-style-type: none"> • Establish or reinforce protocols to assign a timely incident number and proper offense classification to all complaints received. 	Done at UW.
<ul style="list-style-type: none"> • Include the final disposition of each complaint in the original or follow up report (e.g., founded, unfounded, exceptionally cleared). 	Done at UW electronically.
6.5 Establish a policy to request assistance from other law enforcement agencies in sensitive or extraordinary cases or where a conflict of interest may exist.	UW refers extraordinary and conflict cases to other agencies. Have mutual aid agreements in place. Need policy re when to call on experts for sexual abuse cases.

6.6 Implement consistent law enforcement standards and practices, through regular training at all Penn State campuses.	Part of CALEA requirements for UWPD (Seattle)
6.7 Review and update, with the GC, current policies pertaining to investigation of various categories of offenses involving Penn State employees.	Done at UW (with AG's office) as necessary.
6.8 Provide specialized training to investigators in the area of sexual abuse of children.	No specialized training. Uses outside forensics interviewers when necessary (see 6.5 above); review Patrol SOP.
7.0 – Management of University Programs for Children & Access to Facilities	
7.1 Increase the physical security and access procedures in areas frequented by children or used in camps and programs for children.	Provost created Safety of Minors Committee at UW in mid-2012.
7.2 Require and provide abuse awareness and mandatory reporter training to all University leaders, including faculty, coaches and other staff, volunteers and interns.	Within scope of Safety of Minors Committee.
<ul style="list-style-type: none"> • Consolidate responsibility for abuse awareness training and mandatory reporting in the OHR and coordinate abuse awareness training throughout University. 	Within scope of Safety of Minors Committee.
7.3 Consolidate oversight of University's policies and procedures for programs involving non student minors in the OHR and appoint a coordinator to oversee the implementation of those policies. The Coordinator should have authority to:	Within scope of Safety of Minors Committee.
<ul style="list-style-type: none"> • Develop and maintain an inventory of all University programs for children. 	Within scope of Safety of Minors Committee.
<ul style="list-style-type: none"> • Update, revise or create policies for unaccompanied children at University facilities, housing and University programs. 	Within scope of Safety of Minors Committee.
<ul style="list-style-type: none"> • Enforce all policies relating to non student minors involved in University programs. 	Within scope of Safety of Minors Committee.
<ul style="list-style-type: none"> • Assist University camp and youth program administrators in ensuring that staff and volunteers are appropriately supervised. 	Within scope of Safety of Minors Committee.
<ul style="list-style-type: none"> • Provide information to parents of non student minors involved in University programs regarding U's safety protocols and reporting mechanisms. 	Within scope of Safety of Minors Committee.
8.0 – Monitoring Change and Measuring Improvement	
	Specific to Penn State

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Student Engagement at the University of Washington

For information only.

OVERVIEW

The University of Washington offers a wide variety of programs designed to provide truly transformative experiences for our students. Such experiences provide our students with the knowledge, skills and experiences needed for successful careers and fulfilling lives.

We have created profiles of a variety of student engagement experiences for you - to give you just a taste of the great variety of opportunities available to our students, both graduate and undergraduate, at UW.

The programs covered here:

1. Husky Leadership Initiative. This is a new initiative, started in autumn 2012, designed to help students acquire a sophisticated approach to leadership.
2. Freshmen Collegium Seminars. Another new program in 2012, our seminar series is a pilot project, designed to give freshmen an opportunity to have a small-class experience, close contact with a faculty member.
3. Career Center. We help students get ready for their careers -- with advice about resumes, informational interviews, career fairs, networking, internships, and much more.
4. UW Center for Experiential Learning and Diversity. Our students need to be able to do research. The ability to do research (i.e., defining questions, gathering evidence/data, synthesizing the results, presenting conclusions and rationale) is a key to successful careers.
5. Work experiences. Students benefit enormously from a wide variety of work experience on campus -- including
 - Work as Resident Advisors
 - Work at the UW Libraries
 - Work at Finance and Facilities
6. International Programs and Exchanges. Study Abroad, and related programs that help students learn from the wide world, are enormously valuable in creating global awareness and sophistication among our students.
7. Student organizations, such as ASUW (Associated Students of the University of Washington) and GPSS (Graduate and Professional Student

VII. STANDING COMMITTEES

A. Academic and Student Affairs Committee

Student Engagement at the University of Washington (continued p. 2)

Senate) provide a great variety of engagement opportunities for students -- both on and off campus

8. The Graduate School's Core Programs, providing professional development opportunities for graduate and professional students.
9. On January 10, you will meet students who have participated in some of these programs.

Attachments

1. Husky Leadership Initiative
2. Freshman Collegium Seminars
3. Career Center Overview
4. Undergraduate Research and Public Service
5. Resident Adviser Position
6. How UW Libraries Engage Students
7. Overview of Finance and Facilities Student Internships
8. International Programs and Exchanges
9. UW Graduate School Core Programs
10. ASUW Facts and Figures
11. GPSS

Husky Leadership Initiative Vision: All students will develop the 21st century skills, knowledge and attitudes needed to become effective change agents and contributing members in their communities.

Husky Leadership Initiative Learning Goals: We seek to affect the knowledge, attitudes, skills, and behaviors of students in order for them to engage in socially responsible leadership.

Husky Leadership Initiative Objectives:

- Foster an ongoing curiosity in UW students in the concept and practice of leadership.
- Offer curricular and co-curricular experiences that serve as a learning laboratory where students learn, develop, and practice leadership knowledge and skills.
- Leadership education opportunities reach and engage a broad student audience.
- Promote, enhance, fill in the gaps and integrate current leadership education and development efforts on campus.
- Initiative is sustained over time.

Husky Leadership Initiative 2012-13

U Lead, We Lead: a campus-wide Conversation about Leadership (October 25, 2012)

Local leaders shared their personal stories and offered perspectives on leadership; event initiated campus-wide conversation about what it means to be a leader

Leadership Firesides

Provide opportunities for students to learn more about leadership and build their leadership skills through guest speakers (local leaders) and skill-building workshops (local experts)

- **Sam Lim**, Scholarship Junkies (January 10, 2013)
- **Luis Fraga**, UW Associate Vice Provost for Faculty Advancement (January 24, 2013)
- **Howard Behar**, Starbucks Executive and UW Foundation Board president (January 30, 2013)
- **Chris Maykut**, Chaco Canyon Organic Cafe (February 5, 2013)
- **Sally Jewell**, UW Regent and CEO of REI (February 12, 2013)
- **Eric Liu**, author, educator, and civic entrepreneur (February 27, 2013)
- **Paola Maranan**, Executive Director of the Children's Alliance (May 6, 2013)
- **William (Bill) Ayer**, UW Regent and chair of Alaska Air Group (May 9, 2013)

Leadership Portfolio

The University of Washington is already educating leaders! The implementation of a leadership portfolio will deepen students' understanding of themselves as leaders by asking them to demonstrate their learning.

- Begin with a cohort of students (approximately 25)
- All portfolio students will be matched with leadership "coaches"
- Leadership initiatives team will work with students to develop portfolio "artifacts" and mechanisms for articulating learning

Leadership Courses

Freshman Collegium Seminar (Autumn 2012) and graduate seminar (Spring 2013) will augment existing leadership courses across campus, including courses in the various schools and colleges and the community-based leadership courses offered through Carlson Center/Center for Experiential Learning and Diversity.

Integration of Leadership Activities into an Online Resource

Provide one web-based portal for leadership tips, articles, and resources *and* provide an inventory of leadership activities and opportunities from across campus.

Freshman Collegium Seminars*prepared by M. Jundt December 2012*

Freshman Collegium Seminars are intended to welcome new University of Washington students into a community of learning and discovery. Taught by some of our most outstanding faculty members, Freshman Collegium Seminars include the following goals for students:

- Develop an intellectual identity by fostering intellectual curiosity, confidence, and a passion for exploring, questioning, and learning
- Practice essential liberal learning skills such as reading, observing, critiquing, deliberating, synthesizing, and communicating through writing and speaking
- Build a sense of community and belonging around shared intellectual interests

Freshman Collegium Seminars | Autumn 2012**What is Philosophy?** William J. Talbott, Philosophy**Diversity Issues in Science** Beth Traxler, Microbiology**Leadership: It's not just about the title** Gerald Baldasty, Communication**How To Write a Novel in Only 30 Years** Shawn Wong, English**Local Landscapes of Globalization** Matthew Sparke, Geography**Freshman Collegium Seminars | Winter 2013****UW Culture and Communication** Lisa Coutu, Communications**Anatomy – Dead or Alive** Catrin Pittack, Biological Structure**Marketing in the 21st Century** Leta Beard, Foster School of Business**English through Poetry** John Webster, English**Stress and Coping** Ana Mari Cauce, Psychology**Freshman Collegium Seminars | Spring 2013****Microbial World: Friend and Foe** Roger Bumgarner, Microbiology**UW Culture and Communication** Lisa Coutu, Communications**What Makes a Leader?** Christina Fong, Foster School of Business**Wanted: Artists, Educators, Activists & Novice Observers to Save Wildlife** Karen Petersen, Biology***Chemical Markers Tracking Pathways of Environmental Contamination****Bruce Nelson, Earth & Space Sciences (tentative)****Diversity in Academe*** *Charlotte Cote, American Indian Studies (tentative)*

Plus: John Manchak (Philosophy) and Anthony Gill (Political Science)

Possibly: Sylvia Wolf (Henry Art Gallery) and Rachel Chapman (Anthropology)

Students' Thoughts about Freshman Collegium Seminars

preliminary Autumn 2012 data

Through the seminar, I learned new ways to approach writing and other forms of creative expression.

This class was intellectually stimulating. I enjoyed having a discussion-based class to go along with my large math and science lectures. This class stretched my thinking and made me analyze the idea of leadership from many different points of view.

Helped me get to know new people ... opened up my mind to science related topics that I hadn't been introduced to before.

I did find this class to be intellectually stimulating. This class greatly expanded my knowledge of leadership and the characteristics needed to be an effective leader. I learned that leadership does not mean being "in charge" of people, but rather is a process to apply to every aspect of life.

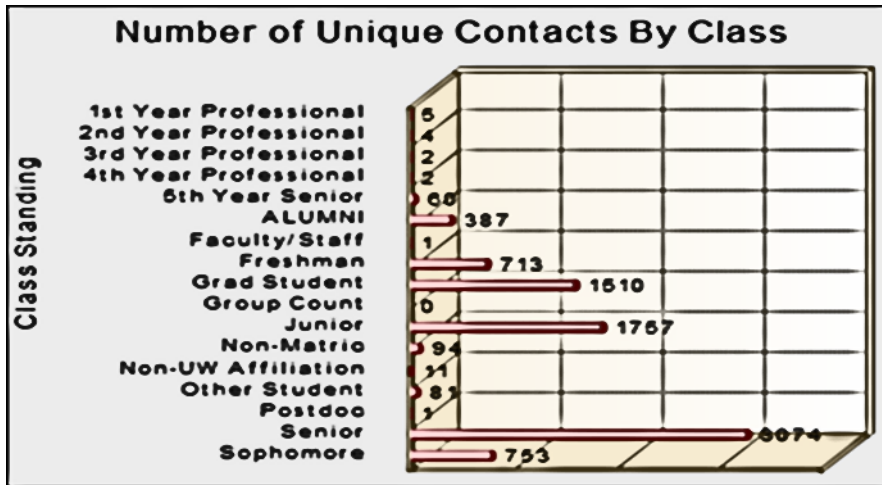
This class has been helpful in my first year, because the small size created a chance to get to know my classmates better. I enjoyed being in a smaller class setting once a week to explore leadership and learn more about developing new skills.

I thought it was helpful because it was a small class and it was a good way to get a taste of what philosophy is like.

I learned a lot from my classmates. They have different interests, personalities and backgrounds...

**Career Center Overview
 Utilizing 2011-12 Stats**

The Career Center served a total of 8068 student and 387 alumni over Academic Year 2011-12. The duplicated count is 24,905, which translates to an average of three services per student/alumni.



Navigating Career Options –

Career Course (team taught with advisers)

In addition to our popular workshops, The Career Center regularly offers a three-credit General Studies Class, Navigating Career Options. We collaborate with many of our campus partners to offer this class to UW undergraduates.

WORKSHOPS – 271 provided workshop sessions served 6700 students and alumni

Resumes and Cover Letters	Finding Jobs & Internships
Internships: What, Why & Where	Networking for Shy People (& Everyone!)
Successful Interviews	Job Offer and Salary Negotiations
Career Fair Success	Social Media
Applying to Graduate School	Job Search for International Students
Federal Jobs: Find & Apply	

(In addition, a variety of tailored workshops for individual academic departments.)

Specialty Workshops for Seniors and Alumni

Career Launch - This workshop features three of our key job search workshops including: Resume & Cover Letters, Conducting a Job Search, and Successful Interviewing. Lunch is provided. Registration & fee required.



E.N.G.A.G.E. Your Life - Through a fun interactive process, students identify natural strengths, transform marketable skills, create a personal "marketing pitch", perfect interviewing techniques, and build an eye-catching application or resume.

Dependable Strengths Seminar for Alumni - Identify the unique strengths and talents and learn how to leverage them to find a satisfying career. The focus is a nontraditional job search process. Through guided group discussions, participants identify good experiences; develop a Dependable Strengths Report; learn to articulate their worth to an employer; learn to make contacts whenever they may want them; and succeed in interviews.

Targeted Workshops for Graduate Students – Total Served: 1303

Presidential Management Fellowship Info Session

Finding Work Overseas

Working the Room

Getting Hired with a Graduate Degree: Tips from Employers

Grad Student & Postdoc Networking Reception

Academic Careers - Interviews

Academic Careers - Job Talks

Entrepreneurial Career Panel: How to Create Your Dream Job

Academic Careers - Getting Started, CV's, Cover Letters & Letters of Rec, Teaching Statement, Research Statement

Values Identification & Clarification

Dependable Strengths Seminar for Grad Students & Postdocs

Communicating & Collaborating with Your Research Supervisor

Career Success Certificate (new program offering)

The Career Success Certificate (CSC) program includes completion of eight modules of career and job search skills. It offers students a structured yet flexible plan for optimizing the resources of the Career Center.

ASSESSMENT

Outcomes

For a sample of 1274 undergrads (all majors) who indicated they wanted jobs after graduating, a chi square analysis indicated a positive statistically significant relationship between landing a "career position" as opposed to either being unemployed, landing an "internship", or landing a "job" and participation in:

- Career Fairs
- On-Campus Interviews – (3375 interviews with employers)
- Information Sessions with employers
- Mock Interviews

Student Satisfaction (samples)

THE CAREER CENTER | UNIVERSITY OF WASHINGTON
134 Mary Gates Hall | Campus Box 352810
Seattle, WA 98195 | 206.543.0535
careers.uw.edu

Thirty-four participants (all majors, all degree levels) who participated in an all-day resume review event rated their level of satisfaction with the resume advice they received. The mean satisfaction level was: 3.82 out of 4.

Employer Panel: The average satisfaction level among 62 grad students who attended a panel called “Getting Hired with a Graduate Degree: Tips from Employers” was: 3.55 out of 4.

Employer Satisfaction (sample)

On-Campus Interviews – Fifty-eight recruiters who conducted interviews in the Career Center as part of our On-Campus Interview program rated the overall quality of the candidate pool they interviewed, on average, as: 3.57 out of 5 (Between “Good” and “Very Good”).

Success Stories -

Tabitha Hart (International Studies), accepted a tenure track position at the Department of Communication, San Jose State University. During the whole process of applying for jobs Tabitha drew heavily on materials that the Career Center provided on writing cover letters, doing on site interviews, and negotiating an offer. Additionally, Tabitha worked with a career counselor.

Michelle DelCarlo (Museology Graduate Program) was offered and accepted a position at the Smithsonian. Michele availed herself of career coaching, interview and negotiation workshops, as well as networking services.

Xueqing (Martina) Ji (Economics), was an International student in the Honors Program with a minor in French and Certificate in International Economics. Martina did several internships and was highly involved in leadership roles in student organizations. Also, decidedly motivated and very pro-active in using what she learned in the Navigating Career Options class. She received a job offer from KPMG Advisory in Seattle.

Tamara Thorhallsson (Political Science) landed an administrative assistant position with an international trade & business organization. Tamara was Offered the position amongst a pool of over 200. Since August, she has gained more responsibilities and currently is the project manager for a trip to Panama and the project assistant for a weeklong trip to Chile. Tamara was a student in Navigating Career Options and interfaced with the Career Center through 8 different offerings (workshops, appointments etc.)



UW Center for Experiential Learning and Diversity

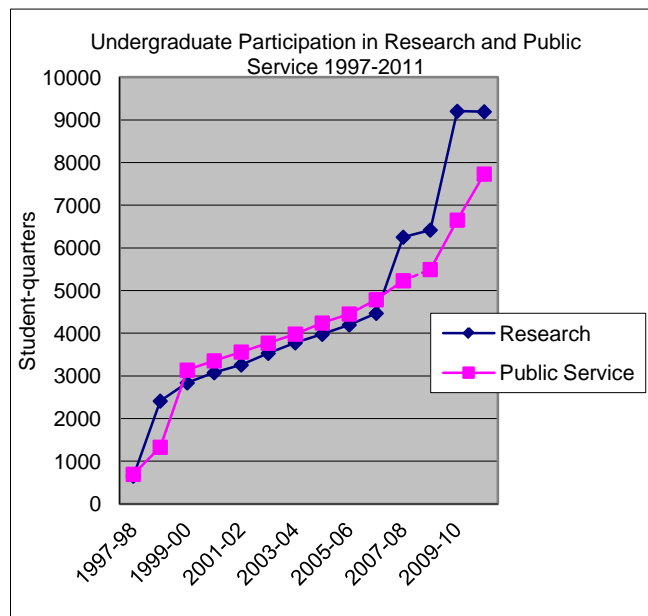
Nine programs in the [Center for Experiential Learning and Diversity](#) connect UW undergraduates with opportunities to participate in research with faculty, to engage in community-based learning and leadership, and to compete for local, national, and international scholarships. Center programs develop and implement student opportunities and also support related activities in all academic departments, schools, and colleges.



Campus-wide undergraduate participation in research and public service

Undergraduate Academic Affairs collects data from across campus each year on undergraduate participation in research and university-sponsored public service. The chart below shows the growth of undergraduate engagement in both of these activities since 1997. Here, undergraduate research is defined as independent creative or scholarly work done by individual students over at least one quarter under the guidance of a UW faculty member. Public service is defined as university-sponsored public service sustained over at least one quarter and includes service learning, public service internships and volunteer efforts.

Undergraduate participation in research, service learning, and internships are among five nationally recommended high-impact practices for improving teaching and learning that are also effective at promoting achievement among traditionally underserved students. (Kuh, 2008; Brownell and Swaner, 2010). Participation in these activities has grown more than ten-fold in the past 14 years, evidenced in the data below. Please note that the unit of reporting is a student-quarter; since many students engage for more than one quarter per year, the number of individuals involved is less; in 2010-11, 6008 undergraduates participated in research and 5500 engaged in university-sponsored public service.



Undergraduate Research at the UW Center for Experiential Learning

Undergraduate research at the UW draws on the enormous research enterprise of the university by engaging undergraduates from all backgrounds and fields of study in intensive faculty-guided research experiences that complement students' coursework and degree programs.

This enterprise has grown dramatically at the UW over the past twenty years due to many factors: interest and investment in undergraduate research by faculty and top administrators; establishment of the Undergraduate Research Program to support campus efforts; funding provided by the Mary Gates Endowment for Students, Levinson Emerging Scholars, federal and private grants and gifts for research scholarships and program support; and a national movement to transform undergraduate education at research universities through the integration of research and undergraduate learning.

[Center for Experiential Learning and Diversity](#) programs that create and support undergraduate research opportunities, guide and fund students, and support faculty and departments include: [Early Identification Program](#), [Ronald E. McNair Scholars](#), both based in OMA&D, [Mary Gates Endowment for Students Research Scholarships](#), and the [Undergraduate Research Program](#) (URP), both based in UAA.

Recent milestones related to undergraduate research at the UW:

❖ Overall Undergraduate Participation in Research

Approximately **6000 undergraduates participate annually** in at least one quarter of faculty-mentored undergraduate research (includes all three UW campuses; note that about half of these students engage for 2 quarters or more, hence the “student-quarters” count is higher in the graph above). URP and EIP/McNair Scholars staff provided direct services to **more than 4000 students** last year to find research opportunities or funding, prepare presentations, resolve challenges related to their research, or to learn related skills.

❖ Annual UW Undergraduate Research Symposium

UW's spring [Undergraduate Research Symposium](#) is among the largest in the country: In 2012, there were 927 student presenters (from 98 UW departments, guided by: 439 faculty mentors, 81 postdoctoral research mentors, 121 graduate student mentors and 61 research staff mentors)

❖ Annual UW Summer STEM Poster Session

UW is host to many externally funded intensive summer research programs, mostly in science and engineering fields; in 2012, the UW Summer STEM Poster Session had 141 participants representing 12 UW summer science or engineering undergraduate research initiatives, and 42 faculty mentor departments.

❖ Campus-wide collaborations

The UW's URP supports and collaborates with all department- and college-based initiatives, and works closely with OMA&D's Early Identification Program and McNair Scholars Program to ensure that as many undergraduates as possible have access to research opportunities. URP staff also support efforts at UW's Bothell and Tacoma campuses, and collaborate with Washington's community colleges on undergraduate research-based initiatives to improve the transition to university for UW's transfer student population



Examples of current undergraduate research from a diversity of disciplines

Guillermo Romano, senior, Biochemistry and Public Health; Mentor: Dustin Maly, Chemistry
"A Molecular Fishing Rod" - Synthesis of a tri-functional probe to profile kinase conformations in cell lines
 Small signaling molecules on the exterior of a cell, such as a hormone, may activate or inhibit cellular signaling pathways. These pathways are largely regulated by proteins known as "kinases," which are responsible for turning enzymes on or off. Understanding kinases could lead to identification of selective drug targets for cancer treatments. My project is to create a novel tool that is functionally akin to a fishing rod. Instead of fish, I'm looking for kinases.

Helen Olsen, senior, Geography & Public Health; Mentor: Victoria Lawson, Geography
Partnering for Health: Emerging Forms of Public Health Governance in Washington State
 I use archival and ethnographic methods to examine the ways in which austerity measures enacted in the wake of the Great Recession have contributed to the emergence of new public-private partnerships in the field of public health service provision.

Amanda Montoya, senior, Psychology; Mentor: Sapna Cheryan, Psychology
Choosing a science class: How increasing perceptions of group work in computer science affects women's interest.
 We are testing, through experiments, if increasing group work in computer science classes might increase women's interest in taking the classes.



Martin Jarmick, senior, DXARTS; Mentor: Jamie Coupe, DXARTS
A Mountain, Many Eyes
 This art project centers on Mt. Rainier, an ancient beacon among centuries of shifting perspectives and populations. Expressing the iconic form for the first time in stereoscopic-3D, a new vision is fabricated, but the voices of its past hold the story.

Kevin Magnaye, junior, Biology; Mentor: Michael Bamshad, Pediatrics
Comparing Ancestry Estimations from Y Chromosome, Mitochondrial DNA, and Autosomal Loci
 Three important tools used to indicate an individual's ancestry are the Y chromosome, mitochondrial DNA, and autosomal DNA. Our lab is trying to understand how well the Y chromosome and mitochondrial DNA accurately predict autosomal DNA ancestry. This project brings to light an important ethical issue regarding direct-to-consumer ancestry tests. Also, this research could potentially advance personalized medicine.

Natalie Larson, senior, Materials Science & Engineering; Mentor: Brian Flinn, Materials Science & Engineering
Effect of Epoxy Modulus on Activity of a Fluorescent Dye for Aerospace Composite Damage Detection
 Non-destructive evaluation (NDE) of barely visible impact damage (BVID) in polymer composite aircraft structures is of high importance to the aerospace industry. Impact damage due to tool, bird, or luggage cart collisions can create defects below the material's surface, significantly reducing the mechanical performance. My research aims to develop a novel technique for quick, accurate, and cost-effective NDE of BVID during routine aircraft service.



What students say about the impact of their participation in research on their education (direct quotes from a survey of undergraduate research symposium presenters, May, 2011):

“When I look back at my experience at UW, the aspects of my education that have had the most impact on me have been related to my research.”

“...it was a very good exercise in explaining my research and my paper to a larger audience. I really appreciate having a venue like the Undergraduate Research Symposium to share work and interact with other students and learn more about what they study. Thank you!!”

“My research mentor was an amazing resource and one of the biggest inspirations I have encountered at UW. Her support and guidance throughout the preparation for this presentation has been beneficial to my learning process as well as my professional planning.”



“Undergraduate research has opened the door to a whole new side of learning and discovering that I didn't know existed.”

“The support and real world learning of a lab has been an incredible experience. These are skills I will carry with me through my career in science, and many areas of my life.”

“Undergraduate research is a great way for students to get a chance to really dive in and learn more in depth about things that they are interested in. It is also a great way to build relations with professionals ... It is such a great learning experience that one cannot acquire in the classroom.”

“My research has helped me to determine my future direction and career goals. Toward that end, it has also taught me valuable knowledge and skills that are pertinent to the field.”

What recent graduates and alumni say about having had a research scholarship as an undergraduate (direct quotes from a recent survey of alumni):



“Because of the Mary Gates Scholarship, I was able to leave my part time secretary job, which was unfulfilling and unrelated to my education. I was able to commit that time to my lab work, and achieve more during my time there.”

“Being a member of an engaged, caring, talented community of scholars allowed me to approach challenges with new resolve and ask new questions about the world around me.”

Public Service in the Center for Experiential Learning and Diversity

Three programs in the Center for Experiential Learning and Diversity explicitly focus on community engagement and public service opportunities for University of Washington students. The [Carlson Leadership & Public Service Center](#), [Jumpstart Seattle at the University of Washington](#), and [the Pipeline Project](#) all seek to provide opportunities for students to engage in and with their communities as an integral component of their UW educational experience.

The **Carlson Leadership & Public Service Center** develops service-learning, community-based participatory research, and leadership opportunities for UW students that sustain reciprocal partnerships, deepen learning, advance civic engagement, and contribute to our greater community.



Jumpstart Seattle at the University of Washington trains and supports teams of UW undergraduates in their efforts to help low-income children in preschool classrooms build language, literacy, and social relation skills.

The **Pipeline Project** connects undergraduate students from the University of Washington with educational and service opportunities in local and regional schools and community organizations through tutoring and mentoring.

Recent milestones related to student engagement in public service at the UW

- ❖ Record growth in participation in public service activities in the 2011/12 academic year
 - Over 2900 students participated in service-learning coordinated by the Carlson Leadership & Public Service Center (a doubling of participation in a two year period).
 - Nearly 900 students participated in the Pipeline Project
 - 130 students participated in Jumpstart Seattle at the University of Washington.

These **3 programs combined provided greater than half of the public service experiences for the 5500 students** reported in annual public service data (note that many students' engagement is more than one quarter, hence the number of student-quarters in the chart on p. 1 is larger than the number of individuals).

- ❖ As a result of the outstanding work of the University of Washington in this area, UW Seattle has been named to the **President's Community Service Honor Roll** in every year we have applied for inclusion.
- ❖ Each year the Carlson Leadership & Public Service Center, the Mary Gates Endowment for Students, the Pipeline Project, and the Jumpstart program collaborate to bring together students from across campus for the [Spring Celebration of Service and Leadership](#).

Campus and community collaborations

Community engaged programs in the Center for Experiential Learning and Diversity collaborate broadly across campus and in the surrounding community. Students and/or faculty from every college and nearly every department participate in our programs, and between the three programs, we work with **over 400** community-based non-profits, schools, and public sector organizations.



Examples of Public Service in the Center for Experiential Learning and Diversity

Service-Learning: Service-learning is a learning experience that combines service with the community with structured preparation and reflection opportunities. Service opportunities are tied to academic coursework and address concerns that are identified and articulated by the community. Below are illustrative, but not comprehensive, examples of service-learning courses.



Math 381: Discrete Mathematical Modeling

Students work in teams to solve real world problems for community non-profits using mathematical modeling. Examples include creating routes for a local museum outreach van that maximize time and fuel efficiency and optimizing delivery routes for a meal program serving home bound individuals with HIV/AIDS.

Sociology 292: Public Schools in America

All students enrolled in the course volunteer in a local K-12 school as a tutor, a mentor, or an after school program assistant. The Pipeline Project develops the service-learning positions, ensuring that students will be able to link course themes related to educational disparities to their volunteer work in the public school system.

Nutrition 302: Food Studies, Harvest to Health

Students have the option to participate in service-learning and register to work with food banks, meal programs and urban farms. Course learning objectives focus on understanding modern food supply issues, food access, and food behaviors. Students integrate their service experiences through culminating presentations.

What students have to say about service-learning

“The real experience found during my service learning experience was completely beneficial. I felt myself progress in my position from a complete novice with children at the beginning to someone that has a much easier time communicating and scaffolding experiences. It is amazing to have the opportunity to learn about child development theories in class one day and the very next to observe these concepts in the classroom. Awesome, awesome, awesome.”

Autumn, 2010 Student

“I would recommend service-learning because it allowed me to personally connect with the material taught in my class. I think the deeper understanding taken away from an academic course is made richer and deeper with service-learning....”

Spring, 2012 Student

Alternative Spring Break: Alternative Spring Break opportunities have been coordinated by the Pipeline Project for the past 12 years. Teams of UW students spend their spring break in a rural or tribal community of Washington State working with local elementary and middle schools on literacy arts and environmental science projects. Students serve in Brewster, Mattawa, Omak, Tonasket, Toppenish, Harrah, La Push, Forks, and Curlew.

What students have to say about Alternative Spring Break

“I now have a renewed motivation and focus to become a fantastic teacher, great memories of a tight-knit community, and a refreshing new outlook to being more adventurous.”



“In working with the kids in Neah Bay, I saw the value and importance of teaching cultural traditions to children. I was so impressed with the passion and seriousness with which each fifth grader regarded cultural and historical aspects of the Makah such as dance, stories, and ancestral practices....”

Mentoring Preschool Children: Through the Jumpstart Program, UW students work in teams to assist students in Head Start preschools in language, literacy, and social skill

development. Jumpstart currently partners with six preschools in the Seattle area, located in the Central District, Capitol Hill, Columbia City, the International District, and Beacon Hill.

What students have to say about Jumpstart

“Being a part of Jumpstart is a great opportunity to get involved within the local Seattle community through developing personal relationships with low-income families and their children. We essentially ‘give back’ to the community by working to give every child the same chance to succeed in school.”



“Because of my time in Jumpstart, I saw the impact volunteering can have on a community, and how, with good support and feedback, it can be a great learning opportunity for the person doing it too. As a team leader, I was able to learn best practices for creating a positive volunteer experience, and it was definitely the experience and the coaching I got from the Site Managers at Jumpstart that helped me get the job I have now working at United Way of King County as the Volunteer Mobilizer for the Hunger Free campaign.”

Other Examples of Public Service Activities

Civic Fellowships: The Carlson Center supports and recognizes student public service and leadership work through several fellowship programs that provide intensive advising, support and course work for students engaged in more intensive community work.

Internships: The Carlson Center maintains a public service opportunity blog with postings of internships in the public and non-profit sector. Additionally, the Center administers General Studies 350, a method for students to earn academic credit for integrating an internship experience with academic work.

Inner Pipeline Seminars: The Pipeline Project offers nearly 45 seminars per year where students explore educationally related issues in a weekly classroom seminar while concurrently tutoring/volunteering in a local K-12 school or educationally focused community organization.

Community-Based Leadership Seminars: Staff from all three programs facilitate seminars focused on community engagement, leadership theory, critical perspectives on volunteering, digital storytelling, and other topics that enrich and enhance the undergraduate experience in and with the community.



Resident Adviser (RA) Position Summary

The RA position is a live-in position within the University of Washington (UW) residence halls and apartment communities. HFS currently employs 126 Resident Advisers; RAs are compensated a single room and a dining plan.

Under the direct supervision of a Resident Director (RD), a full-time professional, RAs are charged with:

- Welcoming and orienting new students to the UW, and serving as a knowledgeable resource
- Establishing a cohesive and responsible community in his/her designated area
- Developing individual relationships with each resident in their assigned community in order to be aware of their needs and interests, as well as to establish themselves as a reliable and supportive resource
- Facilitating the holistic development of residents through programming and activities. These opportunities include programs addressing various aspects of the residents' interests and personal wellness, and the distribution of informational materials
- Establishing a community environment that contributes to residents' academic success, and supports the rights to sleep and study
- Promoting resident involvement in hall council, the Residence Hall Student Association, and other HFS/UW affiliated organizations
- Referring, advising and supporting residents as they cope with academic and personal issues
- Educating residents of housing policies and enforcing community standards, as well as serving in the duty on-call rotation for the community
- Responding immediately to crisis situations
- Completing numerous administrative tasks timely and proficiently

RAs manage a multitude of situations that arise, including:

- Roommate conflicts
- Confronting situations that require enforcing community standards, including underage consumption of alcohol, excessive noise, marijuana use, guest policies and inappropriate behavior that could endanger others
- Responding to acts of vandalism
- Responding to students of concern who may be struggling for a variety of reasons including mental health, homesickness, or relationship issues
- Building safety, security and facilities issues
- Fire alarms and other emergencies

RA Selection and Training

RAs are selected through a vigorous selection process that includes an essay-based written application, a one-hour interview, and successfully completing the RA Training Class conducted during spring quarter. Each year we receive approximately 300 applications, and hire on average 65 new RAs, depending on how many staff members are returning for another year.

Course content for the Spring Quarter RA Training Course is focused on skill development, including:

- Developing welcoming and inclusive communities
- Working with and supporting a diverse student population
- Interpersonal communication skills and public speaking
- Helping skills—to assist students who are struggling or in crisis
- Confrontation skills
- Conflict resolution and mediation skills

RAs return to campus in September for two weeks of formal training prior to the start of autumn instruction. The content of the training includes:

- Job orientation and staff development activities
- Developing and implementing community development plans
- Fire and life safety training, including building orientation, emergency evacuations, and properly using fire extinguishers
- Duty and incident response protocols
- Crime and drug awareness/prevention with UWPD
- Alcohol, sexual assault, disordered eating, and mental health awareness and response
- Establishing and maintaining community standards

Training concludes with two days of practice—new RAs confronting numerous simulated situations in what is referred to as “Behind Closed Doors,” applying all that they have learned over the course of spring and autumn training.

Over the course of the year, RAs work directly with their supervisor to identify an individualized professional development plan. Upon reflection of their own strengths and areas for growth, RAs map a plan for ongoing training opportunities. Topics are varied and include, but not limited to: public speaking, time management, financial management, stress management/self-care, managing change and conflict resolution. Strategies for training include attending workshops, reading books/articles, engaging in discussions and practical experience.

Impact of Being an RA

High satisfaction was reported by Resident Advisers as indicated in a survey conducted in June 2012:

- RAs indicated numerous intrinsic rewards by being an RA:
 - More confident in confronting issues, speaking in public, managing conflict and other difficult situations
 - Being a committed, reliable and trusted team player
 - Improved time management and organizational skills
 - Effectively organizing information and improved administrative skills
 - Connections developed with others and networking opportunities
- RAs report feeling supported, valued, and invested in the success of Residential Life and the department
- RAs indicate a strong understanding of unit priorities and goals of supporting student success

In addition, we learned recently that *Teach for America* receives a high number of applications from students who are or have been RAs, and that they now look at the RA position as an indicator of success for their application process. Given the tremendous responsibility associated with the position, it is reasonable to assume that the competencies developed through service as a RA are valued in many if not all work settings.

Assessment Data Demonstrating RA Impact and Success

From the 2011 annual Autumn Resident Survey administered in the end of November:

- “My RA is helpful (e.g. answering questions, resolving issues, providing resources.”
93% agree/strongly agree
- “My RA has made an effort to get to know me.”
92% agree/strongly agree
- “My RA promotes an atmosphere that is conducive to academic achievement.”
89% agree/strongly agree
- “My RA is approachable.”
95% agree/strongly agree
- “My RA is accepting of residents’ different backgrounds.”
92% agree/strongly agree
- “My RA promotes courtesy and respect amongst residents.”
95% agree/strongly agree

Differences between RAs and Residence Hall Student Association

RAs are considered employees of Housing & Food Services and sign an academic year contract. The Residence Hall Student Association (RHSA), on the other hand, is the student governing body representing all students living in the residence halls. RHSA plans campus-wide programs and activities, voices resident issues and concerns, provides both housing and dining feedback, and works closely with other registered student organizations on campus. Though at times RAs will work closely with RHSA and the various hall councils in planning programs and events, members of RHSA/hall councils are not considered employees.

Additional Notes

As new residence halls close and new buildings open, the number of RAs over the next few years is expected to remain about the same. It is not anticipated that the total number of RAs will change dramatically until the Housing Master Plan is completed; it is estimated that 44 new RA positions will be added to the system by that time for a total of 170.

How UW Libraries Engage Students

How many students work in the Libraries?

Approx. 325. This is a small decrease over the past few years.

What kinds of things do they do?

Traditional library work: Shelve, check materials in and out; process materials; and, general office assistance. Work in all sectors of library operations that handle large quantities of materials, such as interlibrary loan, acquisition/cataloging, electronic resources, binding/marking, and mail services.

Technical work: Assist with database upgrades; gather and record statistics; create spreadsheets; and, use a variety of software applications to handle and process materials. Create web pages and videos. Assist in developing teaching materials. Handle rare and special materials for processing and archiving. Assist with digitization projects.

Customer service: Work at service desks and answer basic questions. Assist in keeping libraries open additional hours that would otherwise not be possible. Examples include 24 hour opening Sun.-Thurs. at Odegaard Undergraduate Library and weekend hours at smaller branch libraries. Serve customers in computer and GIS (Geographic Information Systems) labs, as well help with trouble shooting staff computers.

How does this become a transformative experience for them? Mentorship?

iSchool students employed by the Libraries are given assignments that help prepare them for their careers. They are mentored by librarians and staff who seek to give them the best possible learning and work experiences.

Because many of our Libraries units are small, student employees become part of the team and often form friendships with other students. Staff report that students often come back to visit and stay in contact long after they have left the UW.

Libraries Student Employee Scholarship Program and Appreciation Week

Each year the Libraries holds a scholarship competition. Student employees may apply for one of ten \$1,000 scholarships. The money for the scholarships is raised by Libraries Advancement. As part of the application process, students choose a question on the application to answer in an essay. During winter quarter, one week is designated as Libraries Student Employee Appreciation Week and the scholarships are awarded at a reception.

Excerpts from four of the 2012 essays are included on a separate page and all the essays for the past two years may be viewed at:

<https://staffweb.lib.washington.edu/units/ODT>

EXCERPTS FROM LIBRARIES 2012 STUDENTEMPLOYEE SCHOLARSHIP ESSAYS*

“My job in Special Collections helps me in my academic work because my job is my academic work. I aspire to a career in the field of archives and special collections... I have been working with films and photographs in Visual Materials for over a year, and I have learned a tremendous amount about my chosen field. Working for 8-12 hours a week alongside professionals like Nicolette Bromberg and Hannah Palin has provided an illuminating window into the daily activities of a practicing archivist. Moreover, both of my supervisors persistently endeavor to assign those duties that will challenge my intellect and enhance my archival capabilities. Under their tutelage, I have assessed incoming collections, undertaken historical research, digitized archival objects and associated content, composed collection finding aids, and collaborated with others on a variety of projects. My position has been, in effect, a year-plus course in archival practice that is yet ongoing.”

Jonathan King, Graduate Student Library/Information Science

“By my sophomore year at UW, my expenses were increasing and my savings from summer jobs were decreasing. I was determined to find a fulfilling job where I could assist others and work with people in an academic setting. Working at the Foster Business Library has afforded me rent and food (literally) but, once again, little did I know it would significantly change the direction of my life’s work. Before becoming the “Foster Library Girl” (a label loudly provided by one drunken frat guy in passing at a football game) I was unsure of what to do with a biology major and climate science minor. As I’ve observed the business school subject matter, I began to realize it is the intersection of science and business where we (a collective society) will positively influence programs for a more environmentally sustainable world. Business combined with social responsibility and scientific evidence can be a powerful source for global progressive change. Through working in the Foster Business Library, I was struck by the concept of a collaborative approach combining biological and environmental knowledge with business savvy to provide the capacity to develop innovative sustainable technologies.”

Abby Christensen, Senior, Biology

“Mapping is, of course, integral to civil engineering. In taking the course CEE 316 Surveying, a mandatory class for a civil engineering degree, many of the basic ideas about the Public Land Surveying System, drawing topographic maps, and the typical components of a map were easy to understand after many months of working with maps and aerial photography. But taking the class also taught me things which I could apply at work. In particular, I now have a better understanding of the capabilities of GPS, GIS (a large part of the Map Collection’s users utilize our GIS data), and new aerial and satellite surveying technologies such as LIDAR.

My interest in history has also been fostered by my work in the Map Collection. I have assisted people doing historical research for environmental impact statements, looking for long-overgrown logging roads, and trying to pinpoint where tsunamis hit coastlines over a hundred years ago. These experiences have shown me that an interest in history is immediately applicable to my academic work in engineering.”

Zachary Schrempp, Senior, History and Civil Engineering

“I love teaching, but after a long day, there was something reassuring to see Suzzallo’s Gothic architecture come into view. It has been the place on campus over the last five years where I have had the most vivid memories. The first of which was my initial visit to the University of Washington, where I had the realization I could actually achieve my dream of being the first college graduate in my family. I had no idea I would not only graduate from U.W., but also attend it for my master’s degree and teacher certification as well. I enter the building and make my way to the Marking and Binding Department where I work as a student assistant. I reflect on how just as I literally mark library materials at work, I leave a metaphorical mark on all of the students I teach. Other workers and patrons will also leave their mark on the things I process for the library and other people will leave their marks on my students after me. I cannot control any of these marks, but my job in the library has taught me to reflect on the interactions I have with my students and see the potential for inspiration in how to be a better teacher and leave a better mark on them when they are in contact with me, so maybe one day they will be writing the books my successors mark.”

Krystal Stephens, Graduate Student, Secondary Education

*Students have given permission to use their essays for UW purposes.

Overview of Finance and Facilities Student Internships

How many students work in F2? Has the number increased over time?

- During FY 2012, there were 300 student opportunities provided by Finance and Facilities, including 77,000 paid hours.
- Data for previous years:
 - 2008: 69 000 paid hours/33 FTEs
 - 2009: 61 300 paid hours/29 FTEs
 - 2010: 49 000 paid hours/23 FTEs
 - 2011: 63 500 paid hours/31 FTEs
 - 2012: 77 000 paid hours/36 FTEs

What kinds of things do they do? Here are some of the jobs students have performed in F2:

- **Asset Liability Management:** ALM has hired students as accounting assistants, and provided an unpaid internship for academic credit in the Treasury area.
- **Audit Internship:** Internal Audit offers two annual internships that provide real world audit experience.
- **Campus Sustainability Fund:** A graduate student (with tuition waiver) mentors and guides a student committee, composed of undergraduate and graduate students, that evaluates student projects awards funds.
- **Cashiers:** Front-line cashiering functions staffed by student employees in Student Fiscal Services provides real-life work experience for student employees and cashiering personnel that can relate to student circumstances.
- **Climate Action Plan Communications:** Student position to implement recommended communications strategies to increase understanding and campus awareness regarding the Climate Action Plan.
- **Digital Document Turn Over, Care and Custody:** Capital Projects Office partners with Master's and PhD students and faculty from the Construction Management Department in the College of the Built Environment to evaluate a new method for transfer of digital as-built information from the contractor to Facilities Services.
- **Earth Day 2012 Coordinator:** Partners with Earth Club, a student environmental organization, to plan and coordinate annual Earth Day commemoration in conjunction with the UW's 150 year HuskyFest Celebration
- **Eco-Rep:** Student coordinator develops a peer-to-peer educational network and program that fosters sustainable practices in residence halls, UW's Greek system and student organizations
- **Emergency Management Planning Committee:** Emergency Management has two standing student members on its advisory committee.
- **Facilities Services Power Plant:** Employs an engineering student to assist with various projects while providing exposure to working in a real world industrial facility.
- **Green Lab Certification:** Student coordinator is developing evaluation criteria for sustainable lab space and creation of a certification program for UW laboratories, with support from EH&S, etc.
- **Investment Rotation Program:** Treasury provides three student internships focused on investment research and analysis in managing the University's multi-billion dollar endowment. The two-year rotation has recently been extended to three years for one analyst.
- **Lean Visuals:** Research Accounting & Analysis employed an Art student to design and create visuals for Lean process improvements. The visuals are a critical component of Lean methodology that enables a new level of collaboration and discovery.

- **Mailbox Art Project:** Partners with students in the School of Art to install artwork created by School of Art students on UW Seattle campus mailboxes.
- **Program on the Environment/ Environmental Management Certificate Keystone Projects:** past projects include:
 - **Zimride:** the team worked on the logistical launch of a campus ride-share program and implemented a social marketing and evaluation plan
 - **Climate Action Plan Communications:** students surveyed campus, developed a communication plan and began initial execution of the plan
 - **Managing UW's Sustainability Performance:** team evaluated the STARS reporting framework and developed best practices for collecting sustainability information
 - **List:** <http://depts.washington.edu/poeweb/students/gradprograms/envmgt/keystones.html>
- **Sustainability Marketing and Communications:** Student coordinator helped build UW Sustainability brand through communications graphics and an online profiles page for campus sustainability projects, working in conjunction with UW Marketing, ICA, CPO, Facilities, HFS
- **Supplier Corporate Social Responsibility Project:** Financial Management has a fourth year law student working as a student researcher on the Supplier Corporate Social Responsibility Project including developing a supplier code of conduct, a model for monitoring supplier compliance, standard language to include in Requests for Proposals and an "intake" process for concerns raised about specific suppliers and their compliance with the code.
- **Sustainability Kiosk:** Student coordinator developed a campus sustainability seating lounge display in partnership with the UW Information & Visitors Center to attract, inform and educate visitors, staff, and students about UW sustainability efforts.
- **Transportation Services:** Ongoing engagement with students on issues related to UPASS and alternative transportation options, and shares governance in University Transportation Committee and Universal Student U-PASS Advisory Board.
- **Treasury:** Works with one MBA student per quarter to do an investment-related research project, at the end of the quarter the student presents findings and receives class credit; research sometimes factors into UW investment decisions. Additionally, Treasury sponsors a Junior Research Analyst program, where students work post-BA in the Treasury Office for 2 to 3 years as researchers with the investment team, before continuing on with their MBAs.
- **University Geographic Information Systems (GIS) & American with Disabilities Act (ADA) Navigation:** Capital Projects Office works with students to develop a prototype indoor navigation system for way finding, including routing in compliance with ADA guidelines.

How does this become a transformative experience for students? What kind of mentorship do they get? Students are able to take classroom learning and apply it to real-life; they gain access to senior leaders at the university and the understanding of how people, groups and units work together, how to achieve goals and how to re-group when faced with challenges. Many students work closely with staff at all levels of the organization and are able to gain experience not just in formal meetings and settings but also informal

Any cool success stories? Many! For example:

- Two former student assistants have taken permanent jobs with the Environmental Stewardship and Sustainability office
- Former CSF coordinator hired by UW Academic Personnel
- Several student assistants have been hired by Deloitte

W FINANCE & FACILITIES

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- Former Capstone interns took post-graduation jobs with Safeco Insurance and Randstad Technologies, Inc.
- One ESS intern took a job post-graduation with the CleanScapes store, the first recycling/composting store in Washington State
- After interning as a policy analyst for the Climate Action Plan, an Evans School student took a position within Planning and Budgeting
- The first Climate Action Plan Communications Coordinator is doing climate research in South Africa
- A former Campus Sustainability Fund Communications Coordinator is now working for an environmental consulting firm in Seattle

Do you assess the work of the students? If so, how?

- Depending on the unit/job title, students meet regularly with their supervisors for one-on-ones to review progress on their project and identify next steps and hurdles they might need help with.
- Exit interviews
- Recommendations
- Presentations to senior leadership, committees
- Learning and outcome measures which are reviewed

Finance and Facilities Internship Program: F2 recently formalized its student internship program:

<https://f2.washington.edu/internships>

- **Who:** Finance & Facilities
- **What:** Internships are pre-professional learning experiences that offer meaningful, practical work experience related to a student's field of study or career interest. Internships allow students to apply principles and theory learned in the classroom in a professional environment.
- **Why:** To create employment opportunities for UW students and help them develop marketable skills and increase administration/student interaction. Supervision of student interns is considered a contribution to student development and is a form of education.
- **Expectations for F2 Units**
 - Provide interns with real work assignments
 - Hold orientations for all involved
 - Provide time for interns to attend various activities and trainings
 - Offer flex-time and/or other unusual work arrangements
 - Have an intern manager
 - Encourage team involvement
 - Invite F2 intern oversight staff to visit interns on site
 - Offer training
 - Showcase intern work through presentations/expo
- **F2 Internships contacts:**
 - Ruth Johnston, Associate Vice President and Special Assistant to the Provost, ruthj@uw.edu, 206.685.9838
 - Barbara Wingerson, Executive Director of Finance and Administration, bwinger@uw.edu, 206.685.7878
 - Elise Glassman, Project Manager, elised2@uw.edu, 206.616.9194

International Programs and Exchanges

Overview

The number of UW students who study abroad has increased nearly every year since 2006-7, with a high of 2,418 students in 2010-11.

In 2011-12, the total fell to 2,200. This seems to have been due to a delayed impact from the overall economic downturn, and anecdotal information from other universities bears this out. We expect the number of students going abroad to pursue educational opportunities to slightly increase in 2012-13.

According to the Institute for International Education's annual report ("Open Doors"), the UW has been nationally ranked among the top ten institutions in terms of total numbers of students studying abroad since 2006. In IIE's 2010 report, the UW ranked 4th in the nation; in 2011, UW was 6th; in 2012, the UW was ranked at number 10 (Institute of International Education 2012, "Leading Institutions by Study Abroad Total, 2010/11." Open Doors Report on International Educational Exchange. Retrieved from <http://www.iie.org/opendoors>)

70 countries

UW students have more than 300 different study abroad opportunities each year, in 70 country destinations on six continents.

Italy has been the most popular country for UW students abroad since at least 2006, with Spain, China, the UK and India also in the top five. The popularity of the Rome Center, and the new Leon Center, means that their home countries will remain prime destinations—especially for the hundreds of UW students who take part in programs led by UW faculty in Spain and Italy each year.

Examples

Professor Sarah Stroup (Classics) has run a very intensive and successful summer program in Israel several times. The program revolves around hours of daily field research at an archaeological dig site—some amazing discoveries have been made. The program also utilizes Israeli faculty colleagues and local experts, underscoring the experience of academic international collaboration for UW students.

Several faculty have been involved with the South Asian Studies (JSIS) quarter long program in rural northern India. This is one of the best examples of students having immersive educational experiences in a world radically different from Seattle—a village in the Himalayan foothills. Students live with local families, encounter a rich cultural world, and learn about many of the development challenges facing village people around the world: education, health and water, environmental degradation.

Clarence Spigner (Public Health) leads a very popular three-week long program in London entitled Dark Empire. The multi-disciplinary program looks at London through its past as the center of a colonial Empire, and its present—as the post-colonial home of immigrants from so many diverse countries in Africa, Asia, and the Caribbean. While the program is not directly run by the Office of Minority Affairs and Diversity, it has had one of the most diverse student enrollments of any UW faculty-led programs.

Student learning

On UW faculty-led programs, professors create the syllabi and assess the learning, just as they would on campus. IPE has worked to make department chairs partners in these programs, so that there is departmental support for the learning goals. Previously, IPE used its own survey forms to evaluate student learning—both in the international classroom and experientially—but last year began using forms developed with the Office of Educational Assessment so that our evaluations would be more in line with what is used on campus. The aim is to have programs abroad serve the educational mission and academic goals of our departments, and also the wider UW. This is a work in progress.

Some leading programs

College of Built Environments

English

Spanish and Portuguese

College of Engineering has been making innovative forays into faculty-led programs, with a recent program focusing on water in Jordan; and another program about to happen in Rome.

Exchanges, innovation

Over 2/3 of UW students who go abroad each year do so on UW faculty-run programs—but several hundred go abroad for an academic quarter, a semester, or a full academic year to some of our international partner universities on “direct exchanges.” These include some of the very best educational institutions in the world: University of Melbourne; University College London; Sciences Po (in Paris); Seoul National University; Waseda and Keio Universities in Tokyo; University of Bergen (Norway) and many more.

The OMA/D continues to create innovative education programs that highlight some of the interests and needs of our incredibly diverse student body, and this has been an especially powerful corrective to many students (and parents) who may have thought that study abroad was only for the wealthy.

The Global Business Center in the Foster School has also been very successful in mobilizing its students through its own study abroad programs and exchanges with partner Business Schools.

Peter K. Moran, Ph.D
Director, International Programs and Exchanges
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206-685-4233



Core Programs

Cultivating Capacities for Success

The Graduate School Core Programs provide resources and experiences aimed at success for both graduate students and post-doctoral fellows. Our programs focus on necessary skills and capacities that help contribute to flourishing from the moment you enter to the time you transition successfully into a career of your choosing. In collaboration with our partners across campus, we host workshops, events, presentations and online resources that are free of charge to all students and postdocs. See our website – grad.washington.edu/profdev – for online resources and links to our partners for even more events.

A SAMPLE OF AUTUMN 2012 EVENTS PRESENTED BY CORE PROGRAMS AND UW LIBRARIES

Choosing a Citation Management System that Works for You

Sep. 20, 11 a.m.-noon, Allen Library, Research Commons – Presentation Place

Communicating and Collaborating

Sep. 20, 2-3 p.m., Allen Library, Research Commons – Green A

Demystifying Graduate School (for International Students)

Sep. 21, 1-2 p.m., Allen Library, Research Commons – Green A

Choosing a Citation Management System that Works for You

Sep. 25, 10-11 a.m., Allen Library, Research Commons – Presentation Place

General Graduate Funding

Sep. 26, 9-10 a.m., Allen Library, Research Commons – Green A

NSF Graduate Research Fellowship Program Info Session

Oct. 1, 11:30 a.m.-12:30 p.m., Allen Library, Research Commons – Green A

PhD Funding

Oct. 2, 11 a.m.-noon, Allen Library, Research Commons – Green A

Choosing a Citation Management System that Works for You

Oct. 3, 1-2 p.m., Allen Library, Research Commons – Green A

The Literature Review

Oct. 4, 3-4 p.m., Allen Library, Research Commons – Green A

Manage your Research and Bibliographies with RefWorks

Oct. 8, 11 a.m.-noon, Allen Library, Research Commons – Green A

Manage Your Research and Bibliographies with Zotero

Oct. 9, 2-3 p.m., Allen Library, Research Commons – Green A

General Graduate Funding

Oct. 12, 1-2 p.m., Allen Library, Research Commons – Green A

Research While You Sleep

Oct. 16, 11 a.m.-noon, Allen Library, Research Commons – Green A

EndNote Fast Start: Learn the Essentials in One Hour

Oct. 18, 10-11 a.m., Allen Library, Research Commons – Green A

Advanced EndNote Q&A

Oct. 18, 11:15 a.m.-12:15 p.m., Allen Library, Research Commons – Green A

Use Social Media for Networking

Oct. 25, noon-1 p.m., Allen Library, Research Commons – Green A

Making Smart Choices with your Time

Oct. 26, 9-10 a.m., Allen Library, Research Commons – Green A

Scholars' Studio: Citizen Research @the Commons

Nov. 5, 3:30-5:30 p.m., Allen Library, Research Commons – Presentation Place

FOR FULL DESCRIPTIONS AND LATEST INFORMATION, PLEASE VISIT GRAD.WASHINGTON.EDU/PROFDEV



ASSOCIATED STUDENTS OF THE UNIVERSITY OF WASHINGTON

FACTS AND FIGURES

EXPERIMENTAL COLLEGE

- Last Fall we served about 1500 students
- During 2011-2012 we served 5,500 students altogether
- We will offer 134 classes in the Winter of 2013 (for example: Conversational Japanese, Modern Fencing, Hatha Yoga, etc)

BIKE SHOP

- The Bike Shop fixes 50-60 bikes per week
- The Bike Shop also supplies locks to students at a discounted rate
- Located at the NE side of the HUB
- Has 3 mechanics that work in-shop

RAINY DAWG RADIO

- Rainy Dawg has 50 volunteers of which 39 are DJs, 6 are bloggers, 3 are photographers, and 2 are videographers.
- There are approximately 95 hours donated in the office each week by our volunteers collectively. That's 2 per week by each of our DJs, 2 hours a week by each of our bloggers, and 1 hour a week by each of our videographers and photographers. However, the figure of 95 hours only takes DJs doing their actual shows into account, and not the time they take outside of doing their shows to prepare for the shows.

ARTS AND ENTERTAINMENT

- Put on 13 collaborative events during Fall Quarter 2012
- Biggest event of the quarter was: Fall Fling 2012 feat. Chiddy Bang, Beat Connection, Fresh Espresso
Collaboration: First Year Programs
Attendance: 2,500-3,000

UW LEADERS

- UW Leaders is a leadership development program for freshmen, sophomores and transfer juniors during winter and spring quarter.
- Number of UWL applicants: 160
- Number of accepted leaders: 42
- Once a month, UW Leaders organizes a service day to participate in community service

PERSONAL TESTIMONIALS

“After 3+ years, I can honestly say that getting involved with Rainy Dawg has been the most rewarding, as well as the most challenging experience I've had during my time at the University of Washington thus far. Working for the station has pushed me to become a better leader and organizer, all while allowing me to pursue my passion for music and the music industry as a whole. In my time at Rainy Dawg, I've learned how to organize concerts, organize volunteers, and lead a staff. Through Rainy Dawg, I've also made countless connections within the Seattle music community as well as best friends. To say that my experience working at Rainy Dawg Radio is invaluable would be a vast understatement.”

-*Matt Kolbede*, Manager of Rainy Dawg Radio

“I like ASUW because it has given me a different perspective of the diverse student body at the UW. It has also helped me be able to serve students by hearing their concerns and then doing something about by bringing legislation to the Senate floor. It has also provided me with opportunities to meet and work with people I would have never been able to work with before.”

-*Jill Celich*, Chair of the Publicity, Outreach and Membership Committee



GPSS is the official student government for the roughly 12,000 graduate and professional students at the University of Washington. GPSS is comprised of two senators from each degree-granting department, four officers, and nine staff members. It represents students to the University, the State Legislature, Congress, and the Seattle community. It also funds graduate programming and hosts events that provide social and professional development opportunities.

FUNDING FOR GRADUATE STUDENT COMMUNITIES

Departmental Allocations Fund

The Departmental Allocations Fund helps graduate and professional students build community within their department by providing funding for students to put on events or improve their community spaces.

Total 2011-2012 Allocations: \$7,000

Diversity Fund

The Diversity Fund supports graduate and professional students from diverse backgrounds share their culture heritage and build a more compassionate and understanding community on campus.

Total 2011-2012 Allocation: \$4,000

Special Allocations Fund

The Special Allocations Fund helps Registered Student Organizations (RSOs) by providing supplemental resources for programming and events. Funds are used to benefit a cross-section of graduate and professional students and have typically been awarded to offset the cost of honoraria, advertising, and facility rentals.

Total 2011-2012 Allocations: \$15,000

Travel Grants Program

Professional and academic conferences are a fundamental component of graduate school education and professional development for many graduate and professional students. ***Unfortunately, due to budgetary restrictions, GPSS was forced to suspend the travel grants program as of the 2012-2013 academic year.***

Total 2011-2012 Allocations: \$21,000

SOCIAL AND PROFESSIONAL DEVELOPMENT OPPORTUNITIES

Higher Education Summit

Every year the Graduate and Professional Student Senate brings together students, legislators, university administrators, and other leaders from around the state to discuss emerging and pressing issues impacting higher education here at the University of Washington and around the country.

Issues discussed in 2012:

- The Role of Online Education in Higher Education
- State Funding of Higher Education in Washington
- The Students' Role in University Budgeting and Planning

Science and Policy Series

The Science and Policy Series aims to enhance discourse between scientists and policy makers through advocacy, community-building, and student empowerment. It helps build connections between the science that is happening here on campus and the broader community to ensure public policy is informed by sound science. The series is composed of smaller events throughout fall and winter quarter, such as panel conversations, followed by a Science and Policy Summit in spring quarter.

Social Events

GPSS helps bring together graduate and professional students together for a variety of events including large quarterly socials and smaller events such as film screenings, speed-dating events, trivia nights, and departmental mixers. These events are well-attended and provide an opportunity for thousands of students to build social and professional networks from around campus and across disciplines.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Report of Contributions – October and November 2012

For information only.

Attachments

1. UW Foundation Report of Contributions for October 2012
2. UW Foundation Report of Contributions for November 2012



UNIVERSITY *of* WASHINGTON
Foundation



Report of Contributions

All Areas | October 2012

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Private Grants	Total	Donors ¹	Gifts	Private Grants	Total	Donors
UW Medicine	\$2,364,633	\$4,771,933	\$7,136,566	2,844	\$6,393,161	\$23,363,296	\$29,756,457	5,340
Arts and Sciences	\$1,347,643	\$924,567	\$2,272,210	1,555	\$3,775,849	\$2,782,657	\$6,558,507	4,152
Broadcast Services	\$249,892		\$249,892	438	\$581,751		\$581,751	7,505
Built Environments	\$97,562		\$97,562	91	\$267,893		\$267,893	316
Business School	\$351,264		\$351,264	362	\$1,190,550		\$1,190,550	1,111
Dentistry	\$99,941		\$99,941	142	\$325,997		\$325,997	406
Education	\$5,504	\$1,019,453	\$1,024,957	81	\$71,325	\$1,608,402	\$1,679,727	238
Engineering	\$894,843	\$1,256,415	\$2,151,258	870	\$3,856,098	\$2,629,713	\$6,485,811	1,665
Environment	\$282,957	\$400,874	\$683,831	210	\$739,671	\$1,969,379	\$2,709,050	627
Evans School of Public Affairs	\$85,687		\$85,687	210	\$170,230	\$109,996	\$280,226	426
Graduate School	\$361,104	\$10,779	\$371,883	27	\$516,834	\$556,607	\$1,073,441	62
Information School	\$53,083		\$53,083	88	\$414,570		\$414,570	275
Intercollegiate Athletics	\$2,301,861		\$2,301,861	1,287	\$10,154,107		\$10,154,107	4,769
Law	\$177,058		\$177,058	84	\$587,717	\$10,000	\$597,717	466
Libraries	\$1,265,077		\$1,265,077	109	\$4,508,566		\$4,508,566	542
Minority Affairs	\$160,674		\$160,674	117	\$236,308		\$236,308	224
Nursing	\$19,145	\$29,408	\$48,553	148	\$275,466	\$449,825	\$725,291	403
Pharmacy	\$161,234		\$161,234	144	\$607,981	\$200,854	\$808,835	354
President's Funds	\$12,869		\$12,869	96	\$156,241		\$156,241	396
Public Health	\$9,239	\$491,086	\$500,325	97	\$177,723	\$2,920,294	\$3,098,017	225
Social Work	\$86,927	\$316,651	\$403,579	52	\$176,373	\$316,651	\$493,024	142
Student Life	\$83,858		\$83,858	293	\$993,956		\$993,956	759
Undergraduate Academic Affairs	\$24,336		\$24,336	78	\$163,348	\$4,818	\$168,166	277
University Press	\$1,210		\$1,210	6	\$79,640		\$79,640	32
UW Alumni Association	\$80,830		\$80,830	1,629	\$275,845		\$275,845	5,060
UW Bothell	\$2,038,733	\$129,010	\$2,167,743	148	\$4,334,819	\$279,648	\$4,614,467	310
UW Tacoma	\$60,668		\$60,668	121	\$228,700	\$214,500	\$443,200	378
Other University Support	\$625,789	\$6,000	\$631,789	108	\$2,163,039	\$231,871	\$2,394,910	620
Total	\$13,303,621	\$9,356,176	\$22,659,797	10,754	\$43,423,756	\$37,648,513	\$81,072,269	34,275

MONTHLY HIGHLIGHTS

The UW received \$22.66M in total private voluntary support (\$13.30M in gifts and \$9.36M in grants) in the current month.

Areas including Built Environments, Education, Engineering, Environment, Information School, Libraries, Minority Affairs, Nursing, Student Life, University Press, UW Alumni Association and UW Bothell are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

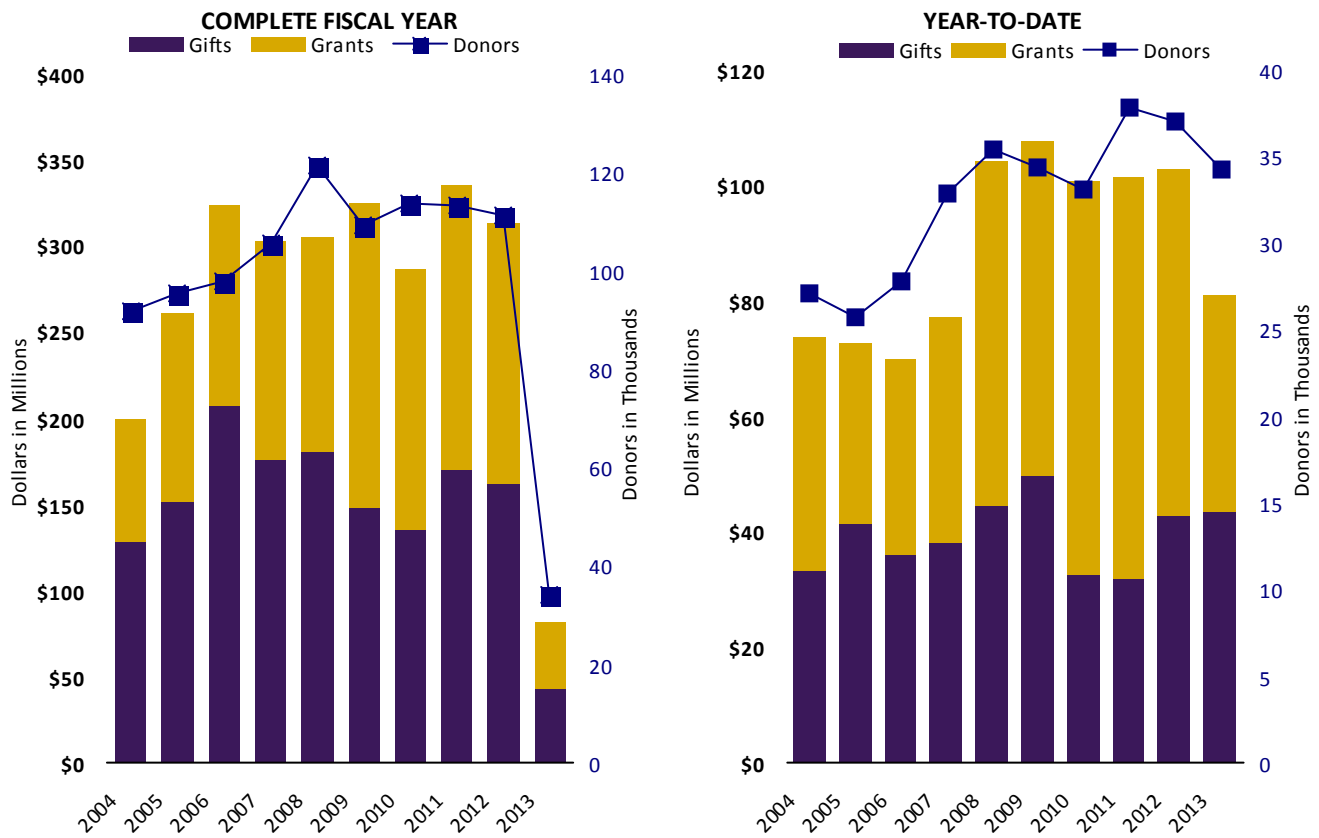
The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$7,136,566	2,844	\$29,756,457	5,340	\$47,772,803	4,960	\$117,105,011	15,880
Arts and Sciences	\$2,272,210	1,555	\$6,558,507	4,152	\$8,335,806	4,484	\$28,630,072	13,296
Broadcast Services	\$249,892	438	\$581,751	7,505	\$3,397,140	9,922	\$6,997,556	19,560
Built Environments	\$97,562	91	\$267,893	316	\$177,527	196	\$1,319,922	1,054
Business School	\$351,264	362	\$1,190,550	1,111	\$2,429,319	1,631	\$20,100,354	4,568
Dentistry	\$99,941	142	\$325,997	406	\$669,091	547	\$1,636,141	1,329
Education	\$1,024,957	81	\$1,679,727	238	\$1,059,344	526	\$7,463,438	1,659
Engineering	\$2,151,258	870	\$6,485,811	1,665	\$4,784,004	1,724	\$22,525,824	4,219
Environment	\$683,831	210	\$2,709,050	627	\$2,267,293	707	\$10,129,819	2,489
Evans School of Public Affairs	\$85,687	210	\$280,226	426	\$468,771	222	\$1,593,243	551
Graduate School	\$371,883	27	\$1,073,441	62	\$1,519,827	119	\$1,919,499	309
Information School	\$53,083	88	\$414,570	275	\$278,087	481	\$1,007,884	868
Intercollegiate Athletics	\$2,301,861	1,287	\$10,154,107	4,769	\$10,448,709	3,701	\$33,580,010	22,874
Law	\$177,058	84	\$597,717	466	\$607,468	342	\$2,033,388	1,894
Libraries	\$1,265,077	109	\$4,508,566	542	\$230,610	933	\$1,989,175	5,077
Minority Affairs	\$160,674	117	\$236,308	224	\$70,986	225	\$1,099,134	809
Nursing	\$48,553	148	\$725,291	403	\$497,067	326	\$2,947,291	1,495
Pharmacy	\$161,234	144	\$808,835	354	\$1,014,489	470	\$10,883,740	1,346
President's Funds	\$12,869	96	\$156,241	396	\$254,011	420	\$591,920	1,297
Public Health	\$500,325	97	\$3,098,017	225	\$6,614,733	256	\$11,566,876	748
Social Work	\$403,579	52	\$493,024	142	\$563,512	153	\$3,619,549	953
Student Life	\$83,858	293	\$993,956	759	\$737,233	1,440	\$6,353,413	3,844
Undergraduate Academic Affairs	\$24,336	78	\$168,166	277	\$325,890	119	\$2,037,112	850
University Press	\$1,210	6	\$79,640	32	\$40,265	22	\$203,245	210
UW Alumni Association	\$80,830	1,629	\$275,845	5,060	\$269,706	4,869	\$1,136,496	19,208
UW Bothell	\$2,167,743	148	\$4,614,467	310	\$2,443,773	200	\$3,967,991	676
UW Tacoma	\$60,668	121	\$443,200	378	\$1,745,253	323	\$4,872,642	980
Other University Support	\$631,789	108	\$2,394,910	620	\$3,629,862	552	\$5,791,037	2,134
Total¹	\$22,659,797	10,754	\$81,072,269	34,275	\$102,652,578	36,988	\$313,101,782	111,145

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Private Grants	Total	Donors	Gifts	Private Grants	Total	Donors
2012-2013	\$43,423,756	\$37,648,513	\$81,072,269	34,275	\$43,423,756	\$37,648,513	\$81,072,269	34,275
2011-2012	\$161,989,170	\$151,112,612	\$313,101,782	111,145	\$42,910,682	\$59,741,896	\$102,652,578	36,988
2010-2011	\$170,201,978	\$164,435,696	\$334,637,675	113,114	\$31,679,814	\$69,703,954	\$101,383,768	37,765
2009-2010	\$135,813,022	\$150,815,796	\$286,628,819	113,746	\$32,546,330	\$68,005,530	\$100,551,861	33,089
2008-2009	\$148,364,809	\$175,713,667	\$324,078,477	109,083	\$49,857,078	\$57,782,127	\$107,639,204	34,295
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$44,642,366	\$59,284,704	\$103,927,070	35,334
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$38,054,245	\$38,896,258	\$76,950,503	32,831
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$35,905,189	\$33,783,873	\$69,689,062	27,797
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$41,342,960	\$31,333,435	\$72,676,395	25,706
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$33,364,731	\$40,123,767	\$73,488,498	27,121

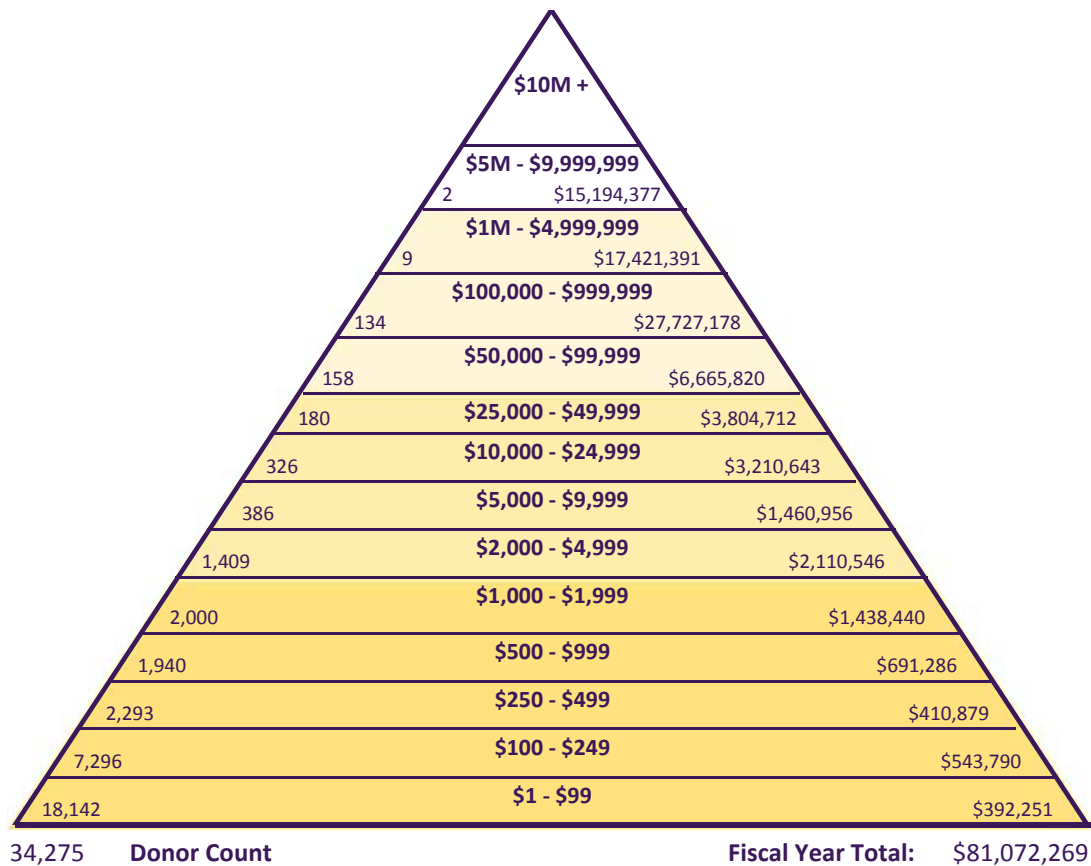
ANNUAL FUNDING THEME PROGRESS

Theme	Current Use	Year to Date	
		Endowment	Total
Student Support	\$2,574,842	\$3,076,660	\$5,651,502
Faculty Support	\$4,543,049	\$516,073	\$5,059,122
Program Support for Faculty and Students	\$49,364,669	\$6,545,549	\$55,910,218
Capital	\$7,319,332	\$55	\$7,319,387
Excellence Funds	\$6,601,148	\$530,892	\$7,132,040
Total	\$70,403,040	\$10,669,229	\$81,072,269

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors ¹	Total	Donors	Total
Alumni	15,679	\$10,146,454	16,933	\$9,129,544	50,041	\$46,193,706
Corporations	852	\$12,824,613	889	\$15,950,044	2,784	\$49,922,648
Family Foundations	51	\$4,216,273	59	\$4,296,415	170	\$15,990,521
Foundations	176	\$22,677,315	178	\$37,272,847	407	\$90,656,428
Non-Alumni	17,302	\$11,089,307	18,704	\$7,547,628	57,161	\$39,634,685
Organizations	215	\$20,118,307	225	\$28,456,099	582	\$70,703,795
Total	34,275	\$81,072,269	36,988	\$102,652,578	111,145	\$313,101,782

DEVELOPMENT ACTIVITY PYRAMID



¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data at the end of the prior fiscal year.



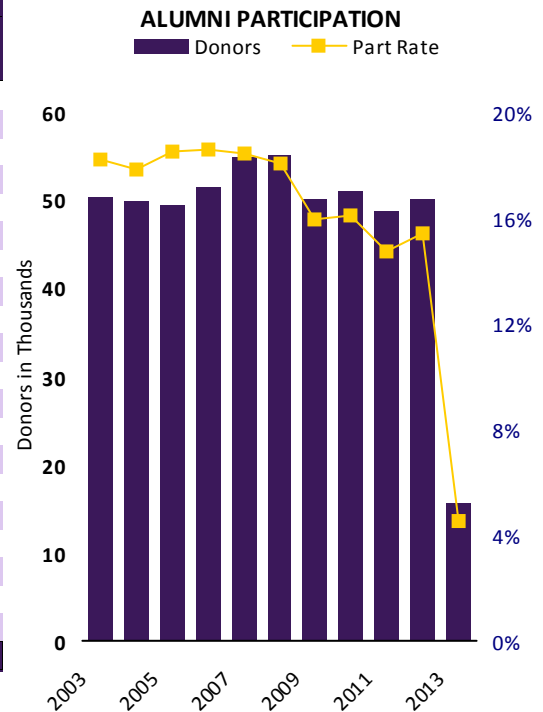
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +							\$0
\$5M - \$9,999,999					\$6,963,354	\$8,231,023	\$15,194,377
\$1M - \$4,999,999	\$1,045,880	\$4,250,000	\$3,050,000	\$1,000,000	\$5,298,529	\$2,776,982	\$17,421,391
\$100,000 - \$999,999	\$3,281,499	\$1,883,701	\$384,631	\$6,854,954	\$8,433,898	\$6,888,495	\$27,727,178
\$50,000 - \$99,999	\$838,390	\$1,385,898	\$354,972	\$1,978,322	\$949,531	\$1,158,707	\$6,665,820
\$25,000 - \$49,999	\$871,746	\$654,333	\$220,000	\$1,111,788	\$469,467	\$477,378	\$3,804,712
\$10,000 - \$24,999	\$690,116	\$713,501	\$136,074	\$930,212	\$405,387	\$335,353	\$3,210,643
\$5,000 - \$9,999	\$477,047	\$331,479	\$51,770	\$409,439	\$47,875	\$143,346	\$1,460,956
\$2,000 - \$4,999	\$1,039,631	\$597,494	\$10,000	\$316,758	\$74,294	\$72,369	\$2,110,546
\$1,000 - \$1,999	\$705,073	\$561,909	\$6,575	\$121,535	\$25,797	\$17,551	\$1,438,440
\$500 - \$999	\$370,968	\$242,243	\$2,000	\$62,226	\$4,112	\$9,736	\$691,286
\$250 - \$499	\$222,271	\$161,883	\$250	\$19,661	\$3,258	\$3,555	\$410,879
\$100 - \$249	\$343,924	\$178,186		\$16,904	\$1,524	\$3,253	\$543,790
\$1 - \$99	\$259,908	\$128,681		\$2,814	\$290	\$558	\$392,251
Total	\$10,146,454	\$11,089,307	\$4,216,273	\$12,824,613	\$22,677,315	\$20,118,307	\$81,072,269

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +							0
\$5M - \$9,999,999					1	1	2
\$1M - \$4,999,999	2	2	1	1	2	1	9
\$100,000 - \$999,999	20	29	3	31	28	23	134
\$50,000 - \$99,999	33	55	7	31	13	19	158
\$25,000 - \$49,999	56	54	6	34	14	16	180
\$10,000 - \$24,999	94	111	7	68	26	20	326
\$5,000 - \$9,999	146	127	10	70	8	25	386
\$2,000 - \$4,999	665	562	6	122	27	27	1,409
\$1,000 - \$1,999	902	949	6	105	21	17	2,000
\$500 - \$999	925	872	4	117	6	16	1,940
\$250 - \$499	1,049	1,154	1	67	11	11	2,293
\$100 - \$249	3,587	3,538		134	12	25	7,296
\$1 - \$99	8,200	9,849		72	7	14	18,142
Total	15,679	17,302	51	852	176	215	34,275

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

Area	Solicitable	To UW		To Unit	
		Year to Date	Part Rate	Year to Date	Part Rate
UW Medicine	20,206	1,165	5.77%	790	3.91%
Arts and Sciences	158,093	6,764	4.28%	2,408	1.52%
Business School	40,686	2,289	5.63%	815	2.00%
Built Environments	8,782	390	4.44%	109	1.24%
Dentistry	4,729	381	8.06%	255	5.39%
Education	18,344	923	5.03%	78	0.43%
Engineering	34,946	1,672	4.78%	1,179	3.37%
Environment	12,029	430	3.57%	132	1.10%
Evans School of Public Affairs	3,068	222	7.24%	93	3.03%
Interdisc. Grad. Programs	2,457	105	4.27%		
Interschool Programs	2,564	137	5.34%		
Information School	5,322	391	7.35%	215	4.04%
Law	8,572	506	5.90%	241	2.81%
School of Nursing	9,128	539	5.90%	269	2.95%
Pharmacy	3,851	280	7.27%	200	5.19%
Public Health	5,138	266	5.18%	43	0.84%
Social Work	6,969	278	3.99%	56	0.80%
UW Bothell	9,945	304	3.06%	90	0.90%
UW Tacoma	11,337	300	2.65%	140	1.23%
Unspecified	8,909	395	4.43%		
ALL UW TOTAL	345,483	15,679	4.54%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors ¹	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFY Final
UW Medicine	19,296	1,119	5.80%	3,580	18.55%	670	3.47%	2,285	11.84%
Arts and Sciences	148,325	7,203	4.86%	20,873	14.07%	2,052	1.38%	5,289	3.57%
Business School	38,811	2,516	6.48%	7,631	19.66%	971	2.50%	2,436	6.28%
Built Environments	8,372	365	4.36%	1,343	16.04%	78	0.93%	483	5.77%
Dentistry	4,598	433	9.42%	1,091	23.73%	276	6.00%	598	13.01%
Education	17,578	973	5.54%	3,173	18.05%	84	0.48%	493	2.80%
Engineering	33,380	1,755	5.26%	4,957	14.85%	930	2.79%	2,240	6.71%
Environment	11,457	456	3.98%	1,717	14.99%	145	1.27%	700	6.11%
Evans School of Public Affairs	2,773	200	7.21%	655	23.62%	62	2.24%	214	7.72%
Interdisc. Grad. Programs	2,239	123	5.49%	328	14.65%				
Interdisc. Undergrad. Programs									
Interschool Programs	2,406	187	7.77%	497	20.66%				
Information School	4,882	506	10.36%	1,075	22.02%	306	6.27%	523	10.71%
Law	8,074	435	5.39%	1,766	21.87%	164	2.03%	916	11.35%
School of Nursing	8,737	500	5.72%	1,715	19.63%	167	1.91%	855	9.79%
Pharmacy	3,705	316	8.53%	958	25.86%	227	6.13%	692	18.68%
Public Health	4,728	217	4.59%	787	16.65%	28	0.59%	233	4.93%
Social Work	6,543	272	4.16%	999	15.27%	70	1.07%	445	6.80%
UW Bothell	8,323	301	3.62%	999	12.00%	41	0.49%	228	2.74%
UW Tacoma	9,481	267	2.82%	971	10.24%	71	0.75%	288	3.04%
Unspecified	9,066	423	4.67%	1,442	15.91%				
ALL UW TOTAL	324,647	16,324	5.03%	50,041	15.41%				

¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data on the date this report was run in the prior fiscal year.



The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.



UWAA Member Giving by Constituency

School	Solicitable Alumni	Member		Alumni Giving	
		Members ¹	Donors	Members	Non Members
UW Medicine	20,206	1,857	363	19.55%	3.72%
Arts and Sciences	158,093	19,266	2,554	13.26%	1.95%
Business School	40,686	7,270	1,102	15.16%	2.20%
Built Environments	8,782	1,131	154	13.62%	2.00%
Dentistry	4,729	957	196	20.48%	3.58%
Education	18,344	2,819	370	13.13%	2.29%
Engineering	34,946	4,511	655	14.52%	2.49%
Environment	12,029	1,424	129	9.06%	1.82%
Evans School of Public Affairs	3,068	359	58	16.16%	4.50%
Interdisc. Grad. Programs	2,457	213	30	14.08%	2.45%
Interdisc. Undergrad. Progra					
Interscholar Programs	2,564	558	68	12.19%	2.04%
Information School	5,322	789	116	14.70%	4.79%
Law	8,572	1,077	186	17.27%	3.44%
School of Nursing	9,128	1,313	212	16.15%	3.25%
Pharmacy	3,851	638	131	20.53%	3.80%
Public Health	5,138	421	68	16.15%	3.26%
Social Work	6,969	599	81	13.52%	2.23%
UW Bothell	9,945	892	84	9.42%	1.16%
UW Tacoma	11,337	831	76	9.15%	1.07%
Unspecified	8,909	1,802	203	11.27%	1.45%
Non-Alumni		8,529	2,132	25.00%	
Total	345,483	53,536	8,084	15.10%	

Alumni Activity

11 in 25 registrants at 2012 UW events were UWAA members
1 in 20 registrants at 2012 UW events were UW donors
9 in 10 registrants at 2012 UW events were Solicitable Alumni
1 in 50 UWAA members attended a 2012 UW event
2 in 5 2011-2012 Football/Basketball season ticket holders were UWAA members
1 in 6 UWAA members were 2011-2012 Football/Basketball season ticket holders

Top 10 Membership by Class Year

Class Year	Part. Rate	Class Year	Population
1955	22.85%	2012	1,376
1953	21.53%	1971	1,113
1954	21.51%	1973	1,090
1946	20.88%	1974	1,060
1959	20.83%	1970	1,048
1956	20.67%	1972	1,040
1957	20.31%	1976	1,026
1960	20.22%	1975	999
1958	20.17%	1977	958
1950	19.91%	1968	910

Activity Participation - Rolling 3 Year Total³

School ²	Participants	Part. Donors	% Donors	Alum Non-Part.	Alum Non-Par Donor	% Non-Part Donor
Intercollegiate Athletics	1,659	1,628	98.13%			
UW Medicine	3,992	2,837	71.07%	21,384	3,040	14.22%
Arts and Sciences	14,965	3,019	20.17%	144,888	7,254	5.01%
Built Environments	1,695	670	39.53%	7,645	599	7.84%
Business School	6,160	1,710	27.76%	35,902	3,138	8.74%
Dentistry	1,653	654	39.56%	3,283	402	12.24%
Education	1,561	209	13.39%	17,150	728	4.24%
Engineering	2,950	872	29.56%	32,764	3,025	9.23%
Environment	1,236	535	43.28%	11,352	933	8.22%
Evans School of Public Affairs	915	370	40.44%	2,409	286	11.87%
Graduate School	351	137	39.03%	2,280	6	0.26%
Information School	550	205	37.27%	4,966	745	15.00%
Law	1,848	749	40.53%	7,068	1,072	15.17%
Libraries	642	629	97.98%			
Nursing	885	322	36.38%	8,538	1,245	14.58%
Pharmacy	319	202	63.32%	3,730	911	24.42%
Public Health	571	183	32.05%	4,326	346	8.00%
Social Work	736	323	43.89%	6,538	600	9.18%
UW Bothell	765	217	28.37%	9,383	668	7.12%
UW Tacoma	738	281	38.08%	10,830	832	7.68%

¹ Members include paid Annual Members, Lifetime Members, and TPC Level Donors

² Activity is based on a unit affiliated Alumni or Donor being labeled as a positive RSVP, host, speaker, or participant at any tracked UW activity.

³ 3-Years consists of any activity since 7/1/2009

Source: University of Washington Alumni Association





UNIVERSITY *of* WASHINGTON
Foundation



Report of Contributions

All Areas | November 2012

ANNUAL PROGRESS BY CONSTITUENCY

School	Current Month				Year to Date			
	Gifts	Private Grants	Total	Donors ¹	Gifts	Private Grants	Total	Donors
UW Medicine	\$2,115,948	\$15,503,982	\$17,619,930	2,548	\$8,511,042	\$38,867,278	\$47,378,320	6,577
Arts and Sciences	\$1,283,630	\$213,286	\$1,496,916	1,655	\$5,059,455	\$2,995,943	\$8,055,398	5,377
Broadcast Services	\$23,969		\$23,969	516	\$605,719		\$605,719	10,000
Built Environments	\$240,520	\$128,500	\$369,020	158	\$508,413	\$128,500	\$636,913	458
Business School	\$501,486		\$501,486	427	\$1,692,036		\$1,692,036	1,471
Dentistry	\$112,163	\$8,243	\$120,406	164	\$438,160	\$8,243	\$446,403	537
Education	\$449,187	\$658,068	\$1,107,255	139	\$520,511	\$2,266,470	\$2,786,981	316
Engineering	\$454,931	\$1,148,480	\$1,603,411	609	\$4,310,779	\$3,778,193	\$8,088,972	2,181
Environment	\$891,545	\$616,299	\$1,507,844	278	\$1,631,216	\$2,585,678	\$4,216,894	835
Evans School of Public Affairs	\$5,467		\$5,467	57	\$176,122	\$109,996	\$286,118	466
Graduate School	\$20,609	\$61,569	\$82,178	45	\$537,443	\$618,176	\$1,155,619	91
Information School	\$28,808	\$1,744,943	\$1,773,751	81	\$443,377	\$1,744,943	\$2,188,320	336
Intercollegiate Athletics	\$1,718,620		\$1,718,620	761	\$11,843,483		\$11,843,483	5,329
Law	\$442,030		\$442,030	261	\$1,029,747	\$10,000	\$1,039,747	679
Libraries	\$317,800		\$317,800	334	\$4,826,366		\$4,826,366	845
Minority Affairs	\$45,348		\$45,348	351	\$281,656		\$281,656	474
Nursing	\$79,738	\$194,789	\$274,527	349	\$352,704	\$644,614	\$997,318	708
Pharmacy	\$404,464	\$1,018,856	\$1,423,320	114	\$1,012,445	\$1,219,710	\$2,232,155	438
President's Funds	\$32,269		\$32,269	199	\$188,510		\$188,510	524
Public Health	\$67,785	\$7,428,175	\$7,495,960	118	\$245,508	\$10,348,469	\$10,593,977	286
Social Work	\$9,822	\$7,655	\$17,477	132	\$186,169	\$324,306	\$510,476	248
Student Life	\$57,713		\$57,713	463	\$1,051,669		\$1,051,669	1,154
Undergraduate Academic Affairs	\$4,964	\$310,684	\$315,648	74	\$168,362	\$315,502	\$483,864	326
University Press	\$24,075		\$24,075	18	\$103,715		\$103,715	44
UW Alumni Association	\$88,100		\$88,100	1,709	\$363,895		\$363,895	6,566
UW Bothell	\$30,621	\$305,520	\$336,141	100	\$4,365,440	\$585,168	\$4,950,608	350
UW Tacoma	\$251,756	\$57,961	\$309,717	195	\$480,457	\$272,461	\$752,918	480
Other University Support	\$1,038,573	\$110,000	\$1,148,573	186	\$3,201,612	\$341,871	\$3,543,483	738
Total	\$10,741,939	\$29,517,010	\$40,258,949	10,930	\$54,136,009	\$67,165,522	\$121,301,531	43,617

MONTHLY HIGHLIGHTS

The UW received \$40.26M in total private voluntary support (\$10.74M in gifts and \$29.52M in grants) in the current month.

Areas including Built Environments, Education, Engineering, Information School, Law, Libraries, Minority Affairs, Nursing, Public Health, University Press, UW Alumni Association and UW Bothell are ahead of last year's year-to-date totals.

¹ Donors are defined as those entities who have a credit amount of greater than \$0.00.

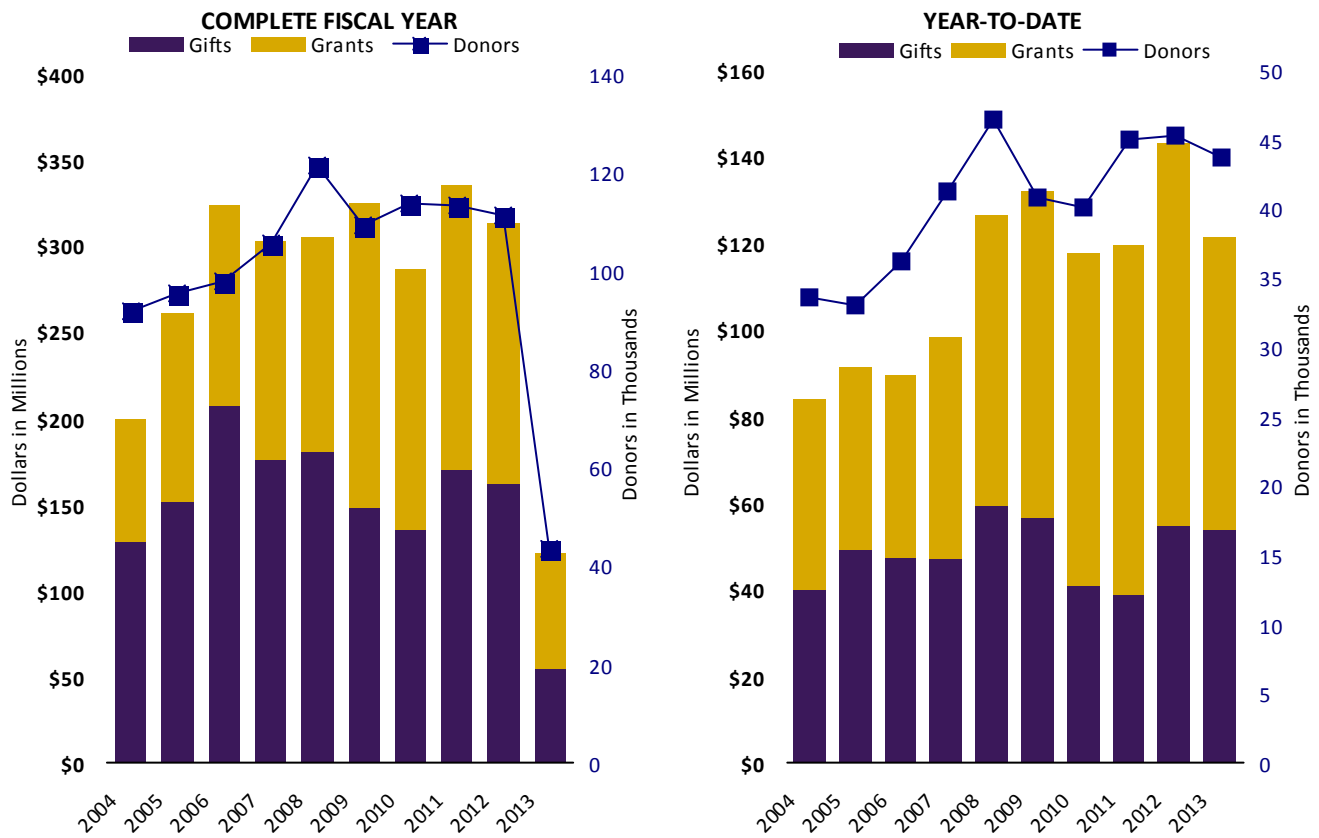
The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

DEVELOPMENT SUMMARY BY CONSTITUENCY

School	Current Month		Year to Date		Prior Year to Date		Prior Year Total	
	Total	Donors	Total	Donors	Total	Donors	Total	Donors
UW Medicine	\$17,619,930	2,548	\$47,378,320	6,577	\$65,197,335	6,854	\$117,105,011	15,880
Arts and Sciences	\$1,496,916	1,655	\$8,055,398	5,377	\$9,124,028	5,909	\$28,630,072	13,296
Broadcast Services	\$23,969	516	\$605,719	10,000	\$4,135,427	10,182	\$6,997,556	19,560
Built Environments	\$369,020	158	\$636,913	458	\$231,960	338	\$1,319,922	1,054
Business School	\$501,486	427	\$1,692,036	1,471	\$3,045,049	2,010	\$20,100,354	4,568
Dentistry	\$120,406	164	\$446,403	537	\$733,057	709	\$1,636,141	1,329
Education	\$1,107,255	139	\$2,786,981	316	\$1,076,382	573	\$7,463,438	1,659
Engineering	\$1,603,411	609	\$8,088,972	2,181	\$6,621,433	2,270	\$22,525,824	4,219
Environment	\$1,507,844	278	\$4,216,894	835	\$4,482,222	921	\$10,129,819	2,489
Evans School of Public Affairs	\$5,467	57	\$286,118	466	\$591,938	241	\$1,593,243	551
Graduate School	\$82,178	45	\$1,155,619	91	\$1,666,107	141	\$1,919,499	309
Information School	\$1,773,751	81	\$2,188,320	336	\$291,705	540	\$1,007,884	868
Intercollegiate Athletics	\$1,718,620	761	\$11,843,483	5,329	\$12,222,245	4,589	\$33,580,010	22,874
Law	\$442,030	261	\$1,039,747	679	\$658,321	556	\$2,033,388	1,894
Libraries	\$317,800	334	\$4,826,366	845	\$271,037	1,099	\$1,989,175	5,077
Minority Affairs	\$45,348	351	\$281,656	474	\$275,826	392	\$1,099,134	809
Nursing	\$274,527	349	\$997,318	708	\$886,902	669	\$2,947,291	1,495
Pharmacy	\$1,423,320	114	\$2,232,155	438	\$8,025,902	568	\$10,883,740	1,346
President's Funds	\$32,269	199	\$188,510	524	\$292,109	508	\$591,920	1,297
Public Health	\$7,495,960	118	\$10,593,977	286	\$8,156,267	305	\$11,566,876	748
Social Work	\$17,477	132	\$510,476	248	\$685,080	299	\$3,619,549	953
Student Life	\$57,713	463	\$1,051,669	1,154	\$3,945,370	1,767	\$6,353,413	3,844
Undergraduate Academic Affairs	\$315,648	74	\$483,864	326	\$801,734	154	\$2,037,112	850
University Press	\$24,075	18	\$103,715	44	\$42,265	23	\$203,245	210
UW Alumni Association	\$88,100	1,709	\$363,895	6,566	\$363,205	6,553	\$1,136,496	19,208
UW Bothell	\$336,141	100	\$4,950,608	350	\$2,455,623	223	\$3,967,991	676
UW Tacoma	\$309,717	195	\$752,918	480	\$2,851,065	379	\$4,872,642	980
Other University Support	\$1,148,573	186	\$3,543,483	738	\$3,744,129	642	\$5,791,037	2,134
Total¹	\$40,258,949	10,930	\$121,301,531	43,617	\$142,873,721	45,245	\$313,101,782	111,145

¹ The donor total at the bottom of the chart is not a cumulative total of the rows above. The donor total is the number of unique donors who have been credited with a gift to the UW during the given time period.

FISCAL YEAR COMPARISON OF TOTAL CONTRIBUTIONS



Fiscal Year	Complete Fiscal Year				Year to Date			
	Gifts	Private Grants	Total	Donors	Gifts	Private Grants	Total	Donors
2012-2013	\$54,136,009	\$67,165,522	\$121,301,531	43,617	\$54,136,009	\$67,165,522	\$121,301,531	43,617
2011-2012	\$161,989,170	\$151,112,612	\$313,101,782	111,145	\$54,931,945	\$87,941,776	\$142,873,721	45,245
2010-2011	\$170,201,978	\$164,435,696	\$334,637,675	113,114	\$38,923,723	\$80,314,141	\$119,237,865	44,955
2009-2010	\$135,813,022	\$150,815,796	\$286,628,819	113,746	\$41,027,566	\$76,746,276	\$117,773,842	40,055
2008-2009	\$148,364,809	\$175,713,667	\$324,078,477	109,083	\$56,891,087	\$74,939,616	\$131,830,703	40,721
2007-2008	\$180,735,444	\$124,224,214	\$304,959,657	121,447	\$59,530,856	\$66,905,100	\$126,435,956	46,419
2006-2007	\$176,490,215	\$126,399,369	\$302,889,584	105,353	\$47,080,249	\$51,097,282	\$98,177,531	41,262
2005-2006	\$207,744,231	\$115,261,186	\$323,005,417	97,876	\$47,328,278	\$41,920,482	\$89,248,760	36,130
2004-2005	\$151,969,925	\$108,802,371	\$260,772,296	95,227	\$49,499,496	\$41,615,811	\$91,115,307	32,944
2003-2004	\$128,174,367	\$71,603,323	\$199,777,690	91,903	\$40,115,399	\$43,839,685	\$83,955,084	33,564

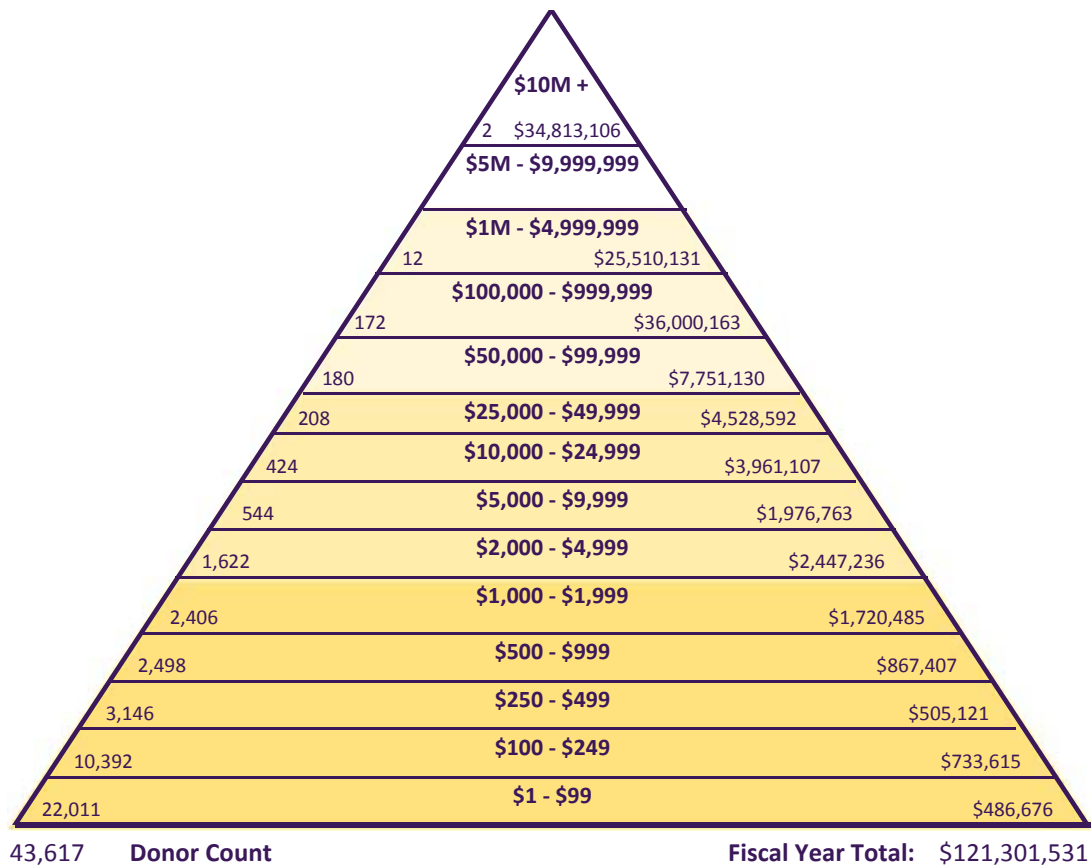
ANNUAL FUNDING THEME PROGRESS

Theme	Current Use	Year to Date	
		Endowment	Total
Student Support	\$2,970,205	\$3,872,203	\$6,842,408
Faculty Support	\$6,606,068	\$999,436	\$7,605,505
Program Support for Faculty and Students	\$79,920,326	\$6,890,501	\$86,810,827
Capital	\$9,416,629	\$205	\$9,416,834
Excellence Funds	\$9,663,365	\$962,593	\$10,625,958
Total	\$108,576,594	\$12,724,937	\$121,301,531

DEVELOPMENT ACTIVITY BY DONOR TYPE

Donor Type	Year to Date		Prior Year to Date		Prior Fiscal Year	
	Donors	Total	Donors ¹	Total	Donors	Total
Alumni	19,919	\$13,795,551	21,618	\$11,303,737	50,041	\$46,193,706
Corporations	1,029	\$14,789,554	1,113	\$19,173,690	2,784	\$49,922,648
Family Foundations	64	\$4,905,661	70	\$4,440,090	170	\$15,990,521
Foundations	207	\$44,774,454	201	\$61,099,772	407	\$90,656,428
Non-Alumni	22,129	\$14,256,392	21,979	\$12,474,025	57,161	\$39,634,685
Organizations	269	\$28,779,920	264	\$34,382,408	582	\$70,703,795
Total	43,617	\$121,301,531	45,245	\$142,873,721	111,145	\$313,101,782

DEVELOPMENT ACTIVITY PYRAMID



¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data at the end of the prior fiscal year.



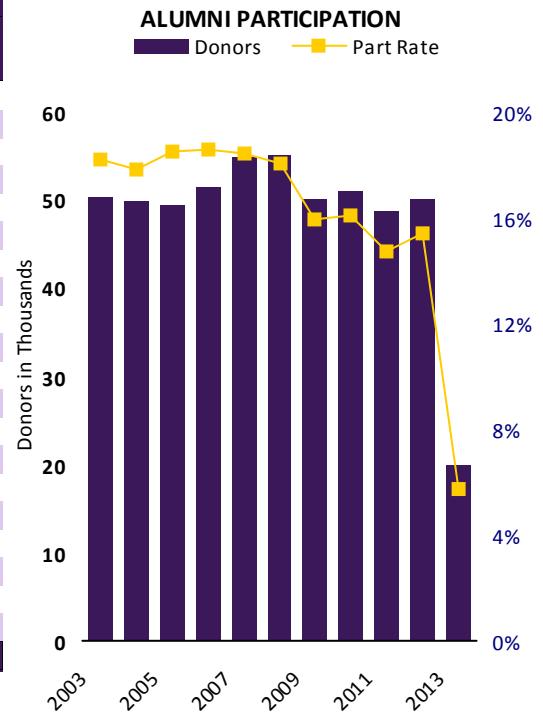
ANNUAL PROGRESS BY GIVING LEVEL

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +					\$23,650,538	\$11,162,568	\$34,813,106
\$5M - \$9,999,999							\$0
\$1M - \$4,999,999	\$2,296,130	\$5,655,967	\$3,050,000	\$1,000,000	\$6,838,529	\$6,669,505	\$25,510,131
\$100,000 - \$999,999	\$4,119,674	\$2,573,039	\$727,631	\$8,009,903	\$12,072,936	\$8,496,980	\$36,000,163
\$50,000 - \$99,999	\$1,108,405	\$1,541,102	\$559,972	\$2,270,366	\$1,025,367	\$1,245,917	\$7,751,130
\$25,000 - \$49,999	\$1,076,696	\$801,371	\$294,482	\$1,242,713	\$606,569	\$506,761	\$4,528,592
\$10,000 - \$24,999	\$884,376	\$980,076	\$190,480	\$1,102,244	\$385,182	\$418,749	\$3,961,107
\$5,000 - \$9,999	\$680,216	\$466,512	\$67,770	\$535,967	\$67,907	\$158,390	\$1,976,763
\$2,000 - \$4,999	\$1,236,285	\$686,522	\$7,000	\$357,335	\$91,039	\$69,055	\$2,447,236
\$1,000 - \$1,999	\$852,488	\$656,956	\$6,575	\$151,884	\$25,242	\$27,340	\$1,720,485
\$500 - \$999	\$463,208	\$311,517	\$1,500	\$71,076	\$4,862	\$15,245	\$867,407
\$250 - \$499	\$283,776	\$189,537	\$250	\$23,483	\$3,908	\$4,167	\$505,121
\$100 - \$249	\$464,273	\$241,997		\$20,935	\$2,059	\$4,351	\$733,615
\$1 - \$99	\$330,024	\$151,796		\$3,649	\$315	\$892	\$486,676
Total	\$13,795,551	\$14,256,392	\$4,905,661	\$14,789,554	\$44,774,454	\$28,779,920	\$121,301,531

Giving Level	Alumni	Non Alumni	Family Fndns.	Corporations	Foundations	Other Orgs.	Total
\$10M +					1	1	2
\$5M - \$9,999,999							0
\$1M - \$4,999,999	2	3	1	1	3	2	12
\$100,000 - \$999,999	27	37	5	38	37	28	172
\$50,000 - \$99,999	41	58	10	36	15	20	180
\$25,000 - \$49,999	63	64	8	38	18	17	208
\$10,000 - \$24,999	119	158	11	80	29	27	424
\$5,000 - \$9,999	207	193	13	93	11	27	544
\$2,000 - \$4,999	777	645	6	137	30	27	1,622
\$1,000 - \$1,999	1,092	1,128	6	132	21	27	2,406
\$500 - \$999	1,164	1,162	3	136	7	26	2,498
\$250 - \$499	1,361	1,678	1	80	13	13	3,146
\$100 - \$249	4,936	5,241		166	15	34	10,392
\$1 - \$99	10,130	11,762		92	7	20	22,011
Total	19,919	22,129	64	1,029	207	269	43,617

ALUMNI PARTICIPATION BY CONSTITUENCY (CURRENT FISCAL YEAR)

Area	Solicitable	To UW		To Unit	
		Year to Date	Part Rate	Year to Date	Part Rate
UW Medicine	20,206	1,529	7.57%	1,071	5.30%
Arts and Sciences	158,093	8,425	5.33%	2,837	1.79%
Business School	40,686	2,811	6.91%	997	2.45%
Built Environments	8,782	546	6.22%	297	3.38%
Dentistry	4,729	480	10.15%	330	6.98%
Education	18,344	1,193	6.50%	117	0.64%
Engineering	34,946	2,145	6.14%	1,416	4.05%
Environment	12,029	576	4.79%	186	1.55%
Evans School of Public Affairs	3,068	274	8.93%	107	3.49%
Interdisc. Grad. Programs	2,457	142	5.78%		
Interschool Programs	2,564	194	7.57%		
Information School	5,322	462	8.68%	249	4.68%
Law	8,572	661	7.71%	445	5.19%
School of Nursing	9,128	810	8.87%	538	5.89%
Pharmacy	3,851	342	8.88%	243	6.31%
Public Health	5,138	317	6.17%	61	1.19%
Social Work	6,969	401	5.75%	195	2.80%
UW Bothell	9,945	361	3.63%	102	1.03%
UW Tacoma	11,337	357	3.15%	163	1.44%
Unspecified	8,909	545	6.12%		
ALL UW TOTAL	345,483	19,919	5.77%		



ALUMNI PARTICIPATION BY CONSTITUENCY (PREVIOUS FISCAL YEAR)

Area	Solicitable	To UW				To Unit			
		Year to Date		FY Total		Year to Date		FY Total	
		Donors ¹	Part Rate	Donors	Part Rate	Donors	Part Rate	Donors	PFY Final
UW Medicine	19,296	1,594	8.26%	3,580	18.55%	1,034	5.36%	2,285	11.84%
Arts and Sciences	148,325	9,055	6.10%	20,873	14.07%	2,749	1.85%	5,289	3.57%
Business School	38,811	3,163	8.15%	7,631	19.66%	1,197	3.08%	2,436	6.28%
Built Environments	8,372	510	6.09%	1,343	16.04%	160	1.91%	483	5.77%
Dentistry	4,598	562	12.22%	1,091	23.73%	355	7.72%	598	13.01%
Education	17,578	1,241	7.06%	3,173	18.05%	102	0.58%	493	2.80%
Engineering	33,380	2,278	6.82%	4,957	14.85%	1,236	3.70%	2,240	6.71%
Environment	11,457	582	5.08%	1,717	14.99%	194	1.69%	700	6.11%
Evans School of Public Affairs	2,773	245	8.84%	655	23.62%	72	2.60%	214	7.72%
Interdisc. Grad. Programs	2,239	156	6.97%	328	14.65%				
Interdisc. Undergrad. Programs									
Interschool Programs	2,406	224	9.31%	497	20.66%				
Information School	4,882	563	11.53%	1,075	22.02%	341	6.98%	523	10.71%
Law	8,074	632	7.83%	1,766	21.87%	287	3.55%	916	11.35%
School of Nursing	8,737	802	9.18%	1,715	19.63%	397	4.54%	855	9.79%
Pharmacy	3,705	386	10.42%	958	25.86%	284	7.67%	692	18.68%
Public Health	4,728	273	5.77%	787	16.65%	44	0.93%	233	4.93%
Social Work	6,543	406	6.21%	999	15.27%	165	2.52%	445	6.80%
UW Bothell	8,323	351	4.22%	999	12.00%	52	0.62%	228	2.74%
UW Tacoma	9,481	319	3.36%	971	10.24%	76	0.80%	288	3.04%
Unspecified	9,066	549	6.06%	1,442	15.91%				
ALL UW TOTAL	324,647	21,035	6.48%	50,041	15.41%				

¹ Prior Fiscal Year to Date numbers reflect the number of alumni for the reported period based on the state of the data on the date this report was run in the prior fiscal year.



The University of Washington Alumni Association is the broad-based engagement vehicle for University Advancement and the University of Washington. Through its strategically designed programs, the UW Alumni Association invites alumni, donors and friends to engage in the life of the UW. Research indicates that engaged alumni and friends are more inclined to support the University and its students. The UW Alumni Association is proud to develop a solid base of support for the University of Washington.



UWAA Member Giving by Constituency

School	Solicitable Alumni	Member		Alumni Giving	
		Members ¹	Donors	Members	Non Members
UW Medicine	20,206	1,863	453	24.32%	5.04%
Arts and Sciences	158,093	19,326	3,103	16.06%	2.48%
Business School	40,686	7,289	1,330	18.25%	2.75%
Built Environments	8,782	1,136	200	17.61%	3.10%
Dentistry	4,729	959	245	25.55%	4.43%
Education	18,344	2,830	506	17.88%	2.80%
Engineering	34,946	4,527	793	17.52%	3.34%
Environment	12,029	1,433	177	12.35%	2.48%
Evans School of Public Affairs	3,068	358	70	19.55%	5.50%
Interdisc. Grad. Programs	2,457	213	44	20.66%	3.39%
Interdisc. Undergrad. Progra					
Interscholar Programs	2,564	561	96	17.11%	3.00%
Information School	5,322	789	146	18.50%	5.40%
Law	8,572	1,079	240	22.24%	4.54%
School of Nursing	9,128	1,324	297	22.43%	5.25%
Pharmacy	3,851	639	156	24.41%	4.67%
Public Health	5,138	425	84	19.76%	3.90%
Social Work	6,969	600	119	19.83%	3.41%
UW Bothell	9,945	899	100	11.12%	1.34%
UW Tacoma	11,337	839	90	10.73%	1.22%
Unspecified	8,909	1,805	255	14.13%	2.29%
Non-Alumni		8,631	2,554	29.59%	
Total	345,483	53,798	9,937	18.47%	

Alumni Activity

11 in 25 registrants at 2012 UW events were UWAA members
1 in 20 registrants at 2012 UW events were UW donors
9 in 10 registrants at 2012 UW events were Solicitable Alumni
1 in 50 UWAA members attended a 2012 UW event
2 in 5 2011-2012 Football/Basketball season ticket holders were UWAA members
1 in 6 UWAA members were 2011-2012 Football/Basketball season ticket holders

Top 10 Membership by Class Year

Class Year	Part. Rate	Class Year	Population
1955	22.90%	2012	1,394
1953	21.63%	1971	1,107
1954	21.51%	1973	1,086
1946	20.88%	1974	1,057
1959	20.73%	1970	1,045
1956	20.49%	1972	1,040
1960	20.29%	1976	1,023
1957	20.19%	1975	1,000
1958	20.14%	1977	957
1950	19.87%	1968	914

Activity Participation - Rolling 3 Year Total³

School ²	Participants	Part. Donors	% Donors	Alum Non-Part.	Alum Non-Par Donor	% Non-Part Donor
Intercollegiate Athletics	1,715	1,684	98.19%			
UW Medicine	4,018	2,861	71.20%	21,367	3,071	14.37%
Arts and Sciences	15,192	3,075	20.24%	144,681	7,267	5.02%
Built Environments	1,704	676	39.67%	7,639	618	8.09%
Business School	6,281	1,743	27.75%	35,802	3,140	8.77%
Dentistry	1,681	664	39.50%	3,258	403	12.37%
Education	1,583	211	13.33%	17,136	728	4.25%
Engineering	3,012	897	29.78%	32,715	3,030	9.26%
Environment	1,250	544	43.52%	11,344	933	8.22%
Evans School of Public Affairs	920	375	40.76%	2,404	287	11.94%
Graduate School	357	139	38.94%	2,277	7	0.31%
Information School	553	206	37.25%	4,961	747	15.06%
Law	1,864	760	40.77%	7,067	1,093	15.47%
Libraries	654	641	98.01%			
Nursing	891	325	36.48%	8,531	1,260	14.77%
Pharmacy	322	203	63.04%	3,726	910	24.42%
Public Health	573	183	31.94%	4,325	348	8.05%
Social Work	740	326	44.05%	6,536	605	9.26%
UW Bothell	777	222	28.57%	9,369	666	7.11%
UW Tacoma	746	284	38.07%	10,820	832	7.69%

¹ Members include paid Annual Members, Lifetime Members, and TPC Level Donors

² Activity is based on a unit affiliated Alumni or Donor being labeled as a positive RSVP, host, speaker, or participant at any tracked UW activity.

³ 3-Years consists of any activity since 7/1/2009

Source: University of Washington Alumni Association



VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee****Grant and Contract Awards Summary – Acceptance of September and October 2012****RECOMMENDED ACTION**

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents accept the Grant and Contract Awards of \$1,000,000 or more as presented in the attached report.

Attachments

1. Grant and Contract Awards Summary for September 2012
2. Grant and Contract Awards Summary for October 2012

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

September 2012

Office of Research

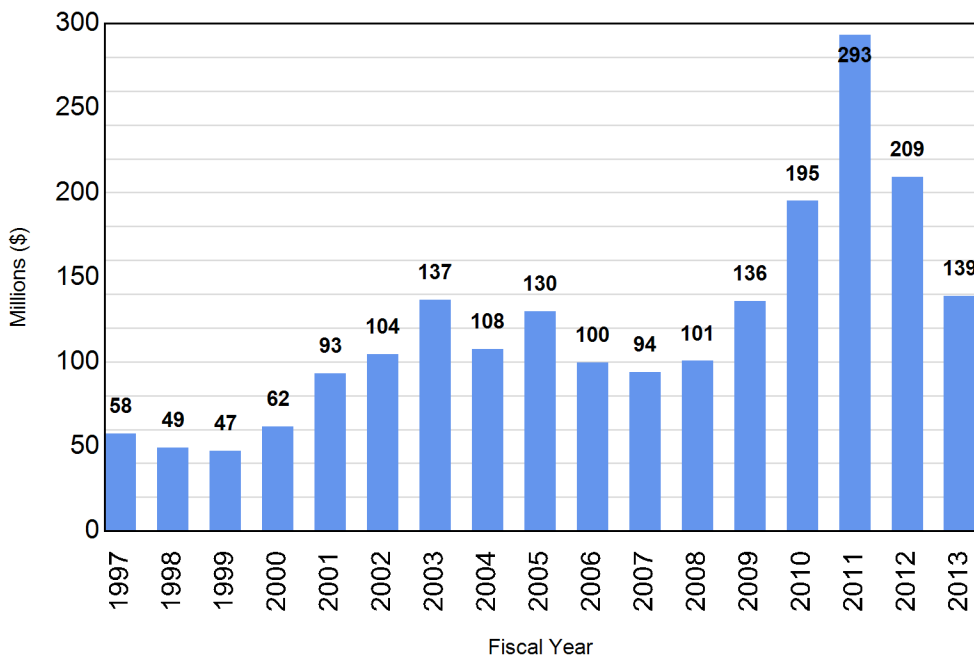
Office of Sponsored Programs

The numbers provided in this report are subject to adjustment at the time that the Annual Report of Sponsored Activity is published.
The changes would reflect modifications and additions to existing awards.

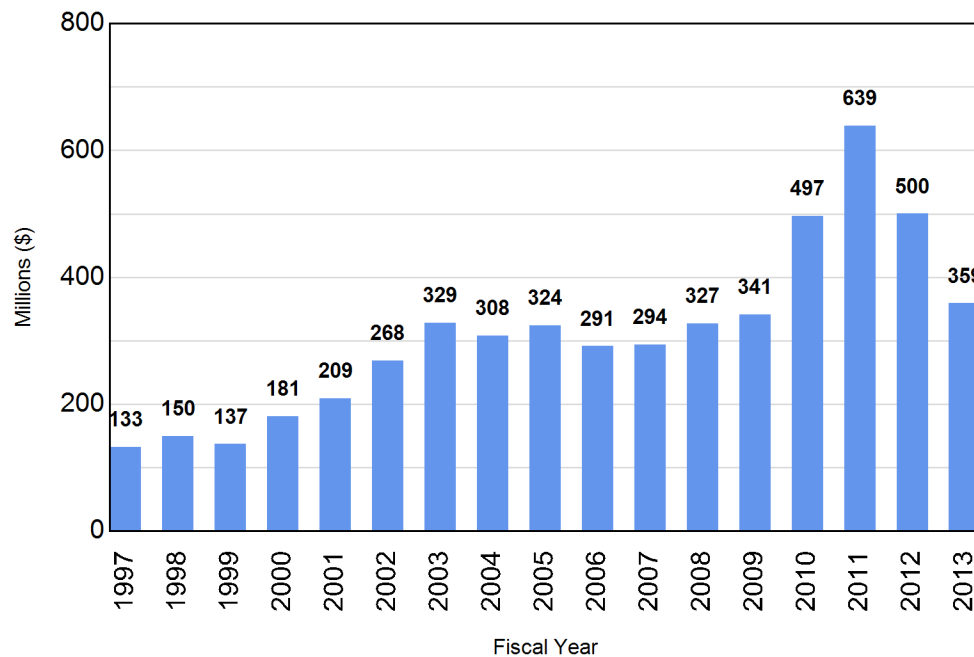
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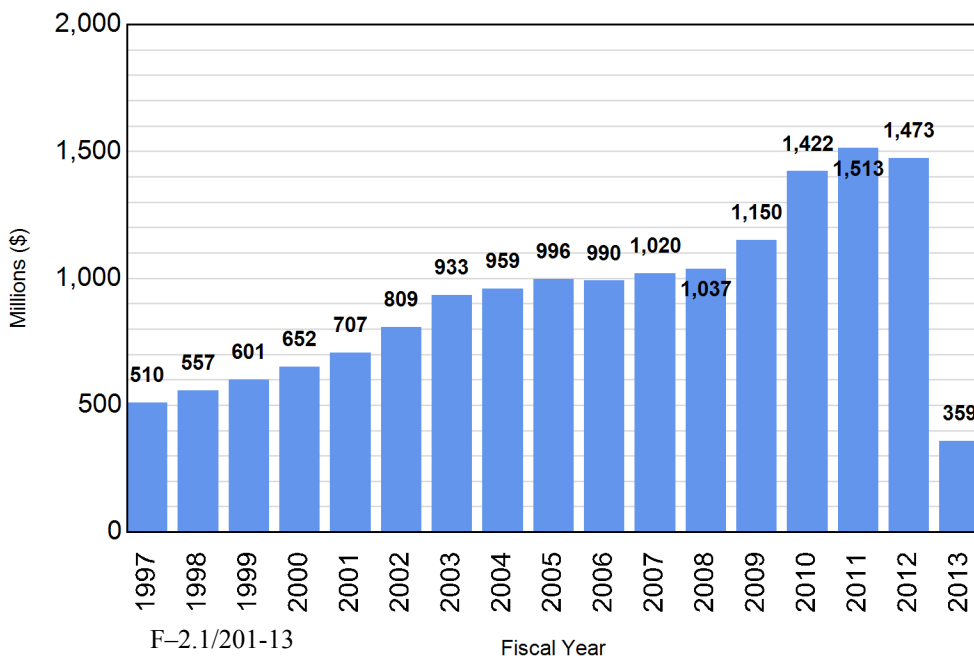
September Only
Comparison of Grant & Contract Awards



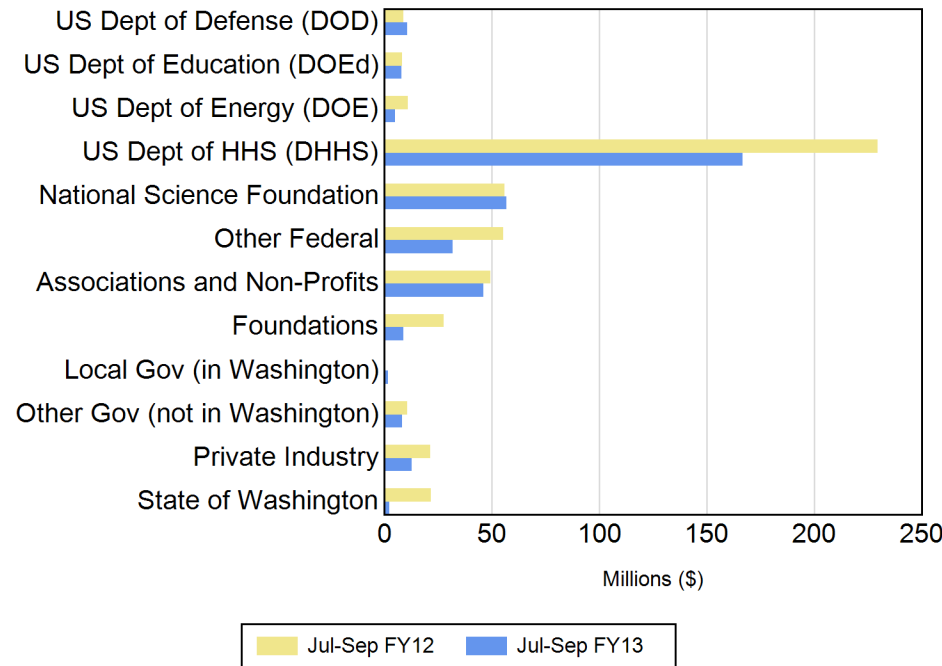
Fiscal Year to Date
Comparison of Grant & Contract Awards



Complete Fiscal Year
Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract AwardsFiscal Year 2012-2013

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 63,287,280	\$ 18,323,480	\$ 6,096,881	\$ 1,019,024	\$ 88,726,660
August	\$ 95,530,390	\$ 28,675,690	\$ 6,407,729	\$ 722,138	\$ 131,335,900
September	\$ 101,845,100	\$ 31,174,910	\$ 5,665,848	\$ 162,660	\$ 138,848,500
FY13 to Date	\$260,662,791	\$78,174,079	\$18,170,458	\$1,903,822	\$358,911,150
FY12 to Date	\$341,883,793	\$126,509,549	\$27,109,127	\$4,610,391	\$500,112,860
Over (Under) Previous Year	(\$81,221,002)	(\$48,335,470)	(\$8,938,669)	(\$2,706,569)	(\$141,201,710)

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2011-2012 and 2012-2013

Agency	Jul-Sep FY12	Jul-Sep FY13
US Department of Defense (DOD)	\$ 9,022,893	\$ 10,545,549
US Department of Education (DOEd)	\$ 8,359,176	\$ 8,038,508
US Department of Energy (DOE)	\$ 10,911,726	\$ 5,109,937
US Department of Health and Human Services (DHHS)	\$ 229,429,767	\$ 166,593,508
National Science Foundation (NSF)	\$ 56,019,130	\$ 56,799,372
Other Federal	\$ 55,250,229	\$ 31,746,375
Subtotal for Federal :	\$ 368,992,920	\$ 278,833,249
Associations and Non-Profits	\$ 49,362,305	\$ 45,991,592
Foundations	\$ 27,694,910	\$ 8,916,670
Local Government (in Washington)	\$ 174,821	\$ 1,755,621
Other Government (not in Washington)	\$ 10,829,214	\$ 8,217,333
Private Industry	\$ 21,495,009	\$ 12,867,774
State of Washington	\$ 21,563,681	\$ 2,328,912
Subtotal for Non-Federal :	\$ 131,119,940	\$ 80,077,901
Grand Total :	\$ 500,112,860	\$ 358,911,150
Amount of Increase (Decrease) :		(\$ 141,201,710)
Percent of Increase (Decrease) :		(28.2 %)

Comparison of Grant and Contract Awards by School/College

Fiscal Years 2011-2012 and 2012-2013

School/College	Jul-Sep FY12	Jul-Sep FY13
Upper Campus		
Architecture and Urban Planning	\$ 95,500	\$ 61,936
Arts and Sciences	\$ 33,154,056	\$ 32,705,027
College of the Environment	\$ 74,708,416	\$ 52,405,263
Computing & Communications		\$ 300,000
Director of Libraries	\$ 5,336,571	\$ 42,019
Education	\$ 9,233,726	\$ 3,271,835
Educational Outreach	\$ 50,000	
Engineering	\$ 42,362,521	\$ 34,830,063
Evans School of Public Affairs	\$ 1,058,851	\$ 3,428,667
Executive Vice President	\$ 19,981	
Foster School of Business	\$ 275,043	\$ 297,025
Graduate School	\$ 2,599,999	\$ 3,495,961
Information School	\$ 2,130,529	\$ 2,651,320
Law	\$ 3,011,758	\$ 10,000
Office of Research	\$ 17,720,134	\$ 17,541,111
Provost	\$ 29,468	
Social Work	\$ 6,559,840	\$ 3,958,875
Undergraduate Education	\$ 57,475	\$ 44,697
VP Minority Affairs	\$ 3,787,795	\$ 1,484,142
VP Student Life		\$ 23,498
Subtotal :	\$ 202,191,664	\$ 156,551,438
Health Sciences		
Dentistry	\$ 1,318,137	\$ 1,403,339
Medicine	\$ 227,091,792	\$ 148,318,682
Nursing	\$ 6,130,009	\$ 2,997,048
Pharmacy	\$ 5,255,383	\$ 6,072,298
Public Health	\$ 30,472,615	\$ 30,117,792
Subtotal :	\$ 270,267,937	\$ 188,909,159
Special Programs		
Alcohol and Drug Abuse Institute	\$ 2,003,448	\$ 1,757,384
CHDD Administration	\$ 5,941,003	\$ 3,415,911
Regional Primate Center	\$ 17,561,943	\$ 4,610,080
Subtotal :	\$ 25,506,394	\$ 9,783,375
Other UW Campuses		
Bothell	\$ 1,782,817	\$ 2,452,062
Tacoma	\$ 364,049	\$ 1,215,116
Subtotal :	\$ 2,146,866	\$ 3,667,178

School/College	Jul-Sep FY12	Jul-Sep FY13
Grand Total :	\$ 500,112,860	\$ 358,911,150

Summary of Grant Awards**Fiscal Year 2012-2013***Excluding private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 62,351,620	\$ 2,447,487	\$ 6,096,881	\$ 0	\$ 70,895,980
August	\$ 91,723,700	\$ 3,193,595	\$ 6,378,898	\$ 137,830	\$ 101,434,000
September	\$ 92,242,970	\$ 3,356,014	\$ 5,475,443	\$ 76,694	\$ 101,151,100
Year to Date	\$ 246,318,300	\$ 8,997,096	\$ 17,951,220	\$ 214,524	\$ 273,481,100

Summary of Grant Awards

Fiscal Year 2012-2013

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 8,614,872	\$ 360,854	\$ 8,975,726
August	\$ 21,059,060	\$ 87,229	\$ 21,146,290
September	\$ 23,906,580	\$ 80,966	\$ 23,987,550
Year to Date	\$ 53,580,510	\$ 529,049	\$ 54,109,560

Summary of Contract Awards

Fiscal Year 2012-2013

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 935,659	\$ 7,261,125	\$ 0	\$ 658,170	\$ 8,854,954
August	\$ 3,806,685	\$ 4,423,034	\$ 28,831	\$ 497,079	\$ 8,755,629
September	\$ 9,602,160	\$ 3,912,312	\$ 190,405	\$ 5,000	\$ 13,709,880
Year to Date	\$ 14,344,500	\$ 15,596,470	\$ 219,236	\$ 1,160,249	\$ 31,320,460

**Report of Grant and Contract Awards
of \$1,000,000 or More**

September 2012

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Defense (DOD)

US Army

To: Pierre Mourad, Apl-principal Physicist \$ 1,464,180
 Applied Physics Lab
 For: Refining an automated transcranial Doppler system for the detection
 of vasospasm after traumatic brain injury.
 Eff: 9/1/2011 Classified: No

Total for US Army: \$ 1,464,180

Total for US Department of Defense (DOD): \$ 1,464,180

US Department of Health and Human Services (DHHS)

Centers for Disease Control and Prevention (CDC)

To: Jeffrey Harris, Professor \$ 2,917,771
 Health Services/Main
 For: Health Promotion Research Center
 Eff: 9/30/2012 Classified: No

Total for Centers for Disease Control and Prevention (CDC): \$ 2,917,771

Health Resources and Services Administration (HRSA)

To: King Holmes, Professor \$ 19,002,315
 Global Health
 For: Building Sustainable Human and Institutional Capacity for HIV Care
 Eff: 4/1/2012 Classified: No

Total for Health Resources and Services Administration (HRSA): \$ 19,002,315

National Institutes of Health (NIH)

To: Allan E. Rettie, Professor \$ 1,379,738
 Medicinal Chemistry
 For: Drug Interactions
 Eff: 8/1/2012 Classified: No

To: Kim Woodrow, Assistant Professor \$ 2,317,500
 Bioengineering
 For: Nanomaterials for engineering protection in the genital mucosa
 Eff: 9/30/2012 Classified: No

To: Elhanan Borenstein, Assistant Professor \$ 2,317,500
 Genome Sciences
 For: A Computational Framework for Designing Microbiome Manipulation
 Eff: 9/30/2012 Classified: No

National Eye Institute (NEI)

To: Maureen Neitz, Professor \$ 1,197,462
 Ophthalmology
 For: Supplemental NEI Funding for CORE Grant
 Eff: 9/1/2011 Classified: No

Total for National Eye Institute (NEI): \$ 1,197,462

Total for National Institutes of Health (NIH): \$ 7,212,200

Total for US Department of Health and Human Services (DHHS): \$ 29,132,286

Detail of Public Grant Awards

Federal

National Science Foundation (NSF)

National Science Foundation (NSF)

To:	Gerald Baldasty, Dean Dean's Office	\$ 2,955,200
For:	2012-13 Graduate Research Fellowship	
Eff:	8/15/2012	Classified: No
To:	Hugh Hillhouse, Associate Professor Chemical Engineering	\$ 1,850,000
For:	SEP: A Sustainable Pathway to Terawatt-Scale Solution-Processed Solar Cells from Earth Abundant Elements	
Eff:	9/1/2012	Classified: No
To:	Brian Polagye, Research Assistant Professor Mechanical Engineering	\$ 1,800,000
For:	Sustainability of Tidal Energy	
Eff:	9/1/2012	Classified: No
To:	Zoran Popovic, Professor Computer Science & Eng	\$ 1,909,246
For:	HCC: Large: Collaborative Research: DNA Machine Builder: Creative molecular-machine design through mass-scale collaborative game play	
Eff:	9/1/2012	Classified: No
To:	Mark Long, Associate Professor Evans Sch Of Public Af	\$ 2,616,777
For:	Evaluation of the Sustainability and Effectiveness of Inquiry-Based Advanced Placement Science Courses: Evidence from an In-Depth Formative Evaluation and a Randomized Controlled Study	
Eff:	9/15/2012	Classified: No
To:	Karen Goldberg, Professor Chemistry	\$ 8,000,000
For:	Center for Enabling New Technologies Through Catalysis	
Eff:	9/15/2012	Classified: No

Total for National Science Foundation (NSF): **\$ 19,131,223**

Total for National Science Foundation (NSF): **\$ 19,131,223**

Total for Federal: **\$ 49,727,689**

Total Public Grants: **\$ 49,727,689**

Detail of Contract Awards

Federal

US Department of Defense (DOD)

Defense Threat Reduction Agency (DTRA)

To:	Robert T Miyamoto, Associate Director Applied Physics Lab	\$ 2,274,347
For:	Systems Re-engineering of Medical CM & R&D	
Eff:	8/30/2012	Classified: No

Total for Defense Threat Reduction Agency (DTRA): **\$ 2,274,347**

Detail of Contract Awards

Federal

US Department of Defense (DOD)

US Navy

To: Keith Van Thiel, Research Scientist/engineer Principal \$ 1,698,600
 Applied Physics Lab
 For: ICEX14
 Eff: 9/5/2012 Classified: Yes

Total for US Navy: \$ 1,698,600

Total for US Department of Defense (DOD): \$ 3,972,947

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

National Institute of Child Health and Human Development (NICHD)

To: Elaine M. Faustman, Professor \$ 3,070,707
 Enviro & Occup Health
 For: Pacific Northwest Center for National Children's Study-Grant
 Eff: 9/26/2008 Classified: No

Total for National Institute of Child Health and Human Development (NICHD): \$ 3,070,707

Total for National Institutes of Health (NIH): \$ 3,070,707

Total for US Department of Health and Human Services (DHHS): \$ 3,070,707

Total for Federal: \$ 7,043,654

Associations and Non-Profits

Consortium for Ocean Leadership, Inc.

To: John R. Delaney, Professor \$ 4,549,077
 School Of Oceanography
 For: Ocean Observatories Initiative: Regional Scale Nodes
 Eff: 9/1/2009 Classified: No

To: John R. Delaney, Professor \$ 7,180,072
 School Of Oceanography
 For: Ocean Observatories Initiative: Regional Scale Nodes
 Eff: 9/1/2009 Classified: No

Total for Consortium for Ocean Leadership, Inc.: \$ 11,729,149

George Washington University

To: Santica Marcovina, Research Professor \$ 1,495,425
 Department Of Medicine
 For: Diabetes Prevention Outcome Study
 Eff: 2/1/2012 Classified: No

Total for George Washington University: \$ 1,495,425

Total for Associations and Non-Profits: \$ 13,224,574

Total Contracts: \$ 20,268,228

Grand Total for all Awards \$ 69,995,917

Grant and Contract Awards Summary

to

The Board of Regents

of the

University of Washington

for

October 2012

Office of Research

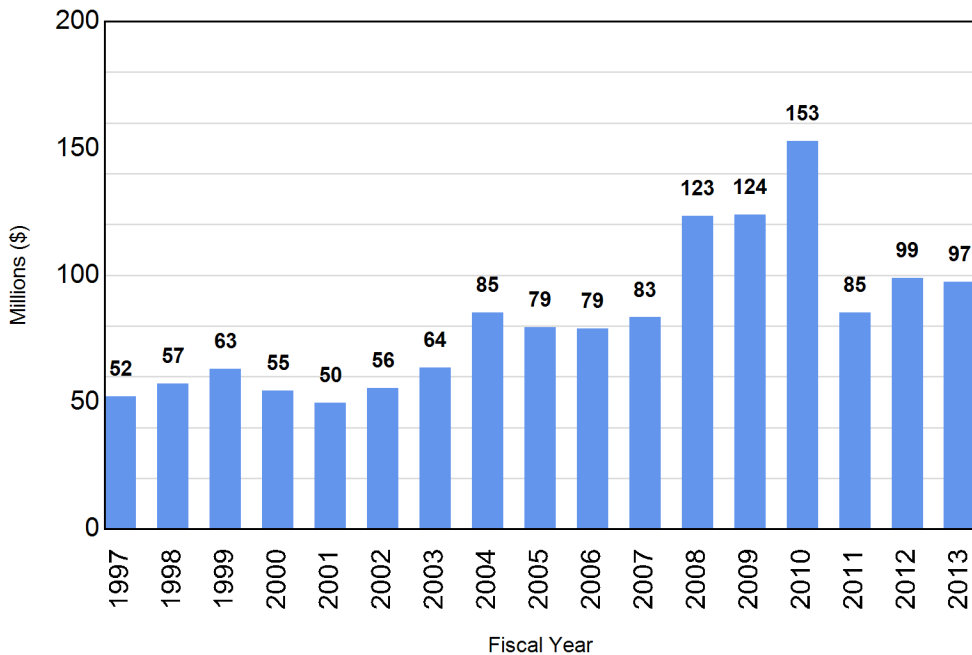
Office of Sponsored Programs

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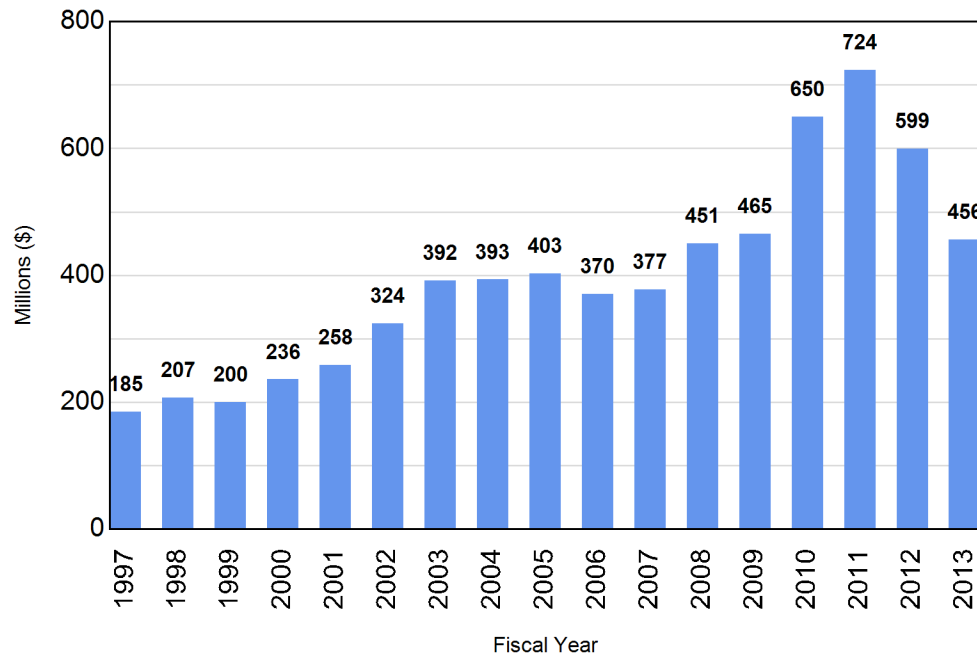
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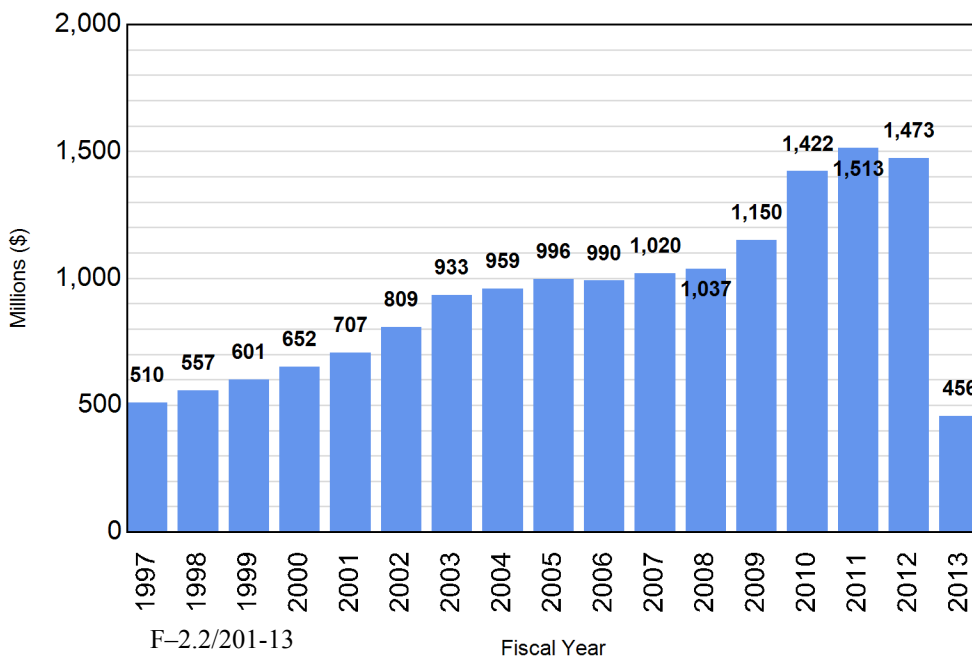
October Only
Comparison of Grant & Contract Awards



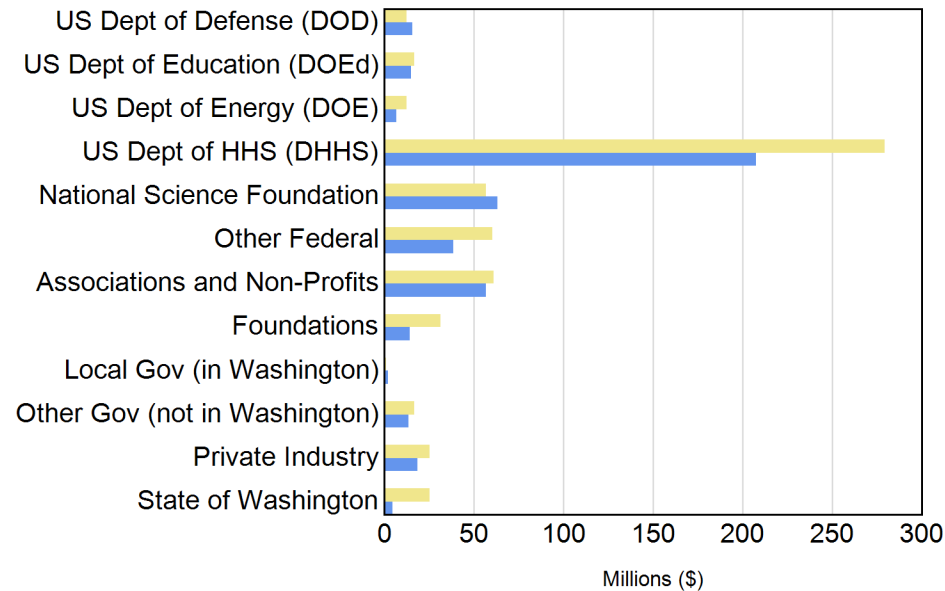
Fiscal Year to Date
Comparison of Grant & Contract Awards



Complete Fiscal Year
Comparison of Grant & Contract Awards



Fiscal Year to Date
Two-Year Comparison of Grant and Contract Awards



Summary of Grant and Contract AwardsFiscal Year 2012-2013

Month	RESEARCH AND OTHER		TRAINING		Total Grants and Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 63,287,280	\$ 18,323,480	\$ 6,096,881	\$ 1,019,024	\$ 88,726,660
August	\$ 95,530,390	\$ 28,675,690	\$ 6,407,729	\$ 722,138	\$ 131,335,900
September	\$ 101,845,100	\$ 31,174,910	\$ 5,665,848	\$ 162,660	\$ 138,848,500
October	\$ 58,115,110	\$ 26,424,210	\$ 9,919,787	\$ 2,959,865	\$ 97,418,970
FY13 to Date	\$318,777,898	\$104,598,285	\$28,090,245	\$4,863,687	\$456,330,115
FY12 to Date	\$406,633,535	\$155,440,286	\$31,579,592	\$5,413,081	\$599,066,494
Over (Under) Previous Year	(\$87,855,636)	(\$50,842,002)	(\$3,489,347)	(\$549,394)	(\$142,736,379)

Comparison of Grant and Contract Awards by Agency

Fiscal Years 2011-2012 and 2012-2013

Agency	Jul-Oct FY12	Jul-Oct FY13
US Department of Defense (DOD)	\$ 12,633,959	\$ 15,619,044
US Department of Education (DOEd)	\$ 16,832,808	\$ 15,128,137
US Department of Energy (DOE)	\$ 12,366,726	\$ 6,721,311
US Department of Health and Human Services (DHHS)	\$ 279,253,534	\$ 207,514,354
National Science Foundation (NSF)	\$ 56,732,783	\$ 63,396,840
Other Federal	\$ 60,393,317	\$ 38,488,457
Subtotal for Federal :	\$ 438,213,127	\$ 346,868,143
Associations and Non-Profits	\$ 60,969,772	\$ 56,700,554
Foundations	\$ 31,351,634	\$ 14,104,198
Local Government (in Washington)	\$ 1,049,435	\$ 2,007,121
Other Government (not in Washington)	\$ 16,894,963	\$ 13,426,797
Private Industry	\$ 25,363,370	\$ 18,631,352
State of Washington	\$ 25,224,193	\$ 4,591,949
Subtotal for Non-Federal :	\$ 160,853,367	\$ 109,461,971
Grand Total :	\$ 599,066,494	\$ 456,330,115
Amount of Increase (Decrease) :		(\$ 142,736,379)
Percent of Increase (Decrease) :		(23.8 %)

Comparison of Grant and Contract Awards by School/CollegeFiscal Years 2011-2012 and 2012-2013

School/College	Jul-Oct FY12	Jul-Oct FY13
Upper Campus		
Architecture and Urban Planning	\$ 120,348	\$ 139,359
Arts and Sciences	\$ 39,381,798	\$ 37,596,780
College of the Environment	\$ 77,196,649	\$ 61,165,206
Computing & Communications		\$ 300,000
Director of Libraries	\$ 5,336,571	\$ 87,506
Education	\$ 10,287,419	\$ 16,643,626
Educational Outreach	\$ 50,000	
Engineering	\$ 46,071,413	\$ 38,592,883
Evans School of Public Affairs	\$ 1,058,851	\$ 4,443,201
Executive Vice President	\$ 19,981	
Foster School of Business	\$ 275,043	\$ 474,368
Graduate School	\$ 2,647,768	\$ 3,554,313
Information School	\$ 3,241,236	\$ 3,262,524
Law	\$ 3,098,136	\$ 212,046
Office of Research	\$ 19,887,130	\$ 21,982,998
Provost	\$ 29,468	
Social Work	\$ 6,627,174	\$ 5,787,651
Undergraduate Education	\$ 251,836	\$ 44,697
VP Minority Affairs	\$ 8,728,012	\$ 6,304,971
VP Student Life	\$ 46,000	\$ 23,498
Subtotal :	\$ 224,354,833	\$ 200,615,627
Health Sciences		
Dentistry	\$ 1,443,003	\$ 2,161,252
Medicine	\$ 279,401,348	\$ 190,170,233
Nursing	\$ 6,476,664	\$ 3,261,960
Pharmacy	\$ 7,328,009	\$ 7,228,033
Public Health	\$ 51,236,244	\$ 36,963,857
Subtotal :	\$ 345,885,268	\$ 239,785,335
Special Programs		
Alcohol and Drug Abuse Institute	\$ 2,003,448	\$ 1,785,384
CHDD Administration	\$ 5,941,003	\$ 3,446,031
Regional Primate Center	\$ 17,625,641	\$ 5,991,725
Subtotal :	\$ 25,570,092	\$ 11,223,140
Other UW Campuses		
Bothell	\$ 2,532,817	\$ 2,452,062
Tacoma	\$ 723,483	\$ 2,253,951
Subtotal :	\$ 3,256,300	\$ 4,706,013

School/College	Jul-Oct FY12	Jul-Oct FY13
Grand Total :	\$ 599,066,494	\$ 456,330,115

Summary of Grant Awards**Fiscal Year 2012-2013***Excluding private awards from Foundations, Industry, Associations and Others*

Month	RESEARCH AND OTHER		TRAINING		Total Grants
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 62,351,620	\$ 2,403,687	\$ 6,096,881	\$ 0	\$ 70,852,180
August	\$ 91,723,700	\$ 3,193,595	\$ 6,378,898	\$ 137,830	\$ 101,434,000
September	\$ 92,242,970	\$ 3,356,014	\$ 5,475,443	\$ 76,694	\$ 101,151,100
October	\$ 54,158,460	\$ 5,521,083	\$ 9,919,787	\$ 1,588,030	\$ 71,187,370
Year to Date	\$ 300,476,800	\$ 14,474,380	\$ 27,871,010	\$ 1,802,554	\$ 344,624,700

Summary of Grant Awards

Fiscal Year 2012-2013

Private awards from Foundations, Industry, Associations and Others

Month	RESEARCH AND OTHER	TRAINING	Total Grants
July	\$ 8,614,872	\$ 360,854	\$ 8,975,726
August	\$ 21,059,060	\$ 87,229	\$ 21,146,290
September	\$ 23,906,580	\$ 80,966	\$ 23,987,550
October	\$ 13,784,610	\$ 1,330,680	\$ 15,115,290
Year to Date	\$ 67,365,120	\$ 1,859,729	\$ 69,224,850

Summary of Contract Awards

Fiscal Year 2012-2013

Month	RESEARCH AND OTHER		TRAINING		Total Contracts
	Federal	Non-Federal	Federal	Non-Federal	
July	\$ 935,659	\$ 7,304,925	\$ 0	\$ 658,170	\$ 8,898,754
August	\$ 3,806,685	\$ 4,423,034	\$ 28,831	\$ 497,079	\$ 8,755,629
September	\$ 9,602,160	\$ 3,912,312	\$ 190,405	\$ 5,000	\$ 13,709,880
October	\$ 3,956,642	\$ 7,118,512	\$ 0	\$ 41,155	\$ 11,116,310
Year to Date	\$ 18,301,150	\$ 22,758,780	\$ 219,236	\$ 1,201,404	\$ 42,480,570

**Report of Grant and Contract Awards
of \$1,000,000 or More**

October 2012

**Requiring action of
The Board of Regents
of the
University of Washington**

**Office of Research
Office of Sponsored Programs**

Detail of Public Grant Awards

Federal

US Department of Defense (DOD)

Office of Naval Research (ONR)

To:	William S D Wilcock, Professor Oceanography	\$ 1,000,000
For:	ONR Ship Operations	
Eff:	6/1/2007	Classified: No

Total for Office of Naval Research (ONR): **\$ 1,000,000**

Total for US Department of Defense (DOD): **\$ 1,000,000**

US Department of Education (DOEd)

US Department of Education (DOEd)

To:	Enrique Morales, Associate Vice President V Pres/V Prov Omad	\$ 3,964,000
For:	Rural Initiative in STEM Education and Undergraduate Preparation	
Eff:	9/26/2012	Classified: No

Total for US Department of Education (DOEd): **\$ 3,964,000**

Total for US Department of Education (DOEd): **\$ 3,964,000**

US Department of Health and Human Services (DHHS)

Administration for Children and Families (ACF)

To:	Susan R. Sandall, Professor Dept Of Education	\$ 12,087,569
For:	National Center on Quality Teaching and Learning	
Eff:	9/15/2012	Classified: No

Total for Administration for Children and Families (ACF): **\$ 12,087,569**

Centers for Disease Control and Prevention (CDC)

To:	Scott Barnhart, Professor Global Health	\$ 1,350,000
For:	Establishing and Strengthening COEs as Regional Health System Hubs	
Eff:	9/30/2012	Classified: No

Total for Centers for Disease Control and Prevention (CDC): **\$ 1,350,000**

Detail of Public Grant Awards

Federal

US Department of Health and Human Services (DHHS)

National Institutes of Health (NIH)

To:	Kenneth Krohn, Professor Radiology	\$ 1,378,841
For:	Molecular Imaging of Cancer and Its Response to Therapy	
Eff:	9/1/2012	Classified: No
To:	Robert Waterston, Professor Genome Sciences	\$ 1,450,000
For:	Comprehensive Identification of Worm and Fly Transcription Factor Binding Sites	
Eff:	9/21/2012	Classified: No
To:	Jing Zhang, Professor Pathology	\$ 1,360,164
For:	Large Scale Biomarker Discovery and Validation for Parkinson's Disease	
Eff:	9/30/2012	Classified: No

National Human Genome Research Institute (NHGRI)

To:	John Stamatoyannopoulos, Associate Professor Genome Sciences	\$ 2,726,505
For:	A Comprehensive catalog of DNaseI hypersensitive sites	
Eff:	9/21/2012	Classified: No

Total for National Human Genome Research Institute (NHGRI): \$ 2,726,505

Total for National Institutes of Health (NIH): **\$ 6,915,510**

Total for US Department of Health and Human Services (DHHS): **\$ 20,353,079**

Other Federal

National Aeronautics and Space Administration (NASA)

To:	Robert Winglee, Professor Earth & Space Sciences	\$ 1,150,000
For:	Space Grant College and Fellowship Program	
Eff:	5/17/2010	Classified: No

Total for National Aeronautics and Space Administration (NASA): **\$ 1,150,000**

US Department of State

To:	Sandra O Archibald, Dean Evans Sch Of Public Af	\$ 1,000,000
For:	North African and Middle Eastern Municipal Governance Fellowship	
Eff:	9/30/2012	Classified: No

Total for US Department of State: **\$ 1,000,000**

Total for Other Federal: **\$ 2,150,000**

Total for Federal: **\$ 27,467,079**

Total Public Grants: **\$ 27,467,079**

Detail of Contract Awards

Federal

US Department of Defense (DOD)

Office of Naval Research (ONR)

To: Neil Bogue, Apl-principal Oceanographer \$ 1,665,776
Applied Physics Lab

For: Carina Operational Development and Testing

Eff: 9/25/2012 Classified: No

Total for Office of Naval Research (ONR): \$ 1,665,776

Total for US Department of Defense (DOD): \$ 1,665,776

Total for Federal: \$ 1,665,776

Foundations

The Johnson Center for Child Health and Development

To: Gene P Sackett, Professor Emeritus \$ 1,204,032
Psychology

For: A primate model of gut, immune and central nervous system interactions in response to childhood vaccines

Eff: 5/1/2012 Classified: No

Total for The Johnson Center for Child Health and Development: \$ 1,204,032

Total for Foundations: \$ 1,204,032

Private Industry

AiCuris GmbH & Co. KG

To: Keith R Jerome, Professor \$ 1,889,261
Lab Medicine

For: A double-blind, double dummy, randomized cross-over trial to compare the effect of AIC316 100 mg once daily versus valacyclovir 500 mg once daily on genital HSV shedding in HSV-2 seropositive adults

Eff: 10/31/2012 Classified: No

Total for AiCuris GmbH & Co. KG: \$ 1,889,261

Total for Private Industry: \$ 1,889,261

Total Contracts: \$ 4,759,069

Grand Total for all Awards \$ 32,226,148

VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Actions Taken Under Delegated Authority

Pursuant to the Standing Orders of the Board of Regents delegation of authority, and to the delegation of authority from the President of the University to the Senior Vice President in Administrative Order No. 1, to take action for projects or contracts that exceed \$1,000,000 in value or cost but are less than \$5,000,000, the Administration may approve and execute all instruments.

REPORT OF ACTIONS TAKEN UNDER GENERAL DELEGATED
AUTHORITY – CAPITAL PROJECT BUDGETS**1. Foege Primary Chiller Improvements Project No. 203614
Action Reported: Award Construction Contract**

On December 4, 2012, a construction contract was awarded to Shinn Mechanical, of Kent, Washington, in the amount of \$874,000 for the Foege Primary Chiller Improvements project. Three bids were received for this project; the highest bid was \$1,193,900. The budgeted construction cost is \$830,829.

Shinn Mechanical has been providing construction services to industrial and commercial clients throughout the Northwest since 1994. They have completed many successful projects at the University of Washington. Shinn Mechanical has approximately sixty employees and works both as a prime/general contractor and as a mechanical subcontractor.

This project will add a 60-ton chiller, improve monitoring and operation of the condenser water system, update the direct digital control (DDC) system code, improve flow rate metering of the chilled water system, reconfigure the water-side economizer, add electrical redundancy improvements, and create an enhanced operations and maintenance manual for the chilled water system.

Design was completed in October 2012. Construction began in December, with completion forecast for May 2013.

Funding is provided from \$80,000 from local sources and \$1,500,000 from 2011-2013 State Funding.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 2)

Budget Summary	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$361,850	\$348,924
Total Construction Cost*	\$1,046,222	\$1,068,005
Other Costs	\$47,151	\$38,294
Project Administration	\$124,777	\$124,777
Total Project Budget	\$1,580,000	\$1,580,000

* Includes construction contract amount, contingencies, and state sales tax.

Previous Actions Reported:

June 2012 Select Engineer and Adopt Budget

2. Nordstrom Tennis Center Roof Replacement Project No. 203641
 Action Reported: Select Architect

On November 23, 2012, a professional services agreement was awarded to SHKS Architects for the Nordstrom Tennis Center Roof project. In September 2012, the Capital Projects Office selected three firms from the Shared Procurement Portal and conducted interviews. The three firms interviewed were: S.M. Stemper, SHKS Architects and Miller Hayashi Architects. SHKS was selected as the most qualified firm for this project. The agreement amount is \$23,509 for professional services, which is included in the predesign consulting budget of \$31,200. The balance of the predesign budget is intended for a hazardous materials consultant and design contingency.

Founded in 1997, SHKS Architects is a Seattle firm with experience working with the University of Washington (UW) since 1997. Their previous UW projects include College of the Built Environment: Dean’s Suite & Runstad Center, Gould Hall Digital Commons, Guthrie Hall Masonry Renovation, and Pack Forest Center for Sustainable Forestry. SHKS has also completed projects for Western Washington University, Seattle Public Library, King County Library, City of Seattle, and Starbucks Coffee.

This project will replace the roof and provide safe worker access at the Nordstrom Tennis Center. The initial scope anticipates that the rubber roof must be replaced and that the metal roof may need to be replaced. The condition of the different roof assemblies will be evaluated as well as the penthouse metal sidewall assemblies.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 3)

Design is expected to be complete in June 2013. Construction will depend on available funding from the 2013-2015 biennium.

The anticipated project cost is approximately \$4 million. The project budget will be established at the completion of the predesign effort. The current funding of \$200,000 for predesign is provided from local sources. The balance of the funding between the initial contract and current funding will allow for design to proceed once the field investigations and predesign efforts are completed. No donor funding is being contemplated nor are any naming opportunities envisioned.

3. Office of Fraternity and Sorority Life Community Resources Project No. 203744 Action Reported: Select Architect

On November 15, 2012, a professional services agreement was awarded to Schacht Aslani Architects for the Office of Fraternity and Sorority Life Community Resource Center Project. In September 2012, the Capital Projects Office selected three firms from the Shared Procurement Portal system. Interviews were conducted on September 20, 2012 with Kovalenko Hale Architects, Schacht Aslani Architects, and SMR Architects. Schacht Aslani Architects was selected as the most qualified firm for this project. The agreement amount is \$58,226 for predesign services, which is included in the predesign budget of \$89,727 for design consultants. The balance of the predesign budget is intended for surveying, a Historical Resources Addendum report, code analysis, and a hazardous materials consultant.

Schacht Aslani Architects has extensive experience with providing planning and design services for projects on university campuses, community buildings and historic structures in the Puget Sound region. Additionally, the firm has a background that includes renovations for University of Washington buildings, including Parrington Hall, the Center for Child and Family Well Being, and tenant improvements in Gowen Hall and Mary Gates Hall.

This project is intended to convert the existing student residence building at 2104 NE 45th St. (formerly the Russian House) into the Office of Fraternity and Sorority Life Community Resource Center. This facility will provide administrative offices for the Office of Fraternity and Sorority Life, as well as meeting and collaborative workspaces for Greek System activities and workshops. In addition, it will house a small office, a community presence, for the UW Police Department. It is anticipated that this project will add an elevator and second exit stair to the building.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 4)

The predesign effort is expected to be complete by January 2013. A future schedule has not been determined.

The anticipated project cost is approximately \$1.8 million. The project budget will be established at the completion of the predesign effort. The current funding of \$100,000 for predesign is provided from local sources. No donor funding is being contemplated nor are any naming opportunities envisioned.

4. Lewis Hall Concept Analysis and Move Project No. 203983 Action Reported: Award Construction Contract

On November 7, 2012, a construction contract was awarded to Lease Crutcher Lewis, of Seattle, Washington, in the amount of \$254,992 for the Lewis Hall Renovation project. The contract was negotiated as a Job Order Contract (JOC). The budgeted construction cost is \$328,723.

Lease Crutcher Lewis is a local general contractor with extensive experience working on the University of Washington campuses. Lease Crutcher Lewis constructed UW Tacoma Phase 2B, UW Bothell Parking Garages, William H. Gates Building, and Mary Gates Hall.

This project renovates the interior of Lewis Hall for the Applied Math Department of the College of Arts and Sciences. The renovation includes minor upgrades to the electrical, telecommunications and data systems, plus new paint and carpeting throughout the office area. The bulk of the project cost is for the purchase and installation of office furniture as a separate contract.

Design was completed in October 2012. Construction began in November, with completion forecast for February 2013.

Funding of \$2,000,000 is provided from local sources. No donor funding is being contemplated nor are any naming opportunities envisioned.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 5)

Budget Summary	Current Approved Budget	Forecast Cost At Completion
Total Consultant Services	\$123,376	\$123,376
Total Construction Cost*	\$438,000	\$438,000
Other Costs	\$1,024,560	\$1,024,560
Project Administration	\$154,998	\$154,998
Total Project Budget	\$1,740,934	\$1,740,934

* Includes construction contract amount, contingencies, and state sales tax.

Previous Actions Reported:

September 2012 Select Architect and Adopt Budget

5. University of Washington Tacoma Clean Water Innovation Laboratory
 Project No. 204118
 Action Reported: Select Architect

On September 27, 2012, a professional services agreement was awarded to BLRB Architects of Tacoma, Washington, for the Clean Water Innovation Laboratory project. In July 2012, the Capital Projects Office selected three firms from the Shared Procurement Portal system. Interviews were conducted on August 9, 2012, with BCRA, BLRB Architects, and McGranahan Architects. BLRB Architects was selected as the most qualified firm for this project. The agreement amount is \$14,752 for professional services, which is included in the predesign consulting budget of \$20,000. The balance of the predesign budget is intended for any additional predesign requirements.

BLRB worked as an on-call design firm for the UW Tacoma. Other project experience has been with K-12 projects as well as at Eastern Washington University. BLRB was honored for Sustainable Transportation Leadership in the Tacoma area its completed work in the downtown Tacoma area.

This project will convert existing finished space at the UW Tacoma (UWT) Science Building into a Clean Water Innovation Development and Technology Transfer Laboratory. The initial scope assumes, but is not limited to, construction to alter existing spaces and utilities, and purchasing laboratory casework and office furniture. The purchase, installation, and calibration of test equipment and diagnostic tools specific to clean water technologies are expected to be completed by UWT.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Actions Taken Under Delegated Authority (continued p. 6)

Design is expected to complete in March 2013, with construction beginning in April and completion forecast for September 2013.

The anticipated project cost is approximately \$2.8 million. The project budget will be established at the completion of the predesign effort. The current funding of \$25,000 for predesign is from local sources. It is anticipated a Department of Commerce Capital Grant for \$2,758,000 will fund the design and construction. No donor funding is being contemplated nor are any naming opportunities envisioned.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2012

For information only.

Attachment

Metropolitan Tract Quarterly Report for the Quarter Ended September 30, 2012

Metropolitan Tract Quarterly Report

Q3 ended 9/30/2012

Q3 Performance Notables:

- Leasing activity was strong in Q3 with increased interest in both office and retail space
- Total occupancy on the Metropolitan Tract is 89%. Vacancies are concentrated in low rise office space and retail space
- The Olympic Hotel occupancy has increased 6% year-to-date and revenue has increased 9%
- Capital expenditures are significantly less than budgeted due to the timing of completion of projects and cash outflow
- Revenue was less than budgeted due to the timing of rent concessions made in Q3 and the seasonality of percentage rents from retail tenants
- A distribution of \$8 million was made to the University in November

Market News:

- Office vacancy in the Seattle Central Business District (CBD) is currently 14.4% and relatively unchanged from Q2
- Leasing activity slowed slightly in Q3 with positive absorption of only 20,000 square feet in the CBD
- Property sales transactions of \$2.18 billion have occurred Year-to-Date in the Seattle commercial office market

Operations & Cash Flow

(Millions \$)

	Quarter Ended 9/30		YTD	
	Actual	Budget	Actual	Budget
Revenues ¹	\$ 5.4	\$ 5.6	\$ 17.4	\$ 16.8
Operating Expenses ²	\$ (1.0)	\$ (1.2)	\$ (3.6)	\$ (3.6)
NOI	\$ 4.4	\$ 4.4	\$ 13.7	\$ 13.3
Non-Operating Expenses ³	\$ (0.5)	\$ (0.7)	\$ (1.5)	\$ (2.1)
Net Income	\$ 3.9	\$ 3.7	\$ 12.3	\$ 11.2
Capital & TIs	\$ -	\$ (1.3)	\$ (1.4)	\$ (3.8)
Net Cash Flow	\$ 3.9	\$ 2.4	\$ 10.9	\$ 7.4
Distribution to University	\$ -	\$ -	\$ -	\$ -
Increase/(Decrease) in Cash	\$ 3.9	\$ 2.4	\$ 10.9	\$ 7.4

¹ Revenues include rent from tenant leases, operating savings payments from Unico and Leasehold Excise Tax receipts from tenants.

² Operating Expenses include Leasehold Excise Tax payments, earthquake insurance, professional fees and Met Tract Real Estate Office management expenses.

³ Non-Operating Expenses include funding for the UW Real Estate office for non-Metro Tract University real estate services

Metropolitan Tract Portfolio



Unico Portfolio		Olympic Hotel		Cobb Building		Rainier Tower Sublease	
Rainier Tower	569,155	Luxury Hotel Rooms	450	Residential Units	91	Sublease Space	379,463
Financial Center	339,527	Office Space	15,500	Retail Space	18,171	Lease Expiration	10/31/2014
Puget Sound Plaza	274,885	Retail Space	28,000	Lease Expiration	3/22/2050	Annual Revenue	\$1M (net)
IBM Building	225,129	Parking Stalls	787	Annual Revenue	\$200K		
Skinner Building	193,672	Lease Expiration	10/1/2040				
Total Office	1,602,368	Annual Revenue	\$3M				
Retail Space	141,806						
Parking Stalls	744						
Lease Expiration	10/31/2014						
Annual Revenue	\$16.5M						

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Investment Performance Report, First Quarter, Fiscal Year 2013

For information only.

Attachment

UW Investment Performance Report, First Quarter, Fiscal Year 2013

UNIVERSITY OF WASHINGTON

Investment Performance Report

First Quarter Fiscal Year 2013

As of September 30, 2012

Treasurer, Board of Regents
Treasury Office

Published November 2012

UNIVERSITY OF WASHINGTON

INVESTMENT PERFORMANCE REPORT

FIRST QUARTER FISCAL YEAR 2013

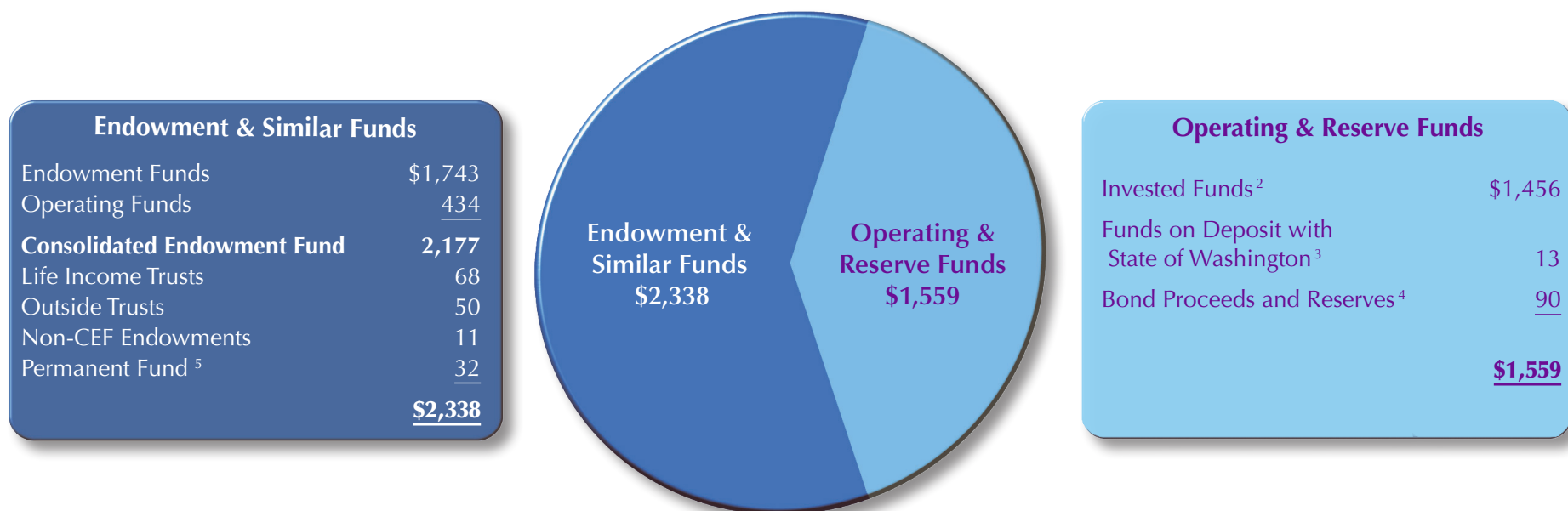
As of September 30, 2012

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CEF Performance by Strategy	4
CEF Activity	5
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Invested Funds	7

TREASURY ASSETS¹ AS OF SEPTEMBER 30, 2012

\$ = millions



¹ Total market value of assets at 6/30/12: \$3.9 billion. Includes assets whose management falls under the auspices of the Finance & Audit Committee of the Board of Regents, excluding Metro Tract and Forest Trust Lands.

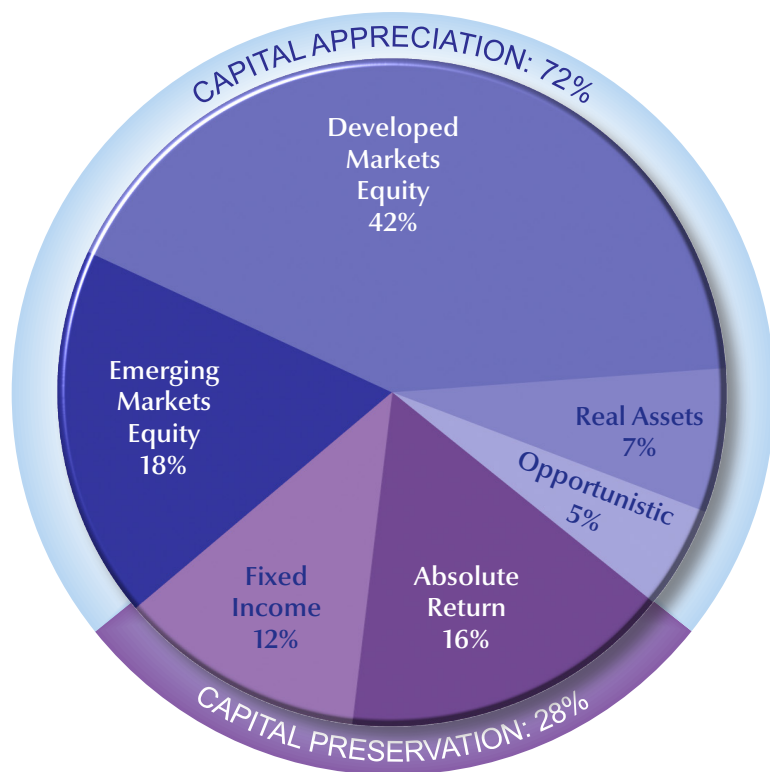
² The Invested Funds holds Consolidated Endowment Fund units valued at \$434. To avoid double counting, the dollars are included only in the CEF totals.

³ Bond Retirement Fund and Building Fund.

⁴ Debt service reserve funds and construction project funds which have not yet been disbursed.

⁵ Proceeds from sale of land grants and subsequent investment returns on deposit with the state of Washington.

CONSOLIDATED ENDOWMENT FUND (CEF) ASSET ALLOCATION FOR THE QUARTER ENDING SEPTEMBER 30, 2012



Current Allocation (\$ = millions)			Policy Target	Range
Emerging Markets Equity	\$389	18%	17%	
Developed Markets Equity	\$907	42%	36%	
Real Assets	\$162	7%	11%	
Opportunistic	\$104	5%	6%	
Capital Appreciation ¹	\$1,562	72%	70%	55%-85%
Absolute Return	\$359	16%	15%	
Fixed Income ²	\$256	12%	15%	
Capital Preservation	\$614	28%	30%	15%-45%
Total CEF³	\$2,177	100%		

¹ Includes 24% current exposure to private equity investment and 10% uncalled capital commitments.

² Includes allocation to cash.

³ Exposures at 9/30/12: International: 32%, Foreign Currency: 32%.

CEF PERFORMANCE SUMMARY FOR THE QUARTER ENDING SEPTEMBER 30, 2012

ROLLING YEAR RETURNS¹ (%)

	1 st Qtr FY 2013	Rolling Years		
		1-Year	3-Year	10-Year
Total CEF Return	3.9	10.6	7.6	8.3
Strategy Weighted Policy Benchmark	3.6	12.9	8.1	8.8
70% MSCI ACWI/30% BC Govt Bond	5.0	16.2	7.4	8.2
Capital Appreciation	5.2	13.9	8.9	9.2
MSCI ACWI	7.0	21.7	7.8	9.2
Capital Preservation	1.3	3.7	4.0	5.2
BC Government Bond	0.6	2.9	5.2	4.7

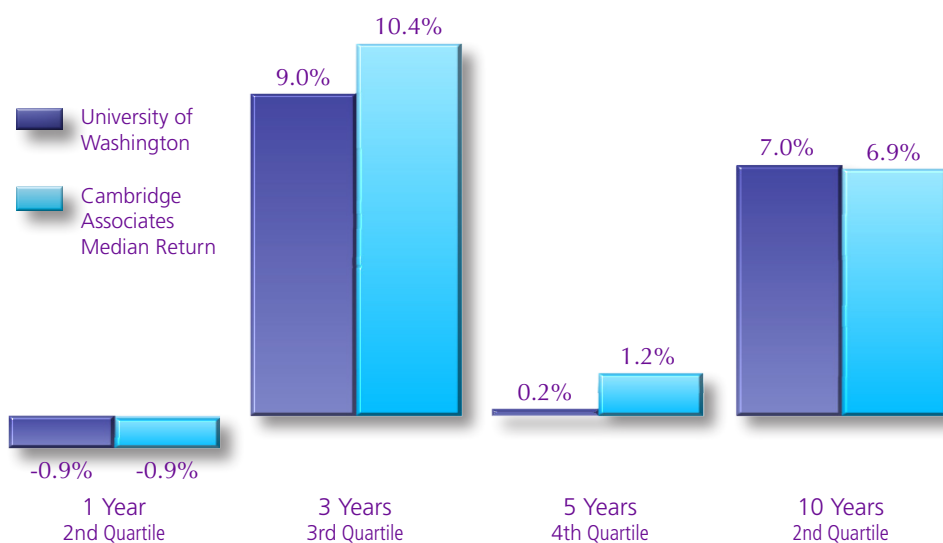
¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

FISCAL YEAR RETURNS¹ (%)

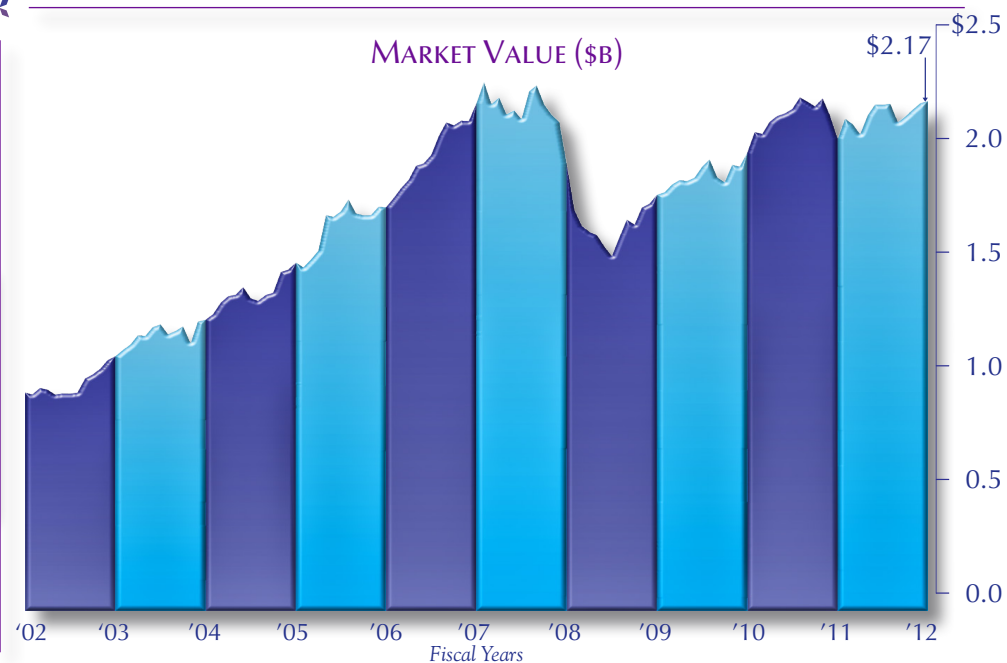
	FYTD 2013	Fiscal Years			
		2012	2011	2010	2009
Total CEF Return	3.9	-0.9	16.0	12.5	-23.5
Strategy Weighted Policy Benchmark	3.6	1.2	19.9	9.8	-16.5
70% MSCI ACWI/30% BC Govt Bond	5.0	-1.3	21.8	10.9	-18.6
Capital Appreciation	5.2	-1.9	21.5	13.7	-29.3
MSCI ACWI	7.0	-6.0	30.8	12.3	-28.9
Capital Preservation	1.3	1.2	3.6	10.8	-14.0
BC Government Bond	0.6	8.3	2.3	6.5	6.6

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

PEER COMPARISON AS OF JUNE 30, 2012



MARKET VALUE (\$B)



¹ Reflects inclusion of IF units in CEF, starting 2001. Value without IF units would be \$1.7

CEF PERFORMANCE BY STRATEGY

Total Return¹ as of September 30, 2012

	1 st Qtr FY '13	1 Year	3 Years	5 Years	10 Years
Consolidated Endowment Fund	3.9	10.6	7.6	0.1	8.3
Capital Appreciation					
Capital Appreciation	5.2	13.9	8.9	-0.6	9.2
MSCI ACWI	7.0	21.7	7.8	-1.5	9.2
Emerging Markets Equity	7.4	15.8	10.4	1.6	19.1
MSCI EMF	7.9	17.3	6.0	-1.0	17.4
Developed Markets Equity	5.5	16.5	10.1	0.0	8.6
MSCI World	6.8	22.3	8.1	-1.6	8.6
Real Assets ²	-1.4	0.4	4.2	-5.2	4.0
NCREIF Property Index ²	2.7	12.0	8.8	2.5	8.3
CA Natural Resources ²	-3.2	6.5	13.3	8.2	18.6
Opportunistic ³	5.2	12.3	—	—	—
MSCI ACWI	7.0	21.7	—	—	—
Capital Preservation					
Capital Preservation	1.3	3.7	4.0	0.5	5.2
BC Government Bond	0.6	2.9	5.2	6.0	4.7
Absolute Return (including historic MAS returns)	2.2	6.4	6.7	2.8	8.5
HFRI Conservative plus historic MAS benchmark)	1.8	2.6	1.8	1.3	5.4
Fixed Income	0.4	1.4	1.8	1.4	3.0
BC Government Bond	0.6	2.9	5.2	6.0	4.7
Public Market Indices					
S&P	6.4	30.2	13.2	1.1	8.0
NASDAQ	6.2	29.0	13.7	2.0	9.8
MSCI EAFE	7.0	14.3	2.6	-4.8	8.7
BC High Yield	4.5	19.4	12.9	9.3	11.0
Citigroup World Bond (unhedged to USD)	3.0	3.3	4.3	6.5	6.7

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation)

² Reported on a quarter lag.

³ New strategy reflecting data starting 7/1/2010.

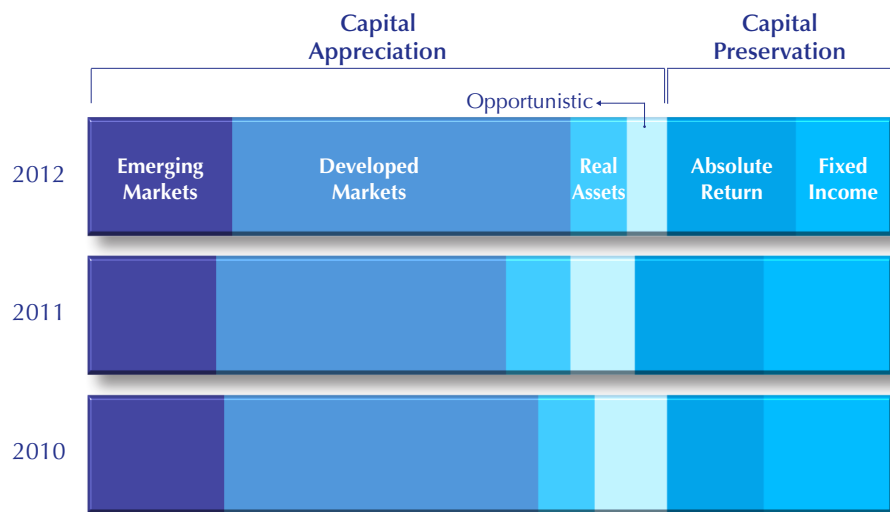
CEF ACTIVITY AS OF SEPTEMBER 30, 2012

\$ = millions

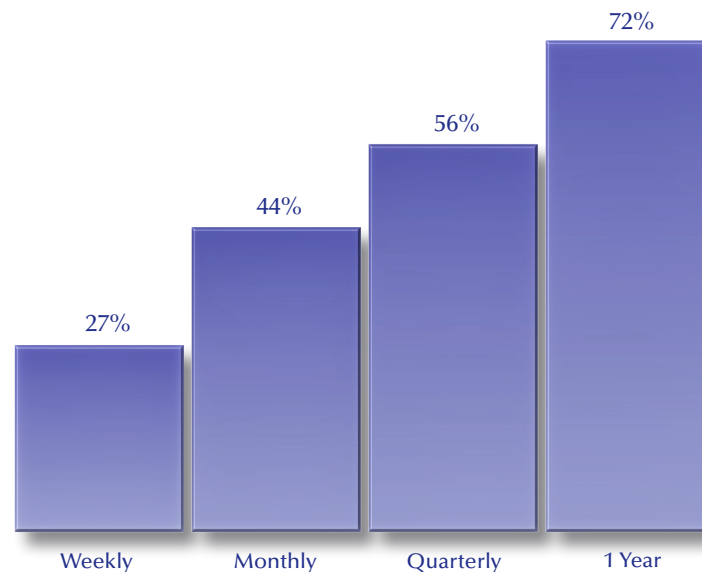
	<u>FYTD 2013</u>	<u>FY 2012</u>	<u>FY 2011</u>	<u>3 Years</u>	<u>10 Years</u>
Beginning Balance	\$2,111	\$2,154	\$1,830	\$1,777	\$932
Gifts	10	75	63	181	675
Transfers	1	8	5	18	89
Total Additions	12	83	68	199	764
Operating Funds Purchases	0	2	51	58	209
Net Investment Return	80	(21)	301	430	1,177
Distributions	(22)	(85)	(76)	(227)	(738)
Administrative Fees	(1)	(4)	(4)	(12)	(34)
Advancement Support	(4)	(17)	(16)	(48)	(134)
Ending Balance	\$2,177	\$2,111	\$2,154	\$2,177	\$2,177
Net Change	\$65	(\$43)	\$325	\$400	\$1,244

CEF EXPOSURES FOR THE QUARTER ENDING SEPTEMBER 30, 2012

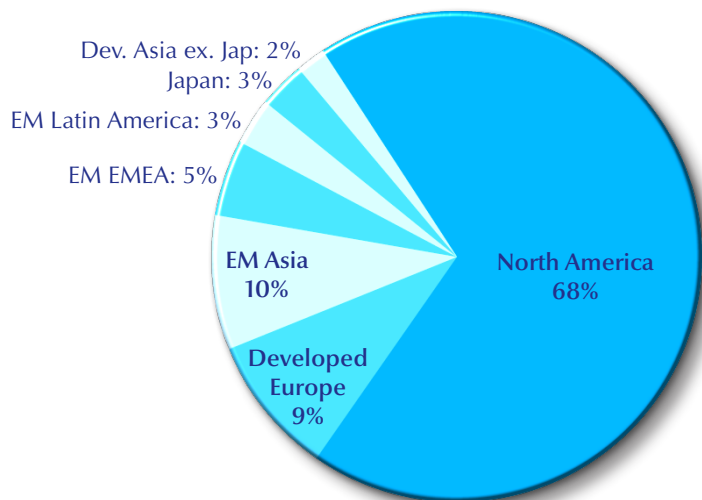
EXPOSURE BY STRATEGY



SHORT-TERM LIQUIDITY ESTIMATES AS % OF CEF

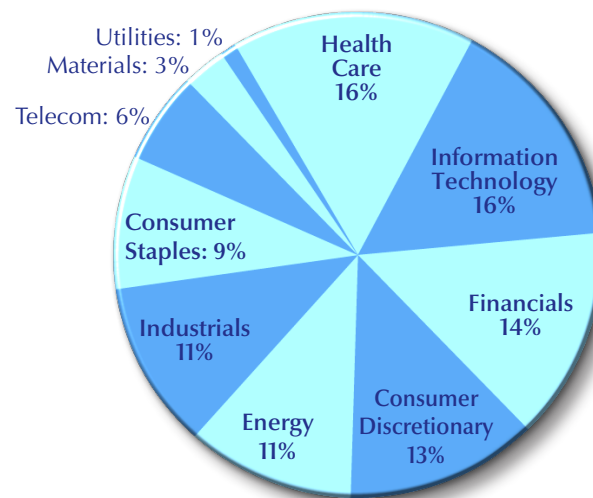


GEOGRAPHIC EXPOSURE¹



¹ At 9/30/12 foreign currency exposure: 32%

EQUITY SECTOR EXPOSURE¹



¹ Emerging Markets, Developed Markets, Real Assets and select Absolute Return equity.

INVESTED FUNDS FOR THE QUARTER ENDING SEPTEMBER 30, 2012

TOTAL RETURN¹ (%)

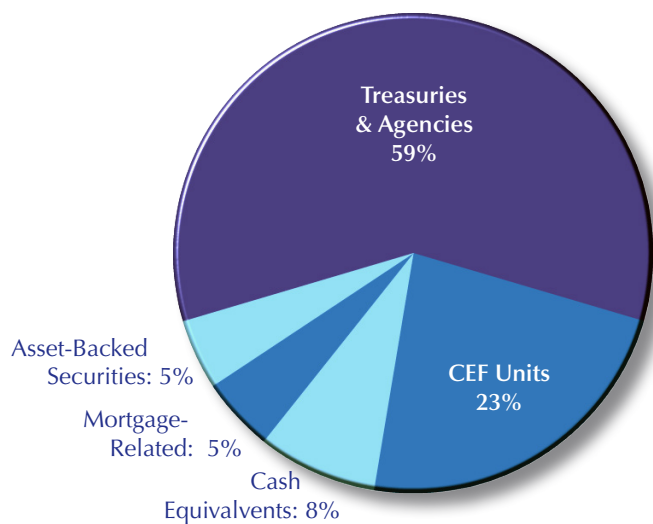
	1 st Qtr FY 2013	1-Year	3-Year	10-Year
IF including CEF units	1.5	4.0	4.1	4.8
Weighted Benchmark	1.2	4.2	4.1	5.0
IF excluding CEF units	0.8	2.0	3.0	3.5
Weighted Benchmark	0.5	1.5	2.6	3.5
Cash	0.2	0.1	0.3	2.5
Cash Benchmark	0.2	0.2	0.2	2.3
Liquidity	1.3	3.3	4.7	4.0
BC Intermediate Govt Bond	0.6	2.4	4.1	4.2

¹ Total Return: average annual compound return (dividend or interest plus capital appreciation or depreciation).

FUND ALLOCATION BY POOL (\$M)

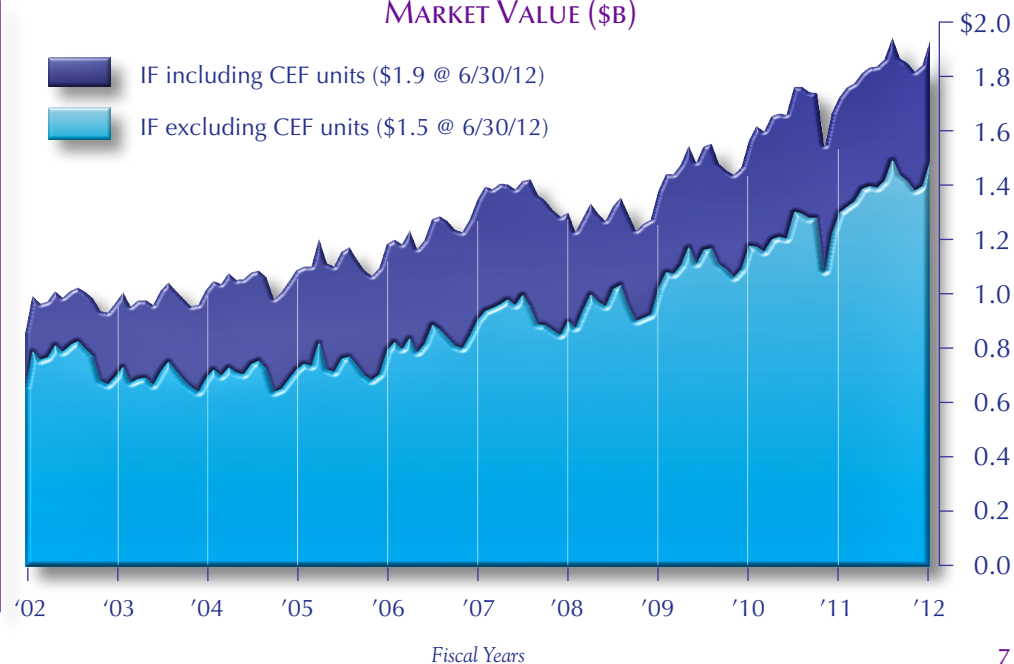
	Fund Allocation		Range	Duration in Years	
				Actual	Maximum
Cash Pool	\$632	33%	10%–40%	1.2	3.0
Liquidity Pool	\$824	44%	30%–60%	3.5	4.5
Total Cash & Liquidity Pool	\$1,456	77%			
CEF Units held by IF	\$434	23%	15%–40%		
Total Invested Funds	\$1,890	100%			

MIX OF INVESTMENTS



F-5.1/201-13
1/10/13

MARKET VALUE (\$B)



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Internal Lending Program Quarterly Report for the Quarter Ended September 30, 2012

For information only.

Attachment

Internal Lending Program Quarterly Report, Quarter Ended September 30, 2012

Average
Cost of
Debt
4.2%

Internal Lending Program Quarterly Report

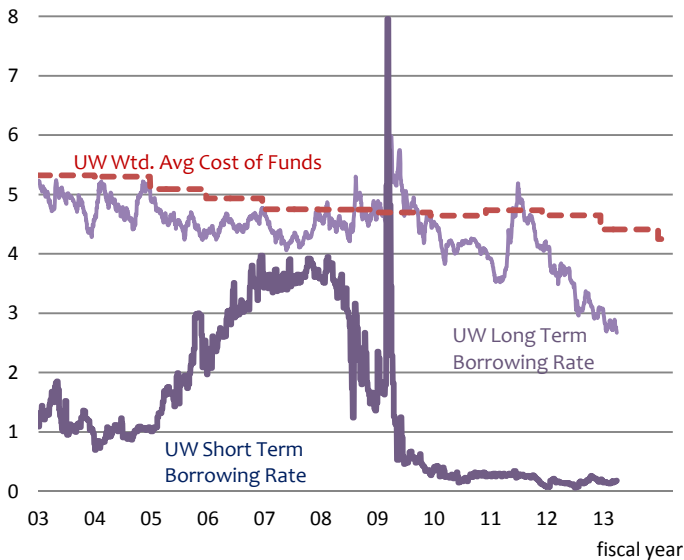
Quarter Ended September 30, 2012

(dollars in millions)

Internal
Lending
Rate
5.5%

Interest Rates

Uncertainty about the speed of the economic recovery in the US and concern about the global economy has kept interest rates extremely low. The supply of new issuance has been modest. If the UW issued 30-year tax-exempt bonds at the end of the first quarter, the overall interest rate would be between 2.8% and 3.2%.



External Borrowing

The University issued \$50 million in commercial paper as interim financing until the 2012C bond are issued in November 2012. The \$50 million commercial paper issued in FY13 will be repaid with 2012C bond proceeds.

Type of Debt	Issued FY13	Total Outstanding	Wtd. Avg Rate	Wtd. Avg Maturity (yrs)
Commercial Paper	\$50	\$75	0.2%	0.17
Variable Rate	0	0	n/a	n/a
Fixed Rate	0	1,117	3.9%	16.3
ILP Total	50	1,192	3.7%	15.2
Non-ILP Debt	1	922	4.7%	14.3
Total Debt	\$51	\$2,114	4.2%	14.8

Non-ILP outstanding includes \$438 million for Valley & NW Hospital debt.

Internal Lending

In the first quarter, \$80 million in loans were made including Husky Stadium Renovation (\$27 million), Housing & Dining projects (\$25 million), HUB Renovation (\$16 million), and UWMC Expansion, Phase 1 (\$8 million).

	By Mission			Total
	Education	Research	Service	
Beginning Bal Jul-12	\$88	\$128	\$920	\$1,136
Additions	1	1	80	82
Reductions	(1)	(3)	(7)	(11)
Year to Date Activity	0	(2)	73	71
Total Receivable	\$88	\$126	\$993	\$1,207

Cash Position

Net debt proceeds reflect the debt issuances less project funding for the fiscal year to date.

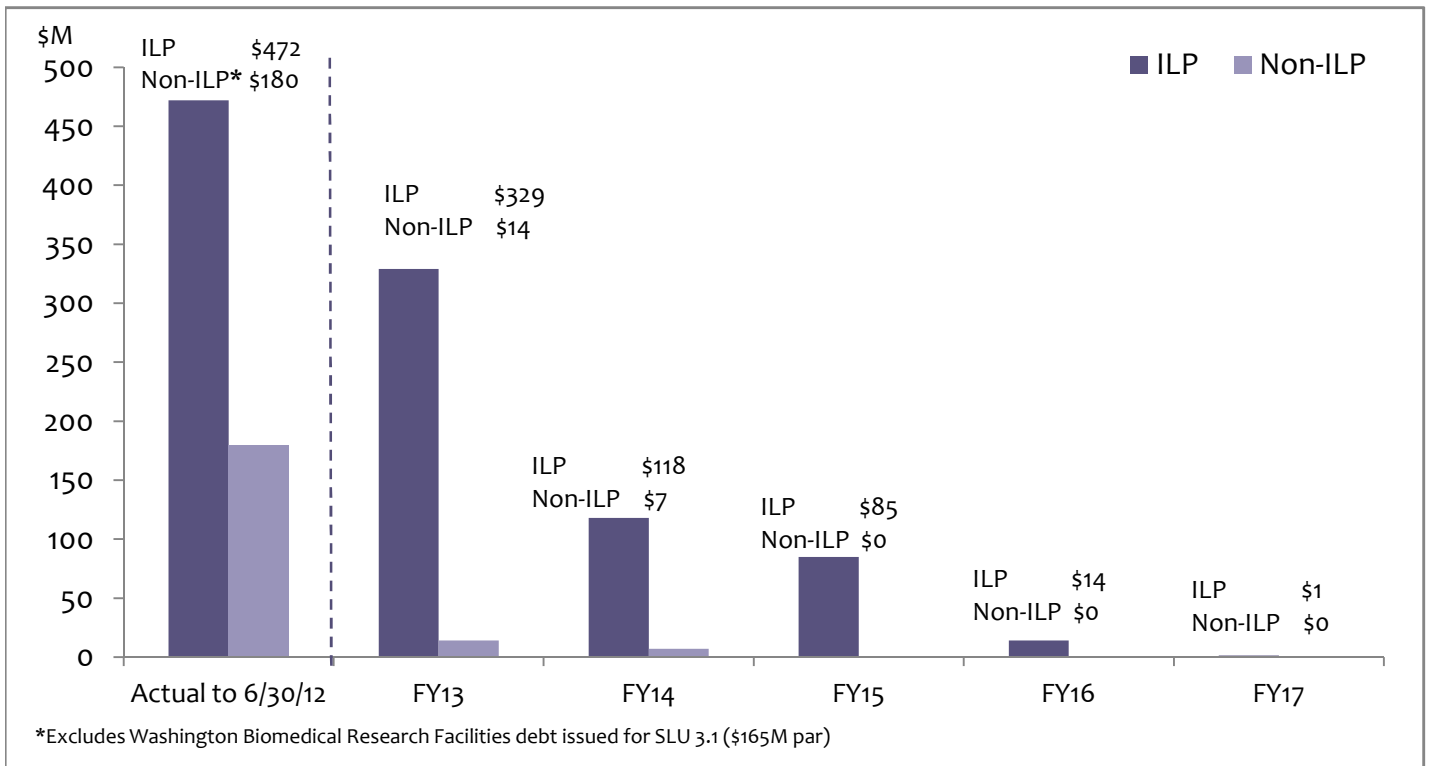
Beginning Balance Jul-12	\$148.1
Internal Debt Service	22.8
External Debt Service	(19.5)
Net Debt Proceeds	(54.9)
Expenses	(0.1)
Ending Balance Sep-12	\$96.4

Funding Status for Approved Projects - by Project and Source

Project Name	Date Approved	Current Budget	ILP	Non-ILP	Spent to Date (all sources)
Bothell Sports Field	Jun-11*	\$4	\$2	\$2	55%
ECC Renovation	Jul-09	15	14	1	63%
Housing Phase 1	May-09	151	150	1	95%
Housing Phase 2 - excludes site 30W	Jun-12^	195	189	6	31%
Housing Phase 3	Jun-12	133	133	0	1%
HUB Renovation	Jul-09	119	113	6	88%
Husky Stadium Renovation	Nov-10	251	228	23	40%
Husky Stadium Renovation Increase	Jun-11	11	11	0	0%
Molecular Engineering	Jun-09	76	18	58	95%
Tacoma Phase 3	May-10	54	5	49	90%
UWMC Expansion Phase 1	Feb-08	166	156	10	91%
UWMC Expansion Phase 1 Increase	Jan-10	45	0	45	96%
Total		\$1,220	\$1,019	\$201	60%

*approved under delegated authority, ^original approval 2/11, authority decreased 6/12.

Funding Forecast for Approved Projects - by Source



VII. STANDING COMMITTEES**B. Finance, Audit and Facilities Committee**Metropolitan Tract – Approval of 2013 Unico Capital Expenditure BudgetRECOMMENDED ACTION

It is the recommendation of the Finance, Audit and Facilities Committee that the Board of Regents approve reimbursement of up to \$8.0 million in capital expenditures by Unico Properties, LLC, for capital and tenant improvements requested in its 2013 Capital Expenditure Plan for the buildings on the Metropolitan Tract. The \$8.0 million is comprised of up to \$4.4 million for capital improvements and up to \$3.6 million for tenant improvements.

CAPITAL IMPROVEMENTS

The recommended 2013 capital improvement reimbursement is \$4.4 million. These investments are intended to replace obsolete equipment, improve the quality of the buildings in order to be competitive in the leasing market, as well as enhance energy efficiency and promote sustainability. The major 2013 capital improvements consist of a HVAC system replacement and an electrical gear replacement in two of the buildings. Additional projects focus on parking system changes, energy saving upgrades, exterior building repair, and improving vacant tenant space for lease.

TENANT IMPROVEMENTS

The lease with Unico requires the University to reimburse Unico for tenant improvements in accordance with an annual Tenant Improvement Plan.” The University undertakes a collaborative effort with Unico each year to establish the Tenant Improvement Plan. Each lease completed during the year is measured against the budget established as part of the Tenant Improvement Plan prior to approval of reimbursement of the tenant improvement costs.

The recommended 2013 tenant improvement reimbursement is \$3.6 million. This amount is based on anticipated leasing of existing vacant space and leases due to expire in 2013, and the anticipated amount of investment necessary for occupancy of this space by new tenants. However, it is likely that actual expenditures will be less than this amount unless all budgeted leasing is achieved. Budgeted leasing during 2013 is based on projected leasing of approximately 153,000 square feet and an average tenant improvement investment of \$24 per square foot.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Metropolitan Tract – Approval of 2013 Unico Capital Expenditure Budget
(continued p. 2)

2013 BUDGET vs. 2012 BUDGET

2013	Capital Improvements	Tenant Improvements	Total Capital Expenditures
Rainier Tower & Square	2,097,103	531,406	2,628,509
Financial Center	259,407	415,380	674,787
IBM Building	530,744	1,981,735	2,512,479
Puget Sound Plaza	1,103,309	236,575	1,339,884
Skinner Building	362,360	471,076	833,436
Met Tract Studies	25,000		25,000
TOTAL	\$4,377,923	\$3,636,172	\$8,014,095

2012	Capital Improvements	Tenant Improvements	Total Capital Expenditures
Rainier Tower & Square	121,531	538,650	660,181
Financial Center	905,754	88,640	994,394
IBM Building	63,643	767,785	831,428
Puget Sound Plaza	764,624	342,575	1,107,199
Skinner Building	359,668	27,246	386,914
Met Tract Studies	25,000		25,000
TOTAL	\$2,240,220	\$1,764,896	\$4,005,116

The increase from 2012 to 2013 relates to the following two items:

- Capital Projects - During the economic recession beginning in 2008, certain capital projects on the Metropolitan Tract were deferred. In 2013, two critical projects related to the key operation of the buildings need to be completed and will cost \$2.8 million.
- Tenant Improvements – For 2013, we adopted a more aggressive budgeting approach for leasing space on the Metropolitan Tract. The budget is to lease or renew 153,000 square feet in 2013 vs. the 90,000 square feet goal in 2012. This change translates into an overall higher tenant improvement expenditure budget.

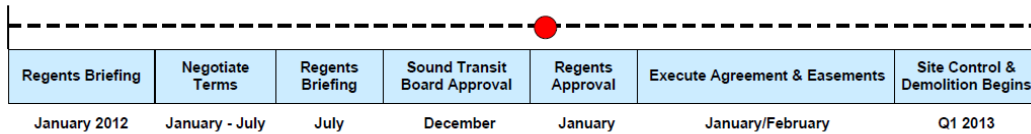
REVIEW AND APPROVALS

This recommendation has been reviewed by the Senior Vice President, the Chief Real Estate Officer, and the Advisory Committee on Real Estate (ACRE).

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Sound Transit U District Station – Approval of Property Exchange & Development Agreement



RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

1. Approve entering into a Property Exchange & Development Agreement with Sound Transit to exchange University property for the air rights above the U District Station for future transit oriented development, including temporary construction and tieback easements, a permanent tunnel easement under the Social Work Building, and a condominium declaration; and
2. Delegate to the President or his designee the authority to execute all documents related to this property exchange and development.

BACKGROUND

Purpose and Benefit

Sound Transit is building a light rail station called the U District Station (part of its Northgate Link) across from the UW Tower between NE 43rd St and NE 45th St at Brooklyn Ave NE. The light rail tunnel will go under campus beginning at the UW Station, head north and west toward 15th Avenue NE, go under the University of Washington’s Social Work Building (located on the west side of 15th Avenue NE), then head north to the U District Station on Brooklyn Avenue NE. An agreement is already in place for the light rail tunnel under the main campus (to 15th Ave NE).

The U District Station (“Station”) will serve as a “front door” to the upper University of Washington (“UW”) campus and there is particular interest in how it will be developed. Sound Transit policy calls for transit oriented development (“TOD”) above the Station. A trade of property interests is beneficial for both UW and Sound Transit.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Sound Transit U District Station – Approval of Property Exchange & Development Agreement (continued, p. 2)

Project Site

The Station will be built on a site that includes University property referred to as Building A. Building A was acquired from Safeco in 2006 along with the UW Tower and is currently vacant. The land area is 12,360SF (.28 Acre) zoned NC3P-65 (neighborhood commercial/residential/pedestrian/65' height limit).

Deal Terms

The Property Exchange & Development Agreement provides that UW will convey to Sound Transit the Building A property, along with a temporary construction easement for the former IHOP Restaurant site on the south side of the UW Tower, tie-back easements adjacent to the Tower, and a tunnel easement under the Social Work Building. In exchange, Sound Transit will convey to UW the air rights above the entire station (an area including the Building A and Chase Bank sites totaling 37,080SF) for future construction of a transit oriented development project, and pay \$87,000 to the UW for the net value exchange described below.

The property exchange requires creating two condominium units as the legal structure to separate Sound Transit's ownership of the station below from UW's ownership of the TOD project above. The condominium documents will describe development and management requirements in detail. There are challenges in constructing over a below-grade train station and possibly building before Sound Transit completes station construction, and terms have been negotiated to help minimize those risks.

The financial terms between UW and Sound Transit are based on the following.

- Sound Transit pays UW \$4,667,000 for:
 - Building A \$3,460,000
 - Construction easement on IHOP site \$ 670,000
 - Tie-back easements \$ 187,000
 - Tunnel easement under Social Work Building \$ 100,000
 - Expenses (access improvements, etc.) \$ 250,000
- UW buys air rights for \$4,580,000
- Net Value: Sound Transit pays UW \$87,000

In addition:

- Sound Transit will provide the substructure/platform for the TOD project

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

Sound Transit U District Station – Approval of Property Exchange & Development Agreement (continued, p. 3)

- UW will make a reasonable effort to start the TOD project construction by 2020
- UW and Sound Transit will coordinate design and construction of their respective projects
- Sound Transit will support UW applications for zoning and building permits; It is likely the TOD site will be up-zoned to at least 85 feet in height
- If the TOD project has not received building permits by 2024, Sound Transit can buy back the air rights at the then-appraised value

The Property Exchange & Development Agreement also contains assurance that Sound Transit will seek to minimize disruption to University operations at UW Tower during construction of the station. In particular, Sound Transit has agreed to provide a turn-around within Brooklyn Street south of 45th to serve as an load/unload area for the Tower. However, during excavation and shoring of the station, this turn-around will be unavailable for up to 18 months; during that time load/unload will be available north of 45th on Brooklyn. Some of the funding provided by Sound Transit is for the purpose of improvements to the entry to the Tower on the 12th Avenue side, further enhancing multiple points of access.

Timing

Closing of the property exchange is scheduled for no later than March 15, 2013. Sound Transit will take possession of the Building A property and the IHOP site after closing, with demolition to begin on Building A almost immediately. The station is expected to open in 2021.

REVIEW & APPROVAL

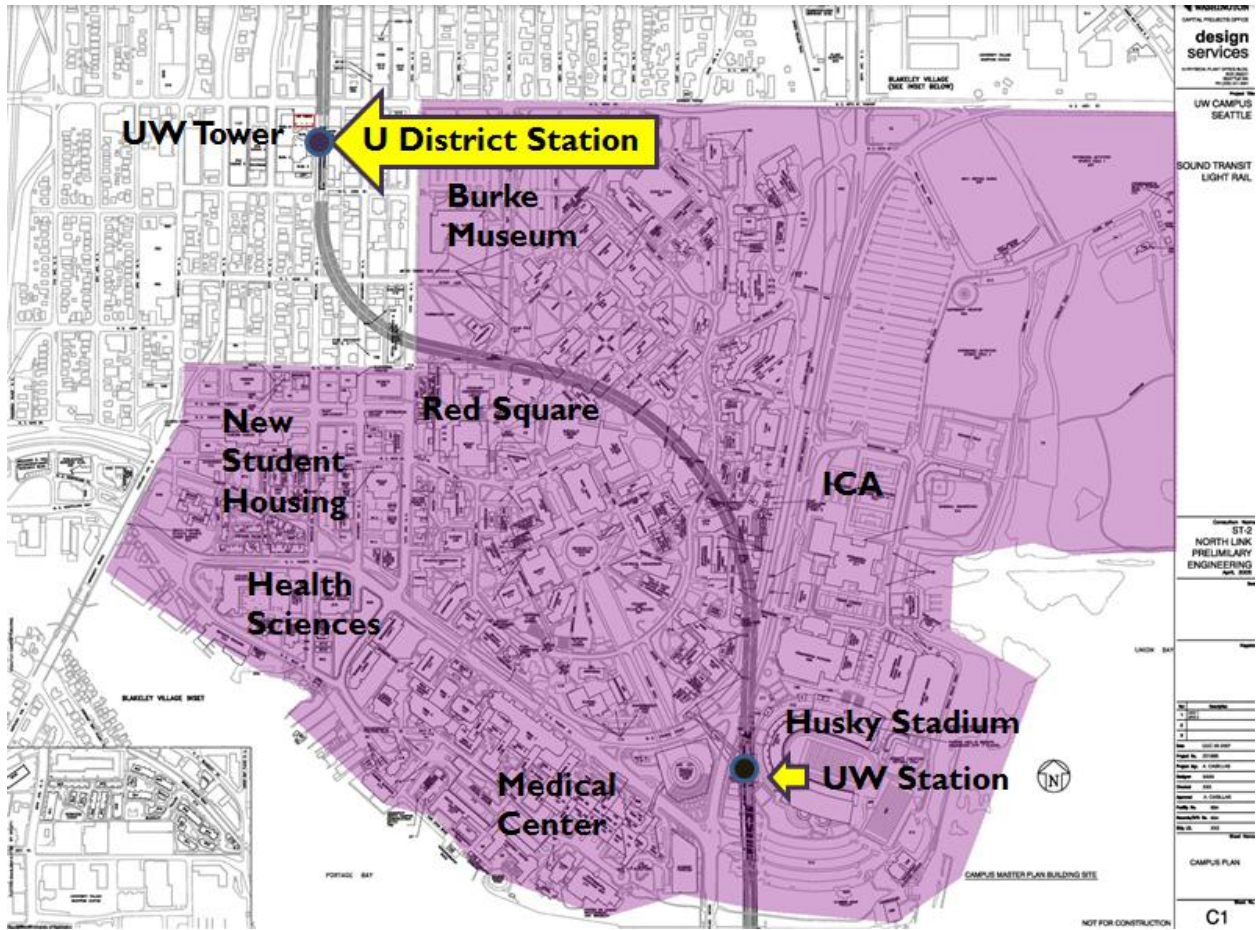
Sound Transit's Board approved the Property Exchange & Development at its December 20, 2012 meeting.

The terms of the Property Exchange & Development Agreement have been reviewed and recommended for approval by the Senior Vice President, the Vice Provost for Planning and Budgeting, the UW Advisory Committee on Real Estate (ACRE) and the Chief Real Estate Officer.

Attachments

1. Map – Tunnel through campus and to U District Station Site
2. Map – Property Exchange Sites

Map – Tunnel through campus and to U District Station Site



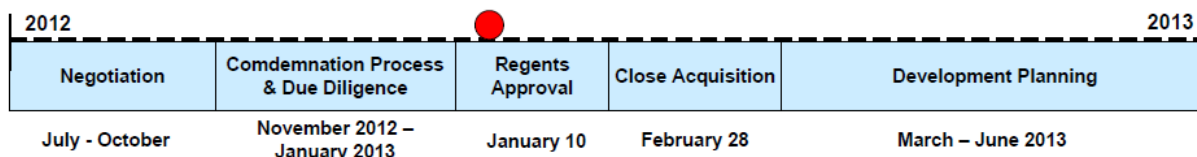
Map – Property Exchange Sites



VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tacoma – Condemnation of Quan Property



RECOMMENDED ACTION

It is the recommendation of the administration and the Finance, Audit and Facilities Committee that the Board of Regents:

1. Authorize the exercise of eminent domain (condemnation) of the Quan property at UW Tacoma; and
2. Delegate to the President or his designee the authority to execute all documents related to this transaction, and prosecute a condemnation action through the Office of Attorney General in Pierce County Superior Court.

BACKGROUND

Property Description

This property is located at 1726 Market Street in Tacoma. It is within the UW Tacoma campus boundaries, and the University owns the immediately adjacent properties on both the north and south sides.

The property is a single tax parcel of vacant land. The total site is approximately 6,000sf. Current zoning is DMU (Downtown Mixed Use, 100’ height limit). Its appraised value is \$180,000.

Purpose and Benefit

This parcel is in the UW Tacoma Master Plan as a development site. The University has diligently pursued acquisition with the property owner, but negotiations have not yet resulted in a signed purchase and sale agreement. Condemnation of the property is a necessary action due to its location and the planned development for this part of the UW Tacoma Campus in 2013.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tacoma – Condemnation of Quan Property (continued, p. 2)

Purchase and Sale Agreement

There is a pending Purchase and Sale Agreement for the Quan property. The key terms are:

- Seller: Susie Lam Quan
- Purchase Price: \$200,000.00
- Closing Date: On or before February 28, 2013
- Contingencies:
 - UW approval of property condition
 - UW approval of title report

Condemnation would proceed if timely agreement cannot be reached on the terms of the Purchase and Sale Agreement. Initiation of condemnation will not preclude further negotiations.

Property Condition and Title

A Phase 1 environmental assessment has been completed and shows possible underground storage tanks (USTs) on the property. A Phase 2 environmental assessment will be performed contingent on property owner's approval. The title report is acceptable.

Funding & Development

The purchase price for the property, whether through negotiated transaction or condemnation, will be from State appropriations for UW Tacoma campus development and remediation. After acquisition, the property will be developed and managed consistent with the UW Tacoma Master Plan.

CONDEMNATION AUTHORIZATION

The *Board of Regents Governance*, Standing Orders, Chapter 1, Section 7, "Commencement of Legal Action" states:

The President of the University or the President's designee is authorized to act for the Board of Regents regarding all legal action necessary to protect the interests of the University: provided, that no litigation shall be instituted against a public entity or official or in exercise of the power of eminent domain without consultation with the President of the Board of Regents or, in the absence of the President, the Vice President of the Board of Regents.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

UW Tacoma – Condemnation of Quan Property (continued, p. 3)

Approval of this item constitutes consultation pursuant to the standing order, and authorizes the recommended action to proceed to commence condemnation in Pierce County Superior Court. A negotiated settlement may be reached either before or after initiation of the condemnation action.

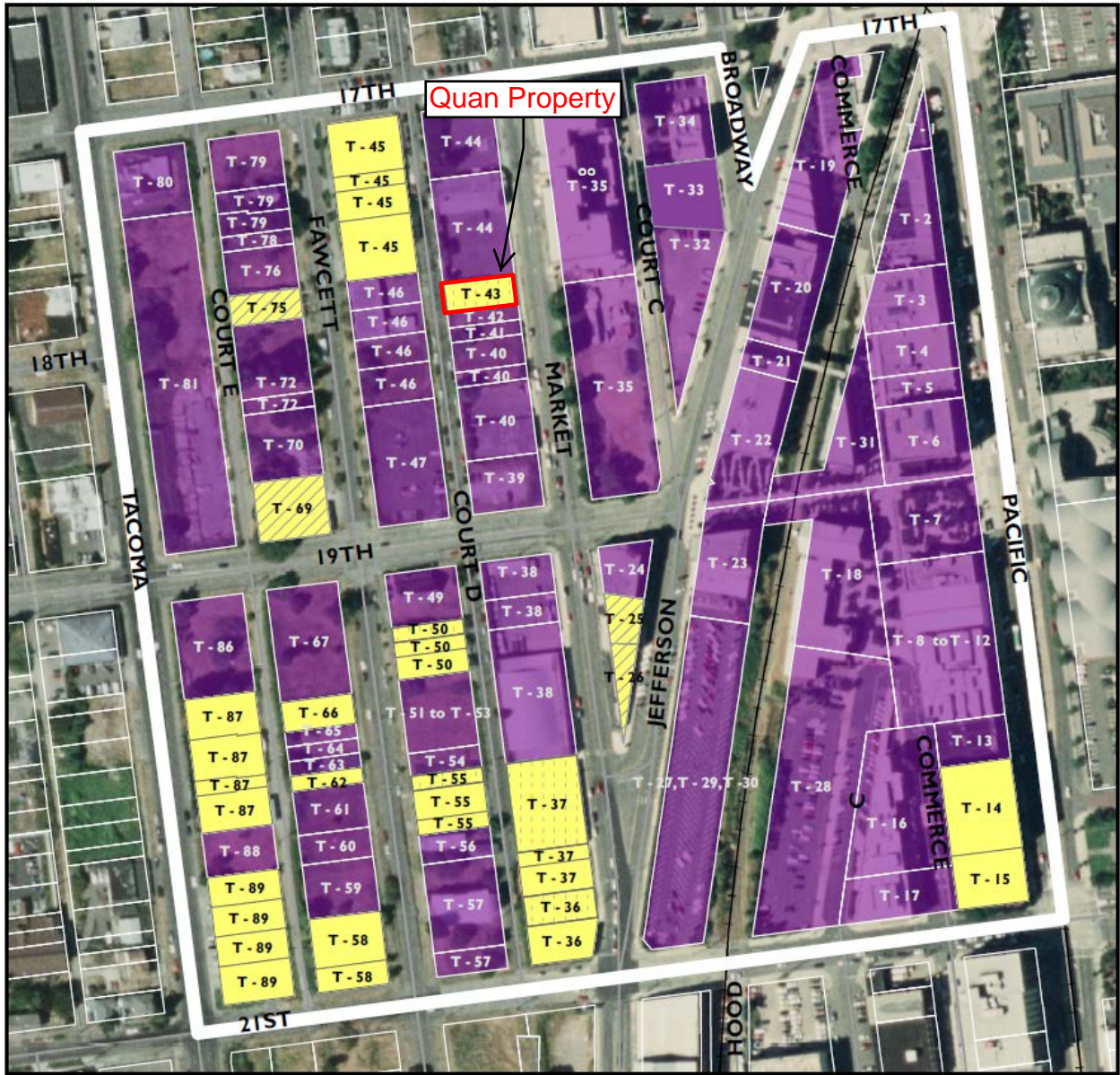
REVIEW & APPROVAL

Condemnation of the Quan property has been reviewed and recommended for approval by the Senior Vice President, the Vice Chancellor, Administrative Services of UW Tacoma, the Vice Provost for Planning and Budgeting, and the Chief Real Estate Officer.

Attachment

Campus Master Plan Map with Property of Interest

Campus Master Plan Map with Property of Interest



 University Owned Properties

 Privately Owned

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

University of Washington Investment Committee (UWINCO) Update

There will be an oral report for information only.

VII. STANDING COMMITTEES

B. Finance, Audit and Facilities Committee

2012 Independent Auditor's Report on the UW Financial Statements - KPMG

There will be an oral report for information only.

Attachment

The 2012 Annual Report will be available on-line at annualreport.uw.edu following the meeting of the Board, subject to the Board's acceptance of the report.

VII. STANDING COMMITTEES

- A. Academic and Student Affairs Committee
in Joint Session with
- B. Finance, Audit and Facilities Committee

State Budget Update

There will be an oral report for information only.